

15-453

FORMAL LANGUAGES,
AUTOMATA AND
COMPUTABILITY

Problem 1 **DECIDABLE ?**

$\{ (M, w) \mid M \text{ is a TM that on input } w, \text{ tries to move its head past the left end of the tape} \}$

Problem 2 **DECIDABLE ?**

$\{ (M, w) \mid M \text{ is a TM that on input } w, \text{ moves its head left at least once, at some point} \}$

Problem 1 **UNDECIDABLE**

$\{ (M, w) \mid M \text{ is a TM that on input } w, \text{ tries to move its head past the left end of the tape} \}$

Proof: Assume, for a contradiction, that TM T decides the language

We use T to decide A_{TM}

On input (M, w) , make a new TM N that on input w marks the leftmost tape cell and then simulates $M(w)$ (as tho the leftmost cell was not there). If M tries to move to the marked cell, N moves the head back to the right. If M accepts, N tries to moves its head past the left end of the tape.

Run T on input (N, w)

Problem 2 **DECIDABLE**

{ (M, w) | M is a TM that on input w, moves its head left at least once, at some point }

On input **(M,w)**, run the machine for **$|Q_M| + |w| + 1$** steps:

Accept	If M's head moved left at all
Reject	Otherwise

(Why does this work??)

RICE'S THEOREM,
THE RECURSION THEOREM,
AND THE FIXED-POINT
THEOREM

THURSDAY FEB 27

$FIN_{TM} = \{ M \mid M \text{ is a TM and } L(M) \text{ is finite} \}$

Is FIN_{TM} Decidable?

$FIN_{TM} = \{ M \mid M \text{ is a TM and } L(M) \text{ is finite} \}$

Is FIN_{TM} Decidable?

Note Properties of this language:

- FIN_{TM} is a language of Turing Machines
- If $M_1 \equiv M_2$ (ie $L(M_1) = L(M_2)$), then either both M_1 and M_2 are in FIN_{TM} or both are not.
- There are TMs M_1 and M_2 , such that $M_1 \in FIN_{TM}$ and $M_2 \notin FIN_{TM}$

RICE'S THEOREM

Let L be a language over Turing machines.

Assume that L satisfies the following properties:

1. For TMs M_1 and M_2 , if $M_1 \equiv M_2$ then
$$M_1 \in L \Leftrightarrow M_2 \in L$$

2. There are TMs M_1 and M_2 ,
such that $M_1 \in L$ and $M_2 \notin L$

Then L is undecidable

EXTREMELY POWERFUL!

RICE'S THEOREM

Let L be a language over Turing machines.
Assume that L satisfies the following properties:

1. For TMs M_1 and M_2 , if $M_1 \equiv M_2$ then
$$M_1 \in L \Leftrightarrow M_2 \in L$$

2. There are TMs M_1 and M_2 ,
such that $M_1 \in L$ and $M_2 \notin L$

Then L is undecidable

$FIN_{TM} = \{ M \mid M \text{ is a TM and } L(M) \text{ is finite} \}$

RICE'S THEOREM

Let L be a language over Turing machines.

Assume that L satisfies the following properties:

1. For TMs M_1 and M_2 , if $M_1 \equiv M_2$ then
 $M_1 \in L \Leftrightarrow M_2 \in L$

2. There are TMs M_1 and M_2 ,
such that $M_1 \in L$ and $M_2 \notin L$

Then L is undecidable

$$E_{\text{TM}} = \{ M \mid M \text{ is a TM and } L(M) = \emptyset \}$$

$$\text{REG}_{\text{TM}} = \{ M \mid M \text{ is a TM and } L(M) \text{ is regular} \}$$

RICE'S THEOREM

Let L be a language over Turing machines.

Assume that L satisfies the following properties:

1. For TMs M_1 and M_2 , if $M_1 \equiv M_2$ then
$$M_1 \in L \Leftrightarrow M_2 \in L$$

2. There are TMs M_1 and M_2 ,
such that $M_1 \in L$ and $M_2 \notin L$

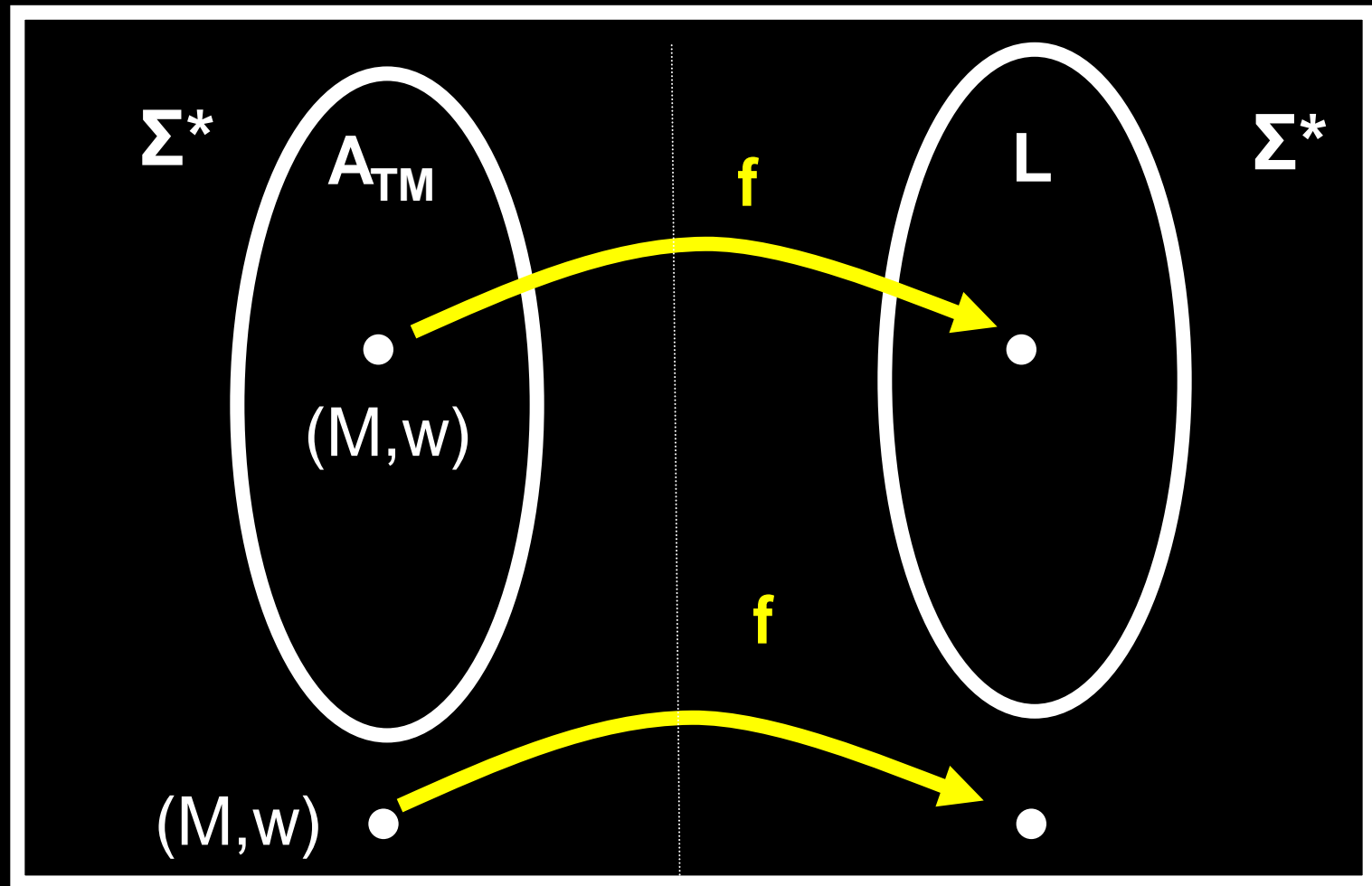
Then L is undecidable

Proof: Will show:

A_{TM} is mapping reducible to L

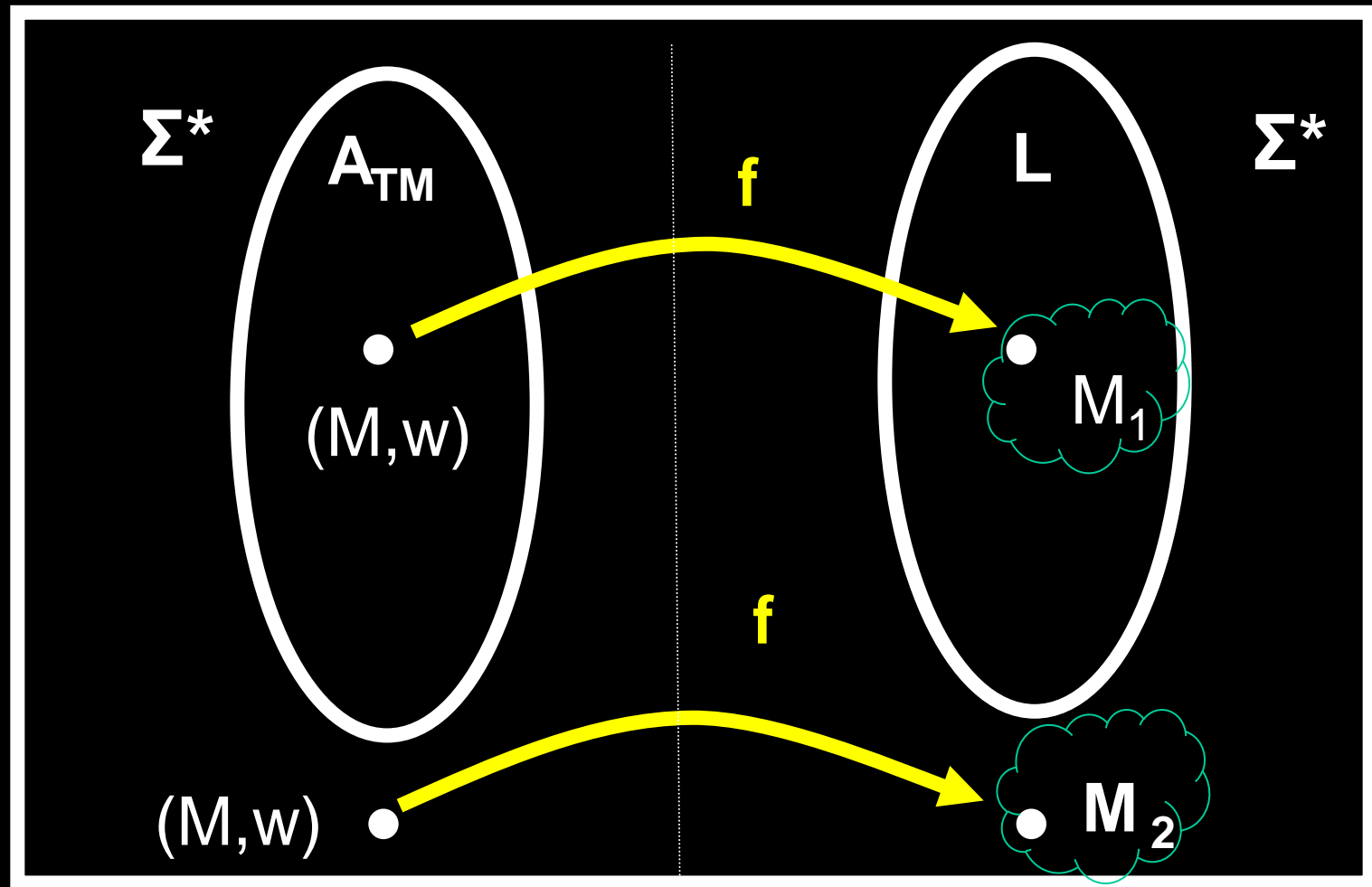
Proof: Show L is undecidable

Show: A_{TM} is mapping reducible to L



Proof: Show L is undecidable

Show: A_{TM} is mapping reducible to L



RICE'S THEOREM

Proof:

Define M_\emptyset to be a TM that never halts

Assume, **WLOG**, that $M_\emptyset \notin L$ **Why?**

Let $M_1 \in L$ (such M_1 exists, by assumption)

Show A_{TM} is **mapping reducible** to L :

RICE'S THEOREM

Proof:

Define M_{\emptyset} to be a TM that never halts

Assume, **WLOG**, that $M_{\emptyset} \notin L$ **Why?**

Let $M_1 \in L$ (such M_1 exists, by assumption)

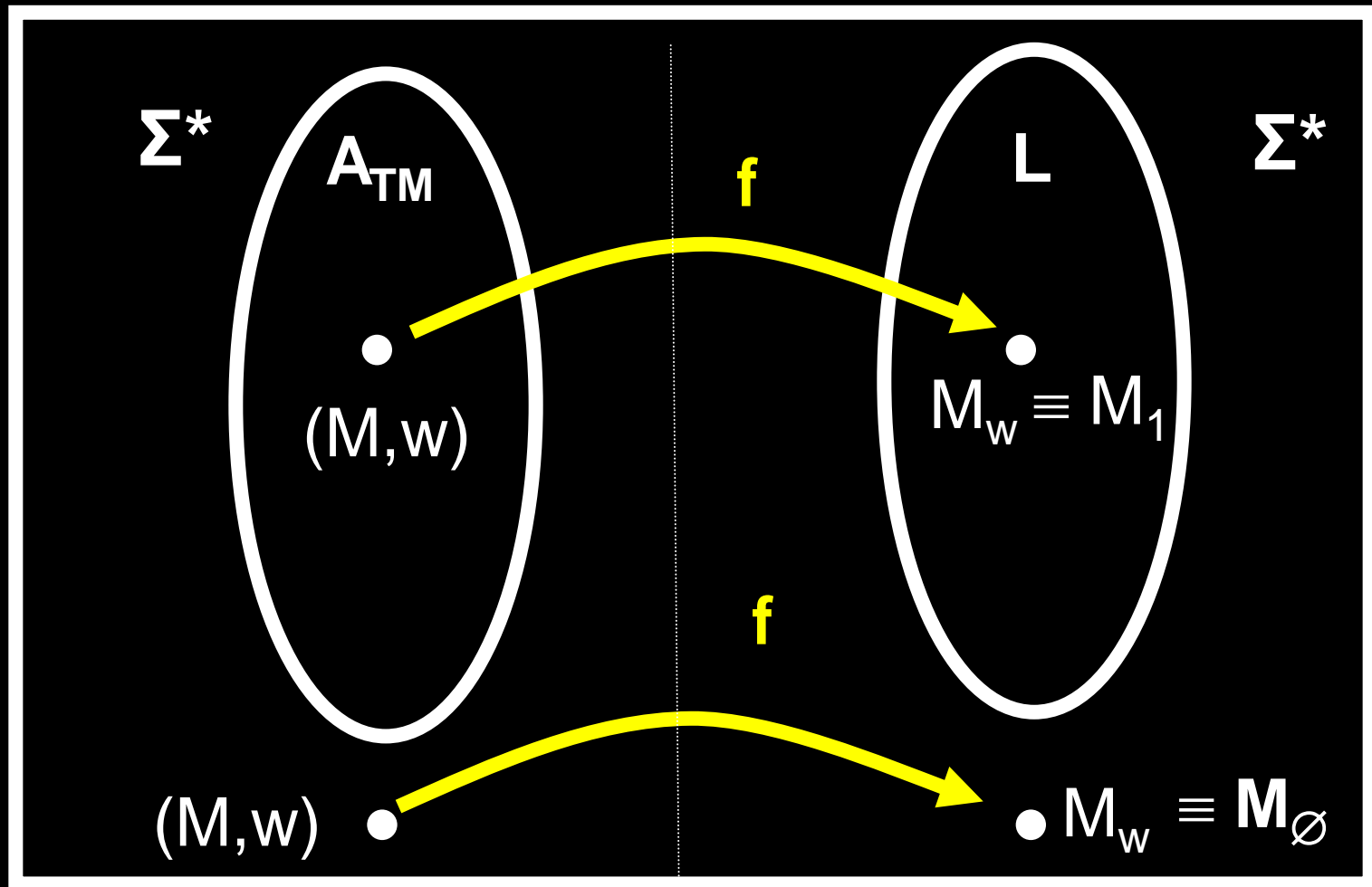
Show A_{TM} is **mapping reducible to L** :

Map $(M, w) \rightarrow M_w$ where

$M_w(s)$ = accepts if both $M(w)$ and $M_1(s)$ accept
loops otherwise

What is the language of M_w ?

A_{TM} is mapping reducible to L



Problem

Let $S = \{ M \mid M \text{ is a TM with the property:}$
for all w , $M(w)$ accepts implies $M(w^R)$ accepts $\}$.

S is undecidable.

$A_{TM} = \{ (M,w) \mid M \text{ is a TM that accepts string } w \}$

$HALT_{TM} = \{ (M,w) \mid M \text{ is a TM that halts on string } w \}$

$E_{TM} = \{ M \mid M \text{ is a TM and } L(M) = \emptyset \}$

$REG_{TM} = \{ M \mid M \text{ is a TM and } L(M) \text{ is regular} \}$

$EQ_{TM} = \{ (M, N) \mid M, N \text{ are TMs and } L(M) = L(N) \}$

$ALL_{PDA} = \{ P \mid P \text{ is a PDA and } L(P) = \Sigma^* \}$

ALL UNDECIDABLE

Where is Rice's Theorem Applicable?

Which are SEMI-DECIDABLE or not?

The rest of the content of today's lecture has been a major source of **headaches** and **misunderstandings**



“The recursion theorem is just like tennis. Unless you're exposed to it at age five, you'll never become world class.”

-Juris Hartmanis (Turing Award 1993)

(Note: Juris didn't see the recursion theorem until he was in his 20's....)

THE RECURSION THEOREM

Theorem: Let T be a Turing machine that computes a function $t : \Sigma^* \times \Sigma^* \rightarrow \Sigma^*$.

Then there is a Turing machine R that computes a function $r : \Sigma^* \rightarrow \Sigma^*$, where for every string w ,

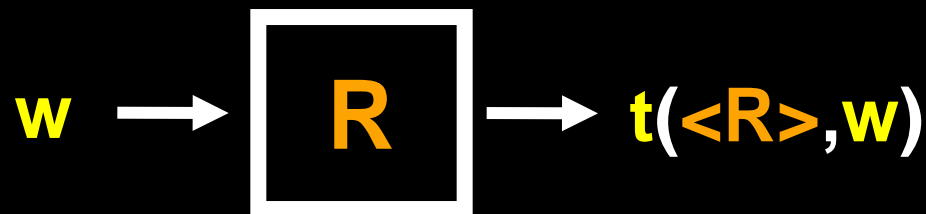
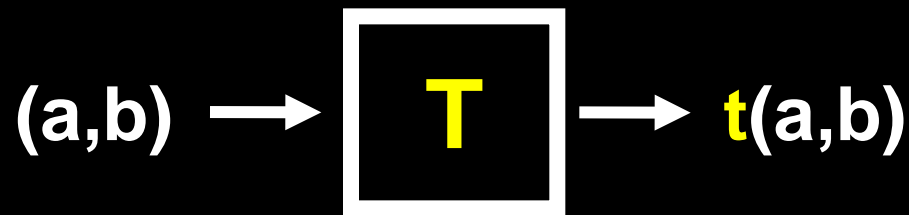
$$r(w) = t(\langle R \rangle, w)$$

THE RECURSION THEOREM

Theorem: Let **T** be a Turing machine that computes a function $t : \Sigma^* \times \Sigma^* \rightarrow \Sigma^*$.

Then there is a Turing machine **R** that computes a function $r : \Sigma^* \rightarrow \Sigma^*$, where for every string **w**,

$$r(w) = t(\langle R \rangle, w)$$



Recursion Theorem says:

A Turing machine can obtain its own description (code), and compute with it

. We can use the operation:

“Obtain your own description”
in pseudocode!

Given a computable t , we can get a computable r such that $r(w) = t(\langle R \rangle, w)$ where $\langle R \rangle$ is a description of r

Recursion Theorem says:

A Turing machine can obtain its own description (code), and compute with it

. We can use the operation:

“Obtain your own description”
in pseudocode!

Given a computable t , we can get a computable r such that $r(w) = t(\langle R \rangle, w)$ where $\langle R \rangle$ is a description of r



INSIGHT: T (or t) is really R (or r)

Theorem: A_{TM} is undecidable

Proof (using the Recursion Theorem):

Assume **H** decides A_{TM} (Informal Proof)

Construct machine **R** such that on **input w**:

1. Obtains its own description $\langle R \rangle$
2. Runs **H** on $(\langle R \rangle, w)$ and flips the output

Running **R** on input **w** always does the opposite of what **H** says it should!

Theorem: A_{TM} is undecidable

Proof (using the Recursion Theorem):

Assume **H** decides A_{TM} (Formal Proof)

Let $T_H(x, w) =$
Reject if **H** (x, w) accepts
Accept if **H** (x, w) rejects

(Here x is viewed as a **code** for a TM)

By the *Recursion Theorem*, there is a TM **R** such that:

$R(w) = T_H(\langle R \rangle, w) =$
Reject if **H** ($\langle R \rangle, w$) accepts
Accept if **H** ($\langle R \rangle, w$) rejects

Contradiction!

$\text{MIN}_{\text{TM}} = \{\langle M \rangle \mid M \text{ is a minimal TM, wrt } |\langle M \rangle|\}$

Theorem: MIN_{TM} is not RE.

Proof (using the Recursion Theorem):

$\text{MIN}_{\text{TM}} = \{\langle M \rangle \mid M \text{ is a minimal TM, wrt } |\langle M \rangle|\}$

Theorem: MIN_{TM} is not RE.

Proof (using the Recursion Theorem):

Assume **E** enumerates MIN_{TM} (Informal Proof)

Construct machine **R** such that on input **w**:

1. Obtains its own description $\langle R \rangle$
2. Runs **E** until a **machine D** appears with a longer description than of **R**
3. Simulate **D** on **w**

Contradiction. Why?

$\text{MIN}_{\text{TM}} = \{\langle M \rangle \mid M \text{ is a minimal TM, wrt } |\langle M \rangle|\}$

Theorem: MIN_{TM} is not RE.

Proof (using the Recursion Theorem):

Assume **E** enumerates MIN_{TM} (Formal Proof)

Let $T_E(\mathbf{x}, w) = \mathbf{D}(w)$ where $\langle \mathbf{D} \rangle$ is first in **E**'s enumeration s.t. $|\langle \mathbf{D} \rangle| > |\mathbf{x}|$

By the *Recursion Theorem*, there is a TM **R** such that:

$\mathbf{R}(w) = T_E(\langle \mathbf{R} \rangle, w) = \mathbf{D}(w)$

where $\langle \mathbf{D} \rangle$ is first in **E**'s enumeration s.t. $|\langle \mathbf{D} \rangle| > |\langle \mathbf{R} \rangle|$

Contradiction. Why?

THE FIXED-POINT THEOREM

Theorem: Let $f : \Sigma^* \rightarrow \Sigma^*$ be a computable function. There is a TM R such that $f(\langle R \rangle)$ describes a TM that is *equivalent* to R .

THE FIXED-POINT THEOREM

Theorem: Let $f : \Sigma^* \rightarrow \Sigma^*$ be a computable function. There is a TM R such that $f(\langle R \rangle)$ describes a TM that is *equivalent* to R .

Proof: Pseudocode for the TM R :

(Informal Proof)

On input w :

1. Obtain the **description** $\langle R \rangle$
2. Let $g = f(\langle R \rangle)$ and interpret g as a code for a TM G
3. Accept w iff $G(w)$ accepts

THE FIXED-POINT THEOREM

Theorem: Let $f : \Sigma^* \rightarrow \Sigma^*$ be a computable function. There is a TM R such that $f(\langle R \rangle)$ describes a TM that is *equivalent* to R .

Proof: Let $T_f(x, w) = G(w)$ where $\langle G \rangle = f(x)$
(Here $f(x)$ is viewed as a **code** for a TM)

By the *Recursion Theorem*, there is a TM R such that:

$$R(w) = T_f(\langle R \rangle, w)$$

THE FIXED-POINT THEOREM

Theorem: Let $f : \Sigma^* \rightarrow \Sigma^*$ be a computable function. There is a TM R such that $f(\langle R \rangle)$ describes a TM that is *equivalent* to R .

Proof: Let $T_f(x, w) = G(w)$ where $\langle G \rangle = f(x)$
(Here $f(x)$ is viewed as a **code** for a TM)

By the *Recursion Theorem*, there is a TM R such that:

$$R(w) = T_f(\langle R \rangle, w) = G(w) \text{ where } \langle G \rangle = f(\langle R \rangle)$$

Hence $R \equiv G$ where $\langle G \rangle = f(\langle R \rangle)$, ie $\langle R \rangle \equiv f(\langle R \rangle)$

So R is a **fixed point** of f !

THE FIXED-POINT THEOREM

Theorem: Let $f : \Sigma^* \rightarrow \Sigma^*$ be a computable function. There is a TM R such that $f(\langle R \rangle)$ describes a TM that is *equivalent* to R .

Example:

Suppose a virus flips the first bit of each word w in Σ^* (or in each TM).

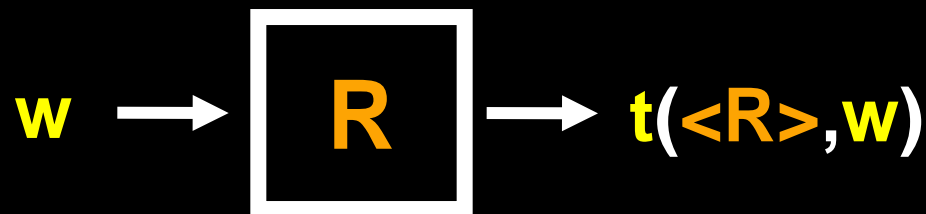
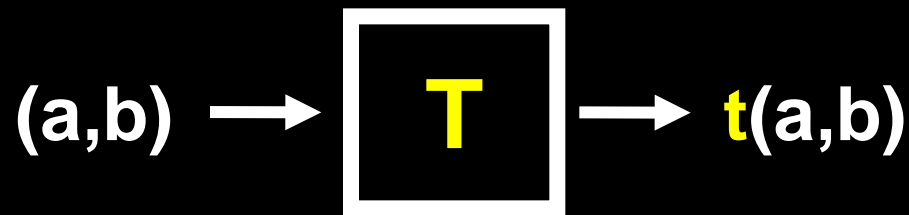
Then there is a TM R that “remains uninfected”.

THE RECURSION THEOREM

Theorem: Let **T** be a Turing machine that computes a function $t : \Sigma^* \times \Sigma^* \rightarrow \Sigma^*$.

Then there is a Turing machine **R** that computes a function $r : \Sigma^* \rightarrow \Sigma^*$, where for every string **w**,

$$r(w) = t(\langle R \rangle, w)$$



THE RECURSION THEOREM

Theorem: Let T be a Turing machine that computes a function $t : \Sigma^* \times \Sigma^* \rightarrow \Sigma^*$.

Then there is a Turing machine R that computes a function $r : \Sigma^* \rightarrow \Sigma^*$, where for every string w ,

$$r(w) = t(\langle R \rangle, w)$$

So first, need to show how to construct a TM that computes its own description (ie code).

A NOTE ON SELF REFERENCE

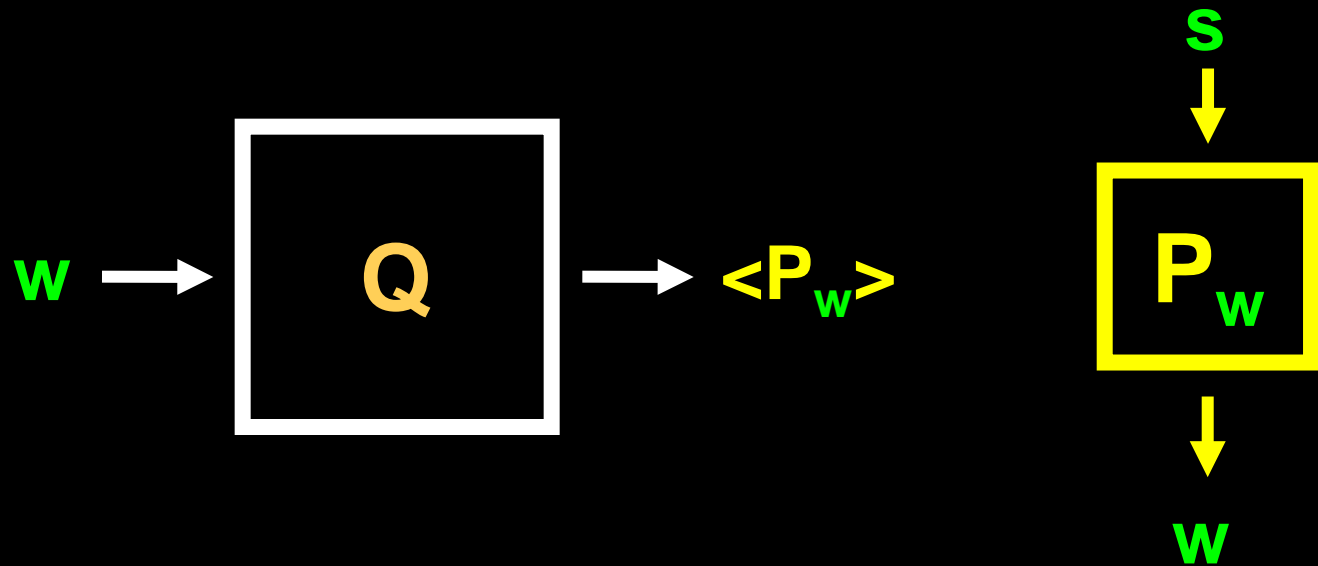
Suppose in general we want to design a program that prints its own description. **How?**

Print **this** sentence.

Print two copies of the following (the stuff inside quotes), and put the second copy in quotes:

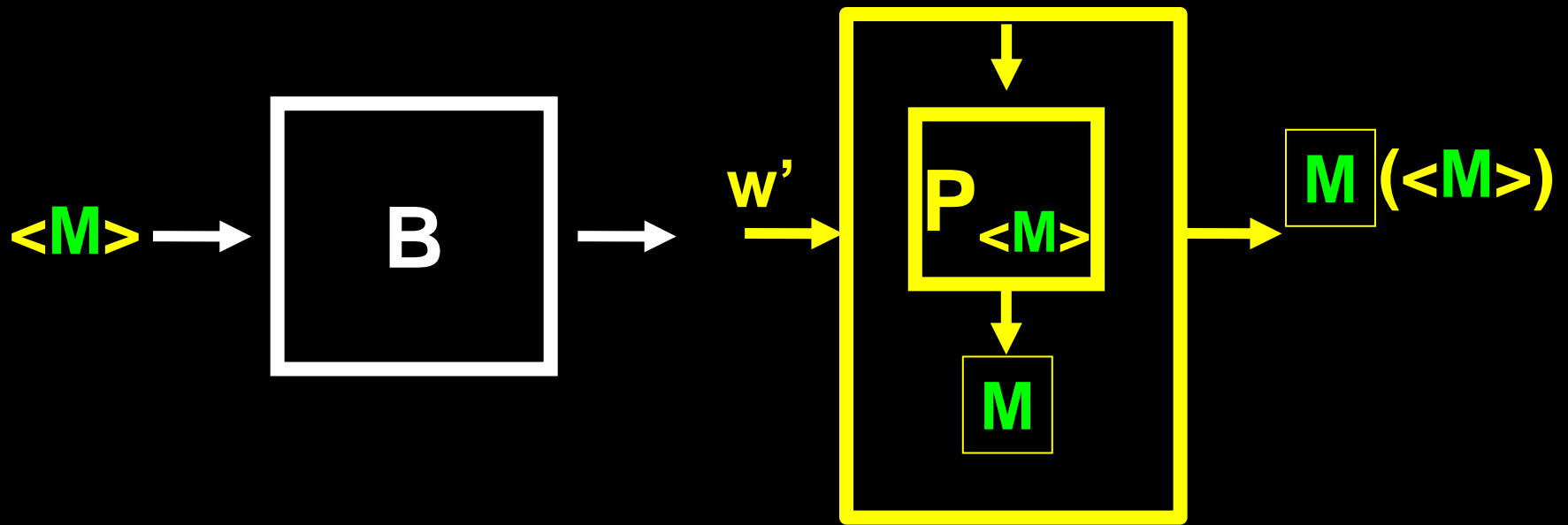
“Print two copies of the following (the stuff inside quotes), and put the second copy in quotes:”

Lemma: There is a computable function $q : \Sigma^* \rightarrow \Sigma^*$, where for any string w , $q(w)$ is the *description (code)* of a TM P_w that on any input, prints out w and then accepts



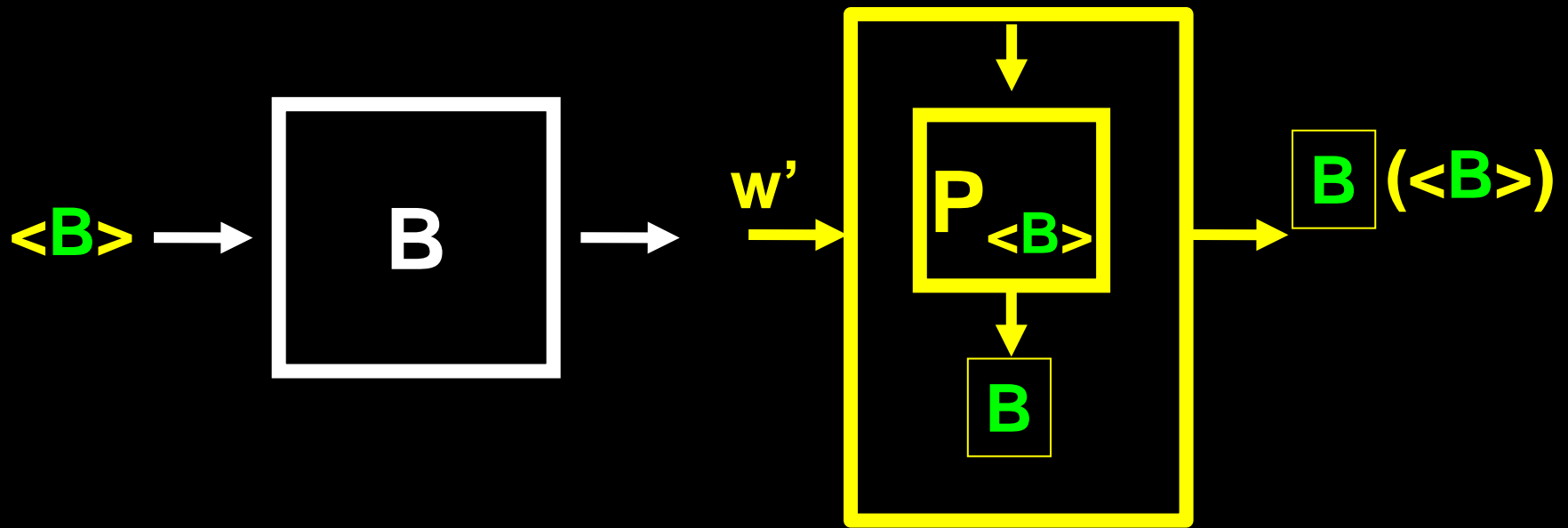
TM Q computes q

A TM SELF THAT PRINTS $\langle \text{SELF} \rangle$



$B(\langle M \rangle) = \langle P_{\langle M \rangle} M \rangle$ where $P_{\langle M \rangle} M(w') = M(\langle M \rangle)$

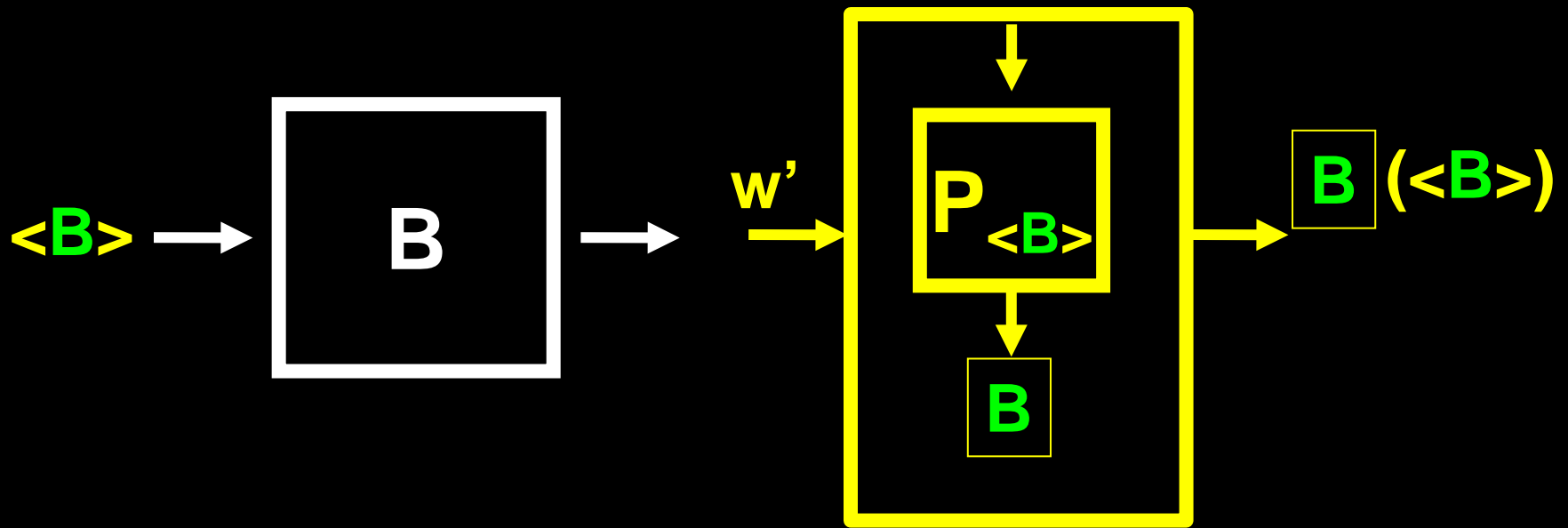
A TM SELF THAT PRINTS $\langle \text{SELF} \rangle$



$B(\langle M \rangle) = \langle P_{\langle M \rangle} M \rangle$ where $P_{\langle M \rangle} M(w') = M(\langle M \rangle)$

So, $B(\langle B \rangle) = \langle P_{\langle B \rangle} B \rangle$ where $P_{\langle B \rangle} B(w') = B(\langle B \rangle)$

A TM **SELF** THAT PRINTS $\langle \mathbf{SELF} \rangle$



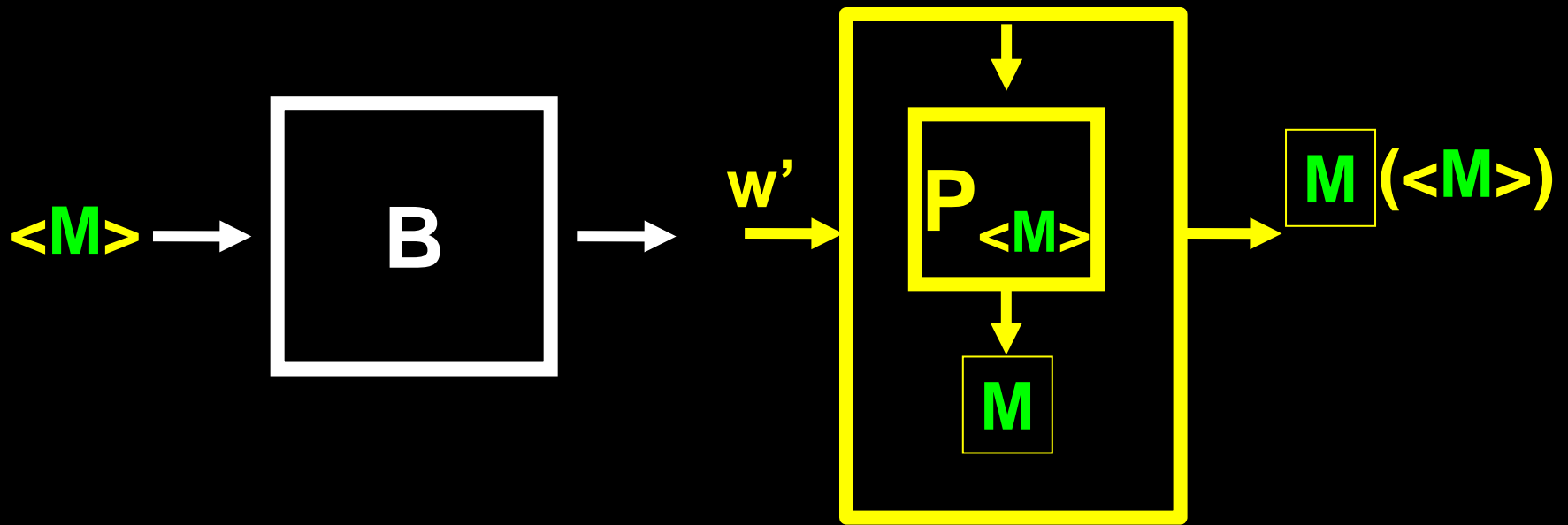
$B(\langle M \rangle) = \langle P_{\langle M \rangle} M \rangle$ where $P_{\langle M \rangle} M(w') = M(\langle M \rangle)$

So, $B(\langle B \rangle) = \langle P_{\langle B \rangle} B \rangle$ where $P_{\langle B \rangle} B(w') = B(\langle B \rangle)$

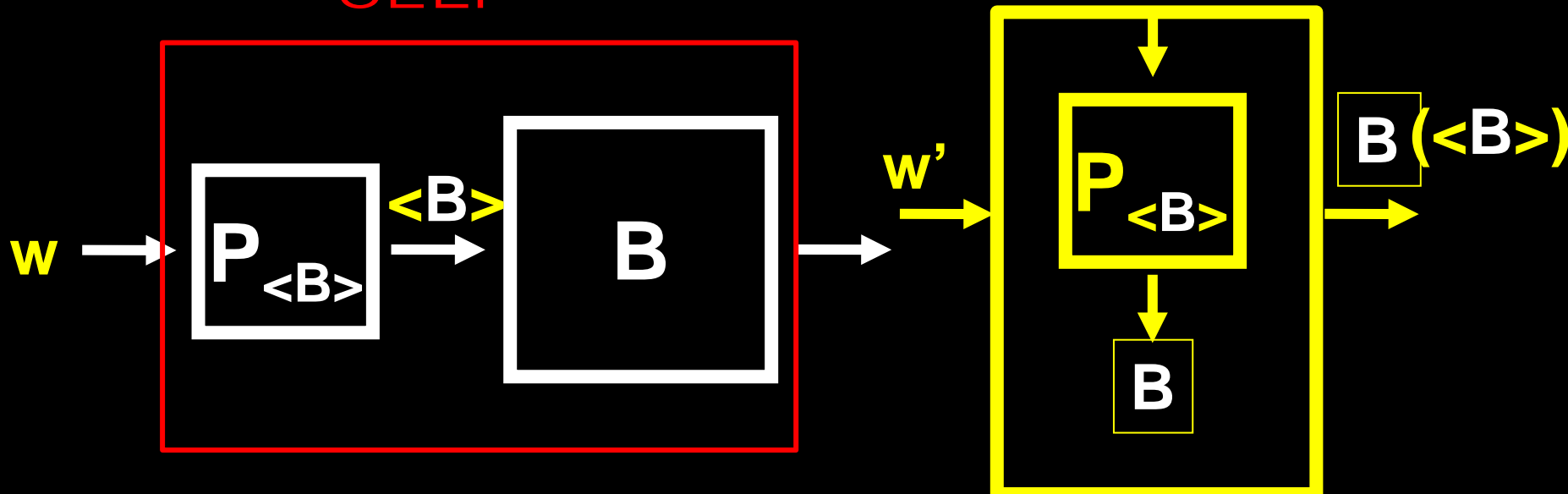
Now, $P_{\langle B \rangle} B(w') = B(\langle B \rangle) = \langle P_{\langle B \rangle} B \rangle$

So, let **SELF** = $P_{\langle B \rangle} B$

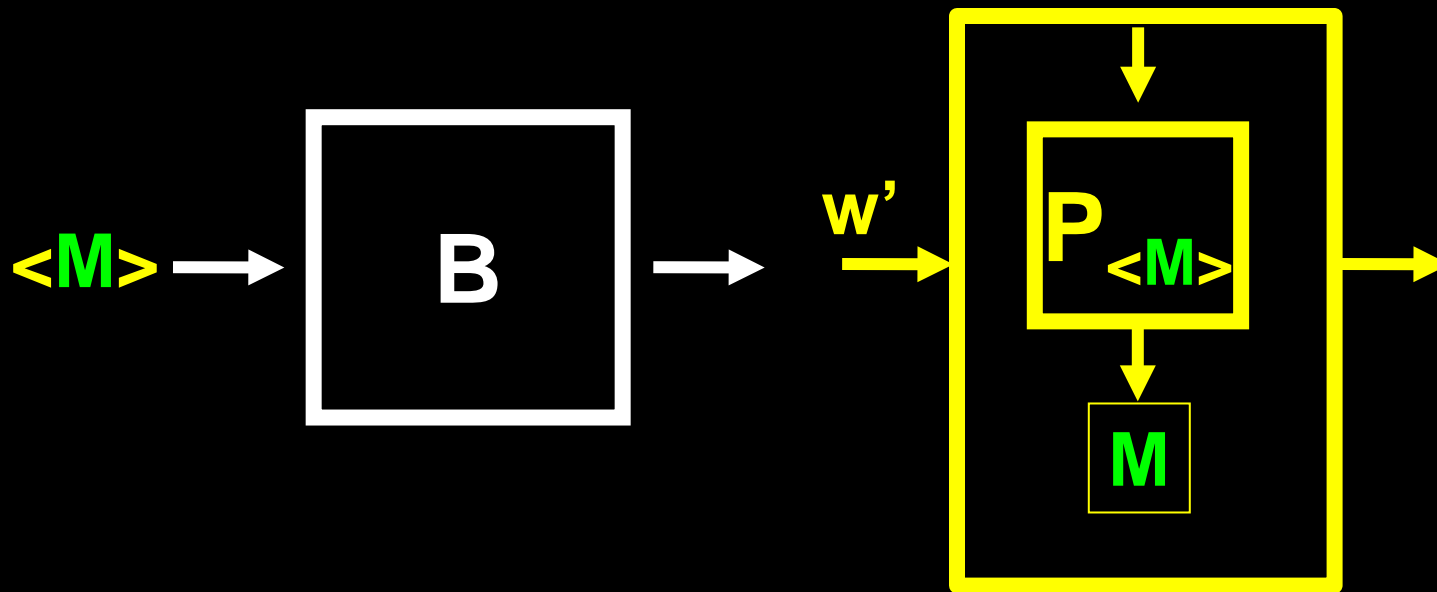
A TM SELF THAT PRINTS $\langle \text{SELF} \rangle$



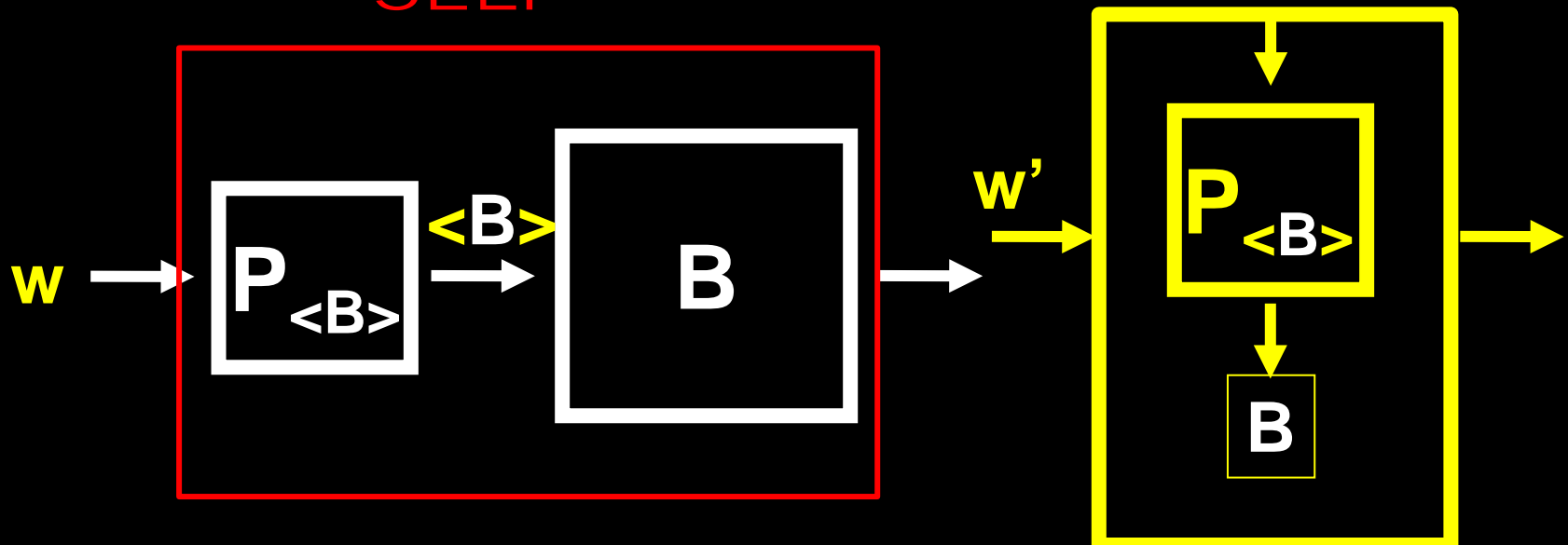
SELF



A TM SELF THAT PRINTS $\langle \text{SELF} \rangle$



SELF



A NOTE ON SELF REFERENCE

Suppose in general we want to design a program that prints its own description. **How?**

Print this sentence.

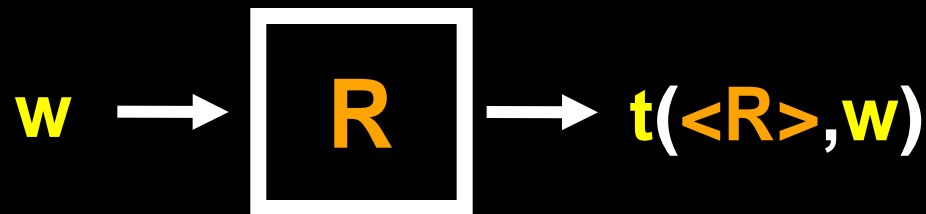
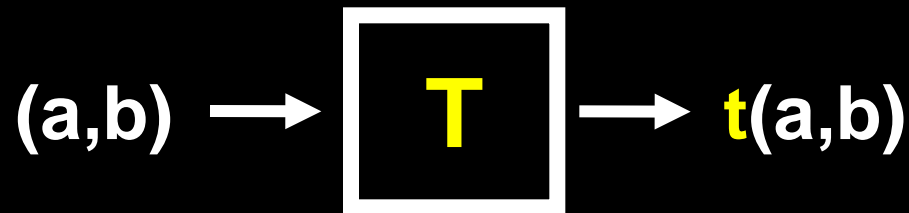
Print two copies of the following (the stuff inside quotes), and put the second copy in quotes: = B

“Print two copies of the following (the stuff inside quotes), and put the second copy in quotes:” = P

THE RECURSION THEOREM

Theorem: Let **T** be a Turing machine that computes a function $t : \Sigma^* \times \Sigma^* \rightarrow \Sigma^*$. There is a Turing machine **R** that computes a function $r : \Sigma^* \rightarrow \Sigma^*$, where for every string **w**,

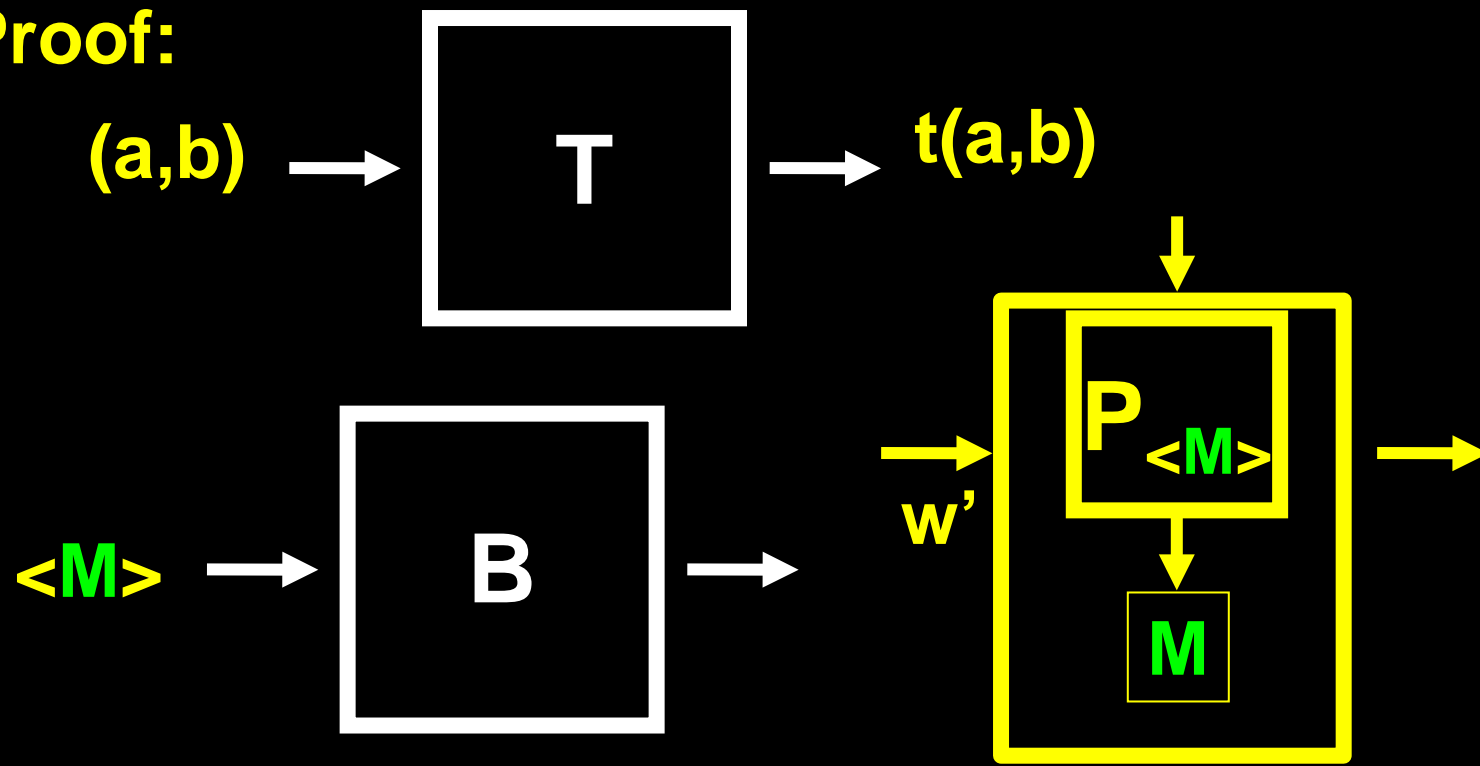
$$r(w) = t(\langle R \rangle, w)$$



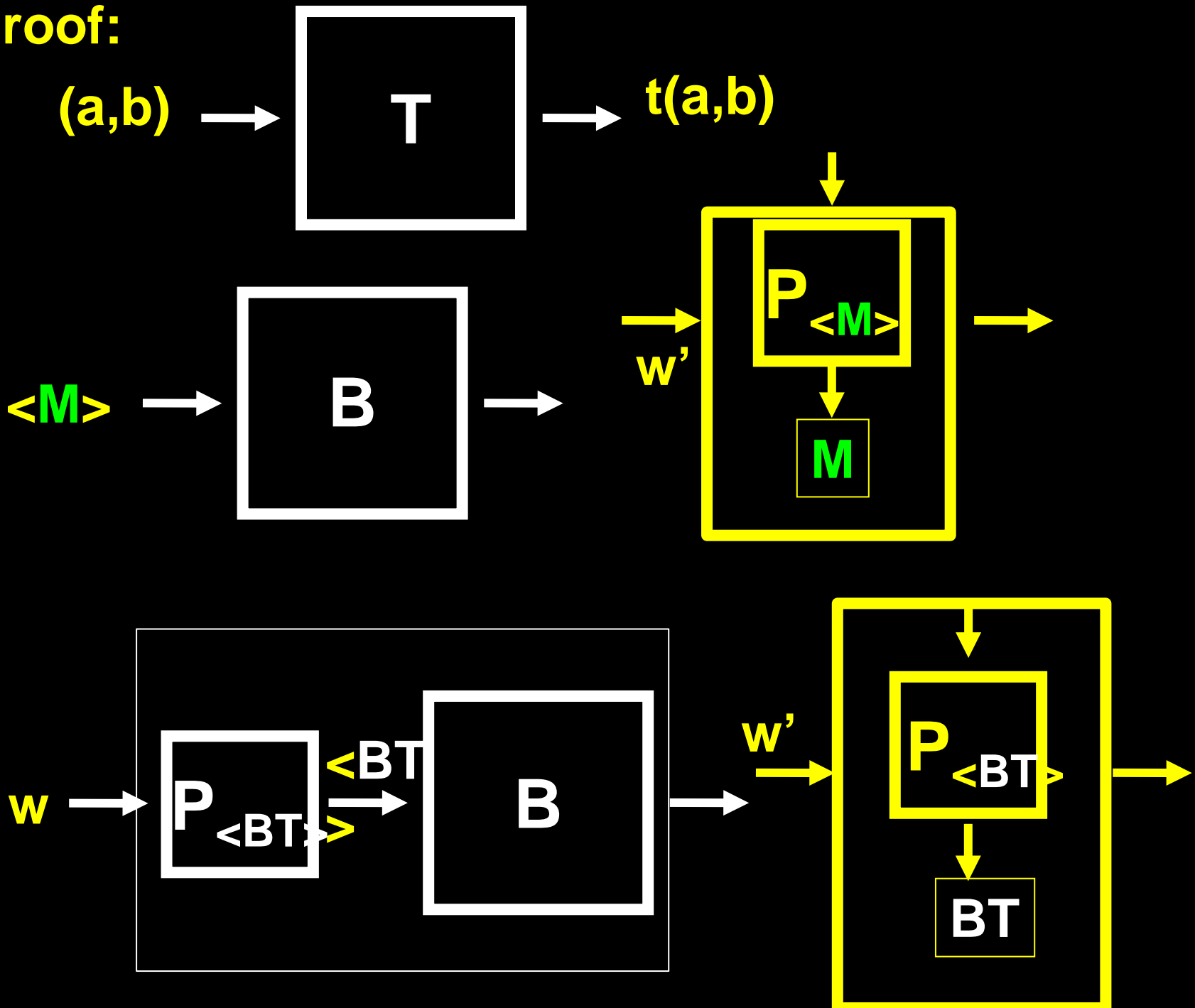
Proof:



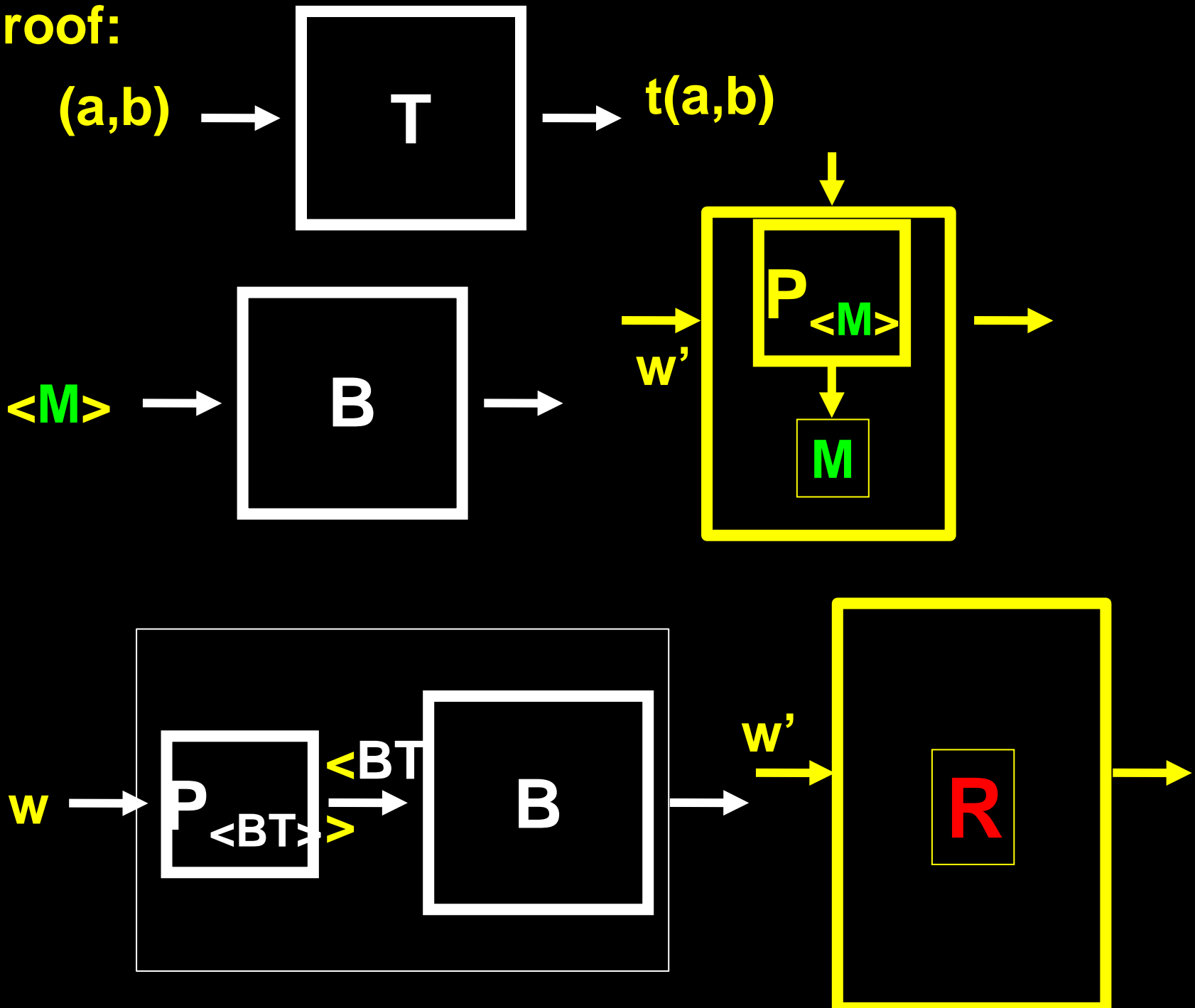
Proof:



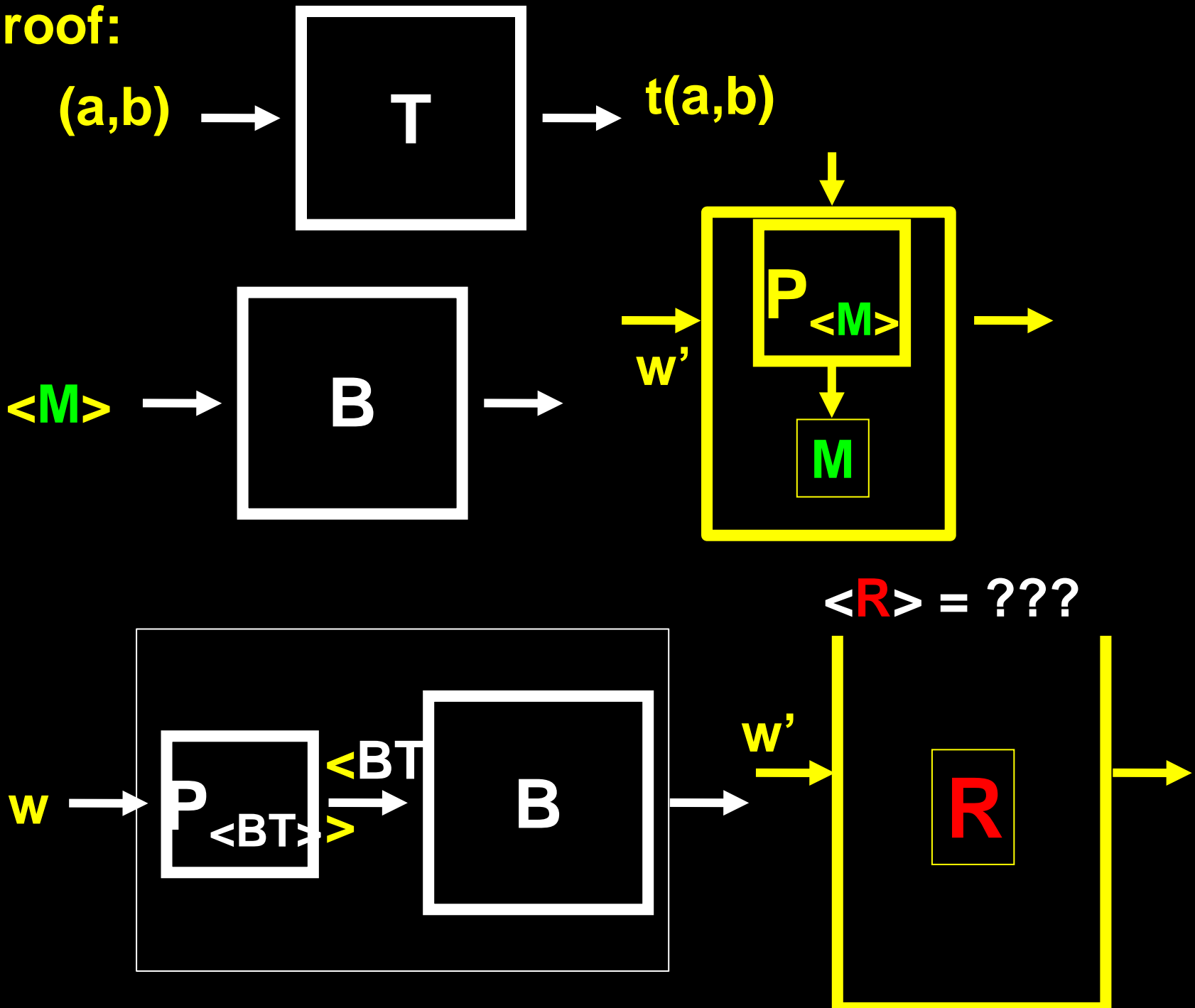
Proof:



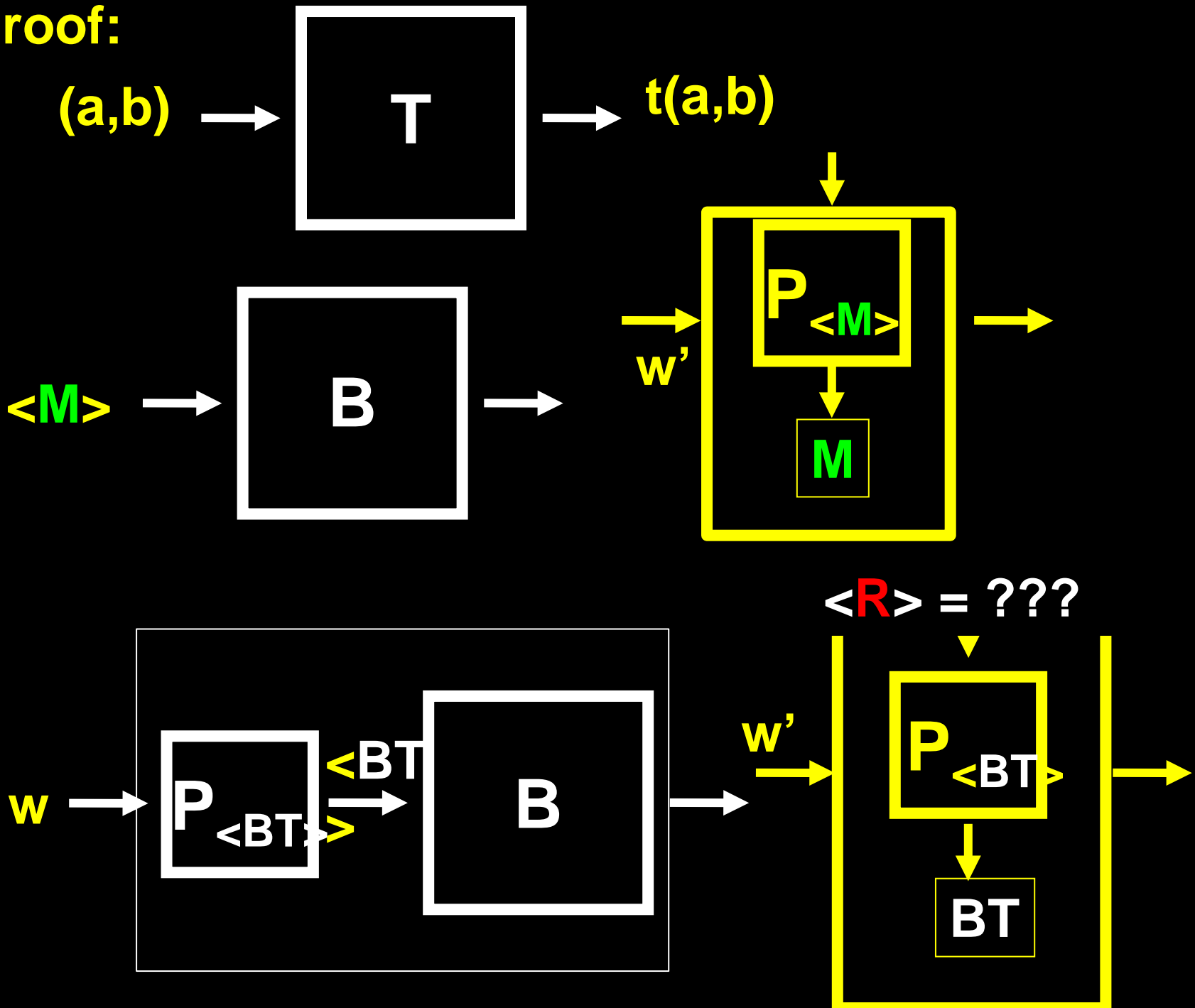
Proof:



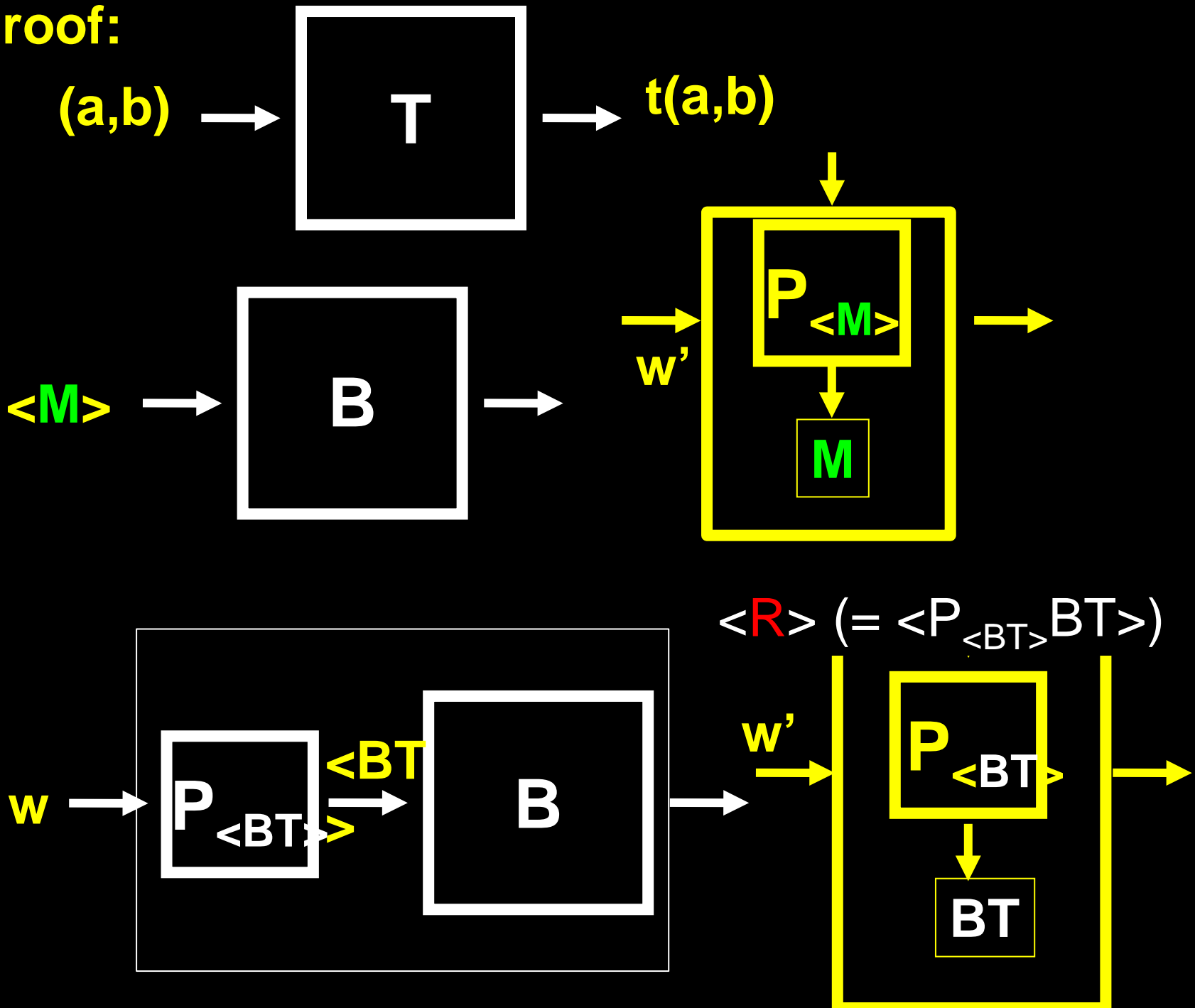
Proof:



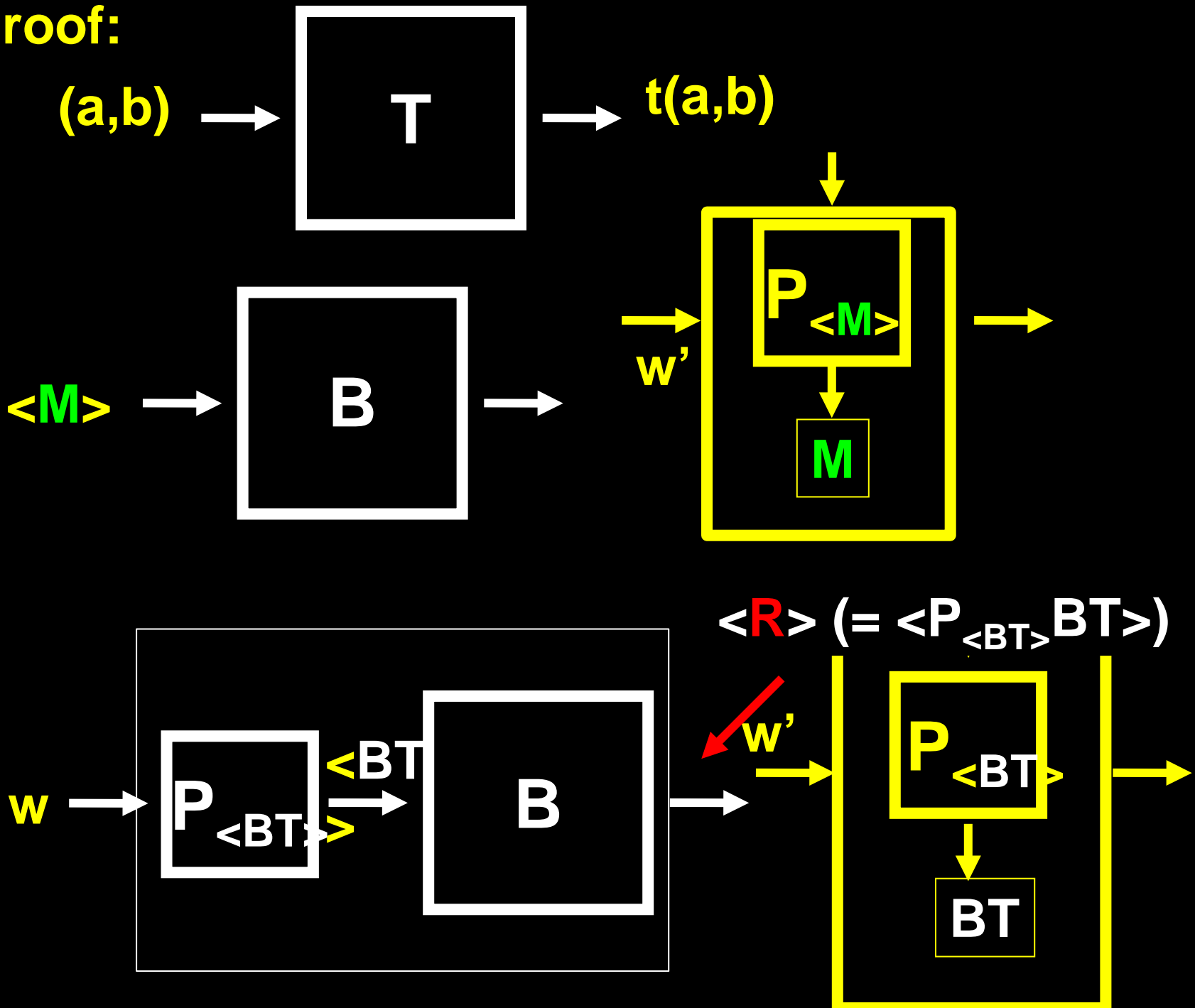
Proof:



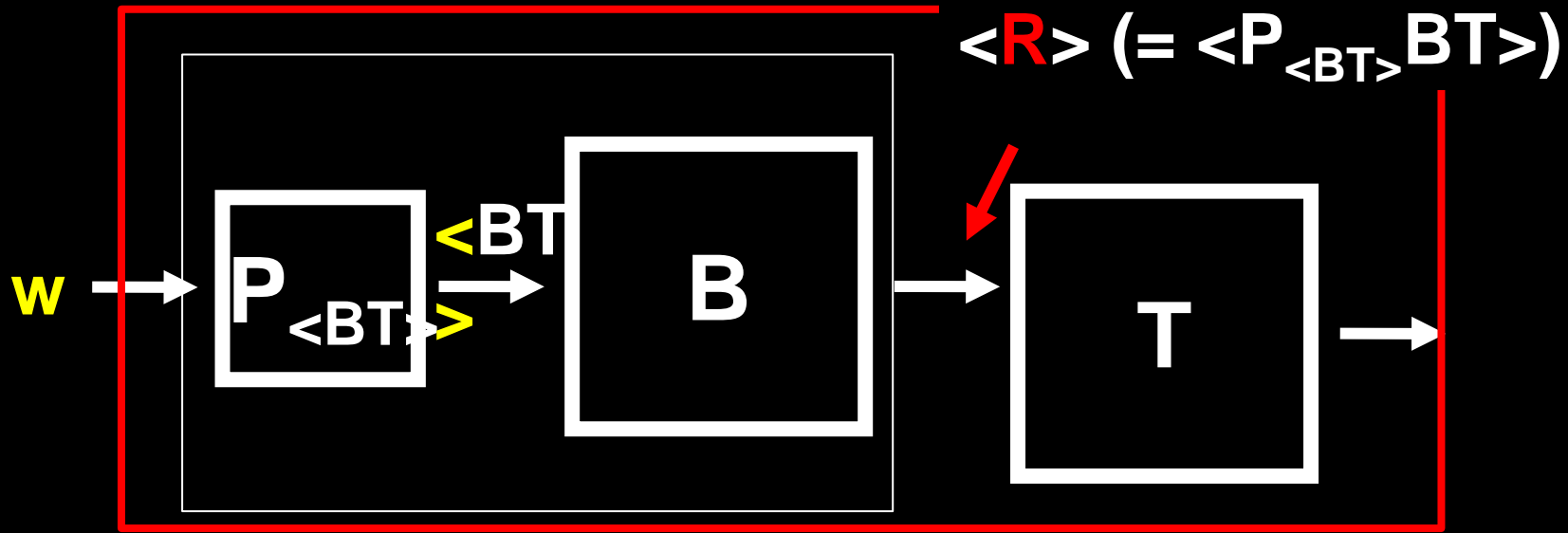
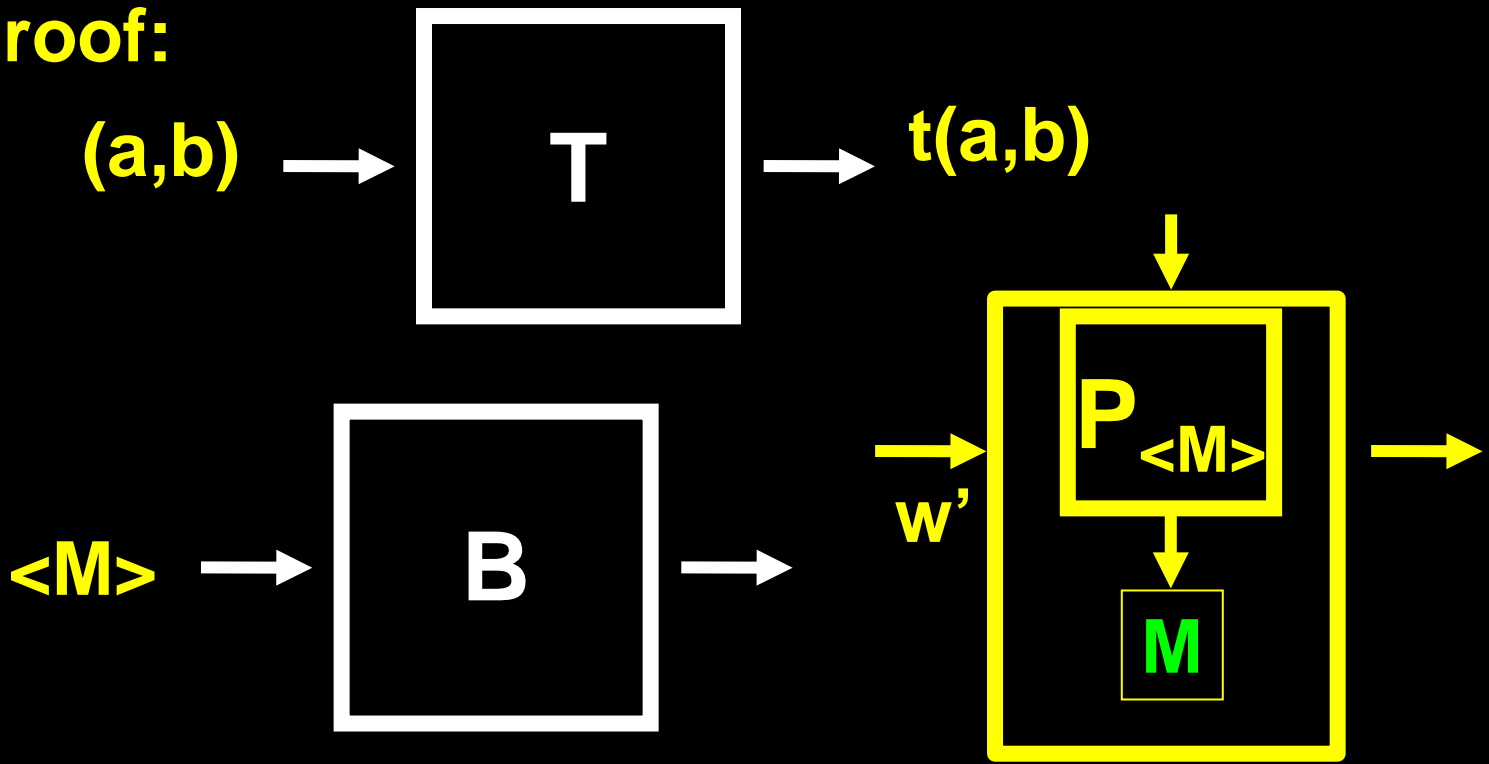
Proof:



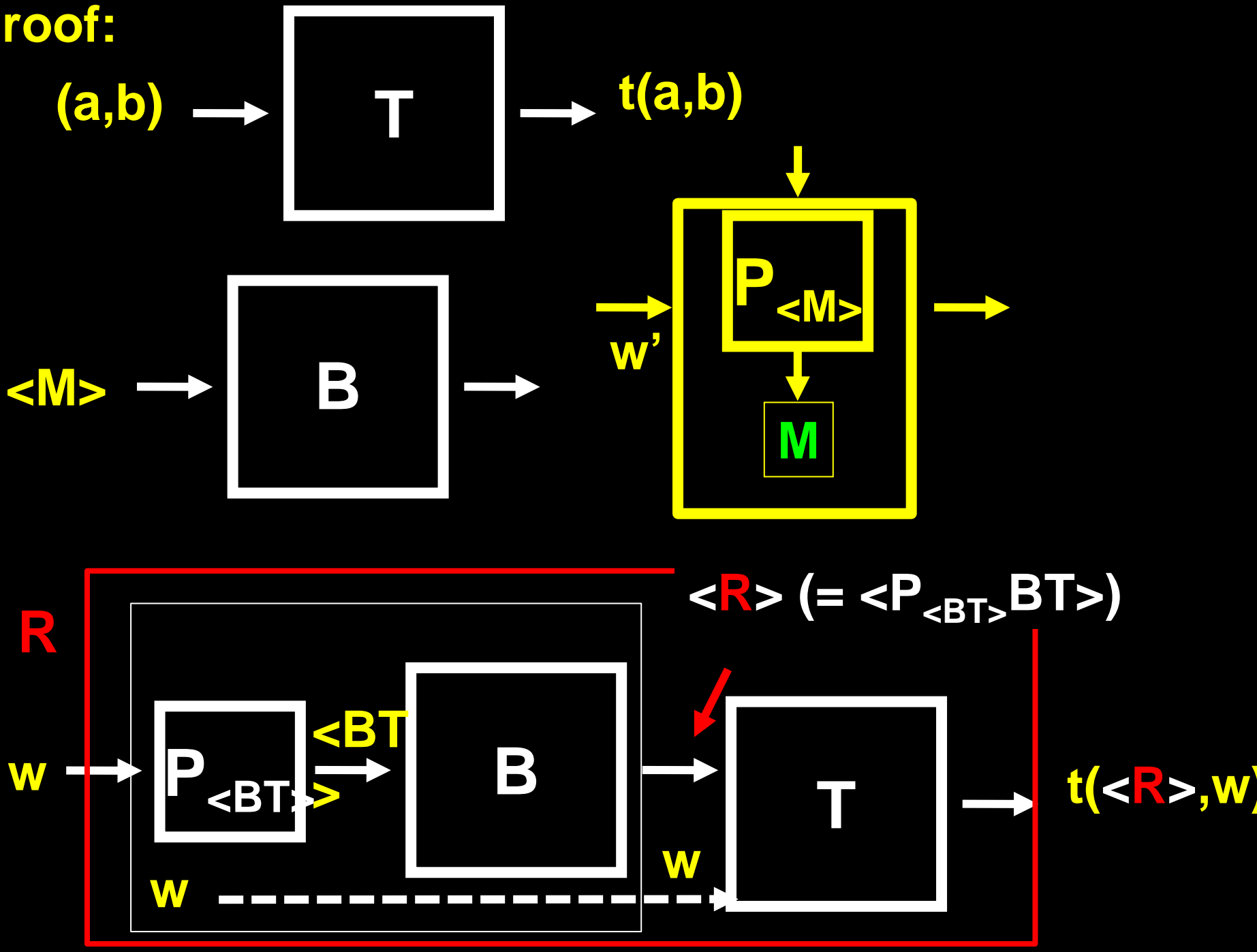
Proof:



Proof:



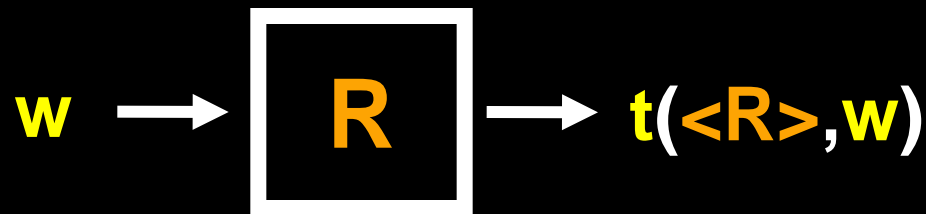
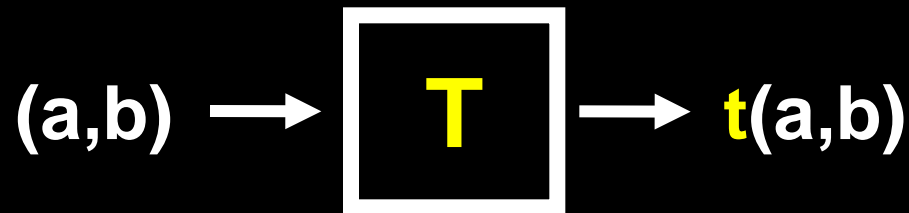
Proof:



THE RECURSION THEOREM

Theorem: Let **T** be a Turing machine that computes a function $t : \Sigma^* \times \Sigma^* \rightarrow \Sigma^*$. There is a Turing machine **R** that computes a function $r : \Sigma^* \rightarrow \Sigma^*$, where for every string **w**,

$$r(w) = t(\langle R \rangle, w)$$



WWW.FLAC.WS

Read Chapter 6.1 and 6.3 for next time