

15-462 Computer Graphics I  
Lecture 7

## Lighting and Shading

Light Sources  
Phong Illumination Model  
Normal Vectors  
[Angel, Ch. 6.1-6.4]

February 12, 2002  
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<http://www.cs.cmu.edu/~fp/courses/graphics/>

## Remarks About Assignment 2

- Remember that object transformations are applied in the reverse order in which they appear in the code!
- Remember that transformation matrices are multiplied on the right and executed from right to left:  $(R \ S \ T)v = R (S (T v))$ !
- Look at the model solution (when it is out) and make sure you understand it before the midterm

## Outline

- Light Sources
- Phong Illumination Model
- Normal Vectors

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## Lighting and Shading

- Approximate physical reality
- Ray tracing:
  - Follow light rays through a scene
  - Accurate, but expensive (off-line)
- Radiosity:
  - Calculate surface inter-reflection approximately
  - Accurate, especially interiors, but expensive (off-line)
- Phong Illumination model (this lecture):
  - Approximate only interaction light, surface, viewer
  - Relatively fast (on-line), supported in OpenGL

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## Radiosity Example



Restaurant Interior. Guillermo Leal, Evolucion Visual

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## Raytracing Example



Martin Moeck,  
Siemens Lighting

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## Light Sources and Material Properties

- Appearance depends on
  - Light sources, their locations and properties
  - Material (surface) properties
  - Viewer position
- Ray tracing: from viewer into scene
- Radiosity: between surface patches
- Phong Model: at material, from light to viewer

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## Types of Light Sources

- Ambient light: no identifiable source or direction
- Point source: given only by point
- Distant light: given only by direction
- Spotlight: from source in direction
  - Cut-off angle defines a cone of light
  - Attenuation function (brighter in center)
- Light source described by a luminance
  - Each color is described separately
  - $I = [I_r \ I_g \ I_b]^T$  ( $I$  for intensity)
  - Sometimes calculate generically (applies to  $r, g, b$ )

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## Ambient Light

- Global ambient light
  - Independent of light source
  - Lights entire scene
- Local ambient light
  - Contributed by additional light sources
  - Can be different for each light and primary color
- Computationally inexpensive

$$\mathbf{I}_a = \begin{bmatrix} I_{ar} \\ I_{ag} \\ I_{ab} \end{bmatrix}$$

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## Point Source

- Given by a point  $\mathbf{p}_0$
- Light emitted equally in all directions

$$\mathbf{I}(\mathbf{p}_0) = \begin{bmatrix} I_r(\mathbf{p}_0) \\ I_g(\mathbf{p}_0) \\ I_b(\mathbf{p}_0) \end{bmatrix}$$

- Intensity decreases with square of distance

$$\mathbf{I}(\mathbf{p}, \mathbf{p}_0) = \frac{1}{|\mathbf{p} - \mathbf{p}_0|^2} \mathbf{I}(\mathbf{p}_0)$$

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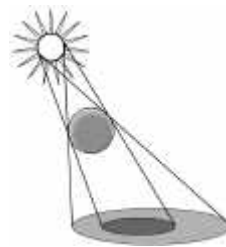
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## Limitations of Point Sources

- Shading and shadows inaccurate
- Example: penumbra (partial “soft” shadow)
- Similar problems with highlights
- Compensate with attenuation

$$\frac{1}{(a + bd + cd^2)} \quad \begin{array}{l} d = \text{distance } |p - p_0| \\ a, b, c \text{ constants} \end{array}$$

- Softens lighting
- Better with ray tracing
- Better with radiosity



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## Distant Light Source

- Given by a vector  $v$
- Simplifies some calculations
- In OpenGL:
  - Point source  $[x \ y \ z \ 1]^T$
  - Distant source  $[x \ y \ z \ 0]^T$



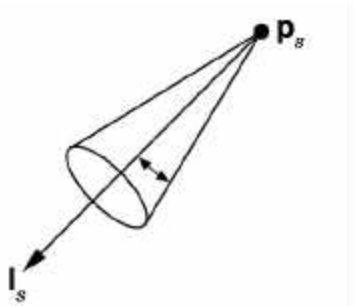
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## Spotlight

- Most complex light source in OpenGL
- Light still emanates from point
- Cut-off by cone determined by angle  $\theta$



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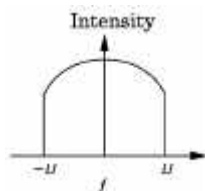
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## Spotlight Attenuation

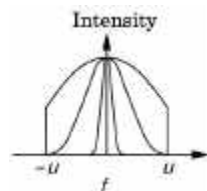
- Spotlight is brightest along  $l_s$
- Vector  $v$  with angle  $\phi$  from  $p$  to point on surface
- Intensity determined by  $\cos \phi$
- Corresponds to projection of  $v$  onto  $l_s$
- Spotlight exponent  $e$  determines rate

$$I = \cos^e(\phi) = (v \cdot l_s)^e \quad \text{Diagram correction } [u = \theta, f = \phi]$$



for  $e = 1$

for  $e > 1$   
curve narrows



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## Outline

- Light Sources
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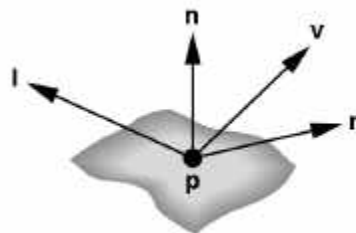
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## Phong Illumination Model

- Calculate color for arbitrary point on surface
- Compromise between realism and efficiency
- Local computation (no visibility calculations)
- Basic inputs are material properties and  $I$ ,  $n$ ,  $v$ :

$I$  = vector to light source  
 $n$  = surface normal  
 $v$  = vector to viewer  
 $r$  = reflection of  $I$  at  $p$   
(determined by  $I$  and  $n$ )



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## Basic Calculation

- Calculate each primary color separately
- Start with global ambient light
- Add reflections from each light source
- Clamp to [0, 1]
- Reflection decomposed into
  - Ambient reflection
  - Diffuse reflection
  - Specular reflection
- Based on ambient, diffuse, and specular lighting and material properties

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## Ambient Reflection

- Intensity of ambient light uniform at every point
- Ambient reflection coefficient  $k_a$ ,  $0 \leq k_a \leq 1$
- May be different for every surface and r,g,b
- Determines reflected fraction of ambient light
- $L_a$  = ambient component of light source
- Ambient intensity  $I_a = k_a L_a$
- Note:  $L_a$  is not a physically meaningful quantity

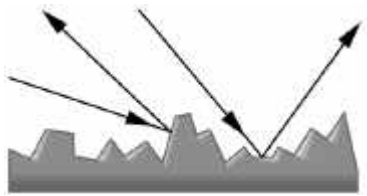
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## Diffuse Reflection

- Diffuse reflector scatters light
- Assume equally all direction
- Called Lambertian surface
- Diffuse reflection coefficient  $k_d$ ,  $0 \leq k_d \leq 1$
- Angle of incoming light still critical



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## Lambert's Law

- Intensity depends on angle of incoming light
- Recall

$\mathbf{l}$  = unit vector from light

$\mathbf{n}$  = unit surface normal

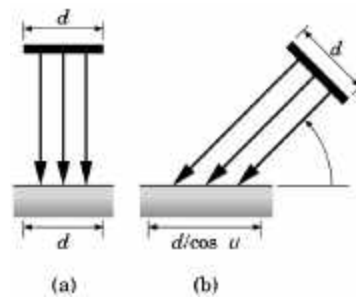
$\theta$  = angle to normal

- $\cos \theta = \mathbf{l} \cdot \mathbf{n}$

- $I_d = k_n (\mathbf{l} \cdot \mathbf{n}) L_d$

$$I_d = \frac{k_d}{a + bq + cq^2} (\mathbf{l} \cdot \mathbf{n}) L_d$$

$q$  = distance to light source,  
 $L_d$  = diffuse component of light



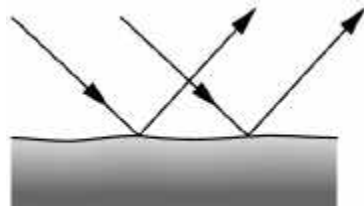
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## Specular Reflection

- Specular reflection coefficient  $k_s$ ,  $0 \leq k_s \leq 1$
- Shiny surfaces have high specular coefficient
- Used to model specular highlights
- Do not get mirror effect (need other techniques)



specular reflection



specular highlights

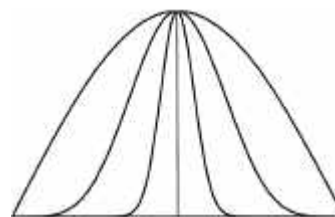
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## Shininess Coefficient

- $L_s$  is specular component of light
- $r$  is vector of perfect reflection of  $l$  about  $n$
- $v$  is vector to viewer
- $\phi$  is angle between  $v$  and  $r$
- $I_s = k_s L_s \cos^\alpha \phi$
- $\alpha$  is shininess coefficient
- Compute  $\cos \phi = r \cdot v$
- Requires  $|r| = |v| = 1$
- Multiply distance term



Higher  $\alpha$  is narrower

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## Summary of Phong Model

- Light components for each color:
  - Ambient ( $L_a$ ), diffuse ( $L_d$ ), specular ( $L_s$ )
- Material coefficients for each color:
  - Ambient ( $k_a$ ), diffuse ( $k_d$ ), specular ( $k_s$ )
- Distance  $q$  for surface point from light source

$$I = \frac{1}{a + bq + cq^2} (k_d L_d (\mathbf{l} \cdot \mathbf{n}) + k_s L_s (\mathbf{r} \cdot \mathbf{v})^\alpha) + k_a L_a$$

$\mathbf{l}$  = vector from light

$\mathbf{n}$  = surface normal

$\mathbf{r}$  =  $\mathbf{l}$  reflected about  $\mathbf{n}$

$\mathbf{v}$  = vector to viewer

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## Outline

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## Normal Vectors

- Summarize Phong

$$I = \frac{1}{a + bq + cq^2} (k_d L_d (\mathbf{l} \cdot \mathbf{n}) + k_s L_s (\mathbf{r} \cdot \mathbf{v})^\alpha) + k_a L_a$$

- Surface normal  $\mathbf{n}$  is critical
  - Calculate  $\mathbf{l} \cdot \mathbf{n}$
  - Calculate  $\mathbf{r}$  and then  $\mathbf{r} \cdot \mathbf{v}$
- Must calculate and specify the normal vector
  - Even in OpenGL!
- Two examples: plane and sphere

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## Normals of a Plane, Method I

- Method I: given by  $ax + by + cz + d = 0$
- Let  $\mathbf{p}_0$  be a known point on the plane
- Let  $\mathbf{p}$  be an arbitrary point on the plane
- Recall:  $\mathbf{u} \cdot \mathbf{v} = 0$  iff  $\mathbf{u}$  orthogonal  $\mathbf{v}$
- $\mathbf{n} \cdot (\mathbf{p} - \mathbf{p}_0) = \mathbf{n} \cdot \mathbf{p} - \mathbf{n} \cdot \mathbf{p}_0 = 0$
- Consequently  $\mathbf{n}_0 = [a \ b \ c \ 0]^T$
- Normalize to  $\mathbf{n} = \mathbf{n}_0 / |\mathbf{n}_0|$

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## Normals of a Plane, Method II

- Method II: plane given by  $p_0, p_1, p_2$
- Points may not be collinear
- Recall:  $u \times v$  orthogonal to  $u$  and  $v$
- $n_0 = (p_1 - p_0) \times (p_2 - p_0)$
- Order of cross product determines orientation
- Normalize to  $n = n_0/|n_0|$

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## Normals of Sphere

- Implicit Equation  $f(x, y, z) = x^2 + y^2 + z^2 - 1 = 0$
- Vector form:  $f(p) = p \cdot p - 1 = 0$
- Normal given by gradient vector

$$n_0 = \begin{bmatrix} \frac{\partial f}{\partial x} \\ \frac{\partial f}{\partial y} \\ \frac{\partial f}{\partial z} \end{bmatrix} = \begin{bmatrix} 2x \\ 2y \\ 2z \end{bmatrix} = 2p$$

- Normalize  $n_0/|n_0| = 2p/2 = p$

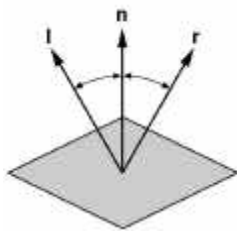
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## Angle of Reflection

- Perfect reflection: angle of incident equals angle of reflection
- Also:  $l$ ,  $n$ , and  $r$  lie in the same plane
- Assume  $|l| = |n| = 1$ , guarantee  $|r| = 1$



$$l \cdot n = \cos \theta = n \cdot r$$

$$r = \alpha l + \beta n \quad \text{Solution: } \alpha = -1 \text{ and } \beta = 2(l \cdot n)$$

$$r = 2(l \cdot n)n - l$$

Perhaps easier geometrically

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## Summary: Normal Vectors

- Critical for Phong model (diffuse and specular)
- Must calculate accurately (even in OpenGL)
- Pitfalls
  - Not unit length
  - How to set at surface boundary?
- Omitted
  - Refraction of transmitted light (Snell's law)
  - Halfway vector (yet another optimization)

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## Summary

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## Preview

- Polygonal shading
- Lighting and shading in OpenGL
- [Demo]
- Moving and stationary light sources

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## Announcements

- Assignment 2 back Thursday
- Check out model solution (before midterm)
- Assignment 3 due a week from Thursday