

Substructural Proofs as Automata

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Church and Turing

Computation		
λ -Calculus [Church 1936]		
Turing Machines [Turing 1937]		

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	Subsingleton Logic [Santocanale 2001]	

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Linear Communicating Automata	Subsingleton Logic [Santocanale 2001]	Substructural Proofs as Automata [this paper]
Subsequential Finite State Transducers	Fixed Cut Subsingleton Logic	[this paper]

Curry-Howard Correspondence

- For a constructive logic, relate:

Logic	Computation
Proposition	Type
Proof	Program
Proof Reduction	Computation

- Design of a language and logic for reasoning about its programs go hand in hand
- Full synthesis takes place in type theory
- Considerable ingenuity may be required
- Best case: an **isomorphism**

Examples of Isomorphisms

Logic	Computation
Intuitionistic axiomatic proofs	Combinatory reduction [Curry 1935]
Intuitionistic natural deduction	Functional computation [Howard 1969]
Temporal logic	Partial evaluation [Davies 1996]
S4 modal logic	Staged computation [Davies & Pf 1996]
Linear sequent calculus	Concurrent computation [Caires & Pf 2010] [Wadler 2012]
Fixed cut subsingleton logic	Finite state transduction [this paper]

- 1 Subsingleton logic
- 2 Proof reduction semantics
- 3 Representing strings
- 4 From transducers to proofs
- 5 From proofs to transducers
- 6 Two applications
- 7 Full subsingleton logic
- 8 Encoding Turing machines
- 9 Linear communicating automata

Subsingleton Logic

- Fragment of linear logic with 0 or 1 antecedents

$$\begin{aligned} A, B, C &::= A \oplus B \mid \mathbf{1} \mid A \& B \mid \perp \\ \Delta &::= \cdot \mid A \end{aligned}$$

- Rules for the $\oplus, \mathbf{1}$ -fragment

$$\begin{array}{c} \frac{}{A \vdash A} \text{id}_A \qquad \frac{\Delta \vdash B \quad B \vdash C}{\Delta \vdash C} \text{cut}_B \\ \\ \frac{\Delta \vdash A}{\Delta \vdash A \oplus B} \oplus R_1 \qquad \frac{\Delta \vdash B}{\Delta \vdash A \oplus B} \oplus R_2 \qquad \frac{A \vdash C \quad B \vdash C}{A \oplus B \vdash C} \oplus L \\ \\ \frac{}{\cdot \vdash \mathbf{1}} \mathbf{1}R \qquad \frac{\cdot \vdash C}{\mathbf{1} \vdash C} \mathbf{1}L \end{array}$$

A Computational Interpretation

- Judgment $\Delta \vdash P : A$
- Δ and A are the **left and right interface** for process P
- Cut as (non-commutative!) parallel composition

$$\frac{\Delta \vdash P : A \quad A \vdash Q : C}{\Delta \vdash (P \mid Q) : C} \text{cut}_A$$

- Identity as forwarding

$$\frac{}{A \vdash \leftrightarrow : A} \text{id}_A$$

Process Configurations

- A **process configuration** Ω is an ordered parallel composition of processes with matching interface types

$$\Delta \vdash P_1 \mid P_2 \mid \dots \mid P_n : A_n$$

- Computation for cut and identity

$$\text{cut} : \Omega_L \mid (P \mid Q) \mid \Omega_R \longrightarrow \Omega_L \mid P \mid Q \mid \Omega_R$$

$$\text{id} : \Omega_L \mid (\leftrightarrow) \mid \Omega_R \longrightarrow \Omega_L \mid \Omega_R$$

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Cut Reduction as the Engine of Computation

- Consider when $\oplus R_i$ meets $\oplus L$
- $\oplus L$ is prepared for either A or B to be true
- $\oplus R_1$ selects A , $\oplus R_2$ selects B
- Reduce principal cut to smaller cuts

$$\frac{\frac{\Delta \vdash A}{\Delta \vdash A \oplus B} \oplus R_1 \quad \frac{A \vdash C \quad B \vdash C}{A \oplus B \vdash C} \oplus L}{\Delta \vdash C} \text{cut}_{A \oplus B}$$

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- Plus symmetric version

Process Assignment and Reduction for $A \oplus B$

- $\oplus R_i$ send, $\oplus L$ receives

$$\frac{\Delta \vdash P : A}{\Delta \vdash R.\pi_1 ; P : A \oplus B} \oplus R_1 \quad \frac{\Delta \vdash P : B}{\Delta \vdash R.\pi_2 ; P : A \oplus B} \oplus R_2$$
$$\frac{A \vdash Q_1 : C \quad B \vdash Q_2 : C}{A \oplus B \vdash \text{caseL}(\pi_1 \Rightarrow Q_1 \mid \pi_2 \Rightarrow Q_2) : C} \oplus L$$

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- Computation rules (apply anywhere in a configuration)

$$(R.\pi_1 ; P) \mid \text{caseL}(\pi_1 \Rightarrow Q_1 \mid \pi_2 \Rightarrow Q_2) \longrightarrow P \mid Q_1$$
$$(R.\pi_2 ; P) \mid \text{caseL}(\pi_1 \Rightarrow Q_1 \mid \pi_2 \Rightarrow Q_2) \longrightarrow P \mid Q_2$$

Process Assignment and Reduction for $A \oplus B$

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$$(R.\pi_1 ; P) \mid_{A \oplus B} \text{caseL}(\pi_1 \Rightarrow Q_1 \mid \pi_2 \Rightarrow Q_2) \longrightarrow P \mid_A Q_1$$

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Process Assignment and Reduction for $\mathbf{1}$

- $\mathbf{1}R$ sends, $\mathbf{1}L$ receives

$$\frac{}{\cdot \vdash \text{closeR} : \mathbf{1}} \mathbf{1}R \qquad \frac{\cdot \vdash Q : C}{\mathbf{1} \vdash \text{waitL} ; Q : C} \mathbf{1}L$$

- Computation rule

$$(\text{closeR}) \mid_{\mathbf{1}} (\text{waitL} ; Q) \longrightarrow Q$$

Generalize to Labeled Sum

- In programming, need more than two branches

$$A ::= \oplus_{\ell \in L} \{ \ell : A_\ell \} \mid \mathbf{1} \mid \&_{\ell \in L} \{ \ell : A_\ell \} \mid \perp$$

- Generalize rules straightforwardly

$$\frac{\Delta \vdash P : A_k \quad (k \in L)}{\Delta \vdash \mathbf{R.k} ; P : \oplus_{\ell \in L} \{ \ell : A_\ell \}} \oplus R_k$$

$$\frac{A_\ell \vdash Q_\ell : C \quad (\forall \ell \in L)}{\oplus_{\ell \in L} \{ \ell : A_\ell \} \vdash \mathbf{caseL} (\ell \Rightarrow Q_\ell)_{\ell \in L} : C} \oplus L$$

- Computation rules (apply anywhere in a configuration)

$$(\mathbf{R.k} ; P) \mid \mathbf{caseL} (\ell \Rightarrow Q_\ell)_{\ell \in L} \longrightarrow P \mid Q_k$$

Summary of Process Reduction

- $P : \oplus_{\ell \in L} \{\ell : A_\ell\}$ sends $k \in L$, continues as A_k
- $P : \mathbf{1}$ sends closeR and terminates
- Computation rules (apply anywhere in a configuration)

$$(P \mid Q) \longrightarrow P \mid Q$$

$$(\leftrightarrow) \longrightarrow \cdot$$

$$(R.k ; P) \mid \text{caseL}(\ell \Rightarrow Q_\ell)_{\ell \in L} \longrightarrow P \mid Q_k$$

$$(\text{closeR}) \mid (\text{waitL} ; Q) \longrightarrow Q$$

- Configurations are ordered: no explicit channels needed for communication

Towards Automata: Representing Strings

- Symbols $a \in \Sigma$ as **labels** $a \in \Sigma$
- Strings as sequences of messages
- Finish with endmarker $\$$ and close

$$\lceil a_1 a_2 \dots a_n \rceil = R.a_1 ; R.a_2 ; \dots ; R.a_n ; R.\$; \text{closeR}$$

- How do we type this?

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- Need **inductive type**! For $\Sigma = \{a, b, \dots\}$ we define

$$\text{string}_\Sigma = \oplus \{a : ?, b : ?, \dots, \$: ?\}$$

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- Sometimes omit the subscript Σ

A First Bijection

- Representing strings

$$\text{string}_\Sigma = \bigoplus_{a \in \Sigma} \{a : \text{string}_\Sigma, \$: \mathbf{1}\}$$

$$\ulcorner a_1 a_2 \dots a_n \urcorner = R.a_1 ; R.a_2 ; \dots ; R.a_n ; R.\$; \text{closeR}$$

- For a string w over alphabet Σ we have

$$\cdot \vdash \ulcorner w \urcorner : \text{string}_\Sigma$$

- For any cut-free proof P , if

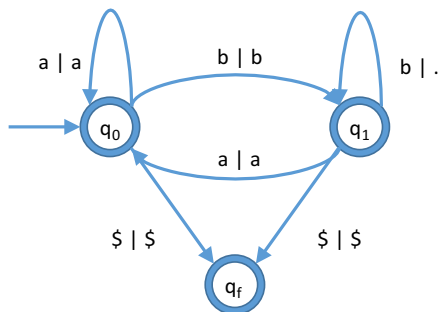
$$\cdot \vdash P : \text{string}_\Sigma$$

then $P = \ulcorner w \urcorner$ for some string w over Σ

- There is a compositional bijection between strings and cut-free processes $P : \text{string}$

Subsequential Finite State Transducers

- A **subsequential finite state transducer** (STM) starts in some initial state q_0 and
 - 1 reads one symbol from an input string
 - 2 writes zero or more symbols to an output string
 - 3 transitions to the next state
- Example: compressing each run of b 's into one b



input output
 $\$ c_n \dots c_1 q$ $d_k \dots d_1$

As mixed string rewriting

$a q_0 \longrightarrow q_0 a$

$b q_0 \longrightarrow q_1 b$

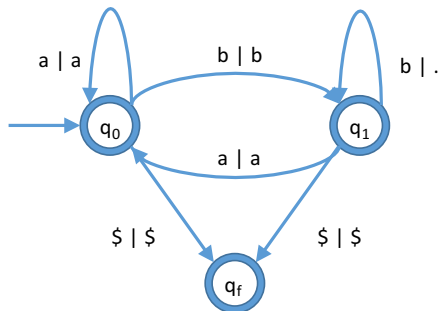
$\$ q_0 \longrightarrow \$$

$a q_1 \longrightarrow q_0 a$

$b q_1 \longrightarrow q_1$

$\$ q_1 \longrightarrow \$$

SFTs as Processes

$$Q_0 = \text{caseL} (a \Rightarrow R.a ; Q_0$$
$$| b \Rightarrow R.b ; Q_1$$
$$| \$ \Rightarrow R.\$; \text{waitL} ; \text{closeR})$$
$$Q_1 = \text{caseL} (a \Rightarrow R.a ; Q_0$$
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As mixed string rewriting

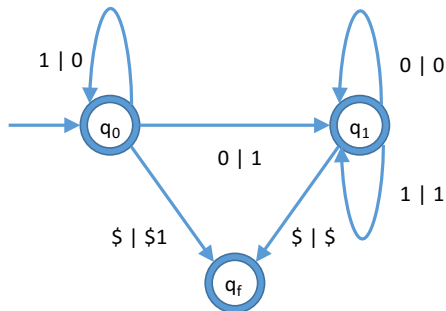
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Circular Proofs

- Requires circular (coinductive) proofs
[Santocanale 2001] [Fortier & Santocanale 2013]
[Baelde, Doumane, & Saurin 2016]
- For **fixed cut proofs** (no cycle contains a cut), cut elimination yields cut-free circular proofs
- With **arbitrary cuts**, elimination may yield infinite proofs
- Here: circular proofs as mutually recursive process defns
- Computation (\sim cut elimination) will terminate if all process definitions are cut-free

SFTs Example 2: Incrementing a Bit String

- Example: Incrementing a bit string
- Least significant bit arrives first
- q_0 increments, q_1 copies



As mixed string rewriting

$0 q_0 \longrightarrow q_1 1$

$1 q_0 \longrightarrow q_0 0$

$\$ q_0 \longrightarrow \$ 1$

$0 q_1 \longrightarrow q_1 0$

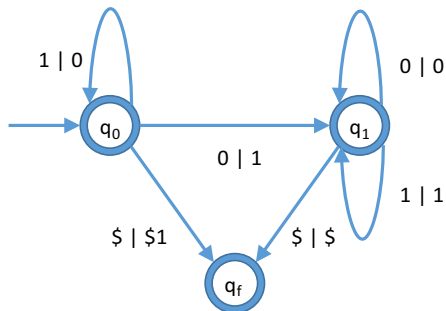
$1 q_1 \longrightarrow q_1 1$

$\$ q_1 \longrightarrow \$$

SFTs Example 2: Incrementing a Bit String

$Q_0 = \text{caseL } (0 \Rightarrow R.1 ; Q_1$
| $1 \Rightarrow R.0 ; Q_0$
| $\$ \Rightarrow R.1 ; R.\$; \text{waitL} ; \text{closeR})$

$Q_1 = \text{caseL } (0 \Rightarrow R.0 ; Q_1$
| $1 \Rightarrow R.1 ; Q_1$
| $\$ \Rightarrow R.\$; \text{waitL} ; \text{closeR})$



As mixed string rewriting

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$\$ q_1 \longrightarrow \$$

A Second Bijection

Theorem (Representation of SFTs)

There is a bijection between SFTs T from Σ to Γ and cut-free, identity-free, circular processes P with

$$\text{string}_{\Sigma} \vdash P : \text{string}_{\Gamma}$$

such that

$$\$w^R q_o \longrightarrow^* \$v^R \quad \text{iff} \quad \ulcorner w \urcorner \mid P \longrightarrow^* \ulcorner v \urcorner$$

with corresponding steps.

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with corresponding steps.

- Technical condition on the operational semantics
 - Either use asynchronous message passing
 - or reduce under output prefixes (see paper)
 - or use an observer process to force computation

Processes as String Transducers

- Recall $\text{string}_\Sigma = \bigoplus_{a \in \Sigma} \{a : \text{string}_\Sigma, \$: \mathbf{1}\}$
- What can a **cut-free, identity-free** process P with $\text{string}_\Sigma \vdash P : \text{string}_\Gamma$ do?
 - Branch on a label received from the left
 - If it receives $a \in \Sigma$, it recurses as $\text{string}_\Sigma \vdash P' : \text{string}_\Gamma$
 - If it receives $\$,$ it continues as $\mathbf{1} \vdash P' : \text{string}_\Gamma$
 - Send a label to the right
 - If it sends $a \in \Gamma,$ it recurses as $\text{string}_\Sigma \vdash P' : \text{string}_\Gamma$
 - If it sends $\$,$ it continues as $\text{string}_\Sigma \vdash P' : \mathbf{1}$
- $\mathbf{1} \vdash P : \text{string}_\Gamma$ can send finalizing output, then terminates
- $\text{string}_\Sigma \vdash P : \mathbf{1}$ can finish reading input, then terminates

Asynchronous Output

- Typed asynchronous output is already representable

Asynchronous

$R.k ; P$

Synchronous

$P \mid (R.k ; \leftrightarrow)$

$\dots \mid_{\Delta} (R.k ; P) \mid_{\oplus A_{\ell}} \dots \quad \dots \mid_{\Delta} P \mid_{A_k} (R.k ; \leftrightarrow) \mid_{\oplus A_{\ell}} \dots$

- At the cost of one cut and one identity
- Then $R.k ; \leftrightarrow$ represents a **message**
 - So $\ulcorner a \urcorner = R.a ; \leftrightarrow$ is possible
 - Works also for full session types [DeYoung et al. 2012]
- From synchronous to asynchronous by one commuting conversion and a cut/identity reduction

$$P \mid (R.k ; \leftrightarrow) \longrightarrow R.k ; (P \mid \leftrightarrow) \longrightarrow R.k ; P$$

Composition of Transducers

Theorem (Cut Elimination [Fortier & Santocanale 2013])

If $\Delta \vdash P : A$ and P is a fixed-cut circular proof then there is a cut-free circular proof Q with $\Delta \vdash Q : A$.

Theorem (Closure of SFTs under Composition)

If T and T' are two SFTs with appropriately matching alphabets, there there is an SFT $T ; T'$ which applies T' to the output of T .

Proof.

Let P and P' be the corresponding fixed-cut proofs with $\text{string}_\Sigma \vdash P : \text{string}_\Gamma$ and $\text{string}_\Gamma \vdash P' : \text{string}_\Theta$. Then $\text{string}_\Sigma \vdash (P \mid P') : \text{string}_\Theta$ and, by cut elimination, there is cut-free proof Q with $\text{string}_\Sigma \vdash Q : \text{string}_\Theta$. Construct $T ; T'$ from Q . □

Encoding DFAs

- For composition of SFTs, we can run their programs concurrently, passing messages between them from left to right
- We can establish a bijection between DFAs and processes

$$\text{string}_{\Sigma} \vdash P : \oplus\{\text{acc} : \mathbf{1}, \text{rej} : \mathbf{1}\}$$

- By allowing multiple endmarkers instead of just \$, one theorem suffices (see paper)

Completing Subsingleton Logic

- Adding rules for $A \& B$

$$\frac{\Delta \vdash A \quad \Delta \vdash B}{\Delta \vdash A \& B} \&R \quad \frac{A \vdash C}{A \& B \vdash C} \&L_1 \quad \frac{B \vdash C}{A \& B \vdash C} \&L_2$$

- Now $\&L_i$ send, $\&R$ receives
- Labeled versions

$$\frac{\Delta \vdash A_\ell \quad (\forall \ell \in L)}{\Delta \vdash \&_{\ell \in L} \{ \ell : A_\ell \}} \&R \quad \frac{A_k \vdash C \quad (k \in L)}{\&_{\ell \in L} \{ \ell : A_\ell \} \vdash C} \&L_k$$

Completing the Process Language

- New (symmetric) process expressions

$$\frac{\Delta \vdash P_\ell : A_\ell \quad (\forall \ell \in L)}{\Delta \vdash \text{caseR}(\ell \Rightarrow P_\ell)_{\ell \in L} : \&\ell \in L\{l : A_\ell\}} \&R$$

$$\frac{A_k \vdash Q : C \quad (k \in L)}{\&\ell \in L\{l : A_\ell\} \vdash \text{L.k} ; Q : C} \&L_k$$

- New computation rule

$$\text{caseR}(\ell \Rightarrow P_\ell)_{\ell \in L} \mid (\text{L.k} ; Q) \longrightarrow P_k \mid Q$$

- Process expressions now:

$P, Q ::=$	$(P \mid Q)$	cut
	\leftrightarrow	id
	$\text{R.k} ; P \mid \text{caseL}(\ell \Rightarrow Q_\ell)_{\ell \in L}$	\oplus
	$\text{closeR} \mid \text{waitL} ; Q$	1
	$\text{caseR}(\ell \Rightarrow P_\ell)_{\ell \in L} \mid \text{L.k} ; Q$	$\&$

Turing Machines

- First: in mixed string rewriting form
- Transition function $\delta(q, a) = (q', b, \text{left})$ or (q', b, right)
- For each state q , we have two versions
 - q_L , looking left
 - q_R , looking right
- Transition rules

$$\begin{array}{llll} a q_L & \longrightarrow & q'_L b & \text{if } \delta(q, a) = (q', b, \text{left}) \\ a q_L & \longrightarrow & b q'_R & \text{if } \delta(q, a) = (q', b, \text{right}) \\ \$ q_L & \longrightarrow & \$ \sqcup q_L & \text{for endmarker } \$, \text{ blank symbol } \sqcup \\ \\ q_R a & \longrightarrow & q'_L b & \text{if } \delta(q, a) = (q', b, \text{left}) \\ q_R a & \longrightarrow & b q'_R & \text{if } \delta(q, a) = (q', b, \text{right}) \\ q_R \$ & \longrightarrow & q_R \sqcup \$ & \text{for endmarker } \$, \text{ blank symbol } \sqcup \end{array}$$

Turing Machines in Subsingleton Logic

- Typing: we must be able to read symbols to the left and right of the read/write head

$$\begin{aligned}\text{tape}_\Sigma &= \bigoplus_{a \in \Sigma} \{a : \text{tape}, \$: \mathbf{1}\} \\ \text{epat}_\Sigma &= \big\&_{a \in \Sigma} \{a : \text{epat}, \$: \perp\}\end{aligned}$$

- Program encodes transition

$$\begin{aligned}q_L = \text{caseL} & \left(\begin{array}{ll} a \Rightarrow q'_L \mid (\text{L}.b ; \leftrightarrow) & \text{if } \delta(q, a) = (q', b, \text{left}) \\ | a' \Rightarrow (\text{R}.b' ; \leftrightarrow) \mid q'_R & \text{if } \delta(q, a') = (q', b', \text{right}) \\ | \$ \Rightarrow (\text{R}.\$; \leftrightarrow) \mid (\text{R}._ ; \leftrightarrow) \mid q_L \end{array} \right.\end{aligned}$$

$$\begin{aligned}q_R = \text{caseR} & \left(\begin{array}{ll} a \Rightarrow q'_L \mid (\text{L}.b ; \leftrightarrow) & \text{if } \delta(q, a) = (q', b, \text{left}) \\ | a' \Rightarrow (\text{R}.b' ; \leftrightarrow) \mid q'_R & \text{if } \delta(q, a') = (q', b', \text{right}) \\ | \$ \Rightarrow (q_R \mid (\text{L}._ ; \leftrightarrow) \mid (\text{L}.\$; \leftrightarrow)) \end{array} \right.\end{aligned}$$

- For halting state, see paper

Turing Machines

- Proofs require embedded cuts, identity
- No longer satisfy circularity condition
 - Proofs are recursive, not coinductive
- Still, steps are simulated faithfully
- No isomorphism: many processes of the right type do not correspond to Turing machines
- **Generalize Turing machine model!**

A Concurrent Model: LCA

- Linear Communicating Automata
- Similar to Turing machines
 - Multiple read/write heads
 - Can spawn or terminate heads
- Mixed string rewriting of configuration with arbitrary interleaving of alphabet symbols and state symbols
- Distinguish 6 sets of states $q_{\{L,R\}^{\{r,w\}}}$, q_S , q_H

aq	\longrightarrow	q'	read left	$\text{caseL}(\dots a \Rightarrow Q' \dots)$
qa	\longrightarrow	q'	read right	$\text{caseR}(\dots a \Rightarrow Q' \dots)$
q	\longrightarrow	aq'	write left	$L.a ; Q'$
q	\longrightarrow	$q'a$	write right	$R.a ; Q'$
q	\longrightarrow	$q_1 q_2$	spawn	$(Q_1 Q_2)$
q	\longrightarrow	\cdot	halt	\leftrightarrow or closeR or closeL

Well-Typed LCAs Don't Go Wrong

- LCAs can exhibit deadlock and race conditions

Potential deadlock $q_L^r a q_R^r$
Potential race $q_R^r a q_L^r$

- Use asynchronous representation of configuration

$$\lceil a \rceil = L.a ; \leftrightarrow \text{ or}$$
$$\lceil a \rceil = R.a ; \leftrightarrow$$

- Type LCAs like we would type their process expressions
- Concurrent, but no race conditions or deadlock

Summary, in Reverse

- Linear communicating automata (LCAs) as concurrent Turing machines

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- Isomorphism for subseq. finite-state transducers (SFTs)
 - Use fixed-cut proofs only
 - Encompasses deterministic finite state automata (DFAs)
 - Closure properties via cut elimination

Further Related Work

- Multiparty session types and communicating automata [Deniélou & Yoshida 2012]
- Undecidability of asynchronous session subtyping [Lange & Yoshida] [Bravetti, Carbone, & Zavattaro]
- Many other papers on session types [Honda 1993] [...]
- Logical foundations of session types [Caires & Pf 2010]

Future Work

- Develop and apply subsingleton type theory to reason about automata
- Deterministic pushdown automata (DPDAs) and type constructors [DeYoung 2016]
- Parallel cost semantics [Silva & Pf 2016] and analysis
- Other constructions on automata via cut elimination
- Nondeterministic automata via redundant proofs
- Inductive/coinductive/recursive types
- Inductive/coinductive/recursive proofs

Church and Turing

Computation	Logic	Synthesis
λ -Calculus [Church 1936]	Intuitionistic Logic [Heyting 1930]	Proofs as Programs [Howard 1969]
Turing Machines [Turing 1937]	?	?
Linear Communicating Automata	Subsingleton Logic [Santocanale 2001]	Substructural Proofs as Automata [this paper]
Subsequential Finite State Transducers	Fixed Cut Subsingleton Logic	[this paper]

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λ -Calculus [Church 1936]	Intuitionistic Logic [Heyting 1930]	Proofs as Programs [Howard 1969]
Turing Machines [Turing 1937]	Some Restriction of Subsingleton Logic?	?
Linear Communicating Automata	Subsingleton Logic [Santocanale 2001]	Substructural Proofs as Automata [this paper]
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