Programming Languages meets Program Verification 2014

Substructural Typestates

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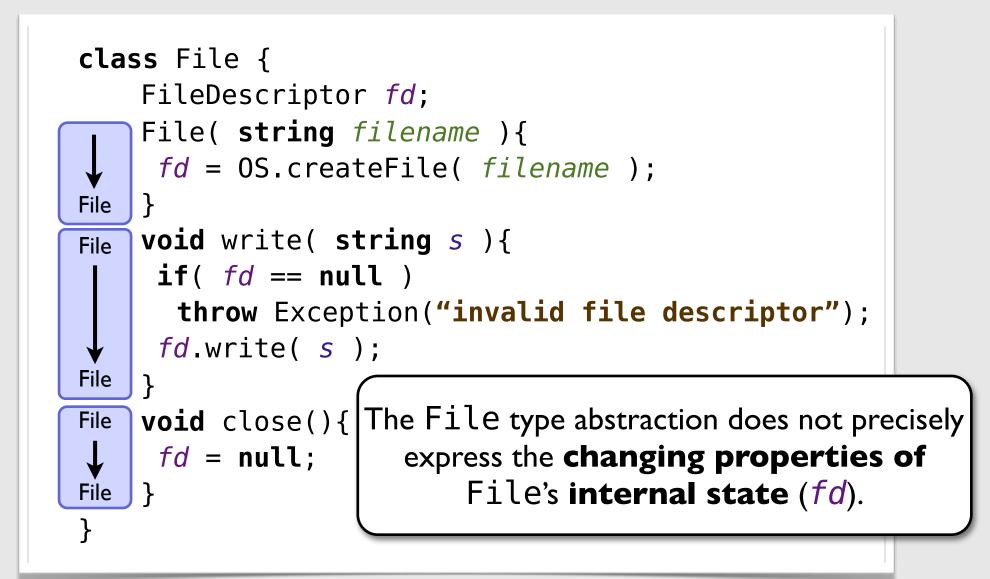
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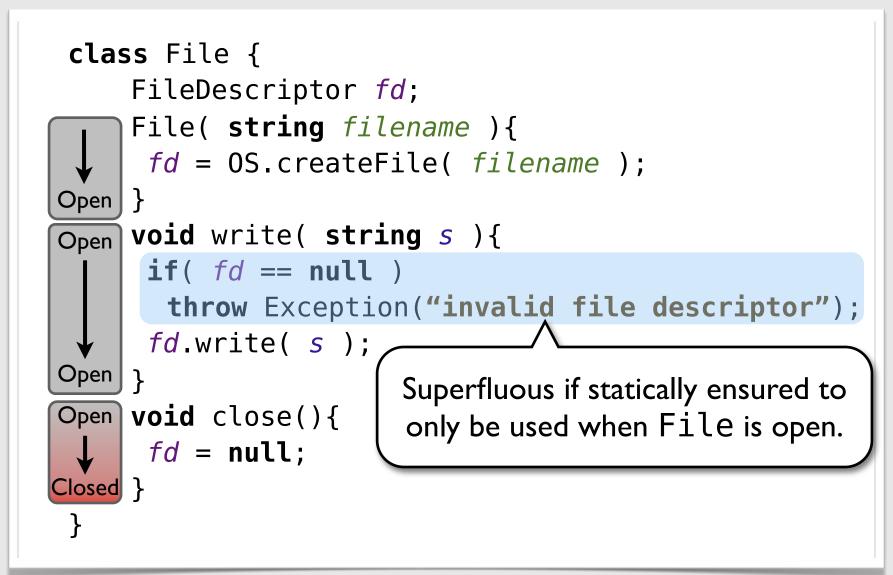
```
File file = new File( "out.txt" );
file.write( "stuff" );
file.close();
file.write( "more stuff" );
```

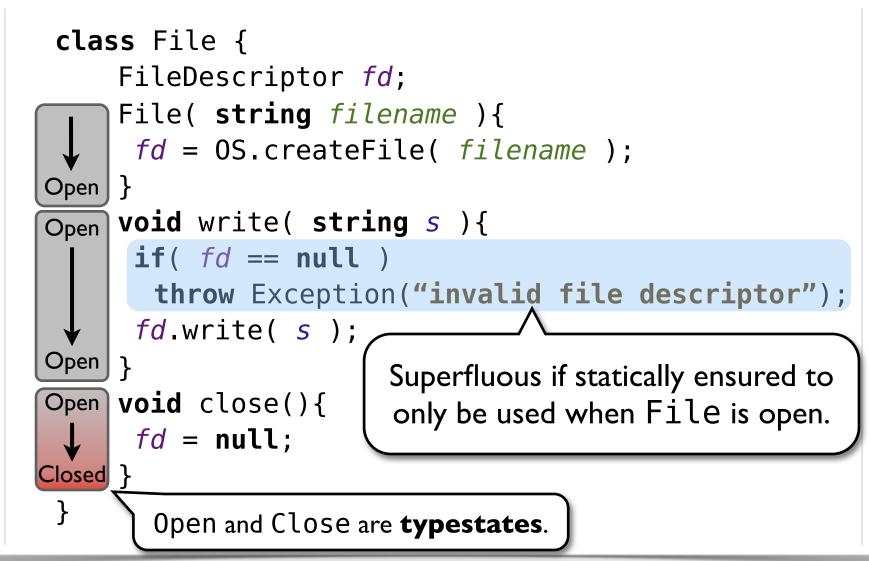
Note: consider a simplified File object, similar to Java's FileOutputStream.



```
class File {
    FileDescriptor fd;
    File( string filename ){
     fd = OS.createFile( filename );
    }
    void write( string s ){
    if( fd == null )
      throw Exception("invalid file descriptor");
     fd.write( s );
    void close(){
     fd = null;
    }
```







Contributions

- Reconstruct typestate features from standard type-theoretic programming language primitives.
 We focus on the following set of typestate features:
 - a) state abstraction, hiding an object representation while expressing the type of the state;
 - b) state "dimensions", enabling multiple orthogonal typestates over the same object;
 - c) "dynamic state tests", allowing a case analysis over the abstract state.
- 2. We show how to idiomatically support both state-based (**typestate**) and *transition-based* (**behavioral types**) specifications of abstract state evolution.

- Polymorphic λ-calculus with mutable references (and immutable records, tagged sums, ...).
- Technically, we use a variant of L³ adapted for usability (by simplifying the handling of capabilities, adding support for sum types, universal/existential type quantification, alternatives, labeled records, ...).

Ahmed, Fluet, and Morrisett. L³: A linear language with locations. Fundam. Inform. 2007.

- Mutable state handled as a linear resource:
 - split in *pure* references and *linear* capabilities.
 - use location-dependent types to link both.

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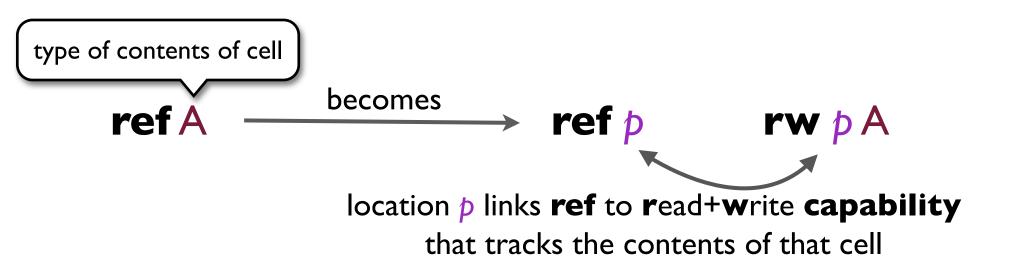
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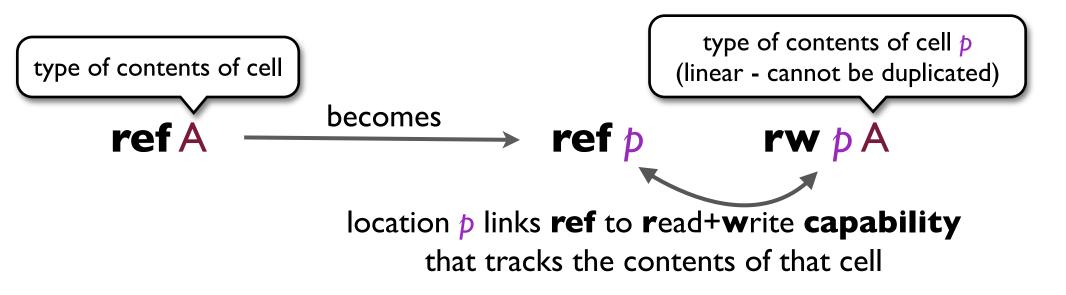
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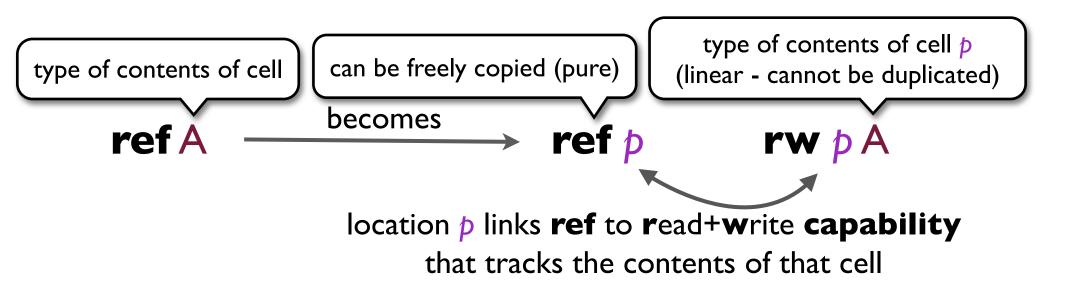
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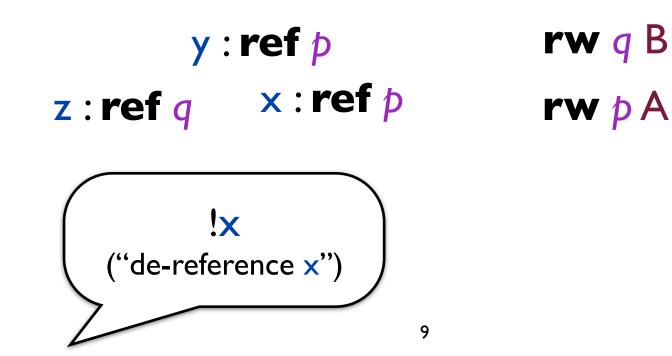


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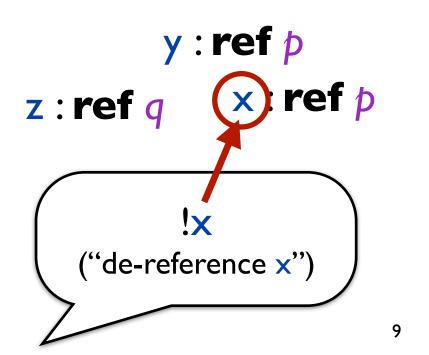
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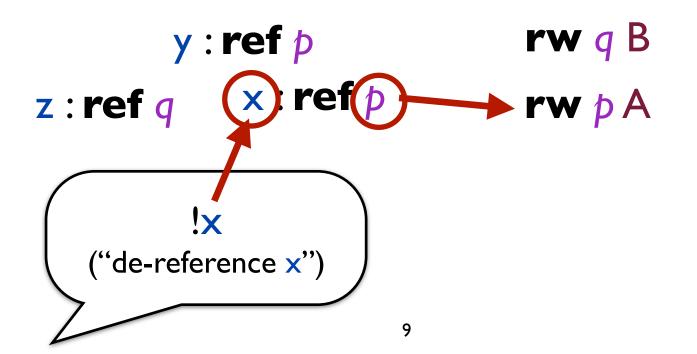


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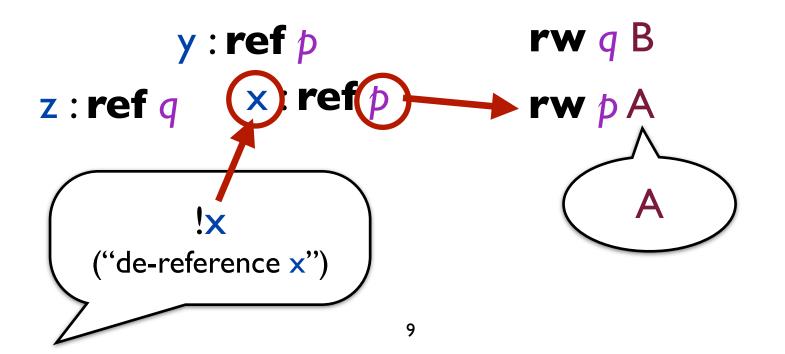


rw q B rw p A

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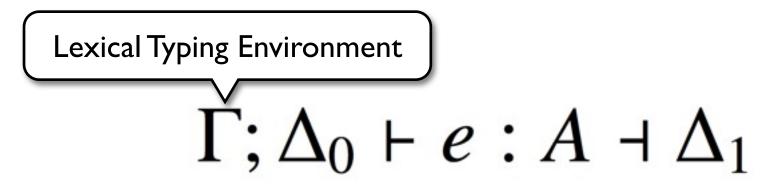
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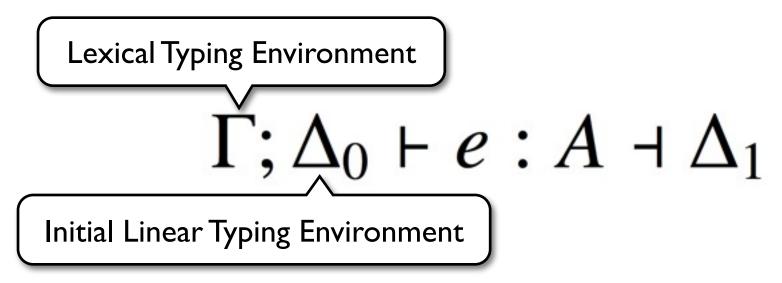
- Capabilities are (linear) typing artifacts (not values) that are *threaded* and *stacked* implicitly.
- For that, we use a **Type**-and-**Effect** system.
- Typing judgement format:

$\Gamma; \Delta_0 \vdash e : A \dashv \Delta_1$

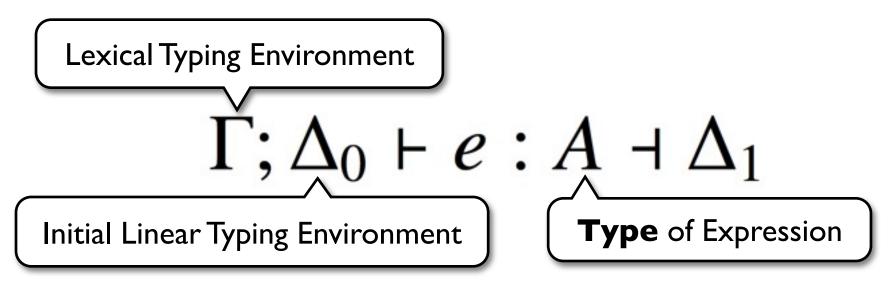
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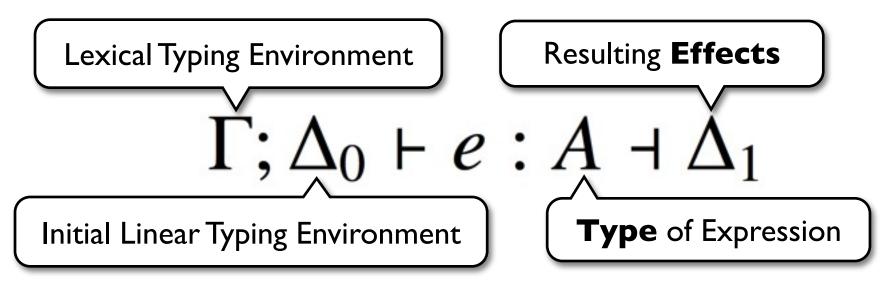
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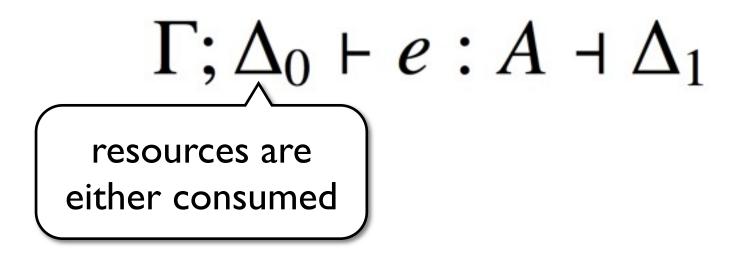
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through

(T:CAP-STACK)

$$\Gamma; \Delta_0 \vdash e : A_0 \dashv \Delta_1, A_1$$

 $\overline{\Gamma; \Delta_0 \vdash e : A_0 :: A_1 \dashv \Delta_1}$

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$$(\textbf{T:Cap-Stack}) \qquad (\textbf{T:Cap-Unstack}) \\ \hline{\Gamma; \Delta_0 \vdash e : A_0 \dashv \Delta_1, A_1} \qquad (\textbf{T:Cap-Unstack}) \\ \hline{\Gamma; \Delta_0 \vdash e : A_0 :: A_1 \dashv \Delta_1} \qquad \hline{\Gamma; \Delta_0 \vdash e : A_0 \dashv \Delta_1, A_1} \\ \hline{\Gamma; \Delta_0 \vdash e : A_0 \dashv \Delta_1, A_1} \qquad \hline{\Gamma; \Delta_0 \vdash e : A_0 \dashv \Delta_1, A_1}$$

$$(\mathbf{T}:\mathbf{CAP}-\mathbf{STACK}) \qquad (\mathbf{T}:\mathbf{CAP}-\mathbf{UNSTACK}) \\ \frac{\Gamma;\Delta_0 \vdash e:A_0 \dashv \Delta_1, A_1}{\Gamma;\Delta_0 \vdash e:A_0 ::A_1 \dashv \Delta_1} \qquad \frac{\Gamma;\Delta_0 \vdash e:A_0 ::A_1 \dashv \Delta_1}{\Gamma;\Delta_0 \vdash e:A_0 \dashv \Delta_1, A_1} \\ (\mathbf{T}:\mathbf{CAP}-\mathbf{ELIM}) \\ \frac{\Gamma;\Delta_0, x:A_0, A_1 \vdash e:A_2 \dashv \Delta_1}{\Gamma;\Delta_0, x:A_0 ::A_1 \vdash e:A_2 \dashv \Delta_1}$$

Types

Α	::=	!A	(pure/persistent)
		$A \multimap A$	(linear function)
		A :: A	(stacking)
		A * A	(separation)
		X	(type variable)
		$\forall X.A$	(universal type quantification)
		$\exists X.A$	(existential type quantification)
		$[\overline{\mathbf{f}:A}]$	(record)
		$\forall t.A$	(universal location quantification)
		$\exists t.A$	(existential location quantification)
		ref p	(reference type)
		rec X.A	(recursive type)
		$\sum_i 1_i #A_i$	(tagged sum)
		$A \oplus A$	(alternative)
		rw p A	(read-write capability to p)
		none	(empty capability)

Syntax

$v \in VALUES$::=	ρ	(address)
	1	x	(variable)
	1	fun(x:A).e	(function)
	i	$\langle t \rangle e$	(universal location)
	1	$\langle X \rangle e$	(universal type)
	i	$\langle p, v \rangle$	(pack location)
	1	$\langle A, v \rangle$	(pack type)
	1	$\{\overline{\mathbf{f}} = \mathbf{v}\}$	(record)
		1#v	(tagged value)
$e \in \text{Exprs.}$::=	V	(value)
	1	v[p]	(location application)
	1	v[A]	(type application)
	1	v.f	(field)
	1	νν	(application)
	1	let $x = e$ in e end	(let)
		open $\langle t, x \rangle = v$ in e end	(open location)
	1	open $\langle X, x \rangle = v$ in e end	(open type)
	1	new v	(cell creation)
		delete v	(cell deletion)
	1	!v	(dereference)
	1	v := v	(assign)
	1	case v of $1#x \rightarrow e$ end	(case)

Syntax

$v \in VALUES$::=	ρ	(address)
	x	(variable)
1	fun(x:A).e	(function)
i	$\langle t \rangle e$	(universal location)
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$e \in \text{Exprs.}$::=	ν	(value)
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	let $x = e$ in e end	(let)
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1	case v of $1#x \rightarrow e$ end	(case)

Pair Example

- Function that creates stateful Pair objects.
- The Pair's components (left and right) are private, not accessible to clients.
- The state of Pair is changed indirectly by calling functions contained in a labeled record (which are technically closures).

end

end

```
let newPair = fun( : [] ).
       open < pl, l > = new \{\} in
       open <pr,r> = new {} in
         {
           initL = fun( i : int :: rw pl [] ). l := i,
           initR = fun( i : int :: rw pr [] ). r := i,
           sum = fun( : [] :: rw pl int * rw pr int ). !l+!r,
           destroy = fun( : [] :: rw pl int * rw pr int ).
                                         delete l; delete r
         }
       end
       end
                                                       (T:LOC-OPEN)
(T:NEW)
                                                                   \Gamma; \Delta_0 \vdash v : \exists t. A_0 \dashv \Delta_1
              \Gamma; \Delta_0 \vdash v : A \dashv \Delta_1
                                                            \Gamma, t: \mathbf{loc}; \Delta_1, x: A_0 \vdash e: A_1 \dashv \Delta_2
\Gamma; \Delta_0 \vdash \text{new } v : \exists t.(\text{ref } t :: \mathbf{rw } t A) \dashv \Delta_1 \quad \Gamma; \Delta_0 \vdash \text{open} \langle t, x \rangle = v \text{ in } e \text{ end } : A_1 \dashv \Delta_2
```

let newPair = fun(: []). $\Gamma = pl$: loc, l : ref pl open <pl, l> = new {} in $\land \Delta = rw pl$ [] open <pr,r> = new {} in { *initL* = fun(i : int :: rw *pl* []). l := i, *initR* = fun(i : int :: rw *pr* []). r := i, sum = fun(: [] :: rw pl int * rw pr int). !l+!r, destroy = fun(: [] :: rw pl int * rw pr int). delete l; delete r } end end (T:LOC-OPEN) (T:NEW) Γ ; $\Delta_0 \vdash v$: $\exists t. A_0 \dashv \Delta_1$ $\Gamma; \Delta_0 \vdash \nu : A \dashv \Delta_1$ $\Gamma, t: \mathbf{loc}; \Delta_1, x: A_0 \vdash e: A_1 \dashv \Delta_2$ $\Gamma; \Delta_0 \vdash \text{new } v : \exists t.(\text{ref } t :: \mathbf{rw } t A) \dashv \Delta_1 \quad \Gamma; \Delta_0 \vdash \text{open} \langle t, x \rangle = v \text{ in } e \text{ end } : A_1 \dashv \Delta_2$

$$\begin{array}{l} \left[\begin{array}{c} \text{let newPair = fun(: [])} \\ \text{open }$$

$$\begin{array}{l} \left[\begin{array}{c} \text{let newPair = fun(: [])} \\ \text{open } {pl : loc, l : ref pl, pr : loc, r : ref pr \\ \Delta = rw pl [], rw pr [] \\ \end{array} \right] \\ \left\{ \begin{array}{c} \text{initL = fun(i : int :: rw pl []). l := i, \\ initR = fun(i : int :: rw pr []). r := i, \\ sum = fun(_ : [] :: rw pl int * rw pr int). !l+!r, \\ destroy = fun(_ : [] :: rw pl int * rw pr int). \\ delete l; delete r \\ \end{array} \right\} \\ end \\ end \\ end \\ end \\ (T:FUNCTION) \\ \left(\begin{array}{c} \Gamma; \Delta, x : A_0 \vdash e : A_1 \dashv \cdot \\ \overline{\Gamma; \Delta \vdash fun(x : A_0).e : A_0 \multimap A_1 \dashv \cdot \end{array} \right) \\ \left(\begin{array}{c} T:Record \\ \overline{\Gamma; \Delta \vdash v : A \dashv \cdot} \\ \overline{\Gamma; \Delta \vdash \{\overline{f = v\}}: [\overline{f:A}] \dashv \cdot \end{array} \right) \\ \end{array} \right)$$

end

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                                               (T:CAP-STACK)
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                                                \Gamma; \Delta_0 \vdash e : A_0 \dashv \Delta_1, A_1
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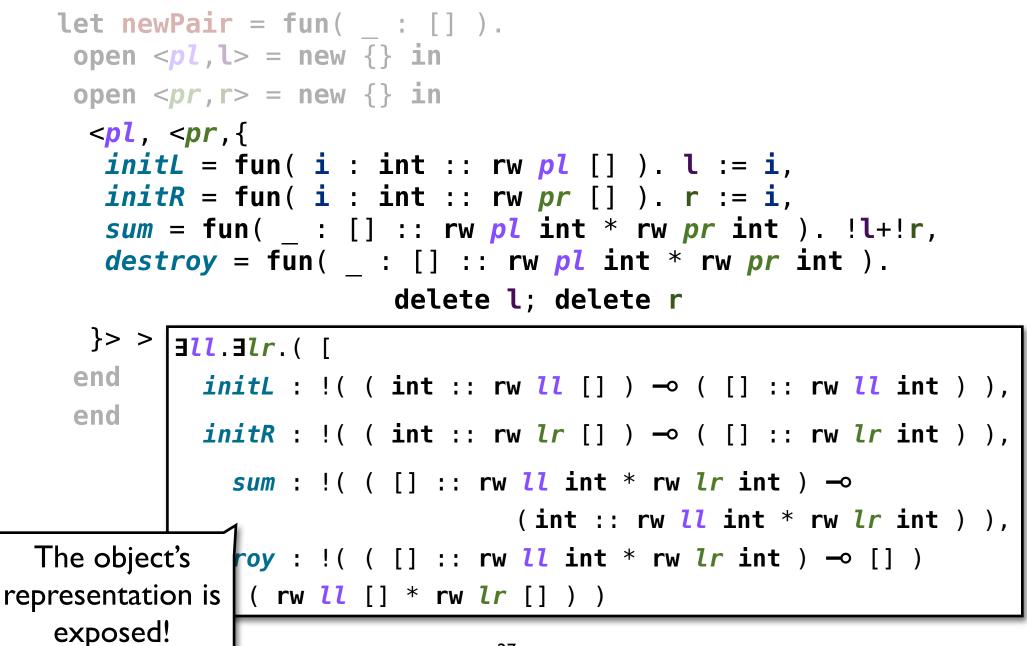
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sum = f[!((int :: rw pl []) → ([] :: rw pl int)) [] :: rw *pl* int * rw destroy = fun(delete l; delete r } end end (T:CAP-STACK) (T:FUNCTION) $\Gamma; \Delta_0 \vdash e : A_0 \dashv \Delta_1, A_1$ $\Gamma; \Delta, x : A_0 \vdash e : A_1 \dashv \cdot$ $\Gamma; \Delta_0 \vdash e : A_0 :: A_1 \dashv \Delta_1$ $\Gamma; \Delta \vdash fun(x : A_0).e : A_0 \multimap A_1 \dashv \cdot$

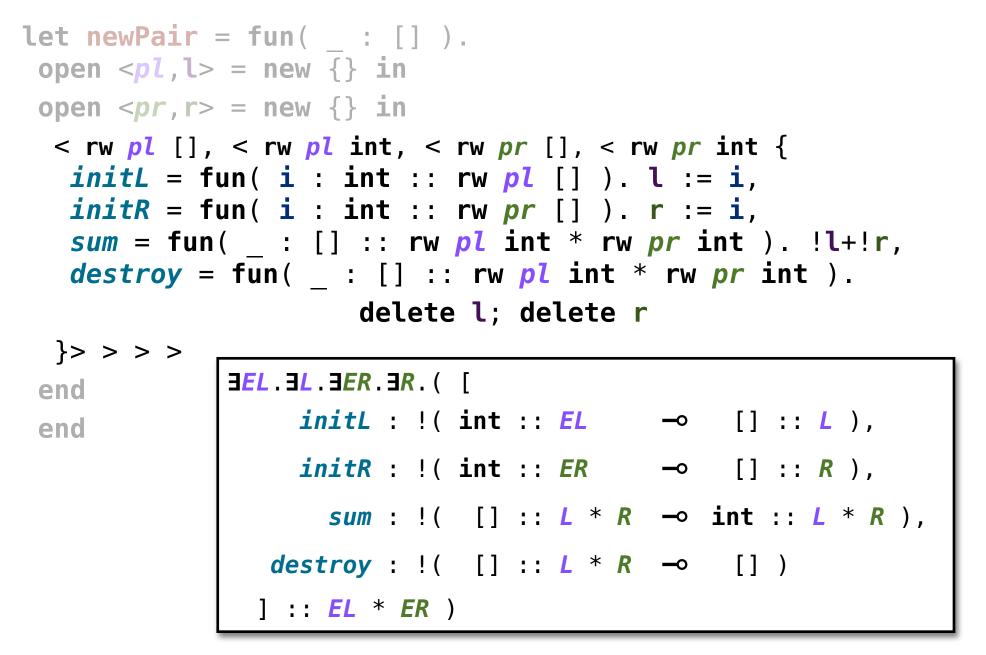
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   destroy = fun( : [] :: rw pl int * rw pr int ).
                      delete l; delete r
  }
 end
        initL : !( ( int :: rw pl [] ) → ( [] :: rw pl int ) ),
 end
        initR : !(( int :: rw pr [] ) → ( [] :: rw pr int ) ),
          sum : !( ( [] :: rw pl int * rw pr int ) →
                             (int :: rw pl int * rw pr int ) ),
      destroy : !( ( [] :: rw pl int * rw pr int ) → [] )
```

let newPair = fun(: []). open < pl, $l > = new \{\}$ in $\Delta = \mathbf{rw} \, \mathbf{pl} \, [], \, \mathbf{rw} \, \mathbf{pr} \, []$ open <pr,r> = new {} in < { *initL* = fun(i : int :: rw *pl* []). l := i, *initR* = fun(i : int :: rw *pr* []). r := i, sum = fun(: [] :: rw pl int * rw pr int). !l+!r, destroy = fun(: [] :: rw pl int * rw pr int). delete l; delete r } end initL : !((int :: rw pl []) → ([] :: rw pl int)), end *initR* : !((int :: rw *pr* []) → ([] :: rw *pr* int)), *sum* : !(([] :: rw *pl* int * rw *pr* int) → (int :: rw pl int * rw pr int)), *destroy* : !(([] :: rw *pl* int * rw *pr* int) → [])

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                     delete l; delete r
  }
 end
        initL : !( ( int :: rw pl [] ) → ( [] :: rw pl int ) ),
 end
        initR : !( ( int :: rw pr [] ) → ( [] :: rw pr int ) ),
          sum : !( ( [] :: rw pl int * rw pr int ) →
                             (int :: rw pl int * rw pr int ) ),
      destroy : !(([] :: rw pl int * rw pr int ) → [])
       :: ( rw pl [] * rw pr [] )
```

```
let newPair = fun( : [] ).
open <pl,l> = new {} in
 open <pr,r> = new {} in
  <pl, <pr, {
   initL = fun( i : int :: rw pl [] ). l := i,
   initR = fun( i : int :: rw pr [] ). r := i,
   sum = fun( : [] :: rw pl int * rw pr int ). !l+!r,
   destroy = fun( : [] :: rw pl int * rw pr int ).
                      delete l; delete r
  }> >
       311.3lr.( [
 end
         initL : !( ( int :: rw ll [] ) → ( [] :: rw ll int ) ),
 end
         initR : !( ( int :: rw lr [] ) → ( [] :: rw lr int ) ),
           sum : !( ( [] :: rw ll int * rw lr int ) →
                              (int :: rw ll int * rw lr int ) ),
       destroy : !( ( [] :: rw ll int * rw lr int ) → [] )
       ] :: ( rw ll [] * rw lr [] ) )
```





Pair Typestate

newPair :

!([] → **3***EL*.**3***L*.**3***ER*.**3***R*.([

initL : !(int :: EL - ○ [] :: L),
initR : !(int :: ER - ○ [] :: R),
sum : !([] :: L * R - ○ int :: L * R),
destroy : !([] :: L * R - ○ [])
] :: EL * ER))

- Type expresses the changing properties of the object's state,
 typestate (EmptyLeft, Left, EmptyRight and Right).
- Orthogonal typestates, "state dimensions" (*EL/L* and *ER/R*), correlate to separate internal state that operates independently.

Stack Typestate

- Type of a function (polymorphic in the contents to be stored in the stack) that creates stack objects.
- Each stack has two states: Empty and NonEmpty.
- Imprecision in the exact state of the stack is typed with E I (alternative): we either have the E typestate or NE the typestate.

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Typestates do not exist at runtime. How can client code distinguish between different states without breaking the abstraction?

```
newStack :
∀T.([] →
∃E.∃NE.[
    push : T :: E⊕NE → [] :: NE,
    pop : [] :: NE → T :: E⊕NE,
    isEmpty : [] :: E⊕NE → Empty#([]::E) + NonEmpty#([]::NE),
        del : [] :: E → []
    ] :: E )
```

Note: !'s omitted from the type for brevity.

```
newStack :
∀T.([] -
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    push : T :: E⊕NE -> [] :: NE,
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        del : [] :: E -> []
] :: E )
```

Note: !'s omitted from the type for brevity.

```
newStack :
  ∀T.( [] -•
     JE. JNE. [
        push : T :: E \oplus NE \multimap [] :: NE,
          pop : [] :: NE \neg T :: E\oplusNE,
     isEmpty : [] :: E \oplus NE \rightarrow Empty#([]::E) + NonEmpty#([]::NE),
         del : [] :: E → []
     ] :: E )
                    Clients can use case analysis to determine precisely
                     in which state the stack is at, "dynamic state test",
                       anchoring values to the abstract stack states.
```

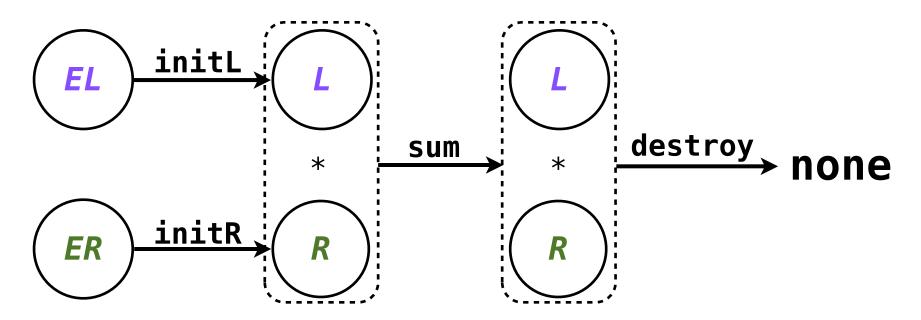
Note: !'s omitted from the type for brevity.

Contributions

- Reconstruct typestate features from standard type-theoretic programming language primitives.
 We focus on the following set of **typestate** features:
 - a) state abstraction, hiding an object representation while expressing the type of the state;
 - b) state "dimensions", enabling multiple orthogonal typestates over the same object;
 - c) "dynamic state tests", allowing a case analysis over the abstract state.
- 2. We show how to idiomatically support both state-based (**typestate**) and *transition-based* (**behavioral types**) specifications of abstract state evolution.

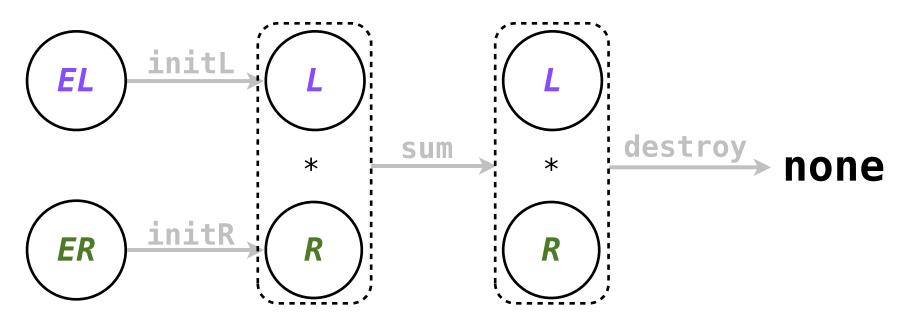
Back to Pair...

The evolution of the abstract state can be specified using a state-machine/automaton/protocol.



Back to Pair...

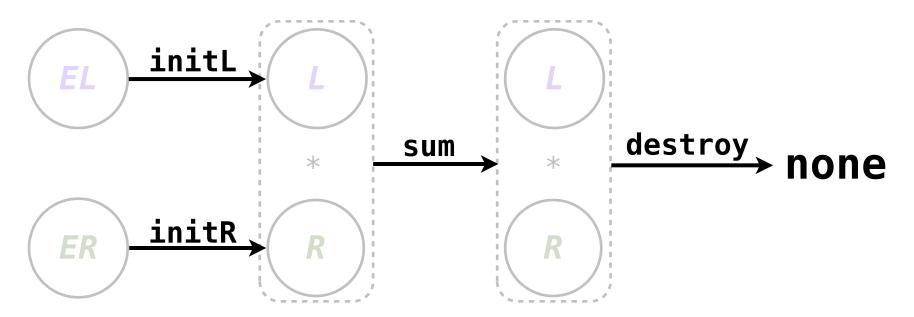
The evolution of the abstract state can be specified using a state-machine/automaton/protocol.



Typestates focus on the states that model the abstracted changes of the mutable state.

Back to Pair...

The evolution of the abstract state can be specified using a state-machine/automaton/protocol.



Behavioral Types focus on the *transitions* ("behavior") keeping the states anonymous.

Abstracting and Hiding State

- In our system, the notion of typestates is related to state abstraction, while the notion of behavior is related to hiding state.
- With typestates, states are named which can be convenient when there are multiple paths through the protocol.
- With behavioral types, states are implicit which simplifies descriptions of linear usages and makes it easier to provide structural equivalences.

Caires and Seco. The type discipline of behavioral separation. POPL 2013.

Abstracting and Hiding State

- We have already seen how to model **typestates** through standard existential abstraction.
- Interestingly, the notion of "behavior" can be modeled with what was already shown!
- However, it requires using an idiom to *capture* the typestate inside a function effectively hiding it.

• A typestate can be *borrowed* by a function if that function requires the typestate as an argument but the function returns the typestate as a result.

initL : !(**int** :: **EL** → [] :: **L**)

• Alternatively, a function may depend on state that was *captured* from the enclosing linear environment (similar to a closure, but with state).

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fun(x : int).(initL x)

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$$\Delta = EL \quad fun(x : int).(initL x)$$

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$$\Gamma = initL : !(int :: EL \rightarrow [] :: L)$$

$$\Delta = EL \quad fun(x : int).(initL x)$$

 $(T:FUNCTION) \qquad (T:CAP-STACK)$ $\Gamma; \Delta, x : A_0 \vdash e : A_1 \dashv \cdot$ $\overline{\Gamma; \Delta \vdash fun(x : A_0).e : A_0 \multimap A_1 \dashv \cdot} \qquad (T:CAP-STACK)$ $\Gamma; \Delta_0 \vdash e : A_0 \dashv \Delta_1, A_1$ $\overline{\Gamma; \Delta_0 \vdash e : A_0 \dashv \Delta_1, A_1}$

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• Alternatively, a function may depend on state that was *captured* from the enclosing linear environment (similar to a closure, but with state).

$$\Gamma = initL : !(int :: EL \multimap [] :: L)$$

$$\Delta = EL \quad fun(x : int) .(initL x) \quad \Delta = .$$

$$I:FUNCTION) \quad (T:Cap-STACK)$$

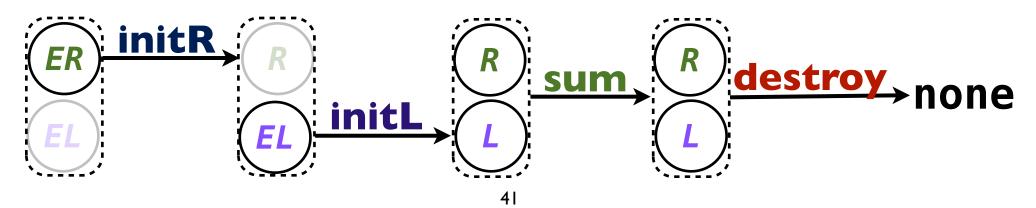
$$\Gamma; \Delta, x : A_0 \vdash e : A_1 \dashv \cdot \qquad (T:Cap-STACK)$$

$$\Gamma; \Delta \vdash fun(x : A_0).e : A_0 \multimap A_1 \dashv \cdot \qquad \Gamma; \Delta_0 \vdash e : A_0 \dashv \Delta_1, A_1$$

$$\Gamma; \Delta_0 \vdash e : A_0 \dashv \Delta_1 \dashv \Delta_1$$

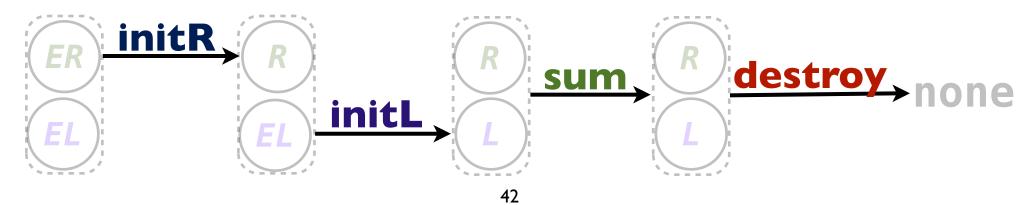
Hiding (Type)state

- Capturing the typestate enables us to hide the typestate needed by the function's *argument*.
- Hiding the typestate from the result is not immediately possible. However, we can define a complete sequence of uses ("behavior") that ends in a function that destroys the (type)state.
- One possible linear "behavior" for the Pair is:



Hiding (Type)state

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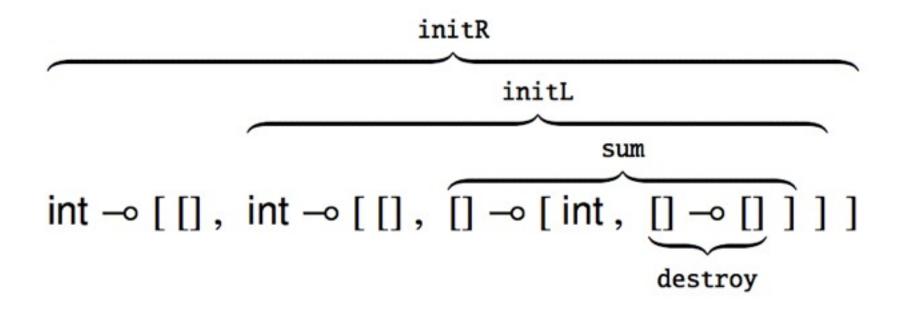


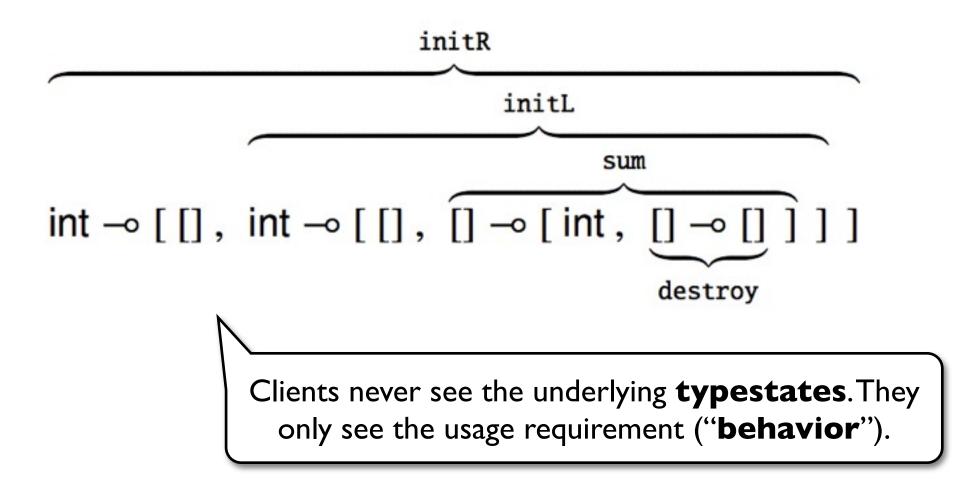
```
fun( a : int ).
 {
   initR(a)
 1
   fun( b : int ).
    {
      initL(b)
    1
      fun( _ : [] ).
       {
        sum(_)
       1
        fun( _ : [] ).destroy(_)
       }
    }
 }
```

```
fun( a : int ).
   {
      initR(a)
    1
      fun( b : int ).
       {
         initL(b)
       1
         fun( _ : [] ).
          {
           sum(_)
[] \rightarrow [] > fun( _ : [] ).destroy(_)
                                [] :: L * R → []
       }
    }
```

```
fun( a : int ).
   {
      initR(a)
    1
      fun( b : int ).
       {
         initL(b)
       1
         fun( _ : [] ).
           {
                         [] :: L * R \rightarrow int :: L * R
            sum(
[] \rightarrow [] > fun( _ : [] ).destroy(_)
                                [] :: L * R → []
       }
```

 $\Delta = EL, ER$





Technical Results

Theorem 1 (Progress). If e_0 is a closed expression (and where Γ and Δ_0 are also closed) such that:

 $\Gamma; \Delta_0 \vdash e_0 : A \dashv \Delta_1$

then either:

- e_0 is a value, or;
- *if exists* H_0 *such that* Γ ; $\Delta_0 \vdash H_0$ *then* $\langle H_0 || e_0 \rangle \mapsto \langle H_1 || e_1 \rangle$.

Theorem 2 (Preservation). If e_0 is a closed expression such that: $\Gamma_0; \Delta_0 \vdash e_0 : A \dashv \Delta$ $\Gamma_0; \Delta_0 \vdash H_0$ $\langle H_0 || e_0 \rangle \mapsto \langle H_1 || e_1 \rangle$ then, for some Δ_1, Γ_1 :

$$\Gamma_0, \Gamma_1; \Delta_1 \vdash H_1$$
 $\Gamma_0, \Gamma_1; \Delta_1 \vdash e_1 : A \dashv \Delta$

Related Work

DeLine and Fähndrich. **Typestates for objects.** ECOOP 2004.

DeLine and Fähndrich. Enforcing high-level protocols in low-level software. PLDI 2001.

Bierhoff and Aldrich. **Modular typestate checking of aliased objects**. OOPSLA 2007.

Beckman, Bierhoff, and Aldrich. **Verifying correct usage of atomic blocks and typestate**. OOPSLA 2008.

Sunshine, Naden, Stork, Aldrich, and Tanter. **First-class state change in Plaid**. OOPSLA 2011.

- They support many advanced uses (method dispatch, inheritance, sharing mechanisms, concurrency, etc).
- We focus on reconstructing a smaller set of typestate features from type-theoretic primitives (separation and linear logic). Which enables combining abstracting and hiding state.

Related Work

Ahmed, Fluet, and Morrisett. L³: A linear language with locations. Fundam. Inform. 2007.

Walker and Morrisett. Alias types for recursive data structures. TIC 2001.

Smith, Walker, and Morrisett. Alias types. ESOP 2000.

• We extend their work with usability related changes (implicitly threaded capabilities, alternatives, etc).

Parkinson and Bierman. Separation logic and abstraction. POPL 2005.

- Abstract predicates can represent a richer domain of abstract state (not limited to a finite number, can be parametric, etc).
- Typestates encode a simpler notion of abstraction, generally targets a more lightweight verification.

Paper includes additional Related Work.

Summary

- I. Encoding **typestates** using existential types in a substructural type-and-effect system.
- 2. Support both state-based and transition-based specifications of abstract state evolution.



Experimental Prototype Implementation: https://code.google.com/p/**dead-parrot**

• Future Work:

Sharing of resources through disconnected variables.

Prototype

JavaScript-based implementation, runs in browser.

Deed Derret		t newPair = fu			
Dead-Parrot	40	open <pl,l> =</pl,l>			
Experimental prototype. Project Page. Unit Tests.	41	open <pr,r> =</pr,r>			
Examples	42	<rw pl="" th="" 📋:<=""><th>EL,<rw pr="" th="" 📋:i<=""><th>ER,<rw i<="" int:r,<rw="" pl="" pr="" th=""><th>nt:L,</th></rw></th></rw></th></rw>	EL, <rw pr="" th="" 📋:i<=""><th>ER,<rw i<="" int:r,<rw="" pl="" pr="" th=""><th>nt:L,</th></rw></th></rw>	ER, <rw i<="" int:r,<rw="" pl="" pr="" th=""><th>nt:L,</th></rw>	nt:L,
	43	{			
welcome	44			:: rw pl 🔲).(l := i	
idioms	45			:: rw pr 🗌).(r := i	
	46			(rw pl int " rw pr int	
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	48		pr 🗋 * 🛯 pl		
typestate	49	>>>>			
behavioral	50	end			
	51	end			
behavioral-ind	52	in			
behavioral-typestate	53			D > 4-	
benavioral-typestate	54		> = newPair((}) in	
list-adt	55 56	open < ER, t1			
	57	open < R, t2			
stack	57	open < L, obj			
case	59	obj.ini obj.ini			
case	60		= obj.sum({})) in	
	61		destroy({});	,	
Load Test File +	62	res	descroy(1),		
Change Style \$	63	end			
	Type: lint				
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