

## Pseudorandom generators from general one-way functions II

15-859I  
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### Review:

- Our goal is to construct a PRG from any OWF
- A False Entropy Generator is a function  $f: \{0,1\}^n \rightarrow \{0,1\}^{t(n)}$  that has  $f(U_n)$  computationally indistinguishable from some ptc ensemble  $D_n: \{0,1\}^{t(n)}$  where  $H(D) > H(f(U))$ .
- Using universal hash functions and product distributions, we can construct a PRG from a F.E.G. (4 pages from [HILL99])

### Today – Next Monday

- How do we construct a F.E.G. from a OWF? (10 pages from [HILL99])

### Review

- Recall the Goldreich-Levin theorem:
  - if  $f$  is a one-way function, then  $x \cdot r$  is a hard-core bit for  $g(x,r) = (f(x),r)$
  - A hard-core bit for  $f$  is a function  $b$  such that for any PPT  $A$ ,  $|\Pr_{x \leftarrow U_n}[A(f(x)) = b(x)] - 1/2|$  is negligible
- This leads to simple construction of a PRG from a OWF  $f$ :  $G(x,r) = (f(x),r, x \cdot r)$
- i.e., when  $f(x)$  is a permutation,  $x \cdot r$  gives us 1 bit of computational entropy...

### Idea

- Why not construct a false entropy generator from  $f$  in the same way, ie  $g(x,r) = (f(x),r,x \cdot r)$
- Then the  $p$ -time distribution  $(f(x),r,b)$  with uniform bit  $b$  is indistinguishable from  $g(x,r)$ , but...
- Problem: suppose  $f$  is one-way. Construct  $f'(x,y) = f(x)$ . Then  $g(x,y,r)$  is statistically indistinguishable from  $(f(x,y),r,b)$ ... So  $H(f(x,y),r,b) = H(g(x,y,r))$ , and  $g$  is not a false entropy generator.

### Idea

- If we could somehow force  $f$  to be one-to-one, we would be OK, since then  $H(g(x,r)) < H(f(x),r,b)$ .
- HW: if we could compute  $r_f(x)$ , then  $f'(x) = f(x), r_f(x)$  is one-to-one and one-way
- Since  $r(x)$  is hard to compute, replace it by  $\tilde{D}_t(f(x)) = \lceil \log |\{y : f(y) = f(x)\}| \rceil$  bits of  $h(x)$ , for  $h$  a universal hash function.

## Theorem

- Let  $f : \{0,1\}^n \rightarrow \{0,1\}^{\ell(n)}$  be ptc and suppose that  $\tilde{D}_f$  is ptc. Let  $h : \{0,1\}^{p(n)} \times \{0,1\}^n \rightarrow \{0,1\}^{n+2}$  be a universal hash function. Define

$$f'(x,y) = (f(x), h_y(x))|_{1 \dots \tilde{D}_f(f(x))+2, Y}$$

- Theorem:

- if  $f$  is one-way then  $f'$  is one-way
- Let  $X \leftarrow U_n$ ,  $Y \leftarrow U_{p(n)}$ . Then  $H(f'(X,Y)) \geq n + p(n) - \frac{1}{2}$ .

## Proof of (1)

- Suppose we have  $A$  which inverts  $f'(X,Y)$ . Define the algorithm  $M^A$  as follows:
- $M^A(z) =$  on input  $z = f(x)$ :  
 Compute  $d = \tilde{D}_f(z)$   
 Choose  $a \leftarrow U_{d+2}$   
 Choose  $y \leftarrow U_{p(n)}$   
 Let  $x' = A(z, a, y)$ . If  $f(x') = z$  output  $x'$ .
- Lemma: if  $A$  inverts  $f'(X,Y)$  with probability  $\delta(n)$  then  $M^A$  inverts  $f$  with probability at least  $\delta(n)^3/128$ .

## Proof of lemma

- Define the conditional random variable

$$B_{z,y} \leftarrow h_y(W \in_U \{w : f(w) = z\})|_{1 \dots \tilde{D}_f(z)-j(n)}$$

where  $j(n) = 2 \lceil \log(2/\delta(n)) \rceil$ .

The probability, over  $X, Y$ , and  $B_{f(X), Y}$ , that there is a  $\gamma$  such that  $A(f(X), (B_{f(X), Y}, \gamma), Y)$  inverts  $f$  is at least the probability that  $A$  inverts  $f(X, Y)$ , or  $\delta(n)$

## Lemma, cont...

- Now for a fixed  $z$ , define the random variable

$$B_z \leftarrow U_{d(z)+2}$$

Notice that in  $B_{z,y}$ ,  $H_R(W|z) = d(z)$ .

Thus, by the leftover hash lemma, we get:

$$L_1(B_{z,y}, Y, (B_z, Y)) \leq 2^{-\log 2/\delta(n)} = \delta(n)/2.$$

And, since for any predicate  $P$ ,

$$|\Pr[P(X) = 1] - \Pr[P(Y) = 1]| \leq L_1(X, Y),$$

It must be the case that

$$\Pr_{X,Y,B}[\exists \gamma: A(f(X), (B_{f(X), \gamma}), Y) \text{ inverts } f] \geq \delta(n) - \delta(n)/2$$

## Lemma, cont

- When we are so lucky in the choice of  $X, Y$ , and  $B_{f(X)}$ , then if we choose a  $\gamma \leftarrow U_{j(n)+2}$  we will hit the correct  $\gamma$  with probability

$$\begin{aligned} 2^{-(j(n)+2)} &= 2^{-2 - 2 \lceil \log(2/\delta(n)) \rceil} \\ &\geq \frac{1}{4} 2^{-2(\log(2/\delta(n)) + 1)} \\ &= (\delta(n)/2)^2/16 = \delta(n)^2/64 \end{aligned}$$

- Since the probability of getting lucky is at least  $\delta(n)/2$ , this gives us probability at least  $\delta(n)^3/128$  of inverting  $f$ , as claimed.

## Theorem

- Let  $f : \{0,1\}^n \rightarrow \{0,1\}^{\ell(n)}$  be ptc and suppose that  $\tilde{D}_f$  is ptc. Let  $h : \{0,1\}^{p(n)} \times \{0,1\}^n \rightarrow \{0,1\}^{n+2}$  be a universal hash function. Define

$$f'(x,y) = (f(x), h_y(x))|_{1 \dots \tilde{D}_f(f(x))+2, Y}$$

- Theorem:

- if  $f$  is one-way then  $f'$  is one-way ✓
- Let  $X \leftarrow U_n$ ,  $Y \leftarrow U_{p(n)}$ . Then  $H(f'(X,Y)) \geq n + p(n) - \frac{1}{2}$ .

## Proof of (2)

- Fix  $z$ , and let  $x \neq x'$  satisfy  $f(x) = f(x') = z$ .  
By the universality of  $h$ ,  
 $\Pr[h_Y(x) = h_Y(x')]_{1 \dots d(z)+2} = 2^{-(d(z)+2)} \leq 1/(4|\{x:f(x)=z\}|)$
- $\Pr[f(X,Y) = f(X',Y')] \leq 2^{-(n+p(n)} + 2^{-p(n)} \sum_x \sum_{x' \in f^{-1}(x)} 1/(4|f^{-1}(x)|) \Pr[X=x, X'=x'] \leq 5/4 \cdot 2^{-(n+p(n))}$
- So  $H(f(X,Y)) \geq H_R(f(X,Y)) \geq -\log(5/4 \cdot 2^{-(n+p(n))}) \geq n + p(n) + 2 - \log(5) \checkmark$

## Corollary

- If  $f: \{0,1\}^n \rightarrow \{0,1\}^{\ell(n)}$  is one-way and  $\tilde{D}_f$  is ptc, and  $f'$  is defined as above, then  $g(x,y,r) = (f(x,y), r, x \cdot r)$  is a pseudoentropy generator with pseudoentropy  $1/2$ .
- Proof: It follows from (HW) that  $(f'(X,Y), R, X \cdot R) \equiv (f'(X,Y), R, U_1)$   
But  $H(f'(X,Y), R, U_1) = H(f'(X,Y)) + H(R) + H(U_1) \geq 2n + p(n) + 1/2$ ,  
while  $H(X,Y,R) = 2n + p(n)$ . QED.

## More Corollaries

- Corollary: If there is a OWF  $f$  with ptc  $\tilde{D}_f$  then there is a pseudorandom generator.
  - Proof: Compose previous theorem with results of previous class.
- Corollary: If there is a  $s(n)$ -regular OWF  $f$  for  $s(n)$  a ptc function, then there is a pseudorandom generator.
  - Proof:  $\tilde{D}_f(f(x)) = \lceil \log s(n) \rceil$ . So it is ptc. Apply previous corollary.

## The problem here...

- How to compute  $\tilde{D}_f$ ? No reason in general to assume it is ptc.
- Basic approach:  $0 \leq d = \tilde{D}_f(z) < n$  for all  $z$ . So if we take a uniform guess for  $d$ , we will be right with probability  $1/n$ .
- If we take enough inputs to  $f'$ , and enough guesses for  $d$ , we should on average get about  $1/n$  bit of false entropy per copy.
- Proving it is the hard part... (2pp down, 8 to go)

## A new construction.

- Let  $f: \{0,1\}^n \rightarrow \{0,1\}^{\ell(n)}$  be a one-way function, and let  $h: \{0,1\}^{p(n)} \times \{0,1\}^n \rightarrow \{0,1\}^{n+\lceil \log 2^n \rceil}$  be a universal hash function. Define  $f(x,i,r) = (f(x), h_r(x)_{1 \dots i+\lceil \log 2^n \rceil}, i, r)$
- Let  $Y \leftarrow U_n$ , then when  $l < \tilde{D}_f(f(X))$ , we will have  $(f'(X,l,R), Y, X \cdot Y) \equiv (f'(X,l,R), Y, U_1)$ .
- To formalize, define two sets:
  - $T = \{(x,i) : x \in \{0,1\}^n, i \in \{0, \dots, \tilde{D}_f(f(x))\}\}$
  - $T^c = \{(x,i) : x \in \{0,1\}^n, i \in \{\tilde{D}_f(f(x))+1, \dots, n-1\}\}$

## Lemma

- Lemma: Let  $W = (X,l) \in_U T$ ,  $R \leftarrow U_{p(n)}$ ,  $Y \leftarrow U_n$  and  $B \leftarrow U_1$ . Then:  
 $(f(W,R), X \cdot Y, Y) \equiv (f(W,R), B, Y)$
- Proof: By (HW), if there exists an adversary  $A$  distinguishing  $X \cdot Y$  from  $B$  with probability  $\delta$ , then there is an adversary  $A'$  predicting  $X \cdot Y$  from  $f(W,R)$  with advantage  $\delta$ .  
By the Goldreich-Levin theorem, if  $A$  can predict  $X \cdot Y$  given  $f(W,R)$  with advantage  $\delta$ , there is a machine  $M^A$  which can invert  $f(W,R)$  with probability  $(\delta^2/2)$

## Proof, con't.

- So we need to show that given  $M^A$  for inverting  $f(W,R)$ , we can construct  $N^A$  which inverts  $f(X)$ .
- $N^A(f(x)) =$ 
  - Choose  $i \in_U \{0, \dots, n-1\}$ ,  $r \leftarrow U_{p(n)}$ ,  $a \leftarrow U_{1+\lceil \log 2n \rceil}$
  - Return  $M^A(f(x), a, i, r)$
- What is  $\Pr[f(N^A(f(x))) = f(x)]$ ?
- First, notice that  $\Pr[(x, i) \in T] \geq 1/n$ . So
 
$$\Pr[f(N^A(f(x))) = f(x)] \geq \frac{1}{n} \Pr[M^A(f(x), a, i, r) \text{ inverts } f \text{ when } (x, i) \in T]$$

## Proof, con't, 2

- But now we can use the same techniques as for the previous theorem, to show that
 
$$\Pr[M^A(f(x), a, i, r) \in f^{-1}(f(x)) : (x, i) \in T] \geq \frac{1}{4n} (\Pr[M^A(f(W, R)) \text{ inverts } f])^3.$$
- So, if  $A$  distinguishes  $X \cdot Y$  from  $B$  given  $(f(W, R), Y)$  with probability  $\delta$ , then  $N^A$  inverts  $f(X)$  with probability at least  $1/4n^2 (\delta^2/2)^3 = 1/\text{poly}(n)$  when  $\delta = 1/\text{poly}(n)$ .
- i.e., if  $f$  is one-way, then  $(f(W, R), X \cdot Y, Y) \equiv (f(W, R), B, Y)$ , Q.E.D.

## Recap

- We have that if  $f$  is one-way then when we guess  $i \leq \tilde{D}_f(f(x))$ ,  $x \cdot y$  is indistinguishable from a uniform bit.
- When we guess  $i$  too small, this is the case anyway. So exactly when we guess the correct value of  $i$ , we will get a bit of false entropy.
- Suppose we take a large product of  $f(X, i, R), Y, X \cdot Y$ . How do we know which of the  $X \cdot Y$  give us false entropy, and should be output, and which don't?
- Solution: take all of the inner product bits and hash down to the expected number of false entropy bits.

## Construction: Parameters

- Let  $k(n) \geq 125n^3$ ,  $i \in_U \{0, \dots, n-1\}$ , and define
 
$$p_n = \Pr[i \leq \tilde{D}_f(f(x))]$$

$$m(n) = k(n)p_n - 2k(n)^{2/3}$$
- Let  $X', Y' \leftarrow U_{nk(n)}$ ,  $i' \in_U \{0, \dots, n-1\}^{k(n)}$ ,  $R' \leftarrow U_{k(n)p(n)}$ ,  $Z \leftarrow U_{m(n)}$ .
- Let  $h' : \{0, 1\}^{p(n)} \times \{0, 1\}^{k(n)} \rightarrow \{0, 1\}^{m(n)}$  be a universal hash function, and  $V \leftarrow U_{p(n)}$ .
- Define  $g(p_n, X', Y', i', R', V) = (h'_{V}(X' \cdot Y'), f^{k(n)}(X', i', R'), V, Y')$

## Main Theorem

- False Entropy Theorem:  $g$  is a mildly nonuniform false entropy generator.
- Proof: Delayed...
- Main Theorem: If there exists a one-way function, then there exists a pseudorandom generator.
- Proof: Compose previous theorems: False Entropy Theorem, FEG  $\rightarrow$  (mildly nonuniform) PEG theorem, PEG  $\rightarrow$  PRG theorem, mildly nonuniform PRG  $\rightarrow$  PRG theorem.
- We're done! Oh wait, that pesky False entropy theorem...

## False Entropy Theorem

- Proof: Consider the distributions:
 
$$D = g(p_n, X', Y', i', R', V) \text{ and}$$

$$E = (Z, f^{k(n)}(X', i', R'), V, Y')$$

Lemma 1:  $H(E) \geq H(D) + 10n^2$ .

Lemma 2:  $D \equiv E$

Thus,  $g$  is a false entropy generator given  $p_n$ . We will show in the proof of lemma 2 that it is OK to use a value  $p$  with  $p_n \leq p \leq p_n + 1/n$ . Therefore we only need  $\log n$  bits of advice. So  $g$  is a mildly nonuniform false entropy generator. QED

## Proof of Lemma 1

- Lemma 1:  $H(E) - H(D) \geq 10n^2$ . Proof:  
 $H(E) = H(Z | E_{m(n)...|E|}) + H(E_{m(n)...|E|})$ , and  
 $H(D) = H(h_{\sqrt{\cdot}}(X' \cdot Y') | D_{m(n)...|D|}) + H(D_{m(n)...|D|})$ ; so
- $H(E) - H(D) = H(Z | E_{m(n)...}) - H(h_{\sqrt{\cdot}}(X' \cdot Y') | D_{m(n)...})$
- $H(Z | E_{m(n)...}) = m(n)$ ;  $H(h_{\sqrt{\cdot}}(X' \cdot Y') | D_{m(n)...}) \leq H(X' \cdot Y' | D_{m(n)...})$ .
- For each  $j : I'_j \prec \bar{D}_f(f(X'_j))$ , then  $H(X'_j \cdot Y'_j) \leq 1$ .
- When  $I'_j \geq \bar{D}_f(f(X'_j))$ ,  $H(X'_j \cdot Y'_j | Y'_j, f(X'_j, I'_j, R'_j)) \leq 1/2n$ , since  $\Pr[\exists x' : f(x', I'_j, R'_j) = f(X'_j, I'_j, R'_j)] \leq 1/2n$

## Proof of Lemma 1, cont.

$$\begin{aligned}
 H(E) - H(D) &\geq m(n) - \\
 &\Pr[I'_j < \bar{D}_f(f(X'_j))]k(n) + (1 - \Pr[\dots])k(n)/2n \\
 &\geq m(n) - (p_n - 1/n)k(n) + k(n)/2n \\
 &= m(n) - k(n)(p_n - 1/n + 1/2n) \\
 &= m(n) - k(n)(p_n - 1/2n) \\
 &= (k(n)p_n - 2k(n)^{2/3}) - k(n)(p_n - 1/2n) \\
 &= k(n)/2n - 2k(n)^{2/3}. \\
 &= 125n^2/2 - 50n^2 > 10n^2. \text{ Q.E.D. (5pp down)}
 \end{aligned}$$

## Lemma 2: $D \cong E$

- Recall:  
 $D = h_{\sqrt{\cdot}}(X' \cdot Y')$ ,  $f^{k(n)}(X', I', R')$ ,  $V$ ,  $Y'$   
 $E = (Z, f^{k(n)}(X', I', R'), V, Y')$
- Another way to describe  $D$ :
  - For each  $j$ , choose  $C_j=1$  with probability  $p_n$
  - When  $C_j = 1$ , choose  $(X'_j, I'_j) \in T$ , else  $(X'_j, I'_j) \in T^c$
- Define the distribution  $D'$ :
  - Same as  $D$ , except when  $C_j = 1$  replace  $j^{\text{th}}$  input to  $h(X'_j \cdot Y'_j)$  by  $B_j \leftarrow U_1$ .

## Lemma 2 intuition...

- Notice that by the Leftover Hash Lemma,  $L_1(D', E) \leq 2^{-k(n)^{2/3}} = 2^{-5n}$ , so  $D' \cong E$ .
- Intuitively, in  $D'$  we just replace  $X'_j \cdot Y'_j$  by  $B_j$  when  $(X'_j, I'_j) \in T$ ; and we have already shown that in this case  $X'_j \cdot Y'_j \cong B_j$ . So we would expect  $D \cong D'$ , giving  $D \cong E$ .
- This is a non-standard hybrid argument, we will need to check it.

## Hybrid argument for $D \cong D'$

- Suppose we have  $A$  such that  $\Pr[A(D)=1] - \Pr[A(D')=1] = \delta(n)$
- Define the hybrid distributions  $F^{(i)}$  so that  $F^{(i)}$  is distributed identically to  $D'$  up to position  $j$  and  $D$  afterwards, i.e.,  $F^{(i)}$  is chosen like  $D$  except that for  $i \leq j$ , when  $C_i=1$  we replace  $X'_i \cdot Y'_i$  by  $B_i$ . Thus  $F^{(0)} = D$ ,  $F^{(k(n))} = D'$
- If  $J \in_U \{1, \dots, k(n)\}$ , then we have that  $E_J[A(F^{(J-1)}) - A(F^{(J)})] = \delta(n)/k(n)$

## Hybrid argument continued...

- So given  $A$ , we can construct  $M^A$  that distinguishes  $(f(W, R), X \cdot Y, Y)$  from  $(f(W, R), B, Y)$  as follows:
  - On input  $(f(w, r), b, y)$ :
  - Choose  $j \in_U \{1, \dots, k(n)\}$
  - Choose a sample  $s = (h_{\sqrt{\cdot}}(B), f(W', R'), Y', V, C) \leftarrow F^{(j)}$
  - If  $c_j=0$ , output a random bit and stop
  - Else replace  $f(W'_j, R'_j), B_j, Y'_j$  by  $f(w, r), b, y$ , and output  $A(s)$

## Hybrid argument...

- $E[M^A(f(w,r),x \cdot y,y) - M^A(f(w,r),b,y)] =$   
 $E[A(F^{(j-1)}) - A(F^{(j)})] = \delta(n)/k(n)$
- So the hybrid argument will work...
- But there is a problem: To sample from  $F^{(j)}$ , we have to sample from  $T$  or  $T^C$ .
- In general there may not be an efficient way to do this. So the argument fails,  $M^A$  is not efficient.