

# 15-826: Multimedia (Databases) and Data Mining

Lecture #13: Text - part II

Inversion; signature files

*C. Faloutsos*

# Must-read Material

- MM Textbook, Chapter 6

## Optional (but terrific to read)

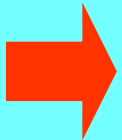
- ★ • McIlroy, M. D. (Jan. 1982). "Development of a Spelling List." IEEE Trans. on Communications COM-30(1): 91-99.
  - <http://ieeexplore.ieee.org/document/1095395/>
- ★ • Severance, D. G. and G. M. Lohman (Sept. 1976). "Differential Files: Their Application to the Maintenance of Large Databases." ACM TODS 1(3): 256-267.
  - <http://dl.acm.org/citation.cfm?id=320484>



# Outline

Goal: ‘Find **similar / interesting** things’

- Intro to DB
- Indexing - similarity search
  - Primary key
  - ...
  - fractals
  - Text
  - ...
- Data Mining





# Text - Detailed outline

- text
  - problem
  - full text scanning
  - ➔ – inversion
  - signature files
  - clustering
  - information filtering and LSI



# Problem

- How to find doc's with “data mining”?





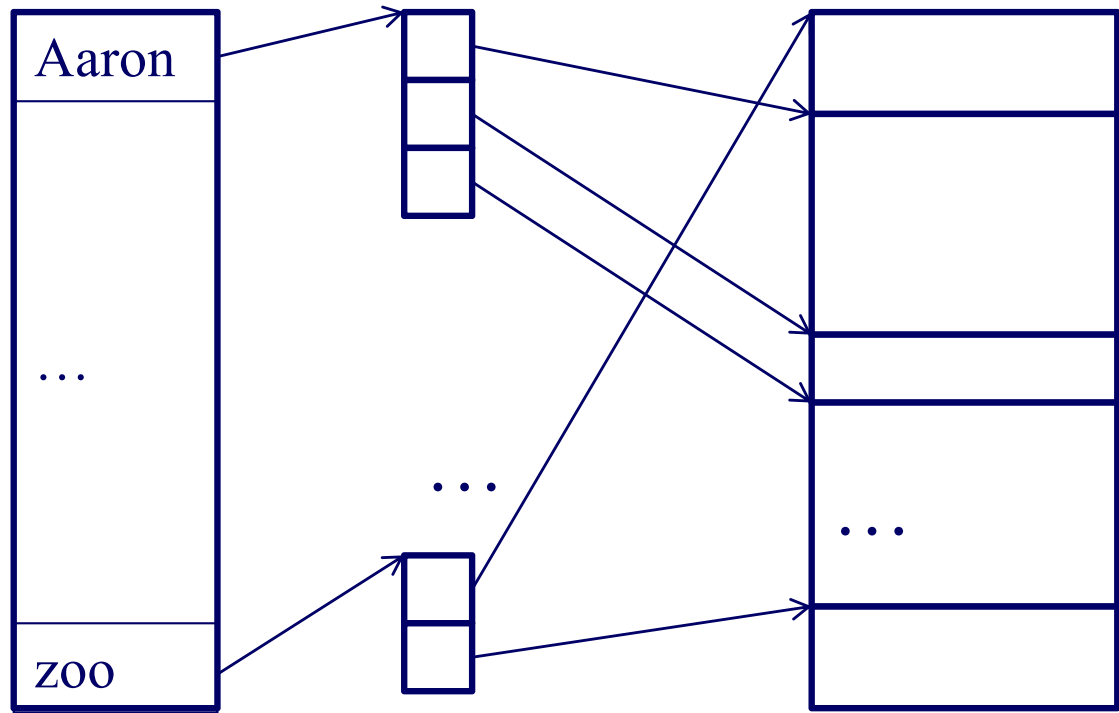
# Conclusion

- How to find doc's with “data mining”?
- A1: full text scanning
  - A1.1: string editing distance
- A2: inversion
  - Elias Codes
- (A3: signature files – ‘Bloom filters’)



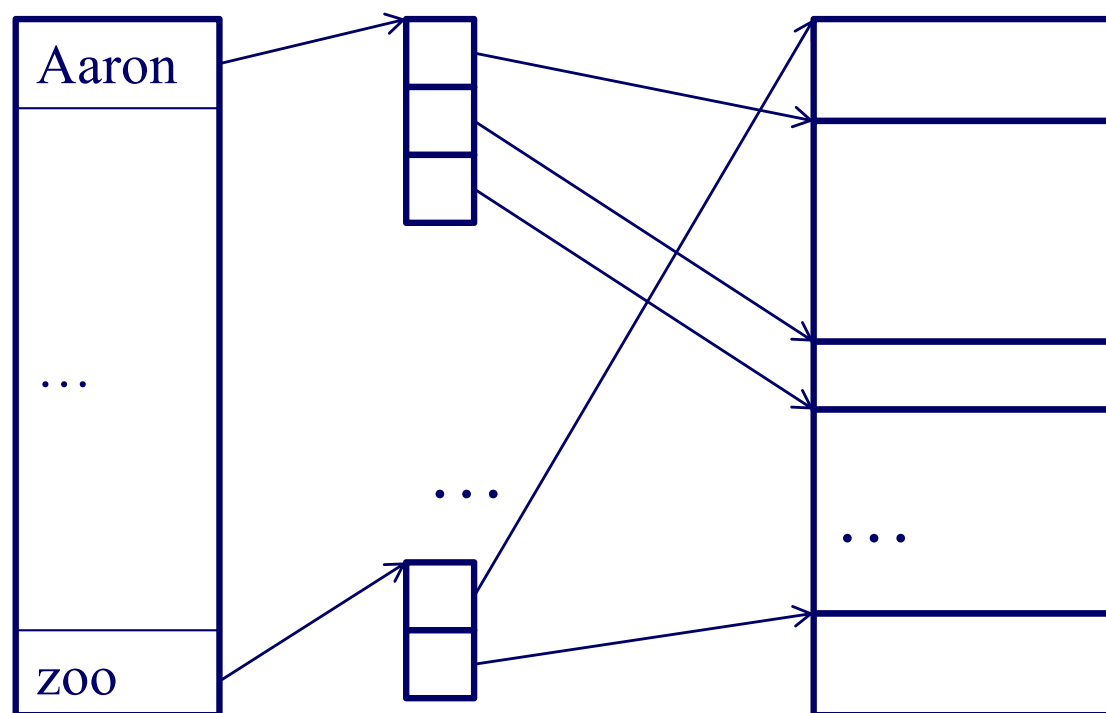
# Text - Inversion

Dictionary      Postings lists      Text file



# Text - Inversion

Dictionary      Postings lists      Text file



Q: space overhead?

# Text - Inversion

- how to organize dictionary?
- stemming – Y/N?
- insertions?

# Text - Inversion

- how to organize dictionary?
  - B-tree, hashing, TRIEs, PATRICIA trees, ...
- stemming – Y/N?
- insertions?

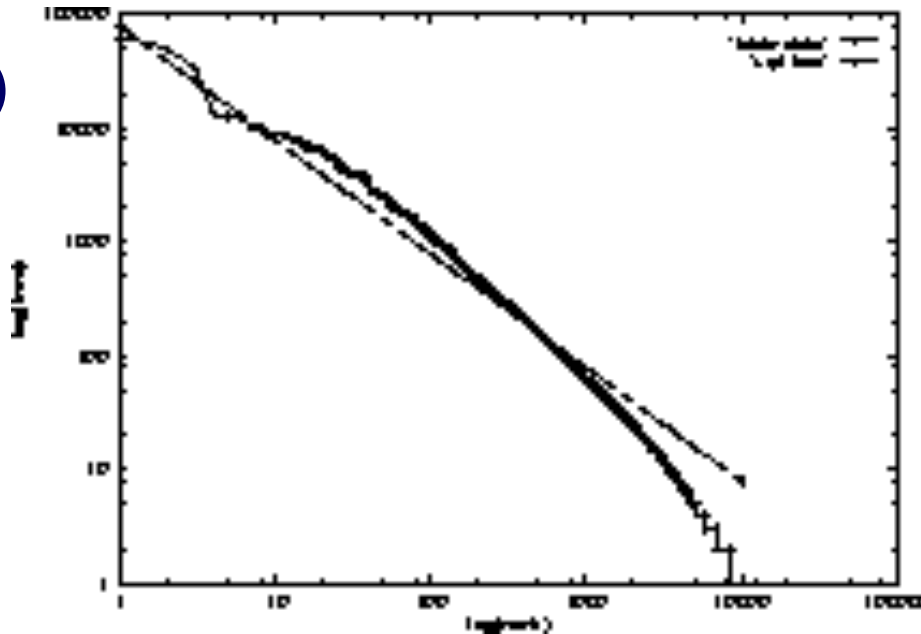
# Text - Inversion

- variations:
- Parallelism [Tomasic+,93]
- Insertions [Tomasic+94], [Brown+]
  - ‘zipf’ distributions
- Approximate searching ( ‘glimpse’ [Wu+])

# Text - Inversion

- postings list – more Zipf distr.: eg., rank-frequency plot of ‘Bible’

$\log(\text{freq})$



$\log(\text{rank})$

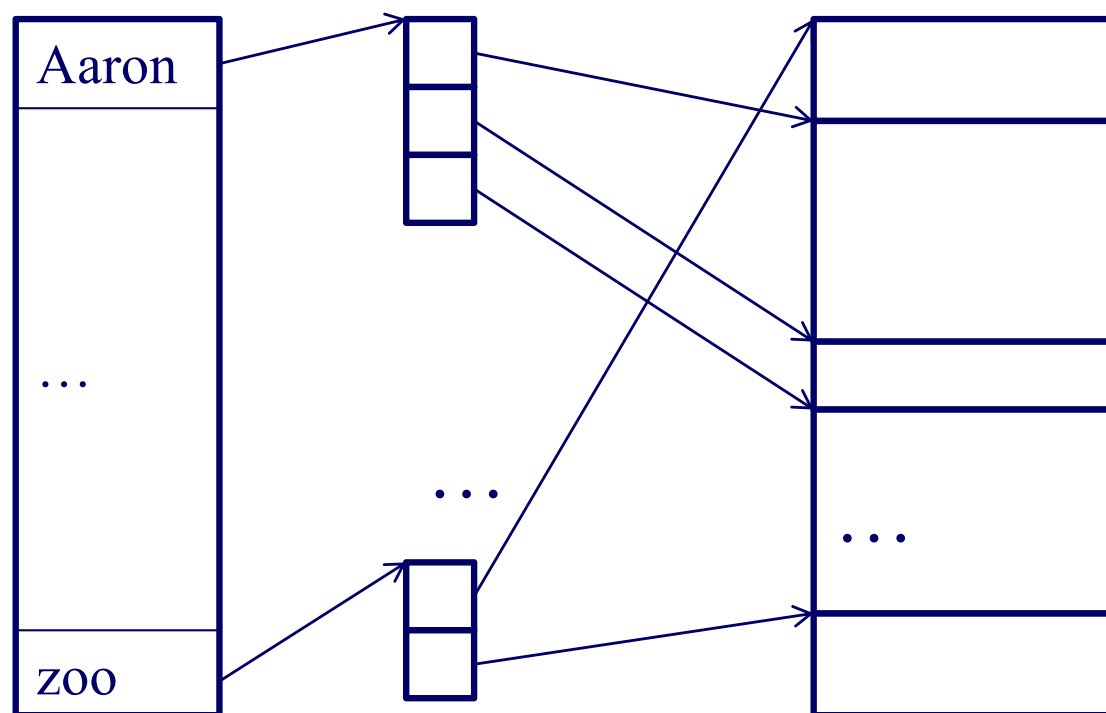
$$\text{freq} \approx \frac{1}{\text{rank} \ln(1.78V)}$$

# Text - Inversion

- postings lists
  - Cutting+Pedersen
    - (keep first 4 in B-tree leaves)
  - how to allocate space: [Faloutsos+92]
    - geometric progression
  - compression (Elias codes) [Zobel+] – down to 2% overhead!
  - Compression and doc reordering [Blandford+2002]

# Text - Inversion

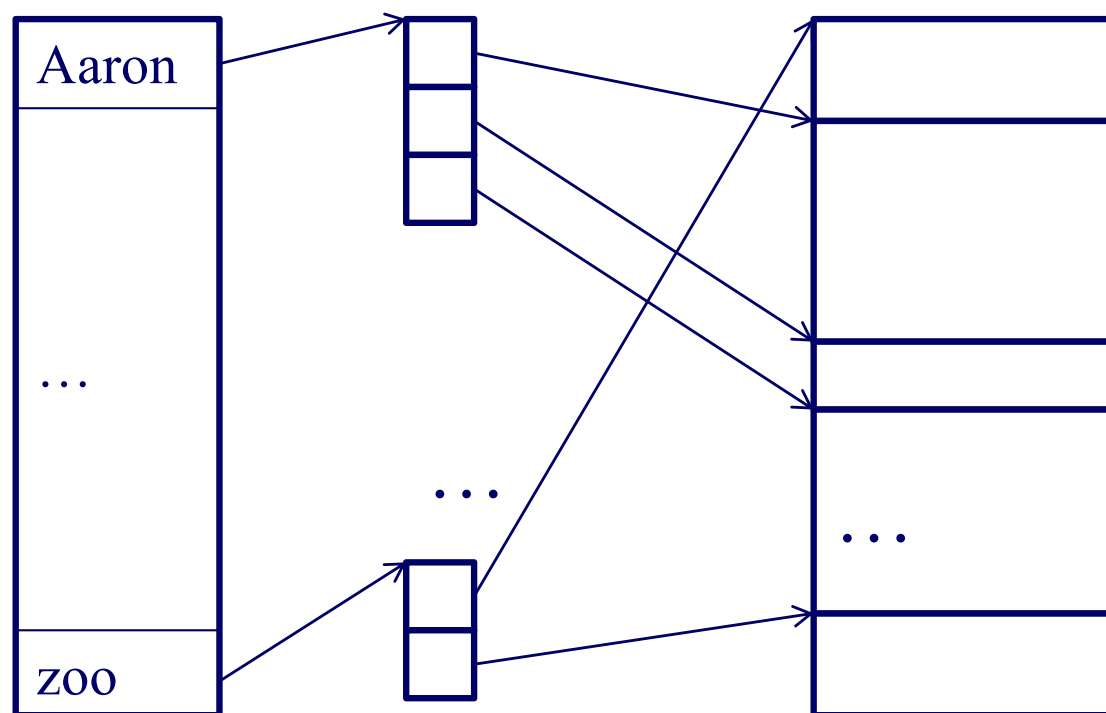
Dictionary      Postings lists      Text file



A: mainly, the postings lists

# Text - Inversion

Dictionary      Postings lists      Text file

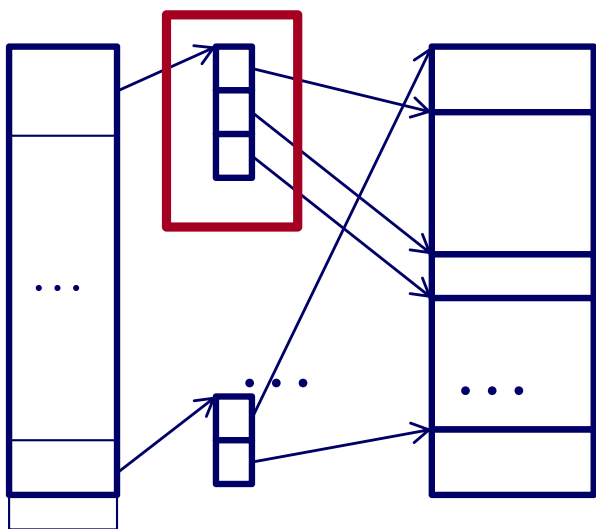


A: mainly, the postings lists

**How to compress them?**

# How to compress them?

- A1: record the differences [Zobel+]

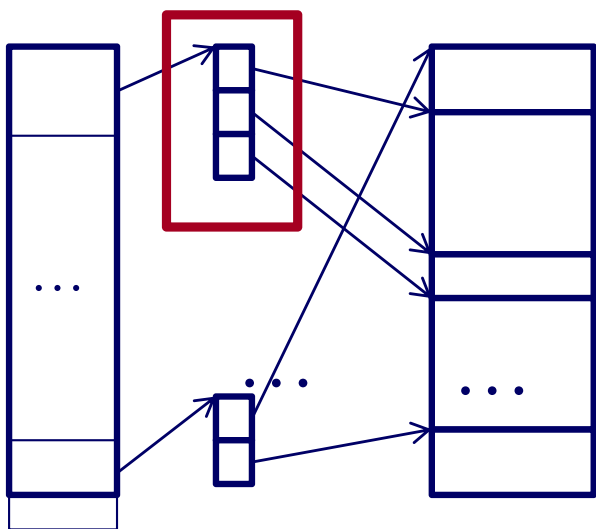


2,3,4  $\rightarrow$  3\*4 bytes

Q: Something better?

# How to compress them?

- A1: record the differences [Zobel+]



2,3,4  $\rightarrow$  3\*4 bytes

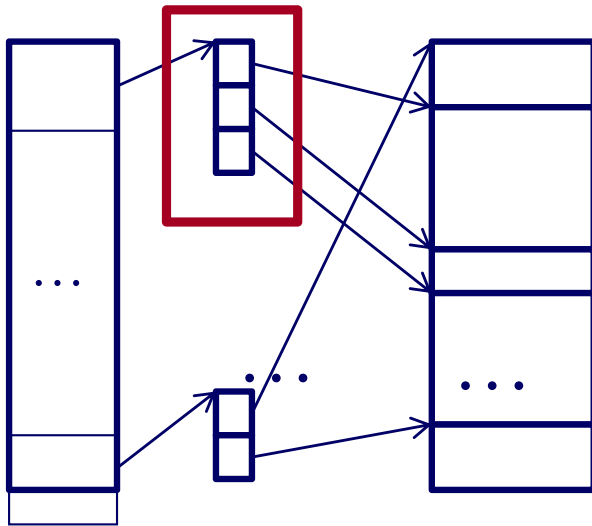
Q: Something better?

A: encode \*deltas\*

Q': how?

# How to compress them?

- A1: record the differences [Zobel+]



2,3,4  $\rightarrow$  3\*4 bytes

Q: Something better?

A: encode \*deltas\*

Q': how?

A': Elias codes

# **‘short integers’ -> few bits’**

- How exactly to achieve that
- So that they are self-delimiting?

# Integer coding: small integers - > few bits

| number | binary | Self-delimiting |
|--------|--------|-----------------|
| 2      | 10     | 00 1 10         |
| 3      | 11     | 00 1 11         |
| 15     | 1111   | 0000 1 1111     |
|        |        |                 |

- $O(\log(i))$  bits for integer  $i$
- can drop middle '1'
- can drop one of the zeros (!)
- (can apply recursively, to length)

# Integer coding: small integers - > few bits

| number | binary | Self-delimiting |
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- (can apply recursively, to length)

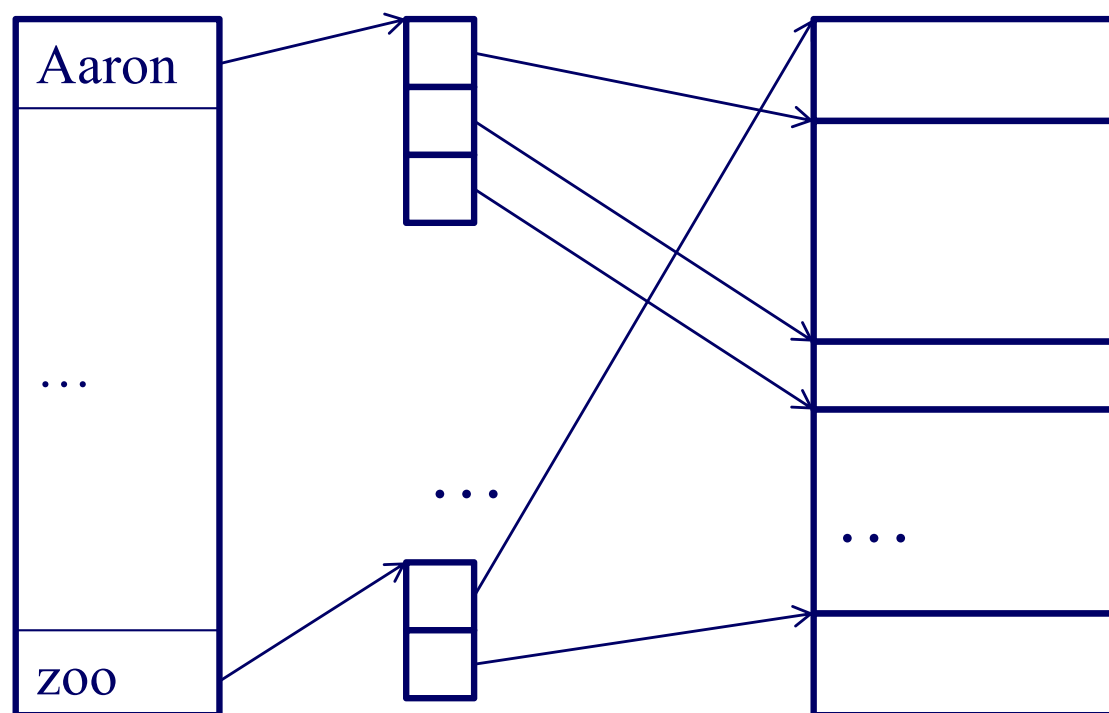
# Integer coding: small integers - > few bits

| number | binary | Self-delimiting |      |
|--------|--------|-----------------|------|
| 2      | 10     | 0               | 10   |
| 3      | 11     | 0               | 11   |
| 15     | 1111   | 000             | 1111 |
|        |        |                 |      |

## Elias gamma ( $\gamma$ ) codes

# Text - Inversion

Dictionary      Postings lists      Text file



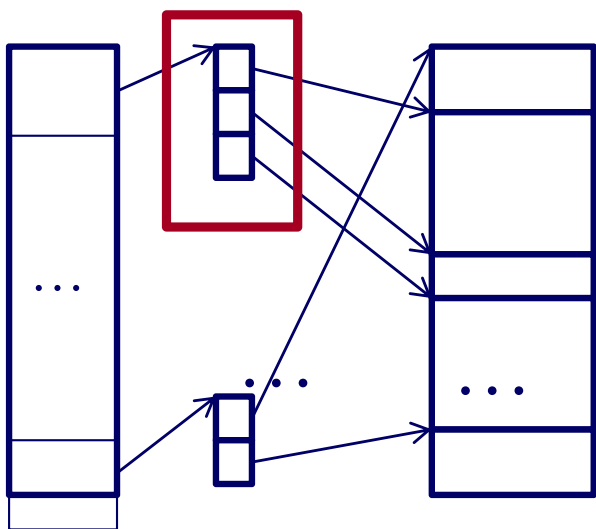
A: mainly, the postings lists

**How to compress them  
EVEN MORE?**

# How to compress them?

- A1: record the differences [Zobel+]
- A2: REORDER/cluster the doc's

-> smaller deltas



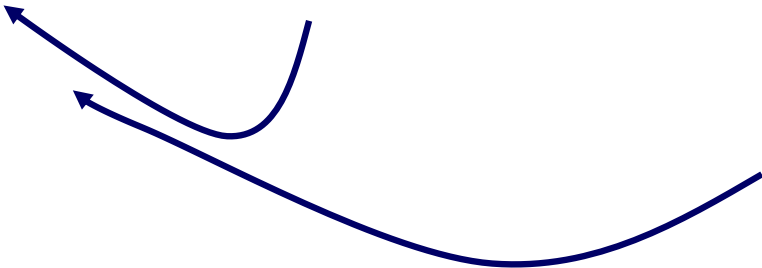
# Document Reordering

|       | Doc1 | Doc2 | Doc3 | ... |         |
|-------|------|------|------|-----|---------|
| Aaron | 1    | 0    | 1    | 0   | 1 0 ... |
| ...   |      |      |      |     |         |
| ZOO   | 0    | 0    | 0    | 1   | 0 1 ... |



# Document Reordering

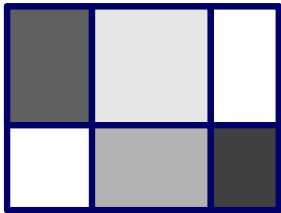
|       | Doc1 | Doc2 | Doc3 | ...       |
|-------|------|------|------|-----------|
| Aaron | 1    | 0    | 1    | 0 1 0 ... |
| ...   |      |      |      |           |
| ZOO   | 0    | 0    | 0    | 1 0 1 ... |



# Document Reordering

|       | Doc1 | Doc3 | ... |   |   | Doc2 |     |
|-------|------|------|-----|---|---|------|-----|
| Aaron | 1    | 1    | 1   | 0 | 0 | 0    | ... |
| ...   |      |      |     |   |   |      |     |
| ZOO   | 0    | 0    | 0   | 1 | 1 | 0    | ... |

Shorter runs; easier to compress



# Conclusions

- Conclusions: needs space overhead (2%-300%), but it is the fastest



# Conclusion

- How to find doc's with “data mining”?
- ✓ • A1: full text scanning
  - A1.1: string editing distance
- ✓ • A2: inversion
  - Elias Codes
- (A3: signature files – ‘Bloom filters’)





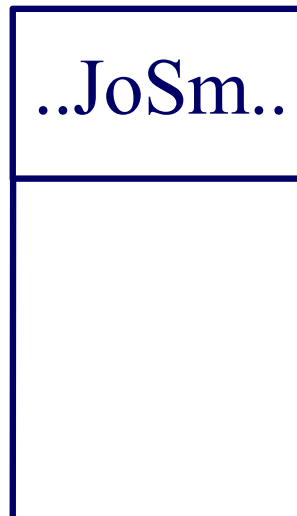
# Text - Detailed outline

- text
  - problem
  - full text scanning
  - inversion
  - ➔ – signature files
  - clustering
  - information filtering and LSI

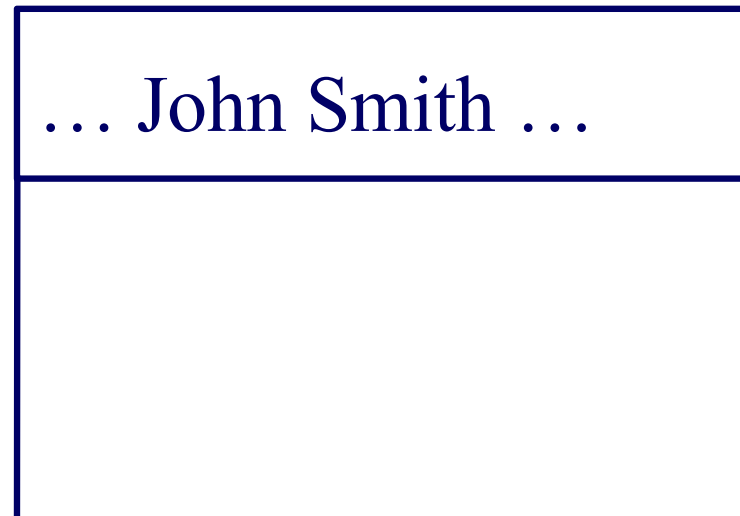
# Signature files

- idea: ‘quick & dirty’ filter

Signature file



Text file



# Signature files

- idea: ‘quick & dirty’ filter
- then, do seq. scan on sign. file and discard ‘false alarms’
- Adv.: easy insertions; faster than seq. scan
- Disadv.:  $O(N)$  search (with small constant)
- Q: how to extract signatures?

# Signature files

- A: superimposed coding!! [Mooers49], ...

| <u>Word</u>   | <u>Signature</u> |
|---------------|------------------|
| data          | 001 000 110 010  |
| base          | 000 010 101 001  |
| doc.signature | 001 010 111 011  |

$m$  (=4 bits/word)

$F$  (=12 bits sign. size)

# Signature files

- A: superimposed coding!! [Mooers49], ...

| Word          | Signature                 |
|---------------|---------------------------|
| data          | 001 000 110 010           |
| base          | 000 010 101 001           |
| doc.signature | 001 010 111 011           |
| data          | <div>↑      ↑↑    ↑</div> |

**actual match**

# Signature files

- A: superimposed coding!! [Mooers49], ...

| Word          | Signature                                                        |
|---------------|------------------------------------------------------------------|
| data          | 001 000 110 010                                                  |
| base          | 000 010 101 001                                                  |
| doc.signature | 001 010 111 011                                                  |
| retrieval     | <div> <div>↑</div> <div>↑</div> <div>↑</div> <div>↑</div> </div> |

**actual dismissal**

# Signature files

- A: superimposed coding!! [Mooers49], ...

| Word          | Signature                |
|---------------|--------------------------|
| data          | 001 000 110 010          |
| base          | 000 010 101 001          |
| doc.signature | 001 010 111 011          |
| nucleotic     | <div>↑   ↑   ↑   ↑</div> |

**false alarm ( ‘false drop’ )**

# Signature files

- A: superimposed coding!! [Mooers49], ...

| <u>Word</u>   | <u>Signature</u> |
|---------------|------------------|
| data          | 001 000 110 010  |
| base          | 000 010 101 001  |
| doc.signature | 001 010 111 011  |

‘YES’ is ‘MAYBE’

‘NO’ is ‘NO’

# Signature files

- Q1: How to choose  $F$  and  $m$  ?
- Q2: Why is it called ‘false drop’ ?
- Q3: other apps of signature files?

# Signature files

- Q1: How to choose  $F$  and  $m$  ?

| Word          | Signature       |
|---------------|-----------------|
| data          | 001 000 110 010 |
| base          | 000 010 101 001 |
| doc.signature | 001 010 111 011 |

$m$  (=4 bits/word)

$F$  (=12 bits sign. size)

# Signature files

- Q1: How to choose  $F$  and  $m$  ?
- A: so that doc. signature is 50% full

| Word          | Signature       |
|---------------|-----------------|
| data          | 001 000 110 010 |
| base          | 000 010 101 001 |
| doc.signature | 001 010 111 011 |

$m$  (=4 bits/word)

$F$  (=12 bits sign. size)

# Signature files

- Q1: How to choose  $F$  and  $m$  ?
-  • Q2: Why is it called ‘false drop’ ?
- Q3: other apps of signature files?

# Signature files

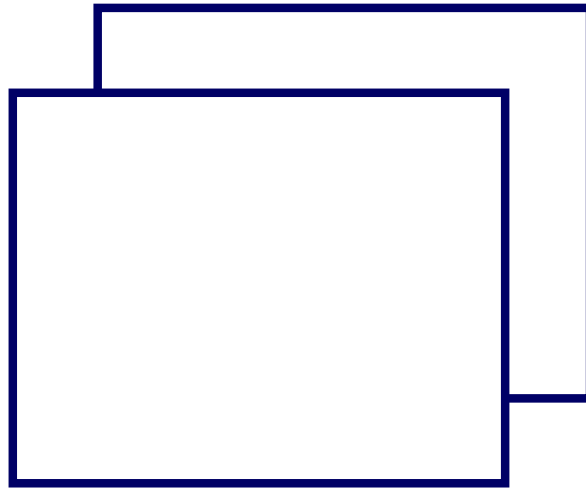
- Q2: Why is it called ‘false drop’ ?
- Old, but fascinating story [Mooers, 1949]
  - how to find qualifying books (by title word, and/or author, and/or keyword)
  - in  $O(1)$  time?
  - **without computers** (1949...)



Calvin Mooers

# Signature files

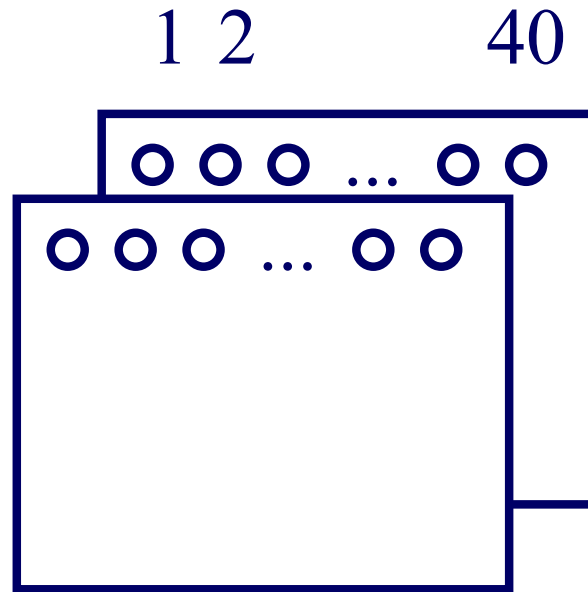
- ‘State of the art’ : cards – but  $> O(1)$



- one copy alpha by author
- one by title
- ...

# Signature files

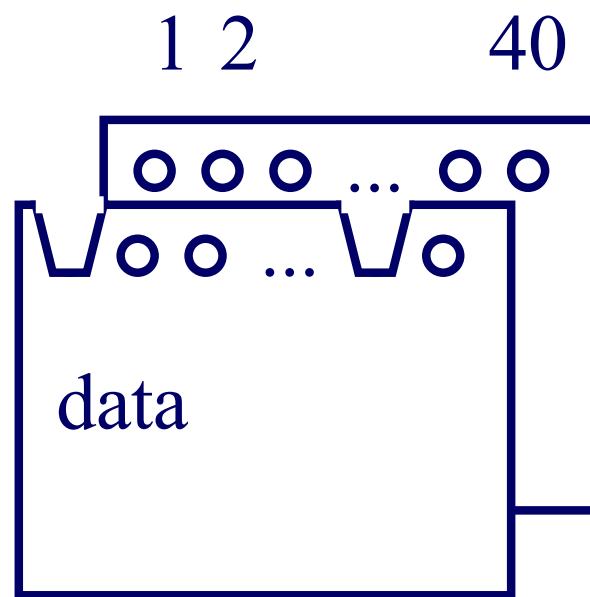
- Solution: edge-notched cards



- each title word is mapped to  $m$  numbers (how?)
- and the corresponding holes are cut out:

# Signature files

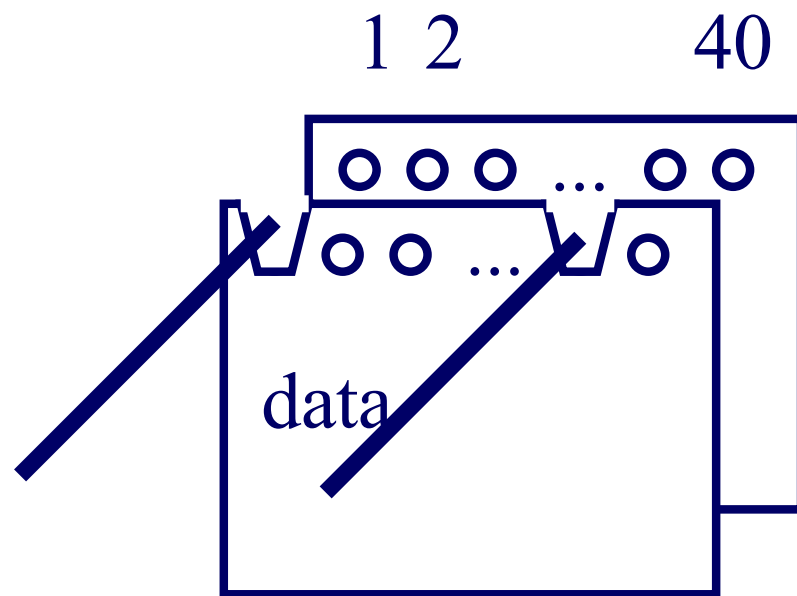
- Solution: edge-notched cards



‘data’ -> #1, #39

# Signature files

- Search, e.g., for ‘data’ : activate needle #1, #39, and shake the stack of cards!



‘data’ -> #1, #39

# Signature files

- Also known as ‘zatocoding’, from ‘Zator’ company.

# Signature files

- Q1: How to choose  $F$  and  $m$  ?
- Q2: Why is it called ‘false drop’ ?
-  • Q3: other apps of signature files?

# Signature files

- Q3: other apps of signature files?
- A: anything that has to do with  
‘**membership testing**’: does ‘*data*’ belong  
to the set of words of the document?

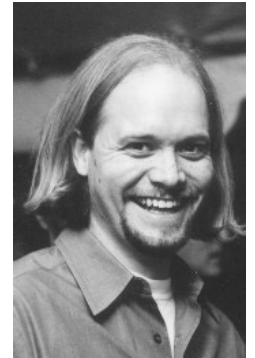
| Word          | Signature       |
|---------------|-----------------|
| data          | 001 000 110 010 |
| base          | 000 010 101 001 |
| doc.signature | 001 010 111 011 |

# Signature files

- UNIX's early 'spell' system [McIlroy]
- Bloom-joins in System R\* [Mackert+] and 'active disks' [Riedel99]
- differential files [Severance + Lohman]
- Virus scan



Doug  
McIlroy



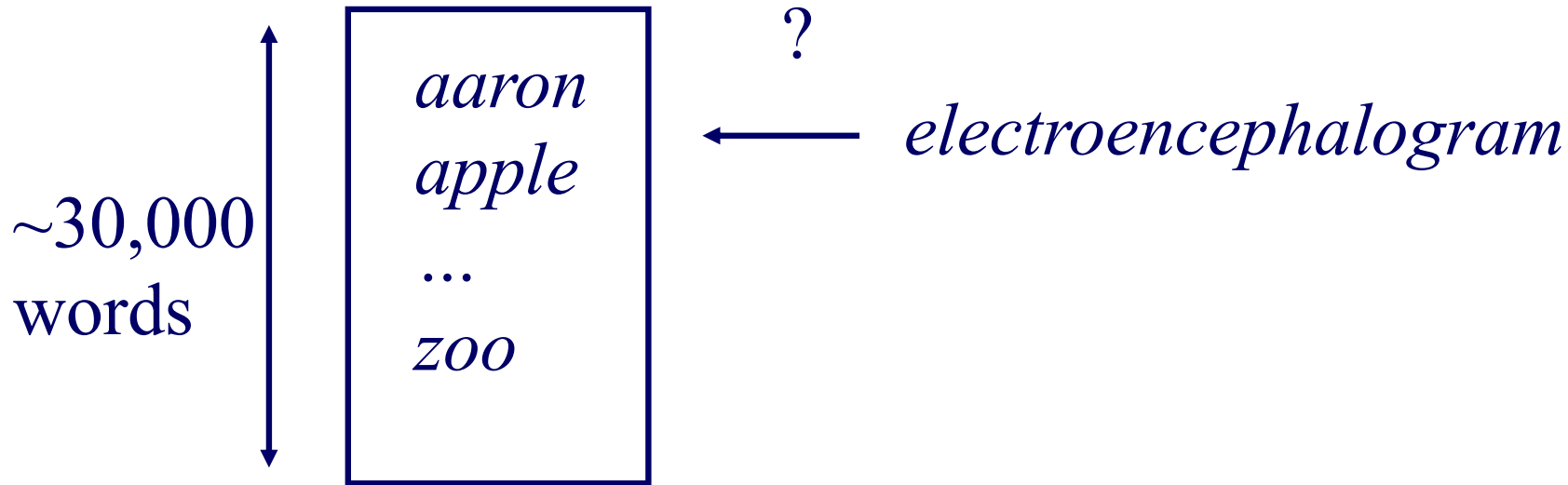
Eric  
Riedel



Guy Lohman

# App#1: Unix' s spell

Dictionary

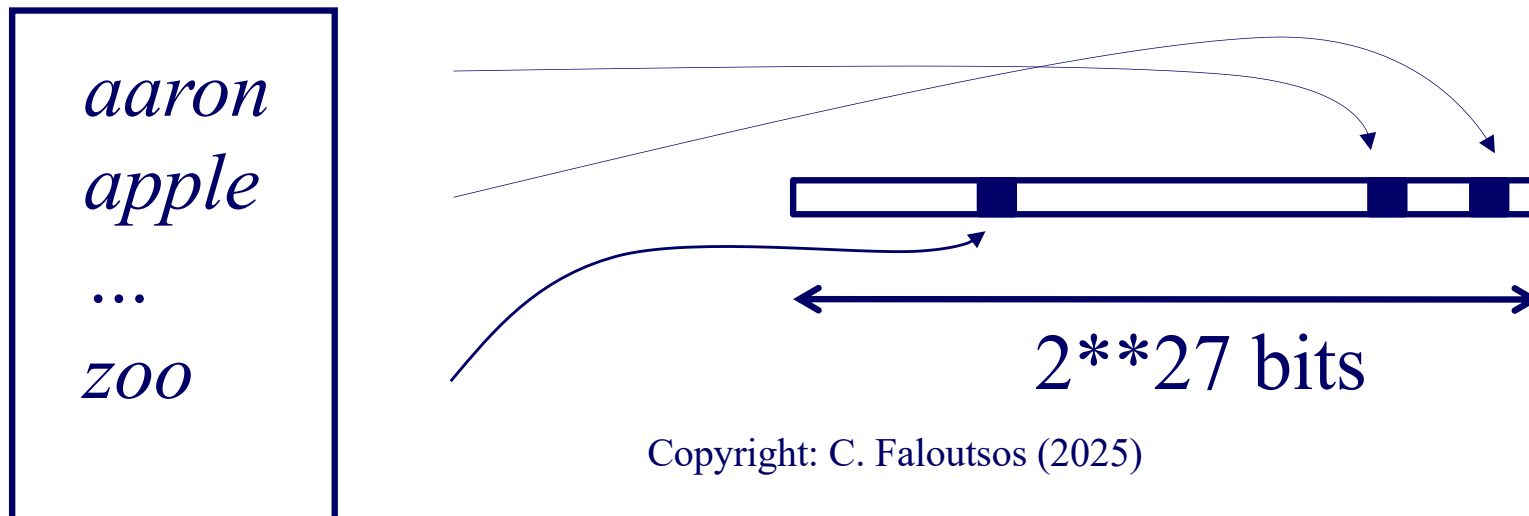


What to do if the dictionary  
does not fit in memory (~1980)?

# App#1: Unix' s spell

A: allow for a few typos! And use

- huge bit string ( $2^{27}$ )
- Hash each dictionary word to a bit
- Compress the string



# App#1: Unix' s spell

Sub-questions:

- Q1: How often do we allow typos?
- Q2: Will the (compressed) bit string fit in memory?
- Q3: How to compress the bit string?

# App#2: Bloom-joins

R @Chicago

| A   | B | C |
|-----|---|---|
| 1   |   |   |
| 1   |   |   |
| 3   |   |   |
| ... |   |   |
| 1   |   |   |
| 12  |   |   |

S @NY

| A   | E | F |
|-----|---|---|
| 1   |   |   |
| 18  |   |   |
| 1   |   |   |
| ... |   |   |
| 23  |   |   |
| 2   |   |   |

R join S (@PIT)

# App#2: Bloom-joins

R @Chicago

| A   | B | C |
|-----|---|---|
| 1   |   |   |
| 1   |   |   |
| 3   |   |   |
| ... |   |   |
| 1   |   |   |
| 12  |   |   |

S @NY

| A   | E | F |
|-----|---|---|
| 1   |   |   |
| 18  |   |   |
| 1   |   |   |
| ... |   |   |
| 23  |   |   |
| 2   |   |   |

Idea: reduce transmission cost: 'R semijoin S'

## App#2: Bloom-joins

Idea: reduce transmission cost: ‘R semijoin S’

That is,

- ‘S’ ships its unique values of ‘A’
- ‘R’ deletes non-matching tuples
- (and they both send their tuples to PIT)

Q: what if we want to send at most,  
say 100 bytes NY  $\rightarrow$  Chicago?

## App#2: Bloom-joins

Q: what if we want to send at most,  
say 100 bytes NY  $\rightarrow$  Chicago?

A: Bloom-join! Send a bloom filter  
of the S.A values

# App#3: Differential files

Problem definition:

- A large file (eg., with EMPLOYEE records), nicely packed and organized (eg., B-tree)
- A few insertions/deletions, that we would like to keep separate, and merge, at night
- How to search, eg., for employee #123?

# App#3: Differential files

| ssn | name | ... |
|-----|------|-----|
| 1   |      |     |
| 5   |      |     |
| 12  |      |     |
| ... |      |     |
| 503 |      |     |
| 509 |      |     |

| flag | ssn | name, .. |
|------|-----|----------|
| i    | 123 |          |
| i    | 55  |          |
| d    | 17  |          |
| d    | 33  |          |

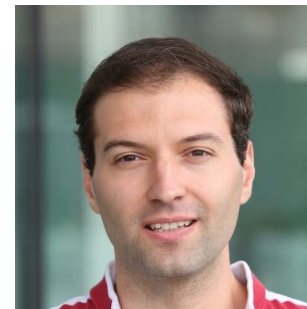
*Differential file*

## App#3: Differential files

- Q: How to search, eg., for employee #123?
- A: bloom-filter, for keys of diff. file
- Q: What are the advantages of differential files?
- A: <see paper, for 10(!) of them>

## App#4: Virus-scan

- Q: How to search, for thousands of patterns?
- A: Bloom filter:
- *Exact Pattern Matching with Feed-Forward Bloom Filters*, J. Moraru, and D. Andersen, (ALENEX11) , Jan 2011



# Signature files - conclusions

- easy insertions; slower than inversion
- brilliant idea of ‘quick and dirty’ filter: quickly discard the vast majority of non\_qualifying elements, and focus on the rest.



# Conclusion

- How to find doc's with “data mining”?
- ✓ • A1: full text scanning
  - A1.1: string editing distance
- ✓ • A2: inversion
  - Elias Codes
- (A3: signature files – ‘Bloom filters’)



# References

- Blandford, D. and Blelloch, G. 2002. *Index Compression through Document Reordering*. Data Compression Conference (DCC '02) (April 02 - 04, 2002).
- Brown, E. W., J. P. Callan, et al. (March 1994). *Supporting Full-Text Information Retrieval with a Persistent Object Store*. EDBT conference, Cambridge, U.K., Springer Verlag.

## References - cont' d

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- Karp, R. M. and M. O. Rabin (March 1987). "Efficient Randomized Pattern-Matching Algorithms." IBM Journal of Research and Development 31(2): 249-260.
- Knuth, D. E., J. H. Morris, et al. (June 1977). "Fast Pattern Matching in Strings." SIAM J. Comput 6(2): 323-350.

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- Mackert, L. M. and G. M. Lohman (August 1986). R\* Optimizer Validation and Performance Evaluation for Distributed Queries. Proc. of 12th Int. Conf. on Very Large Data Bases (VLDB), Kyoto, Japan.
- Manber, U. and S. Wu (1994). GLIMPSE: A Tool to Search Through Entire File Systems. Proc. of USENIX Techn. Conf.
- ★ • McIlroy, M. D. (Jan. 1982). "Development of a Spelling List." IEEE Trans. on Communications COM-30(1): 91-99.

## References - cont' d

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- Pedersen, D. C. a. J. (1990). Optimizations for dynamic inverted index maintenance. ACM SIGIR.
- Riedel, E. (1999). Active Disks: Remote Execution for Network Attached Storage. ECE, CMU. Pittsburgh, PA.

## References - cont' d

- ★ • Severance, D. G. and G. M. Lohman (Sept. 1976). "Differential Files: Their Application to the Maintenance of Large Databases." ACM TODS 1(3): 256-267.
- Tomasic, A. and H. Garcia-Molina (1993). Performance of Inverted Indices in Distributed Text Document Retrieval Systems. PDIS.
- Tomasic, A., H. Garcia-Molina, et al. (May 24-27, 1994). Incremental Updates of Inverted Lists for Text Document Retrieval. ACM SIGMOD, Minneapolis, MN.

## References - cont' d

- Wu, S. and U. Manber (1992). "AGREP- A Fast Approximate Pattern-Matching Tool." .
- Zobel, J., A. Moffat, et al. (Aug. 23-27, 1992). An Efficient Indexing Technique for Full-Text Database Systems. VLDB, Vancouver, B.C., Canada.