

# 15-441: Computer Networks - Project 3

## Congestion Control

Project 3 Lead TA: Dan Perkins

Assigned: Thursday, March 27, 2008.

Due Date: Thursday, May 1, 2008.

### 1 Overview

In this assignment, you will implement a BitTorrent-like file transfer application. The application will run on top of UDP, and you will need to implement a reliability and congestion control protocol (similar to TCP) for the application. The application will be able to simultaneously download different parts, called “chunks”, of a file from different servers. Please remember to read the complete assignment handout *more than once* so that you know exactly what is being provided and what functionality you are expected to add. Project documents, FAQ, and starter files are at:

<http://www.cs.cmu.edu/~dga/15-441/S08/assignments.html>

The project consists of a *mandatory component* that will be used for grading, and an *optional optimization component*. The group/groups whose application performs the fastest file transfers (by selecting good peers from whom to download, **while still performing proper congestion control**) will receive both glowing praise from the course staff and the awe and envy of your peers. On the outside chance that this is not sufficient motivation, we will also provide gift certificates to the top two teams, as well as a “secret prize” for the best code design.

This is a group project and you must find exactly one partner to work with. Once you have found a partner, email Dan (dperkins@andrew.cmu.edu) with the names of the two people in your group and your andrew logins. Use **”15441 GROUP”** as the subject line. If you can’t find a partner start by posting on the bboard.

#### 1.1 Help Sessions, Checkpoints and Deadlines

The timeline for the project is below, including several checkpoints checkpoints. To help you pace your work, remember that checkpoints represent a date by which you should easily have completed the required functionality. Given the timeline, you can see that this means you should get started now! The late policy is explained on the course website.

Date	Description
March 27	Assignment handed out. <b>PLEASE START EARLY!</b>
March 30	Deadline to inform us about project partner pairs
April 2	Recitation: Project Design Overview, Q & A
April 3	<b>Checkpoint 1:</b> WHOHAS flooding and IHAVE responses
April 9	Recitation: Congestion Control in Project 2
April 10	<b>Checkpoint 2 (ungraded):</b> Simple Chunk Download with stop-and-wait
April 17	<b>Checkpoint 3:</b> Sliding window flow-control with reliability
April 24	<b>Checkpoint 4 (ungraded):</b> Simple Congestion Avoidance, with cwnd = 1 after any loss
April 27	<b>Early bird deadline for required functionality (10 bonus points) by 11:59 P.M.</b>
May 1	<b>Late deadline with No penalty (also extra credit and competition) by 11:59 P.M.</b>

There are four *mandatory* checkpoints. Each checkpoint is worth 10 points.

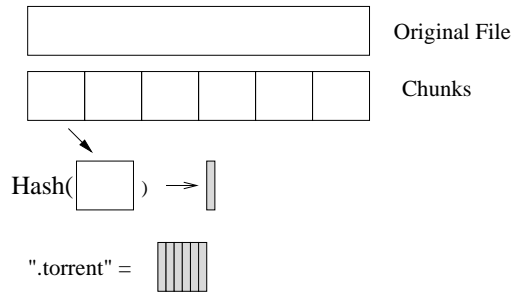


Figure 1: Diagram of bittorrent chunking and torrents: Bittorrent takes a large file and breaks it down into separate chunks which can be downloaded from different “peers”. Chunks are identified by a “hash-value”, which is the result of computing a well-known hash function over the data in the chunk. When a client wants to download a file, it first grabs a “torrent” file, which contains all of the hash values for the desired data file. The torrent lets the client know what chunks to request from other peers in the network.

## 2 Where to get help

A big part of being a good programmer is learning how to be resourceful during the development process. The first places to look for help are (1) carefully re-reading the assignment, (2) looking at the project2 website for updates and the FAQ, (3) scanning previous bulletin board posts, and (4) googling any standard compiler or script error messages. If you still have a question AFTER doing this, general questions should be posted to the class bulletin board, **academic.cs.15-441**, we will be happy to help. If you have more specific questions (especially ones that require us to look at your code), please drop by office hours.

## 3 Project Outline

During the course of this project, you will do the following:

- Implement a BitTorrent like peer to search for and download/upload file parts.
- Implement a congestion control mechanism to ensure fair and efficient network utilization.
- Implement smart optimizations to get the best possible transfer time (extra credit).

## 4 Project specification

### 4.1 Background

This project is loosely based on the BitTorrent Peer-to-Peer (P2P) file transfer protocol. In a traditional file transfer application, the client knows which server has the file, and sends a request to that specific server for the given file. In many P2P file transfer applications, the actual *location* of the file is unknown, and the file may be present at multiple locations. The client first sends a query to discover which of its many peers have the file it wants, and then retrieves the file from one or more of these peers.

While P2P services had already become commonplace, BitTorrent introduced some new concepts that made it really popular. Firstly BitTorrent splits the file into different “chunks”. Each chunk can be downloaded independently of the others, and then the entire collection of chunks is reassembled into the file. In this assignment, you will be using a fixed-size chunk of 512Kbytes.

BitTorrent uses a central “tracker” that tracks which peers have which chunks of a file. A client begins a download by first obtaining a “.torrent” file, which lists the information about each chunk of the file. A chunk is identified by the

cryptographic hash of its contents; after a client has downloaded a chunk, it must compute the cryptographic hash to determine whether it obtained the right chunk or not. See Figure 1.

To download a particular chunk, the receiving peer obtains from the tracker a list of peers that contain the chunk, and then directly contacts one of those peers to begin the download. BitTorrent uses a “rarest-chunk-first” heuristic where it tries to fetch the rarest chunk first. The peer can download/upload four different chunks in parallel.

You can read more about the BitTorrent protocol details from <http://www.bittorrent.org/protocol.html>. Bram Cohen, its originator also wrote a paper on the design decisions behind BitTorrent. The paper is available at <http://bitconjurer.org/BitTorrent/bittorrentecon.pdf>.

This project departs from real BitTorrent in several ways:

- Instead of implementing a tracker server, your peers will flood the network to find which peers have which chunks of a file. Each peer will know the identities of every other peer in the network; you do not have to implement routing.
- To simplify set-up and testing, all file data is actually accessed from a single “master data file”. Peers are configured with a file to tell them what chunks from this file they “own” upon startup.
- You do not have to implement BitTorrent’s incentive based mechanism to encourage good uploaders and discourage bad ones.

But the project adds one complexity: BitTorrent obtains chunks using TCP. Your application will obtain them using **UDP**, and you will have to implement congestion control and reliability. It is a good idea to review congestion control concepts, particularly TCP, from both lecture and the textbook (Peterson & Davie Section 6.3).

## 4.2 Programming Guidelines

Your peer must be written in the C programming language, no C++ or STL is allowed. You must use UDP for all the communication for control and data transfer. Your code must compile and run correctly on Andrew Linux machines. Refer to slides from past recitations on designing modular code, editing makefiles, using subversion, and debugging. As with project1, your implementation should be single-threaded.

For network programming, you are not allowed to use any custom socket classes, only the standard libsocket and csapp libraries. We will provide a hashing library, and you may use public code for basic data structures, but not any code performing higher-level functionality. These guidelines are similar to project1, except that you may freely use any code from your project1 (even if you switched partners). However, all code you do not freshly write for this assignment must be clearly documented in the README.

## 4.3 Provided Files

Your starter code includes:

- `hupsim.pl` - This file emulates a network topology using `topo.map` (see Section 7)
- `sha.[ch]` - The SHA-1 hash generator
- `input_buffer.[ch]` - Handle user input
- `debug.[ch]` - helpful utilities for debugging output
- `bt_parse.[ch]` - utilities for parsing commandline arguments.
- `peer.c` - A skeleton peer file. Handles some of the setup and processing for you.
- `nodes.map` - provides the list of peers in the network
- `topo.map` - the hidden network topology used by `hupsim.pl`. This should be interpreted only by the `hupsim.pl`, your code should **not** read this file. You may need to modify this file when using `hupsim.pl` to test the congestion avoidance part of your program.

- `make-chunks` - program to create new chunk files given an input file that contains chunk-id, hash pairs, useful for creating more larger file download scenarios.

## 4.4 Terminology

- `master-data-file` - The input file that contains ALL the data in the network. All nodes will have access to this file, but a peer should only read the chunks that it “owns”. A peer owns a chunk if the chunk id and hash was listed in that peer’s `has-chunk-file`, or if the peer has already downloaded the chunk since starting up. The second case only applies if you choose to implement caching as extra credit.
- `master-chunk-file` - A file that lists the chunk IDs and corresponding hashes for the chunks in the master data file.
- `peer-list-file` - A file containing list of all the peers in the network. For a sample of the `peer-list-file`, please look at `nodes.map`.
- `has-chunk-file` - A per-node file containing list of chunks that a particular node has at startup. However, a peers will have access to more chunks as they download the chunks from other peers in the network.
- `get-chunk-file` - A file containing the list of chunk ids and hashes a peer wants to download. This filename is provided by the user when requesting a new download.
- `max-downloads` - The maximum number of simultaneous connections allowed in each direction (download / upload)
- `peer-identity` - The identity of the current peer. This should be used by the peer to get its hostname and port from `peer-list-file`
- `debug-level` - The level of debug statements that should be printed out by `DPRINTF()`. For more information, please look at `debug.[h,c]`.

## 4.5 How the file transfer works

The code you write should produce an executable file named “peer”. The command line options for the program are :

```
peer -p <peer-list-file> -c <has-chunk-file> -m <max-downloads>
    -i <peer-identity> -f <master-chunk-file> -d <debug-level>
```

The peer program listens on standard input for commands from the user. The only command is “GET <get-chunk-file> <output filename>”. This instruction from the user should cause your program to open the specified chunks file and attempt to download all of the chunks listed in it (you can assume the file names contain no spaces). When your program finishes downloading the specified file, it should print “GOT <get-chunk-file>” on a line by itself. You do not have to handle multiple concurrent file requests from the user. Our test code will not send another GET request until the first has completed; you’re welcome to do whatever you want internally. The format of different files are given in Section 4.7.

To find hosts to download from, the requesting peer sends a “WHOHAS <list>” request to all other peers, where <list> is the list of chunk hashes it wants to download. The list specifies the SHA-1 hashes of the chunks it wants to retrieve. The entire list may be too large to fit into a single UDP packet. You should assume the maximum packet size for UDP as 1500 bytes. The peer must split the list into multiple WHOHAS queries if the list is too large for a single packet. Chunk hashes have a fixed length of 20 bytes. If the file is too large to express in a single WHOHAS query your client may send out the GET requests iteratively, waiting for responses to a GET request’s chunks to be downloaded before continuing. For better performance, your client should send these requests in parallel.

Upon receipt of a WHOHAS query, a peer sends back the list of chunks it contains using the “IHAVE <list>” reply. The list again contains the list of hashes for chunks it has. Since the request was made to fit into one packet, the response is guaranteed to fit into a single packet.

The requesting peer looks at all I HAVE replies and decides which remote peer to fetch each of the chunks from. It then downloads each chunk individually using “GET <chunk-hash>” requests. Because you are using UDP, you can think of a “GET” request as combining the function of an application-layer “GET” request *and* a the connection-setup function of a TCP SYN packet.

When a peer receives a GET request for a chunk it owns, it will send back multiple “DATA” packets to the requesting peer (see format below) until the chunk specified in the GET request has been completely transferred. These DATA packets are subject to congestion control, as outlined in Section 6.2. The peer may not be able to satisfy the GET request if it is already serving maximum number of other peers. The peer can ignore the request or queue them up or notify the requester about its inability to serve the particular request. Sending this notification is optional, and uses the DENIED code. Each peer can only have 1 simultaneous download from any other peer in the network, meaning that the IP address and port in the UDP packet will uniquely determine which download a DATA packet belongs to.

When a peer receives a DATA packet it sends back an ACK packet to the sender to notify that it successfully received the packet. Receivers should acknowledge all DATA packets.

## 4.6 Packet Formats

All the communication between the peers use UDP as the underlying protocol. All packets begin with a common header:

1. Magic Number [2 bytes]
2. Version Number [1 byte]
3. Packet Type [1 byte]
4. Header Length [2 bytes]
5. Total Packet Length [2 bytes]
6. Sequence Number [4 bytes]
7. Acknowledgment Number [4 bytes]

Just like in the previous assignment, all multi-byte integer fields must be transmitted in network byte order (the magic number, the lengths, and the sequence/acknowledgment numbers). Also, all integers must be unsigned.

The magic number should be 15441, and the version number should be 1. Peers should drop packets that do not have these values. The “Packet Type” field determines what kind of payload the peer should expect. The codes for different packet types are given in Table 1. By changing the header length, the peers can provide custom optimizations for all the packets (if you choose). Sequence number and Acknowledgment number are used for congestion control mechanisms similar to TCP as well as reliable transmission.

If you extend the header length, please begin your extended header with a two-byte “extension ID” field set to your group’s number, to ensure that you can interoperate cleanly with other people’s clients. Similarly, if your peer receives an extended header and the extension ID does not match your group number, just ignore the extensions.

The payload for both WHOHAS and I HAVE contain the number of chunk hashes (1 byte), 3 bytes of empty padding space to keep the chunk 32-bit aligned, and the list of hashes (20 bytes each) in them. The format of the packet is shown in Figure 2(b). The payload of GET packet is even more simple: it contains only the chunk hash for the chunk the client wants to fetch (20 bytes).

Figure 2(c) shows an example DATA packet. DATA and ACK packets do not have any payload format defined; normally they should just contain file data. The sequence number and acknowledgment number fields in the header have meaning only in DATA and ACK packets. In this project the sequence numbers always start from 1 for a new “GET connection.” A receiving peer should send an ACK packet with acknowledgment number 1 to acknowledge that it has received the data packet with sequence number 1 and so on. Even though there are both a sequence number and an acknowledgment number fields in the header, *you should not combine DATA and ACK packets*. Do not use a DATA

Packet Type	Code
WHOHAS	0
IHAVE	1
GET	2
DATA	3
ACK	4
DENIED	5

Table 1: Codes for different packet types.

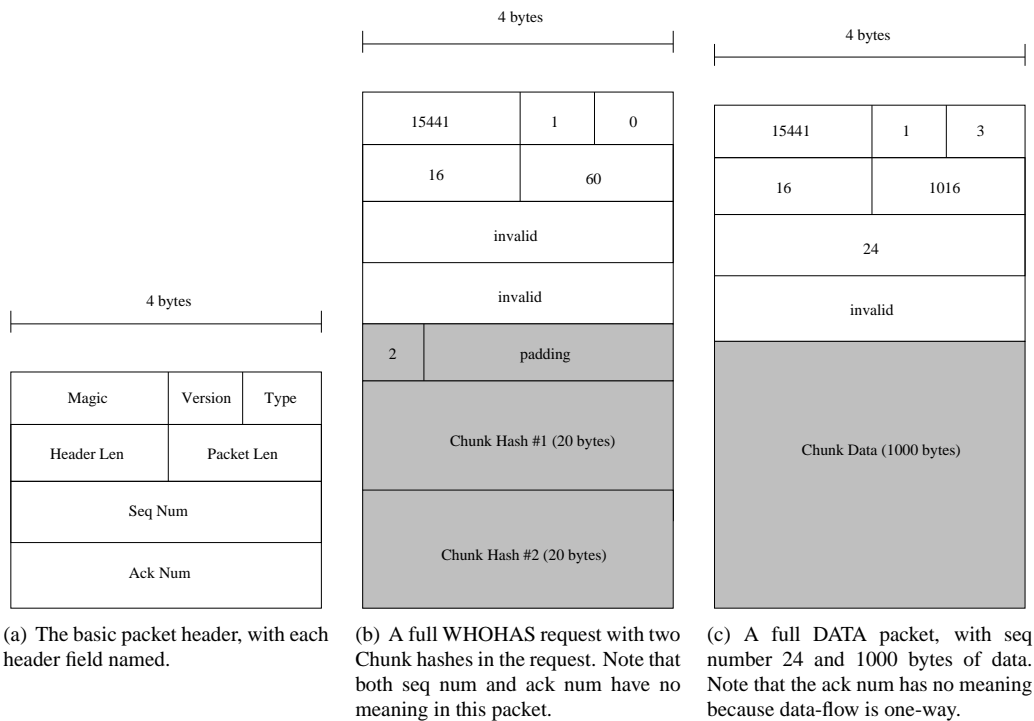


Figure 2: Packet headers.

packet to acknowledge a previous packet and do not send data in a ACK packet. This means that for any DATA packet the ACK num will be invalid and for any ACK packet the SEQ num field will be invalid. Invalid fields still take up space in the packet header, but their value should be ignored by the peer receiving the packet.

## 4.7 File Formats

### Chunks File:

```
File: <path to the file which needs sharing>
Chunks:
id chunk-hash
.....
.....
```

The *master-chunks-file* has above format. The first line specifies the file that needs to be shared among the peers. The peer should only read the chunks it is provided with in the peer's *has-chunks-file* parameter. All the chunks have a fixed size of 512KB. If the file size is not a multiple of 512KB then it will be padded appropriately.

All lines after "Chunks:" contain chunk ids and the corresponding hash value of the chunk. The hash is the SHA-1 hash of the chunk, represented as a hexadecimal number (it will not have a starting "0x"). The chunk id is a decimal integer, specifying the offset of the chunk in the master data file. If the chunk id is  $i$ , then the chunk's content starts at an offset of  $i \times 512k$  bytes into the master data file.

### Has Chunk File

This file contains a list of the ids and hashes of the chunks a particular peer has. As in the master chunk file, the ids are in decimal format and hashes are in hexadecimal format. For the same chunk, the id of the chunk in the has-chunk-file will be the same as the id of that chunk in the master-chunks-file.

```
id chunk-hash
id chunk-hash
.....
```

### Get Chunk File

The format of the file is exactly same as the has-chunk-file. It contains a list of the ids and hashes the peer wishes to download. As in the master chunk file, the ids in decimal format and hashes are in hexadecimal format. For the same chunk of data, the id in the get-chunk-file might NOT be the same as the id of that chunk in the master-chunks-file. Rather, the id here refers to the position of the chunk in the file that the user wants to save to.

```
id chunk-hash
id chunk-hash
.....
```

### Peer List File

This file contains the list of all peers in the network. The format of each line is:

```
<id> <peer-address> <peer-port>
```

The *id* is a decimal number, *peer-address* the IP address in dotted decimal format, and the *port* is port integer in decimal. It will be easiest to just run all hosts on different localhost ports.

## 5 Example

Assume you have two images A.gif and B.gif you want to share. These two files are available in the ‘example’ subdirectory of the code. We **strongly** suggest that you walk through these steps as you read them in order to get a better understanding of what each file contains (the hash values in this document are not the actual hash values, to improve readability).

First, create two files whose sizes are multiple of 512K, using:

```
tar cf - A.gif | dd of=/tmp/A.tar bs=512K conv=sync count=2
tar cf - B.gif | dd of=/tmp/B.tar bs=512K conv=sync count=2
```

With padding, A.tar and B.tar are exactly 1MB big (ie: 2 chunks long).

Let’s run two nodes, one on port 1111 and one on port 2222

Suppose that the SHA-1 hash of the first 512KB of A.tar is 0xDE and the second 512KB is 0xAD. Similarly, for B.tar the 0-512KB chunk hash is 0x15 and the 512KB-1MB chunk hash is 0x441.

First, do the following:

```
cat /tmp/A.tar /tmp/B.tar > /tmp/C.tar
make-chunks /tmp/C.tar > /tmp/C.chunks
make-chunks /tmp/A.tar > /tmp/A.chunks
make-chunks /tmp/B.tar > /tmp/B.chunks
```

This will create the *master data file* at /tmp/C.tar. The contents of C.chunks will be:

```
0 0000000000000000000000000000000000000000000000000de
1 000000000000000000000000000000000000000000000000ad
2 0000000000000000000000000000000000000000000000015
3 00000000000000000000000000000000000000000000000441
```

*Recall that ids are in decimal format, while the hash is in hexadecimal.* The contents of A.chunks will be:

```
0 00000000000000000000000000000000000000000000000de
1 00000000000000000000000000000000000000000000000ad
```

The contents of B.chunks will be:

```
0 00000000000000000000000000000000000000000000015
1 000000000000000000000000000000000000000000000441
```

Next, edit the C.chunks file to add two lines and save this as C.masterchunks:

```
File: /tmp/C.tar
Chunks:
0 00000000000000000000000000000000000000000000000de
1 00000000000000000000000000000000000000000000000ad
2 000000000000000000000000000000000000000000000015
3 0000000000000000000000000000000000000000000000441
```

Next create a peer file called /tmp/nodes.map It should contain

```
1 127.0.0.1 1111
2 127.0.0.1 2222
```

Finally, you need to create files that describe the initial content of each node. Let’s have node 0 have all of file A.tar and none of file B.tar. Let node 1 have all of file B.tar and none of A.tar .

Create a file /tmp/A.haschunks whose contents are:



```
0 0000000000000000000000000000000000000000000000000de
1 0000000000000000000000000000000000000000000000000ad
```

Create a file /tmp/B.haschunks whose contents are:

```
2 000000000000000000000000000000000000000000000000015
3 0000000000000000000000000000000000000000000000000441
```

**Note** that the ids in the above two files are obtained from C.masterchunks, which in turn refers to the offset in the master data file.

Now, to run node 1, type:

```
peer -p /tmp/nodes.map -c /tmp/A.haschunks -f /tmp/C.masterchunks -m 4 -i 1
```

and to run node 2, type in a different terminal:

```
peer -p /tmp/nodes.map -c /tmp/B.haschunks -f /tmp/C.masterchunks -m 4 -i 2
```

After the peer for node 1 starts, you can type `GET /tmp/B.chunks /tmp/newB.tar`. This command tells your peer to fetch all chunks listed in /tmp/B.chunks and save the downloaded data chunks to the file /tmp/newB.tar ordered by the id values in /tmp/B.chunks.

Here is an example of what your code should do (note that messages are displayed here in plain text, but the actual packet content will be binary). Node 1 should send a ```WHOHAS 2 0000...015 0000..00441``` (for the 2 chunks that are named 00...15 and 00.441) to all the peers in nodes.map. It will get one `IHAVE` reply from node 2 that has “`IHAVE 2 0000...015 0000..00441`”. Node 1 should then send a message to Node 2 saying ```GET 0000...015```. Node 2 starts sending Data packets as limited by flow/congestion control and Node 1 sends `ACK` packets as it gets them. After the `GET` completes (i.e. 512KB has been transferred), Node 1 should then send a message to Node 2 saying ```GET 0000...00441``` and should perform this transfer as well.

At the end, you should have new file called /tmp/newB.tar. To make sure you got it right, you can compare this file with /tmp/B.tar to make sure they are identical (use the unix “diff” utility ).

In summary, there are basically three chunk description formats (get-chunks, has-chunks and master-chunks) and a peer list format.

## 6 Project Tasks

This section details the requirements of the assignment. This high-level outline roughly mirrors the order in which you should implement functionality.

### 6.1 Task 1 - 100% Reliability & Sliding Window

The first task is to implement a 100% reliable protocol for file transfer (ie: DATA packets) between two peers with a simple flow-control protocol. Non-Data traffic (WHOHAS, IHAVE, GET packets) does not need to be transmitted reliably or with flow-control. The peer should be able to search the network for available chunks and download them from the peers that have them. All different parts of the file should be collected at the requesting peer and their validity should be ensured before considering the chunks as received. You can check the validity of a downloaded chunk by computing its SHA-1 hash and comparing it against the specified chunk hash.

To start the project, use a fixed-size window of **8 packets**<sup>1</sup>. The sender should not send packets that fall out of the window. The Figure 3 shows the sliding windows for both sides. The sender slides the window forward when it gets an `ACK` for a higher packet number. There is a sequence number associated with each packet and the following constraints are valid for the sender (hint: your peers will likely want to keep state very similar to that shown here):

**Sending side**

- $LastPacketAcked \leq LastPacketSent$
- $LastPacketSent \leq LastPacketAvailable$

<sup>1</sup>Note that TCP uses a byte-based sliding window, but your project will use a packet-based sliding window. It’s a bit simpler to do it by packet. Also, unlike TCP, you only have a sender window, meaning that window size does not need to be communicated in the packet header

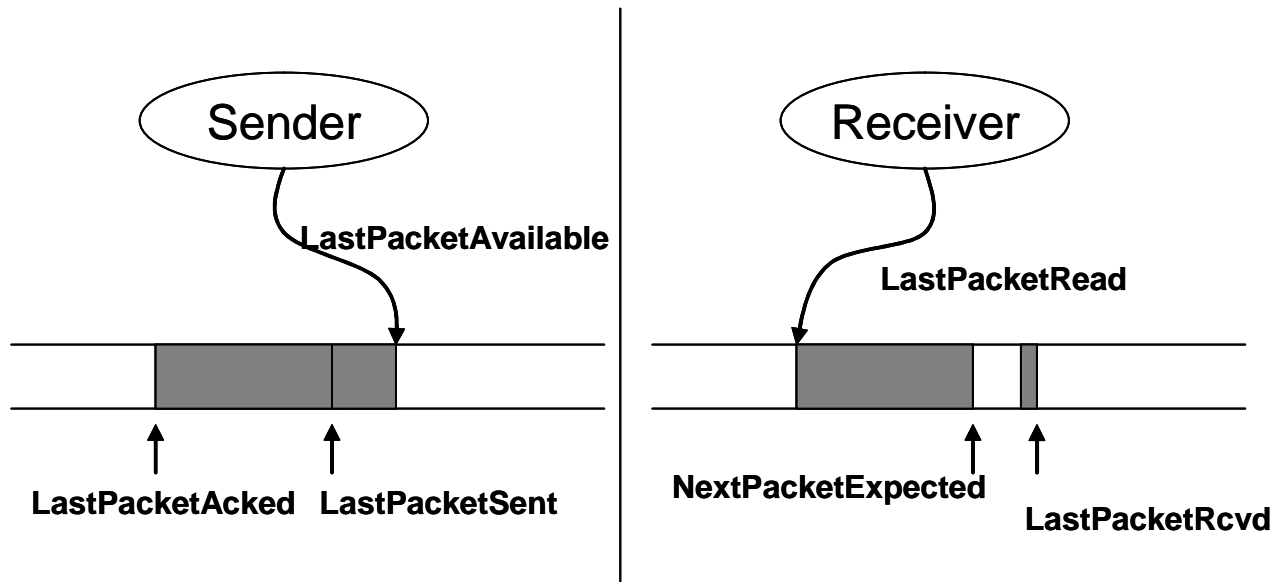


Figure 3: Sliding Window

- $LastPacketAvailable - LastPacketAked \leq WindowSize$
- packet between  $LastPacketAked$  and  $LastPacketAvailable$  must be “buffered” – you can either implement this by buffering the packets or by being able to regenerate them from the datafile.

When the sender sends a data packet it starts a timer for it. It then waits for a fixed amount of time to get the acknowledgment for the packet. Whenever the receiver gets a packet it sends an acknowledgment for  $NextPacketExpected - 1$ . That is, upon receiving a packet with sequence number = 8, the reply would be “ACK 8”, but only if all packets with sequence numbers less than 8 have already been received. These are called cumulative acknowledgements. The sender has two ways to know if the packets it sent did not reach the receiver: either a time-out occurred, or the sender received “duplicate ACKs.”

- If the sender sent a packet and did not receive an acknowledgment for it before the timer for the packet expired, it resends the packet.
- If the sender sent a packet and received duplicate acknowledgments, it knows that the next expected packet (at least) was lost. To avoid confusion from re-ordering, a sender counts a packet lost only after 3 duplicate ACKs in a row.

If the requesting client receives a IHAVE from a host, and then it should send a GET to that same host, set a timer to retransmit the GET after some period of time (less than 5 seconds). You should have reasonable mechanisms in your client to recognize when successive timeouts of DATA or GET traffic indicates that a host has likely crashed. Your client should then try to download the file from another peer (reflooding the WHOHAS is fine).

We will test your basic functionality using a network topology similar to Figure 4(a). A more complicated topology like Figure 4(b) will be used to test for concurrent downloads and robustness to crashes, as well as for measuring performance in the competition. As suggested by the checkpoints, you can first code-up basic flow control with a completely loss free virtual network to simplify development.

## 6.2 Task 2 - Congestion control

You should implement a TCP-like congestion control algorithm on top of UDP for all DATA traffic (you don’t need congestion control for WHOHAS, IHAVE, and GET packets). TCP uses an end-to-end congestion control mechanism.

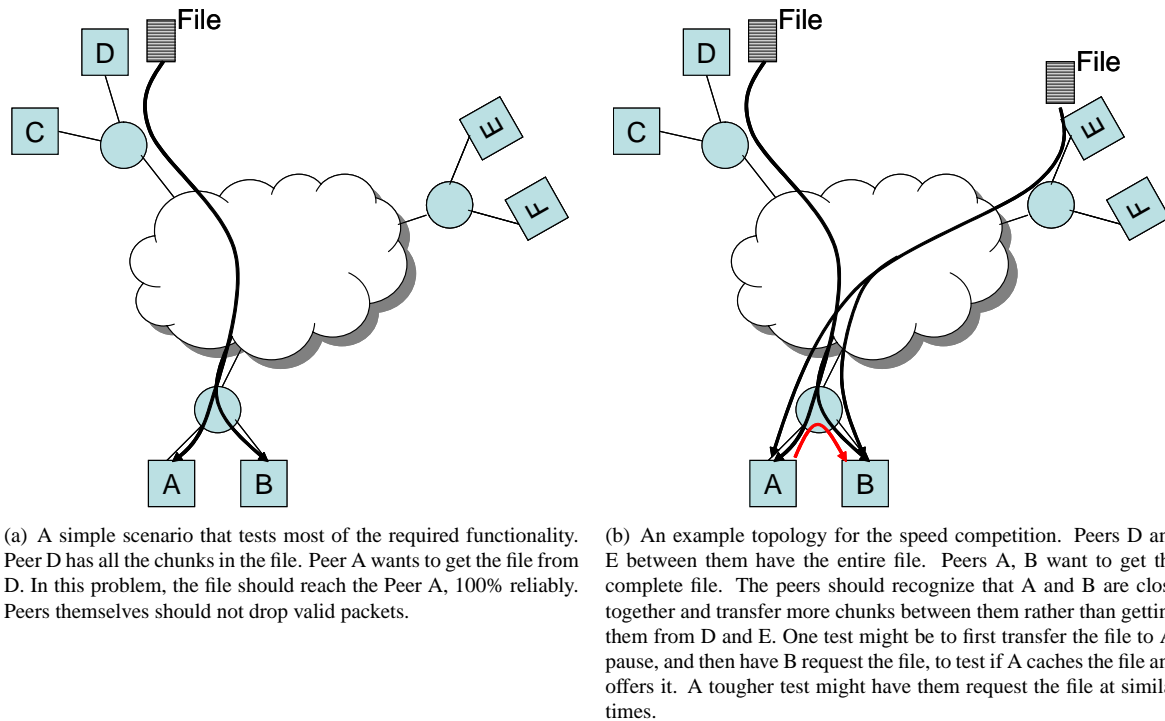


Figure 4: Test topologies

Broadly speaking, the idea of TCP congestion control is for each source to determine how much capacity is available in the network, so it knows how many packets it can safely have “in transit” at the same time. Once a given source has this many packets in transit, it uses the arrival of an ACK as a signal that one of its packets has left the network, and it is therefore safe to insert a new packet into the network without adding to the level of congestion. By using ACKs to pace the transmission of packets, TCP is said to be “self-clocking.”

TCP Congestion Control mechanism consists of the algorithms of **Slow Start**, **Congestion Avoidance**, **Fast Retransmit** and **Fast Recovery**. You can read more about these mechanisms in Peterson & Davie Section 6.3 .

In the first part of the project, your window size was fixed at 8 packets. The task of this second part is to dynamically determine the ideal window size. When a new connection is established with a host on another network, the window is initialized to one packet. Each time an ACK is received, the window is increased by one packet. This process is called **Slow Start**. The sender keeps increasing the window size until the first loss is detected or until the window size reaches the value *sssthresh* (slow-start threshold), after which it enters Congestion Avoidance mode (see below). For a new connection the *sssthresh* is set to a very big value—we’ll use 64 packets. If a packet is lost in slow start, the sender sets *sssthresh* to  $\max(\text{currentwindow size}/2, 2)$ , in case the client returns to slow start again during the same connection.

**Congestion Avoidance** slowly increases the congestion window and backs off at the first sign of trouble. In this mode when new data is acknowledged by the other end, the window size increases, but the increase is slower than the Slow Start mode. The increase in window size should be at most one packet each round-trip time (regardless how many ACKs are received in that RTT). This is in contrast to Slow Start where the window size is incremented for each ACK. Recall that when the sender receives 3 duplicate ACK packets, you should assume that the packet with sequence number = acknowledgment number + 1 was lost, even if a time out has not occurred. This process is called **Fast Retransmit**.

Similar to Slow Start, in Congestion Avoidance if there is a loss in the network (resulting from either a time out, or duplicate acks), *sssthresh* is set to  $\max(\text{window size}/2, 2)$ . The window size is then set to 1 and the Slow Start process starts again.

The last mechanism is Fast Recovery. *You do not need to implement Fast Recovery for the project*, but it would be a good trick to implement for the competition phase of the assignment! You can read up more about these mechanisms from Section 6.3.3 of Peterson & Davie.

### 6.2.1 Graphing Window Size

Your program must generate a simple output file (named `problem2-peer.txt`) showing how your window size varies over time for each chunk download. This will help you debug and test your code, and it will also help us grade your code and any extra-credit you implement. The output format is simple and will work with many Unix graphing programs like *gnuplot*. Every time a window size changes, you should print the ID of this connection (choose something that will be unique for the duration of the flow), the time in milliseconds since your program began, and the new window size. Each column should be separated by a tab. For example:

```
f1      45      2
f1      60      3
f1      78      4
f2      84      2
f1      92      5
f2      97      3
..      ...      ...
```

You can get a graph input file for a single chunk download using `grep`. For example:

```
grep f1 problem2-peer.txt > f1.dat
```

You can then run *gnuplot* on any andrew machine, which will give you a *gnuplot* prompt. To draw a plot of the file above, use the command:

```
plot "f1.dat" using 2:3 title 'flow 1' with lines
```

For more information about how to use *gnuplot*, see <http://www.duke.edu/~hpgavin/gnuplot.html>.

## 6.3 Task 3 - Optimizations: Intelligent Peer Selection and Caching

### *Extra Credit / Competition Section*

For this section, we will measure how well you can optimize the speed with which files are transferred across different network topologies. We will keep different chunks of the file at various peers, and then make a number of other peers fetch the files. You should use some heuristics to load balance across different peers, fetch chunks from a peer having more throughput than others, etc. For example in the Figure 4(b) the peer A and B could fetch different chunks from D,E and then they can share those chunks between themselves. Since A and B are close together, they will have much better throughput than getting the chunks directly from D and E.

To test this we will distribute the file into different nodes and then sum the time taken to collect the file at each node. There will be a competition across the class and the group/groups taking the least time will get maximum grade (and prizes!). Some things to think about:

- Having peers cache the entries they have downloaded and offer them to others is a simple way to have more peers to choose among.
- Fast Recovery will help you make better use of the network links while still being TCP friendly.
- Some nodes have faster links connecting them than do others
- Available bandwidth may change
- A peer node may go away. You should quickly recognize this and switch to any other peer who has this same block.

## 7 Spiffy: Simulating Networks with Loss & Congestion

To test your system, you will need more interesting networks that can have loss, delay, and many nodes causing congestion. To help you with this, we created a simple network simulator called “Spiffy” which runs completely on your local machine. The simulator is implemented by `hupsim.pl`, which creates a series of links with limited bandwidth and queue sized between nodes specified by the file `topo.map` (this allows you to test congestion control). To send packets on your virtual network, change your `sendto()` system calls to `spiffy_sendto()`. `spiffy_sendto()` tags each packet with the id of the sender, then sends it to the port specified by `SPIFFY_ROUTER` environment variable. `hupsim.pl` listens on that port (which needs to be specified when running `hupsim.pl`), and depending on the identity of the sender, it will route the packet through the network specified by `topo.map` and to the correct destination. You hand `spiffy_sendto()` the exact same packet that you would hand to the normal `UDP sendto()` call. All packets should be sent using `spiffy` and `spiffy_sendto()`.

### 7.1 hupsim.pl

`hupsim.pl` has four parameters which you must set.

```
hupsim.pl -m <topology file> -n <nodes file> -p <listen port> -v <verbosity>
```

- `<topology file>`: This is the file containing the configuration of the network that `hupsim.pl` will create. An example is given to you as `topo.map`. The ids in the file should match the ids in the `<nodes file>`. The format is:

```
src dst bw delay queue-size
```

The `bw` is the bandwidth of the link in bits per second. The `delay` is the delay in milliseconds. The `queue-size` is in packets. Your code is **NOT** allowed to read this file. If you need values for network characteristics like `RTT`, you must infer them from network behavior.

- `<nodes file>`: This is the file that contains configuration information for all nodes in the network. An example is given to you as `nodes.map`.
- `<listen port>`: This is the port that `hupsim.pl` will listen to. Therefore, this port should be **DIFFERENT** than the ports used by the nodes in the network.
- `<verbosity>`: How much debugging messages you want to see from `hupsim.pl`. This should be an integer from 1-4. Higher value means more debugging output.

### 7.2 Spiffy Example

We have created a sample server and client which uses `spiffy` to pass messages around as a simple example. The `server.c` and `client.c` files are available on the project website.

#### 7.2.1 To make:

```
gcc -c spiffy.c -o spiffy.o
gcc server.c spiffy.o -o server
gcc client.c spiffy.o -o client
```

#### 7.2.2 Usage:

```
usage: ./server <node id> <port>
usage: ./client <my node id> <my port> <to port> <magic number>
```

Since server and client use `spiffy`, you must specify the `<node id>` and `<port>` to match `nodes.map`. `<magic number>` is a number we put into the packet header and the server will print the magic number of the packet it receives.

### 7.2.3 Example run:

This example assumes you did not modify `nodes.map` or `topo.map` that was given.

```
setenv SPIFFY_ROUTER 127.0.0.1:12345
./hupsim.pl -m topo.map -n nodes.map -p 12345 -v 0 &
./server 1 48001 &
./client 2 48002 48001 123
```

The client will print

Sent MAGIC: 123

and the server will print

MAGIC: 123

## 8 Grading

This information is subject to change, but will give you a high-level view of how points will be allocated when grading this assignment. Notice that many of the points are for basic file transmission functionality and simple congestion control. Make sure these work well before moving to more advanced functionality or worrying about corner-cases.

- **Search for and reliably retrieve files [40 points]:** the peer program should be able to search for chunks and request them from the remote peers. We will test if the output file is exactly the same as the file peers are sharing. Note, in addition to implementing WHOHAS, I HAVE, and GET, this section requires reliability to handle packet loss.
- **Basic congestion control [20 points]:** The peer should be able to do the basic congestion control by implementing the basic “Slow Start” and “Congestion Avoidance” functionality for common cases.
- **Support and Utilize Concurrent Transfers [30 points]:** The peer should be able to send and retrieve content from more than one node simultaneously (note: this does *not* imply threads!). Your peers should simultaneously take advantage of all nodes that have useful data, instead of simply downloading a chunk from one host at a time.
- **Congestion control corner cases [20 points]:** The congestion control should be robust. It must handle issues like lost ACKs, multiple losses, out of order packets, etc. Additionally, it should have Fast Retransmit. We will stress test your code and look for tricky corner cases.
- **Robustness: [10 points]**
  1. **Peer crashes:** Your implementation should be robust to crashing peers, and should attempt to download interrupted chunks from other peers.
  2. **General robustness:** Your peer should be resilient to peers that send corrupt data, etc.

Note: While robustness is important, do not spend so much time worrying about corner cases that you do not complete the main functionality!

- **Style [15 points]:** Well-structured, well documented, clean code, with well defined interfaces between components. Appropriate use of comments, clearly identified variables, constants, function names, etc. Use of provided debugging functions using different “debug levels” within the code.
- **Selective Acknowledgements [10 points, extra credit]:** Implement SACK for better congestion recovery. In SACK, in addition to sending the cumulative acknowledgment for all the packets received so far, the receiver sends the list of packets it has in its sliding window buffer. This provides the sender more information about which packets were lost in transmission. SACK is described in RFC 2018.

- **Highly Efficient Downloads [up to 20 points, extra credit]** You should implement heuristics and protocol techniques that will help your peers transfer files faster in reasonable scenarios and topologies. We will measure the average download speed for multiple uploading and multiple downloading peers at the same time. For example, peers may determine optimal peers to download from (instead of choosing randomly), and update the optimal peers list on the fly. Other strategies could include fast failure detection and pre-fetching blocks. Points will be awarded only if you document your mechanisms in the readme, and provide graphs and reproducible test cases that show your optimizations providing benefit compared to the basic implementation.

**In addition to these points, we have assigned 20 points for the two graded checkpoints.**

Checkpoint	Deadline	Description
Checkpoint 1 [10 points]	April 3	You must be able to generate WHOHAS queries and correctly respond (if needed) with an IHAVE for a simple configuration of two hosts. <b>You can assume that there is no loss in the network.</b>
Checkpoint 2 [0 points]	April 10	You must be able to send a GET request and download an entire chunk from another peer within a simple two host network. Use a simple stop-and-wait protocol where hosts send a single packet, and wait for an ACK before sending another. <b>Again, assume no network loss.</b>
Checkpoint 3 [10 points]	April 17	You must implement sliding window flow control with a window size of 8 packets. You must also implement timeouts and retransmission for reliable delivery. Use the spiffy router to test your network with loss.
Checkpoint 4 [0 points]	April 24	Implement simple congestion avoidance. Start the window off at size one, and increase the window one packet for every window of data that is acked without a loss. After any loss, reduce the window to one packet, and begin again. <b>Note:</b> This checkpoint is a little late. You should be farther along in the project by this point, especially if you are planning on making the early deadline.
Early bird deadline [10 extra points]	April 27	If you turn in your project by this date, you will receive a bonus of 10 extra points. This deadline applies only to the required functionality (ie: the ‘final’ svn tag). Extra credit and competition submissions may be submitted up to the late deadline without sacrificing the early-bird bonus.
Late deadline	May 1	If you turn in your project by this date, you will not receive any penalty. Regular late penalty of 10% per day will be deducted if you turn in your project after this date. Extra credit and competition tags must be submitted by this deadline to count.

## 9 Handin

As in projects 1 and 2, code submission for checkpoints and the final deadline will be done through your subversion repositories. You will receive an email with your Team#, Person#, and associated password soon after the assignment is posted. You can check out your subversion repository with the following command where you must change your Team# to “Team1” for instance, and your P# to the correct number such as “P1”:

*svn co https://moo.cmcl.cs.cmu.edu/441/svn/Project3Team# — username Project3Team#P#*

The grader will check directories in your repository for grading, which can be created with an “*svn copy*”:

- *Checkpoint X – YOUR\_REPOSITORY/tags/checkpointX*

- *Final Handin* – YOUR\_REPOSITORY/tags/final
- *Contest Handin (optional)* – YOUR\_REPOSITORY/tags/contest
- *Extra Credit (optional)* – YOUR\_REPOSITORY/tags/extracredit

For checkpoints, you will be expected to have a working Makefile, and whatever source needed to compile a working binary. **Checkpoints that do not compile will NOT be graded.** Any extra credit functionality should be handed in using the ‘extracredit’ tag or the ‘contest tag’ (indicate in your readme). The “final” tag should contain the following files that implement all required functionality:

- Makefile – Make sure all the variables and paths are set correctly such that your program compiles in the hand-in directory. Makefile should build the executable “peer” that runs on the andrew machines.
- All of your source code files.
- readme.txt: File containing a thorough description of your design and implementation. If you use any additional packet headers, please document them here. Include documentation of your test cases, any known bugs, and a sample output of your problem2-peer.txt. Also, please list any extra credit parts that you have implemented here, as well as test-cases and graphs you committed to demonstrate the value of your optimizations.

## 10 How to succeed in this assignment

Some tips that will help you succeed with this assignment. First, look back at past recitation slides regarding concepts like code design, scripting, compilation, debugging and version control. You should also consider:

- **Start early!** We cannot stress how important it is to start early in a project. It will give you more time to think about the problems, discuss with your colleagues, and ask questions on bulletinboard. You will be busy with lots of other work around the end of the semester, so do what you can to lighten the load now!
- Check the bboards and FAQ religiously, **even before you run into a problem!** Seeing questions and issues raised by other groups can help you anticipate and avoid having the same problem *before* you waste your own time on it.
- Get help from course staff. Come to office hours, ask for clarifications on the bulletin board. The earlier you ask for help, the more time we will have to help you. If you anticipate a major problem (partner, code, etc...) contact well in advance of the next checkpoint.
- **Modularize:** Split the problem into different modules. Tackle one problem at a time and build on functionality only once it is completely solid and tested. This reduces the number of places you have to search to find the source of a bug. Define the interfaces between the modules also helps you and your partner make progress in parallel.
- **Write Unit Tests:** Code often has mistakes that are easy to spot when you are working on small units. Write small “main” function to test drive a very specific part of the code and see if that works properly. For small stuff, you can conditionally compile these tests in the same file in which you have defined them:

```
#if TESTING
int main() {
    test_foo();
}
#endif
```

and compile the code in a makefile that includes:



```
TESTDEFS="-DTESTING=1"

foo_test.o: foo.c Makefile
    $(CC) $(TESTDEFS) -c foo.c -o $@

foo_test: foo_test.o
    $(CC) foo_test.o -o $@
```

Or you can write separate “test.foo.c” files that use the functions in the foo file. The advantage to this is that it also enforces better modularization—your hash table goes in hashtable.c, your hashtable tests in test\_hashtable.c, and so on.

- **Know about TCP:** Knowing TCP’s congestion control mechanism will help you develop that part of the project.
- **Comment your code.** Writing documentation is not a waste of time. It makes the code more readable when you have come back to it later, and is a good way to communicate your thoughts to your partner (but don’t comment the obvious— simple code speaks for itself)

**GOOD LUCK (and get started) !!!**