

15-453

FORMAL LANGUAGES,
AUTOMATA AND
COMPUTABILITY

THE ARITHMETIC HIERARCHY

THURSDAY, MAR 6

THE ARITHMETIC HIERARCHY

$\Delta_1^0 = \{ \text{decidable sets} \}$ (**sets = languages**)

$\Sigma_1^0 = \{ \text{semi-decidable sets} \}$

$\Sigma_{n+1}^0 = \{ \text{sets semi-decidable in some } B \in \Sigma_n^0 \}$

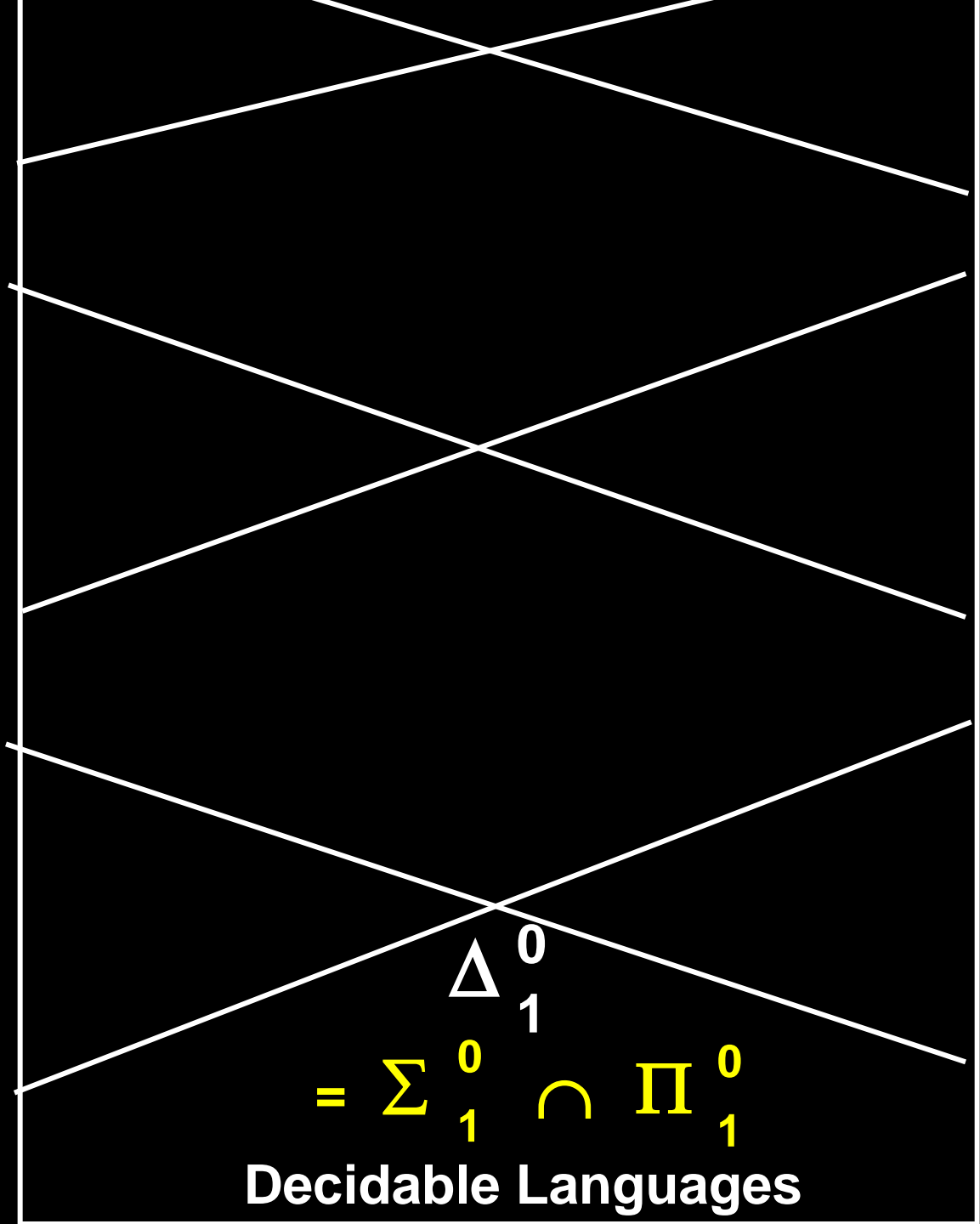
$\Delta_{n+1}^0 = \{ \text{sets decidable in some } B \in \Sigma_n^0 \}$

$\Pi_n^0 = \{ \text{complements of sets in } \Sigma_n^0 \}$

Σ_1^0
Semi-
decidable
Languages

Π_1^0
Co-semi-
decidable
Languages

Δ_1^0
 $= \Sigma_1^0 \cap \Pi_1^0$
Decidable Languages



Π^0_3

Δ^0_3

Π^0_2

Δ^0_2

Π^0_1

Co-semi-decidable Languages

Σ^0_3

Σ^0_2

Σ^0_1

Semi-decidable Languages

$= \Sigma^0_1 \cap \Pi^0_1$

Decidable Languages

Definition: A **decidable predicate** $R(x,y)$ is some proposition about x and y^1 , where there is a TM M such that

for all x, y , $R(x,y)$ is TRUE \Rightarrow $M(x,y)$ accepts
 $R(x,y)$ is FALSE \Rightarrow $M(x,y)$ rejects

We say M “decides” the predicate R .

1. x, y are positive integers or elements of Σ^*

Definition: A **decidable predicate** $R(x,y)$ is some proposition about x and y^1 , where there is a TM M such that

for all x, y , $R(x,y)$ is TRUE \Rightarrow $M(x,y)$ accepts
 $R(x,y)$ is FALSE \Rightarrow $M(x,y)$ rejects

We say M “decides” the predicate R .

EXAMPLES:

$R(x,y) =$ “ $x + y$ is less than 100”

$R(\langle N \rangle, y) =$ “ N halts on y in at most 100 steps”

Kleene’s T predicate, $T(\langle M \rangle, x, y)$: M accepts x in y steps.

1. x, y are positive integers or elements of Σ^*

Definition: A **decidable predicate** $R(x,y)$ is some proposition about x and y^1 , where there is a TM M such that

for all x, y , $R(x,y)$ is TRUE $\Rightarrow M(x,y)$ accepts
 $R(x,y)$ is FALSE $\Rightarrow M(x,y)$ rejects

We say M “decides” the predicate R .

EXAMPLES:

$R(x,y) =$ “ $x + y$ is less than 100”

$R(\langle N \rangle, y) =$ “ N halts on y in at most 100 steps”

Kleene’s T predicate, $T(\langle M \rangle, x, y)$: M accepts x in y steps.

Note: A is decidable $\Leftrightarrow A = \{x \mid R(x,\epsilon)\}$,
for some decidable predicate R .

Theorem: A language A is semi-decidable if and only if there is a **decidable predicate** $R(x, y)$ such that: $A = \{ x \mid \exists y R(x, y) \}$

Proof:

Theorem: A language A is semi-decidable if and only if there is a **decidable predicate** $R(x, y)$ such that: $A = \{ x \mid \exists y R(x, y) \}$

Proof:

(1) If $A = \{ x \mid \exists y R(x, y) \}$ then A is semi-decidable

(2) If A is semi-decidable, then $A = \{ x \mid \exists y R(x, y) \}$

Theorem: A language A is semi-decidable if and only if there is a **decidable predicate** $R(x, y)$ such that: $A = \{ x \mid \exists y R(x, y) \}$

Proof:

(1) If $A = \{ x \mid \exists y R(x, y) \}$ then A is semi-decidable

Because we can enumerate over all y 's

(2) If A is semi-decidable, then $A = \{ x \mid \exists y R(x, y) \}$

Theorem: A language A is semi-decidable if and only if there is a **decidable predicate** $R(x, y)$ such that: $A = \{ x \mid \exists y R(x, y) \}$

Proof:

(1) If $A = \{ x \mid \exists y R(x, y) \}$ then A is semi-decidable

Because we can enumerate over all y 's

(2) If A is semi-decidable, then $A = \{ x \mid \exists y R(x, y) \}$

Let M semi-decide A

Then, $A = \{ x \mid \exists y T(\langle M \rangle, x, y) \}$ (Here M is fixed.)

where

Kleene's T predicate, $T(\langle M \rangle, x, y)$: M accepts x in y steps.

Theorem

$$\Sigma_1^0 = \{ \text{semi-decidable sets} \}$$

$$= \text{languages of the form } \{ x \mid \exists y R(x,y) \}$$

$$\Pi_1^0 = \{ \text{complements of semi-decidable sets} \}$$

$$= \text{languages of the form } \{ x \mid \forall y R(x,y) \}$$

$$\Delta_1^0 = \{ \text{decidable sets} \}$$

$$= \Sigma_1^0 \cap \Pi_1^0$$

Where R is a decidable predicate

Theorem

$$\begin{aligned}\Sigma_2^0 &= \{ \text{sets semi-decidable in some semi-dec. B} \} \\ &= \text{languages of the form } \{ x \mid \exists y_1 \forall y_2 R(x, y_1, y_2) \}\end{aligned}$$

$$\begin{aligned}\Pi_2^0 &= \{ \text{complements of } \Sigma_2^0 \text{ sets} \} \\ &= \text{languages of the form } \{ x \mid \forall y_1 \exists y_2 R(x, y_1, y_2) \}\end{aligned}$$

$$\Delta_2^0 = \Sigma_2^0 \cap \Pi_2^0$$

Where R is a decidable predicate

Theorem

$$\Sigma_n^0 = \text{languages } \{ x \mid \exists y_1 \forall y_2 \exists y_3 \dots Q y_n R(x, y_1, \dots, y_n) \}$$

$$\Pi_n^0 = \text{languages } \{ x \mid \forall y_1 \exists y_2 \forall y_3 \dots Q y_n R(x, y_1, \dots, y_n) \}$$

$$\Delta_n^0 = \Sigma_n^0 \cap \Pi_n^0$$

Where R is a decidable predicate

Example

Decidable predicate

Σ_1^0 = languages of the form $\{ x \mid \exists y R(x,y) \}$

We know that A_{TM} is in Σ_1^0 Why?

Show it can be described in this form:

Example

Decidable predicate

Σ_1^0 = languages of the form $\{ x \mid \exists y R(x,y) \}$

We know that A_{TM} is in Σ_1^0 Why?

Show it can be described in this form:

$A_{TM} = \{ \langle (M,w) \rangle \mid \exists t \text{ [M accepts w in t steps]} \}$

decidable predicate

Example

Decidable predicate

Σ_1^0 = languages of the form $\{ x \mid \exists y R(x,y) \}$

We know that A_{TM} is in Σ_1^0 Why?

Show it can be described in this form:

$A_{TM} = \{ \langle (M,w) \rangle \mid \exists t \text{ [M accepts w in t steps]} \}$

decidable predicate

$A_{TM} = \{ \langle (M,w) \rangle \mid \exists t T(\langle M \rangle, w, t) \}$

Example

Decidable predicate

Σ_1^0 = languages of the form $\{ x \mid \exists y R(x,y) \}$

We know that A_{TM} is in Σ_1^0 Why?

Show it can be described in this form:

$$A_{TM} = \{ \langle (M,w) \rangle \mid \exists t \text{ [M accepts } w \text{ in } t \text{ steps]} \}$$

decidable predicate

$$A_{TM} = \{ \langle (M,w) \rangle \mid \exists t \top (\langle M \rangle, w, t) \}$$

$$A_{TM} = \{ \langle (M,w) \rangle \mid \exists v \text{ (} v \text{ is an accepting computation history of } M \text{ on } w \text{)} \}$$

Σ_3^0 Δ_3^0 Π_3^0 Σ_2^0 Δ_2^0 Π_2^0

$$= \Sigma_2^0 \cap \Pi_2^0$$

 Σ_1^0 A_{TM} Π_1^0

Semi-
decidable
languages

Co-semi-
decidable
languages

 Δ_1^0

Decidable languages

Π_1^0 = languages of the form $\{ x \mid \forall y R(x,y) \}$

Show that EMPTY (ie, E_{TM}) = $\{ M \mid L(M) = \emptyset \}$ is in Π_1^0

Π_1^0 = languages of the form $\{ x \mid \forall y R(x,y) \}$

Show that **EMPTY** (ie, E_{TM}) = $\{ M \mid L(M) = \emptyset \}$ is in Π_1^0

EMPTY = $\{ M \mid \forall w \forall t [M \text{ doesn't accept } w \text{ in } t \text{ steps}] \}$


decidable predicate

Π_1^0 = languages of the form $\{ x \mid \forall y R(x,y) \}$

Show that EMPTY (ie, E_{TM}) = $\{ M \mid L(M) = \emptyset \}$ is in Π_1^0

EMPTY = $\{ M \mid \forall w \forall t [\neg T(\langle M \rangle, w, t)] \}$

decidable predicate



Π_1^0 = languages of the form $\{ x \mid \forall y R(x,y) \}$

Show that EMPTY (ie, E_{TM}) = $\{ M \mid L(M) = \emptyset \}$ is in Π_1^0

EMPTY = $\{ M \mid \forall w \forall t [\neg T(\langle M \rangle, w, t)] \}$

two quantifiers??

decidable predicate

THE PAIRING FUNCTION

Theorem. There is a 1-1 and onto computable function $\langle , \rangle : \Sigma^* \times \Sigma^* \rightarrow \Sigma^*$ and computable functions π_1 and $\pi_2 : \Sigma^* \rightarrow \Sigma^*$ such that

$$z = \langle w, t \rangle \Rightarrow \pi_1(z) = w \text{ and } \pi_2(z) = t$$

THE PAIRING FUNCTION

Theorem. There is a 1-1 and onto computable function $\langle , \rangle : \Sigma^* \times \Sigma^* \rightarrow \Sigma^*$ and computable functions π_1 and $\pi_2 : \Sigma^* \rightarrow \Sigma^*$ such that

$$z = \langle w, t \rangle \Rightarrow \pi_1(z) = w \text{ and } \pi_2(z) = t$$

$\text{EMPTY} = \{ M \mid \forall w \forall t [M \text{ doesn't accept } w \text{ in } t \text{ steps}] \}$

$\text{EMPTY} = \{ M \mid \forall z [M \text{ doesn't accept } \pi_1(z) \text{ in } \pi_2(z) \text{ steps}] \}$

$\text{EMPTY} = \{ M \mid \forall z [\neg T(\langle M \rangle, \pi_1(z), \pi_2(z))] \}$

THE PAIRING FUNCTION

Theorem. There is a 1-1 and onto computable function $\langle , \rangle : \Sigma^* \times \Sigma^* \rightarrow \Sigma^*$ and computable functions π_1 and $\pi_2 : \Sigma^* \rightarrow \Sigma^*$ such that

$$z = \langle w, t \rangle \Rightarrow \pi_1(z) = w \text{ and } \pi_2(z) = t$$

Proof: Let $w = w_1 \dots w_n \in \Sigma^*$, $t \in \Sigma^*$.

Let $a, b \in \Sigma$, $a \neq b$.

$$\langle w, t \rangle := a w_1 \dots a w_n b t$$

$\pi_1(z) :=$ “if z has the form $a w_1 \dots a w_n b t$, then output $w_1 \dots w_n$, else output ε ”

$\pi_2(z) :=$ “if z has the form $a w_1 \dots a w_n b t$, then output t , else output ε ”

Σ_3^0 Δ_3^0 Π_3^0 Σ_2^0 Δ_2^0 Π_2^0

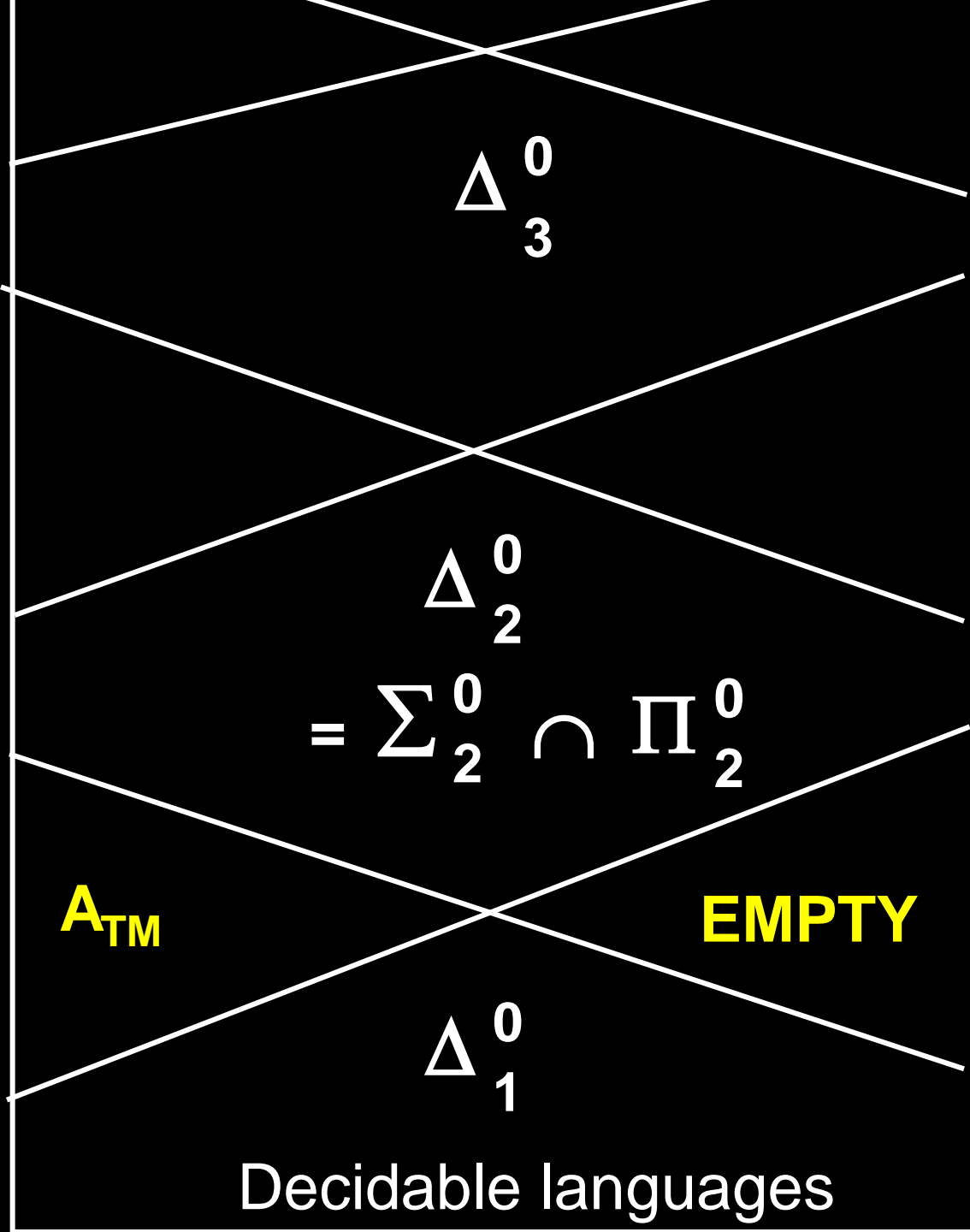
$$= \Sigma_2^0 \cap \Pi_2^0$$

 Σ_1^0 A_{TM} $EMPTY$ Π_1^0 Δ_1^0

Co-semi-decidable languages

Decidable languages

Semi-decidable languages



Π_2^0 = languages of the form $\{ x \mid \forall y \exists z R(x,y,z) \}$

Show that TOTAL = { M | M halts on all inputs }

is in Π_2^0

Π_2^0 = languages of the form $\{ x \mid \forall y \exists z R(x,y,z) \}$

Show that **TOTAL** = $\{ M \mid M \text{ halts on all inputs} \}$
is in Π_2^0

TOTAL = $\{ M \mid \forall w \exists t \text{ [M halts on } w \text{ in } t \text{ steps]} \}$

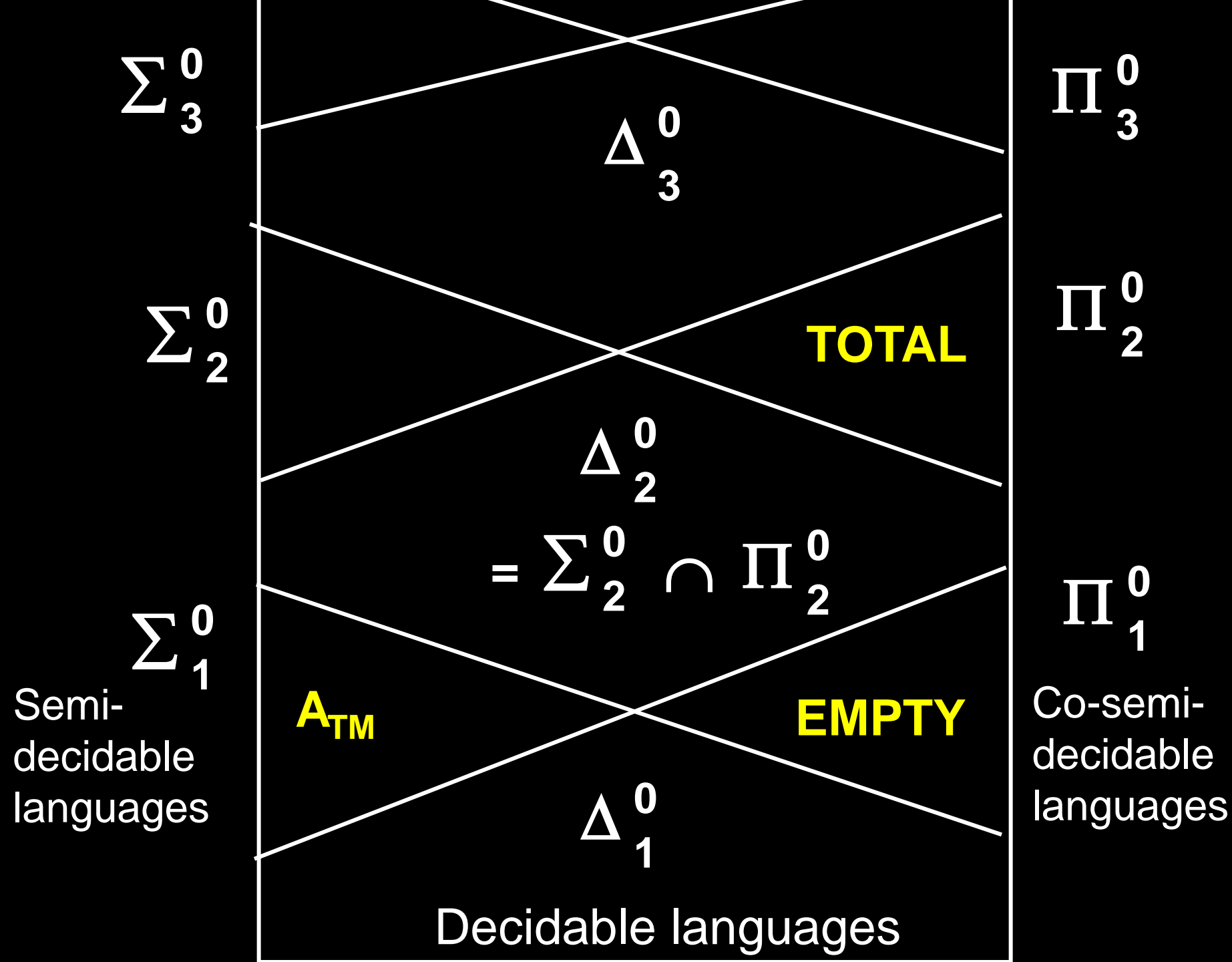
decidable predicate

Π_2^0 = languages of the form $\{ x \mid \forall y \exists z R(x,y,z) \}$

Show that **TOTAL** = $\{ M \mid M \text{ halts on all inputs} \}$
is in Π_2^0

TOTAL = $\{ M \mid \forall w \exists t [\underline{T(\langle M \rangle, w, t)}] \}$

decidable predicate



Σ_2^0 = languages of the form $\{ x \mid \exists y \forall z R(x,y,z) \}$

Show that $\text{FIN} = \{ M \mid L(M) \text{ is finite} \}$ is in Σ_2^0

Σ_2^0 = languages of the form $\{ x \mid \exists y \forall z R(x,y,z) \}$

Show that $\text{FIN} = \{ M \mid L(M) \text{ is finite} \}$ is in Σ_2^0

$\text{FIN} = \{ M \mid \exists n \forall w \forall t \text{ [Either } |w| < n, \text{ or } M \text{ doesn't accept } w \text{ in } t \text{ steps]} \}$

$\text{FIN} = \{ M \mid \exists n \forall w \forall t (|w| < n \vee \neg T(\langle M \rangle, w, t)) \}$


decidable predicate

Σ_3^0 Δ_3^0 Π_3^0 Σ_2^0 **FIN****TOTAL** Π_2^0 Δ_2^0

$$= \Sigma_2^0 \cap \Pi_2^0$$

 Σ_1^0 **A_{TM}****EMPTY** Π_1^0

Semi-decidable languages

Co-semi-decidable languages

 Δ_1^0

Decidable languages

Σ_3^0 = languages of the form $\{ x \mid \exists y \forall z \exists u R(x,y,z,u) \}$

Show that $\text{COF} = \{ M \mid L(M) \text{ is cofinite} \}$ is in Σ_2^0

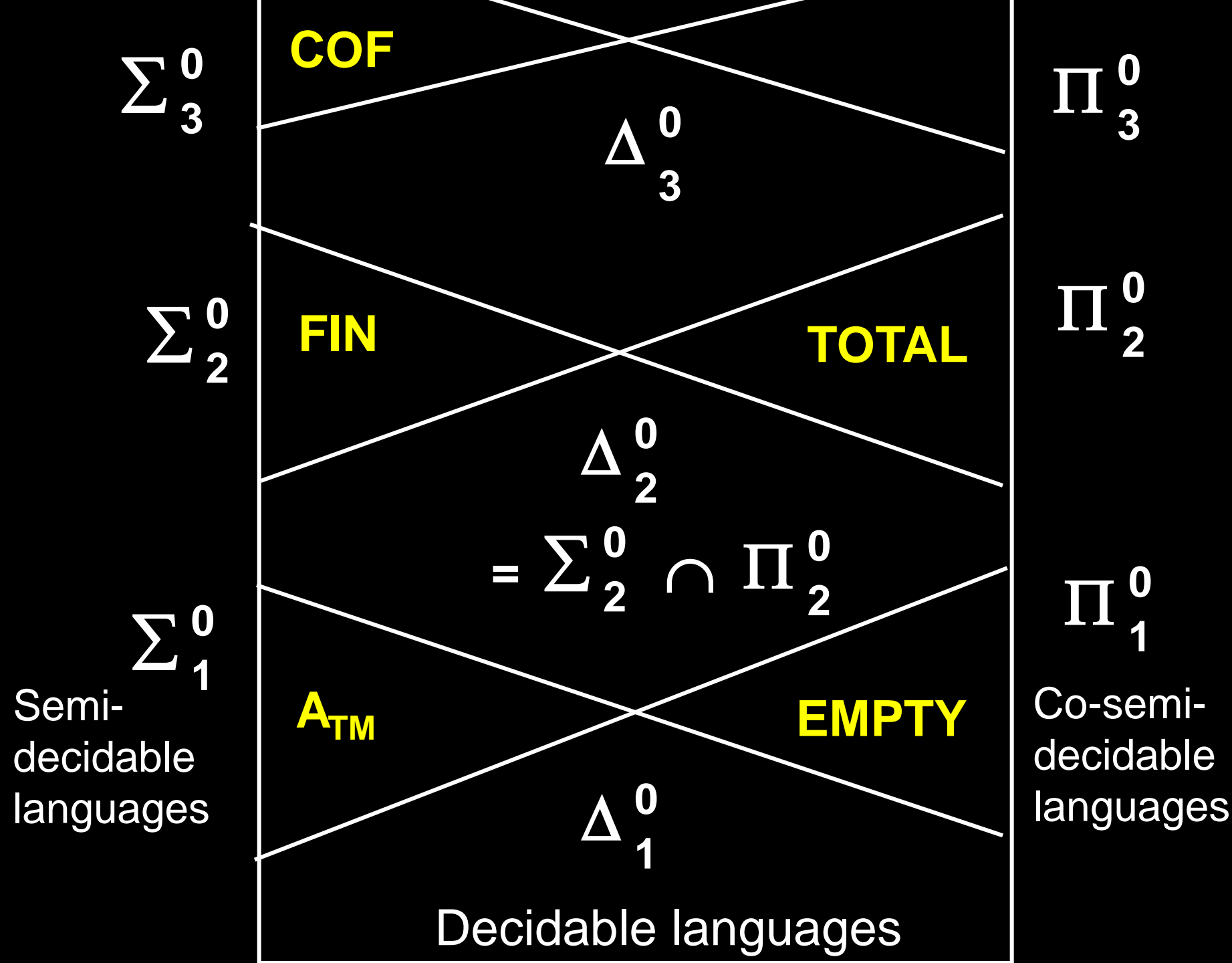
Σ_3^0 = languages of the form $\{ x \mid \exists y \forall z \exists u R(x,y,z,u) \}$

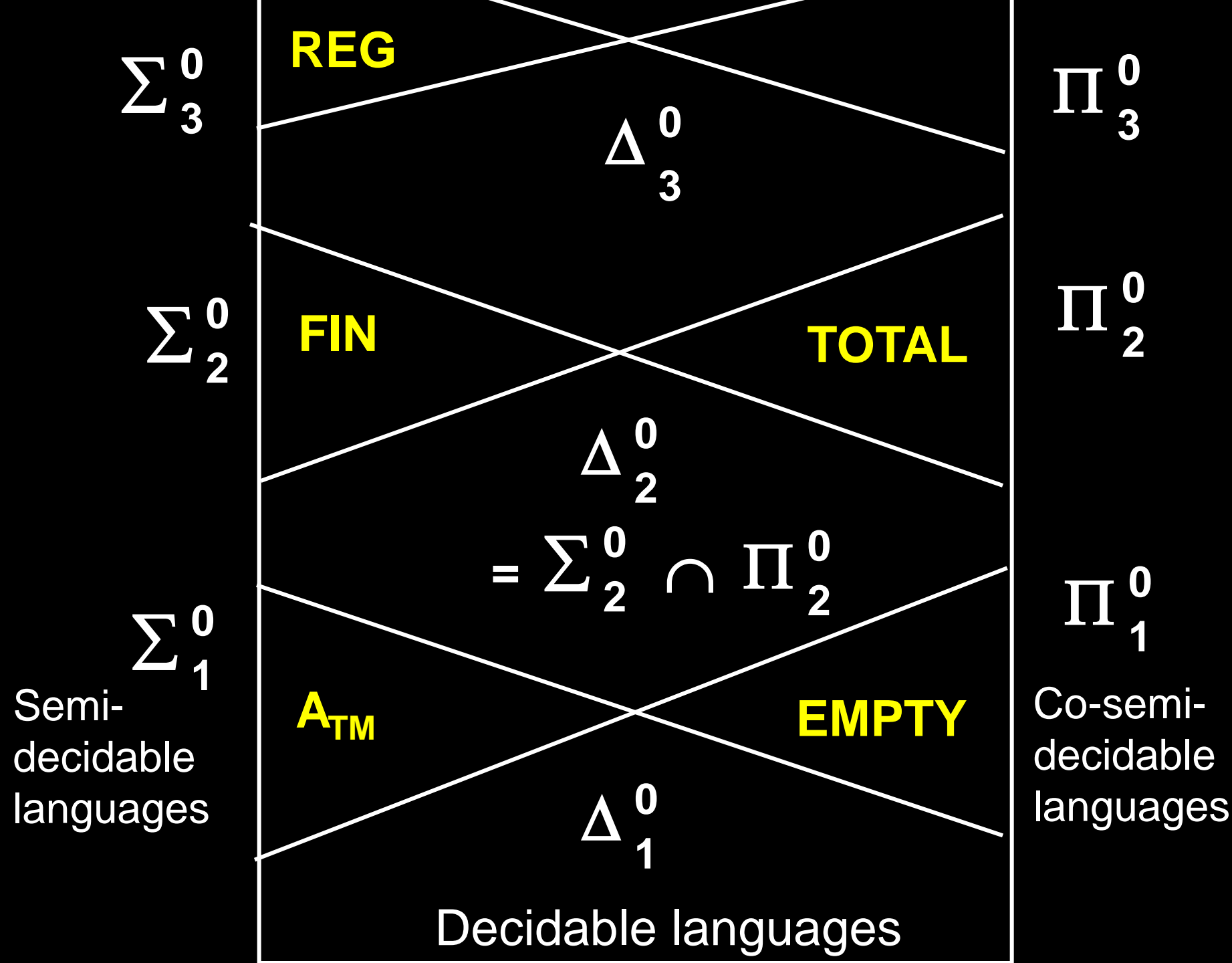
Show that $\text{COF} = \{ M \mid L(M) \text{ is cofinite} \}$ is in Σ_2^0

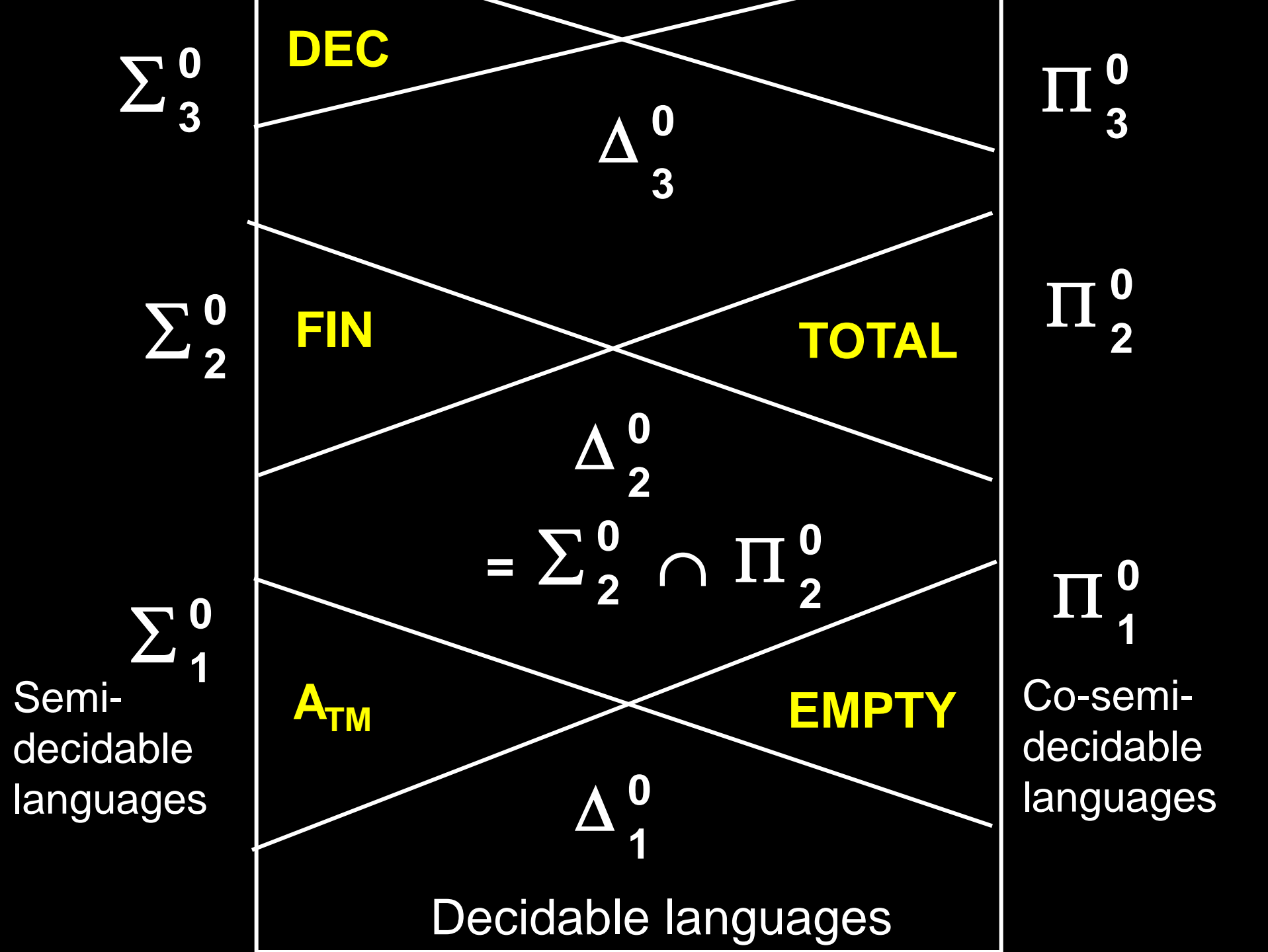
$\text{COF} = \{ M \mid \exists n \forall w \exists t [|w| > n \Rightarrow M \text{ accept } w \text{ in } t \text{ steps}] \}$

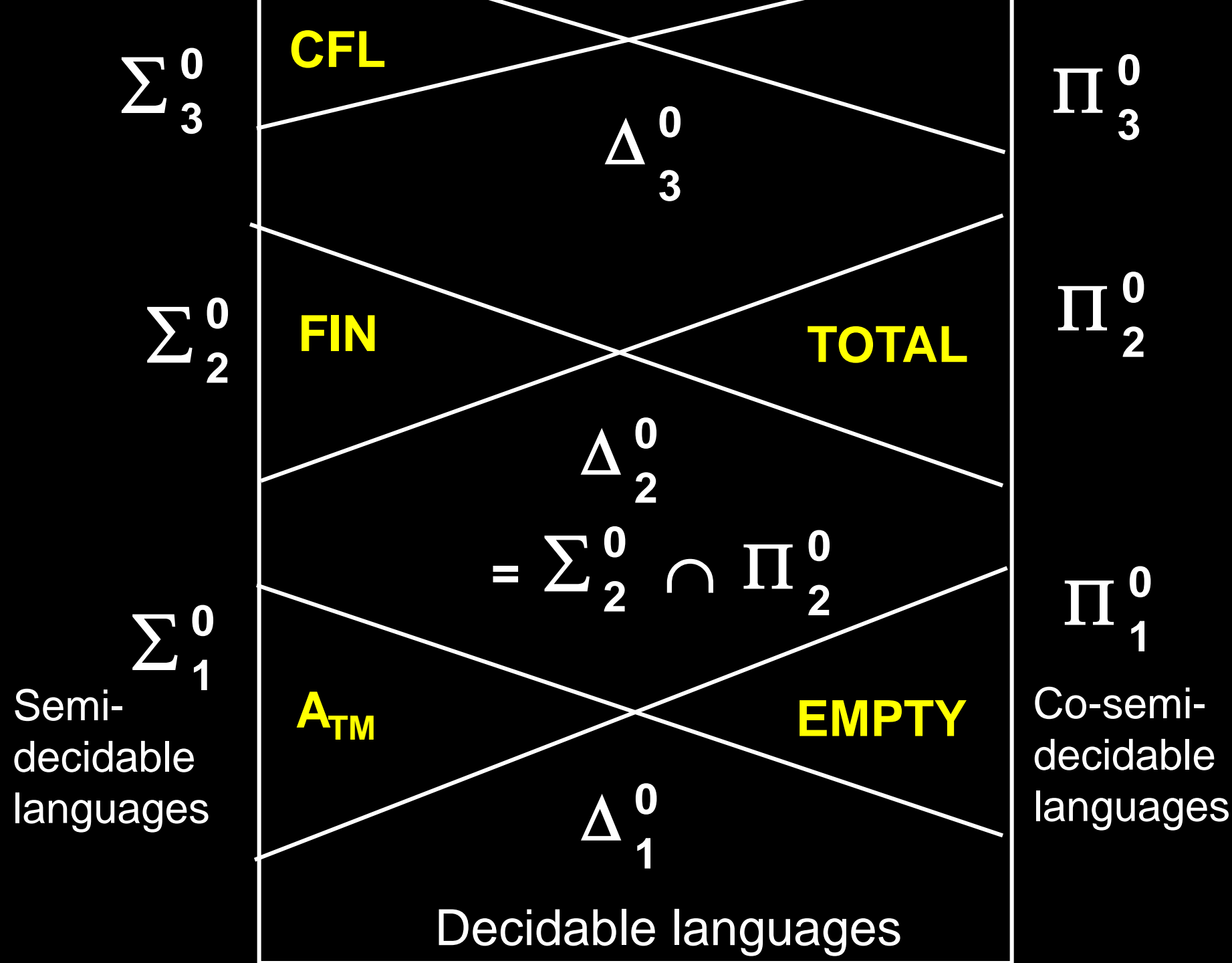
$\text{COF} = \{ M \mid \exists n \forall w \exists t (|w| \leq n \vee T(\langle M \rangle, w, t)) \}$


decidable predicate









Each is m-complete for its level in hierarchy and cannot go lower (by the SuperHalting Theorem, which shows the hierarchy does not collapse).

Each is m-complete for its level in hierarchy and cannot go lower (by the SuperHalting Theorem, which shows the hierarchy does not collapse).

L is m-complete for class **C** if

- i) **L** \in **C** and
- ii) **L** is m-hard for **C**,

ie, for all **L'** \in **C** , $L' \leq_m L$

A_{TM} is **m-complete** for class $C = \Sigma_1^0$

i) $A_{TM} \in C$

ii) A_{TM} is **m-hard** for C ,

A_{TM} is **m-complete** for class $C = \Sigma_1^0$

i) $A_{TM} \in C$

ii) A_{TM} is **m-hard** for C ,

Suppose $L \in C$. Show: $L \leq_m A_{TM}$

Let M semi-decide L . Then Map

$\Sigma^* \rightarrow \Sigma^*$
where $w \rightarrow (M, w)$.

Then, $w \in L \Leftrightarrow (M, w) \in A_{TM}$ QED

FIN is **m-complete** for class $\mathbf{C} = \Sigma_2^0$

i) **FIN** \in **C**

ii) **FIN** is **m-hard** for **C**,

Suppose **L** \in **C** . Show: $\mathbf{L} \leq_m \mathbf{FIN}$

Suppose $L \in \Sigma_2^0$ ie $L = \{ w \mid \exists y \forall z R(w,y,z) \}$
where R is decided by some TM D

Show: $L \leq_m \text{FIN}$

Suppose $L \in \Sigma_2^0$ ie $L = \{ w \mid \exists y \forall z R(w,y,z) \}$
where R is decided by some TM D

Show: $L \leq_m \text{FIN}$

Map $\Sigma^* \rightarrow \Sigma^*$
where $w \rightarrow N_{D,w}$

Suppose $L \in \Sigma_2^0$ ie $L = \{ w \mid \exists y \forall z R(w, y, z) \}$
where R is decided by some TM D

Show: $L \leq_m \text{FIN}$

Map $\Sigma^* \rightarrow \Sigma^*$

where $w \rightarrow N_{D,w}$

Define $N_{D,w}$ On input s :

1. Write down all strings y of length $|s|$
2. For each y , try to find a z such that
 $\neg R(w, y, z)$ and accept if all are successful
(here use D and w)

So, $w \in L \Leftrightarrow N_{D,w} \in \text{FIN}$

ORACLES not all powerful

The following problem cannot be decided, **even by a TM with an oracle for the Halting Problem:**

SUPERHALT = { (M,x) | M, with an oracle for the Halting Problem, halts on x }

ORACLES not all powerful

The following problem cannot be decided, **even by a TM with an oracle for the Halting Problem:**

SUPERHALT = { (M,x) | M, with an oracle for the Halting Problem, halts on x }

Can use diagonalization here!

Suppose H decides SUPERHALT (with oracle)

Define **D(X) = “if H(X,X) accepts (with oracle) then LOOP, else ACCEPT.”**

D(D) halts \Leftrightarrow H(D,D) accepts \Leftrightarrow D(D) loops...

ORACLES not all powerful

Theorem: The arithmetic hierarchy is strict.
That is, the n th level contains a language that isn't in any of the levels below n .

Proof IDEA: Same idea as the previous slide.

ORACLES not all powerful

Theorem: The arithmetic hierarchy is strict.
That is, the n th level contains a language that isn't in any of the levels below n .

Proof IDEA: Same idea as the previous slide.

SUPERHALT⁰ = HALT = { (M,x) | M halts on x}.

SUPERHALT¹ = { (M,x) | M, with an oracle for the Halting Problem, halts on x}

SUPERHALTⁿ = { (M,x) | M, with an oracle for SUPERHALTⁿ⁻¹, halts on x}

WWW.FLAC.WS

Read Chapter 6.4 for next time