

# Principles of Software Construction: Objects, Design, and Concurrency

Part 4: Et cetera

Design case study: Java lambdas and streams

**Charlie Garrod**

**Chris Timperley**



Carnegie Mellon University  
School of Computer Science



17-214

# Administrivia

- Homework 5 Best Frameworks available today
- Homework 5c due Tuesday, 11:59 p.m.

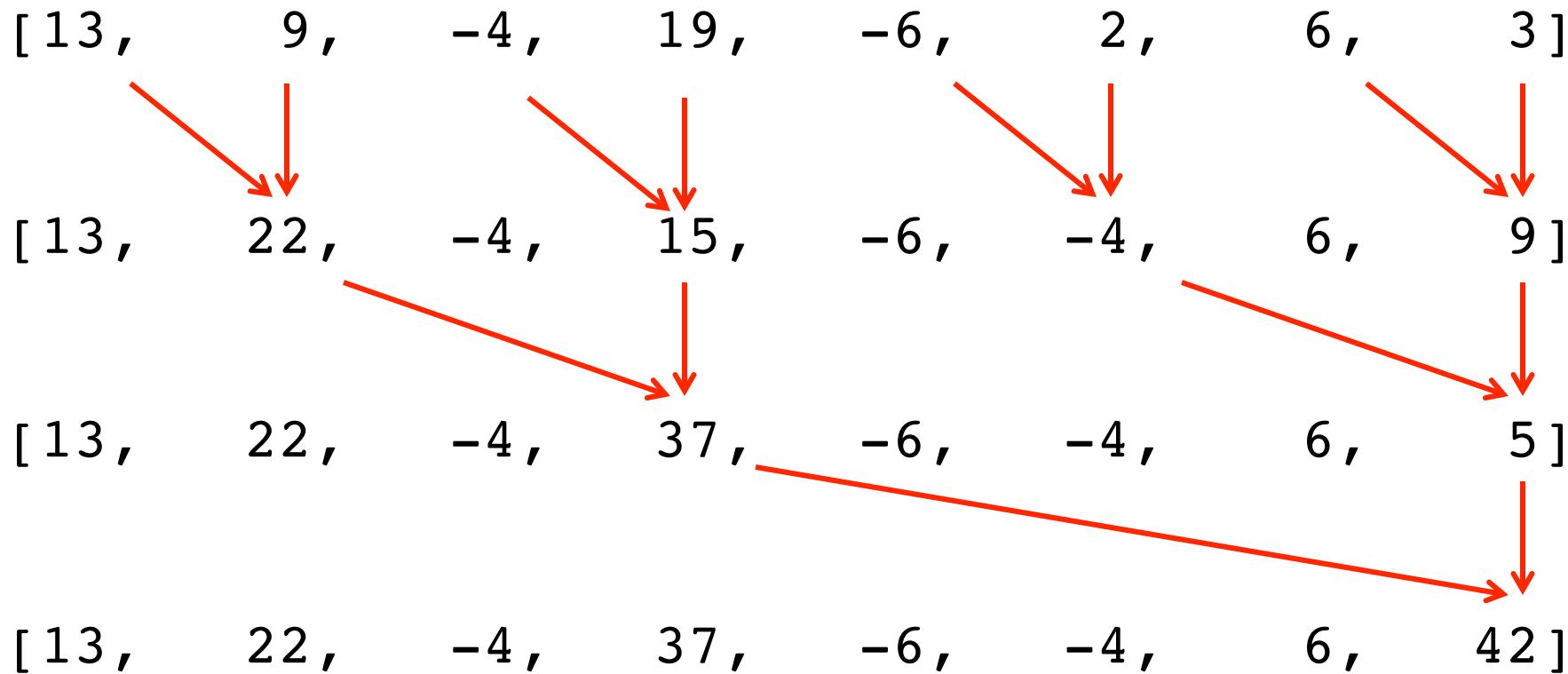
# Key concepts from Tuesday

# Prefix sums (a.k.a. inclusive scan, a.k.a. scan)

- Goal: given array  $x[0..n-1]$ , compute array of the sum of each prefix of  $x$   
[  $\text{sum}(x[0..0])$ ,  
   $\text{sum}(x[0..1])$ ,  
   $\text{sum}(x[0..2])$ ,  
  ...  
   $\text{sum}(x[0..n-1])$  ]
- e.g.,  $x = [13, 9, -4, 19, -6, 2, 6, 3]$   
prefix sums: [ 13, 22, 18, 37, 31, 33, 39, 42 ]

# Parallel prefix sums algorithm, upsweep

Compute the partial sums in a more useful manner



## Parallel prefix sums algorithm, downsweep

Now unwind to calculate the other sums

[ 13, 22, -4, 37, -6, -4, 6, 42 ]

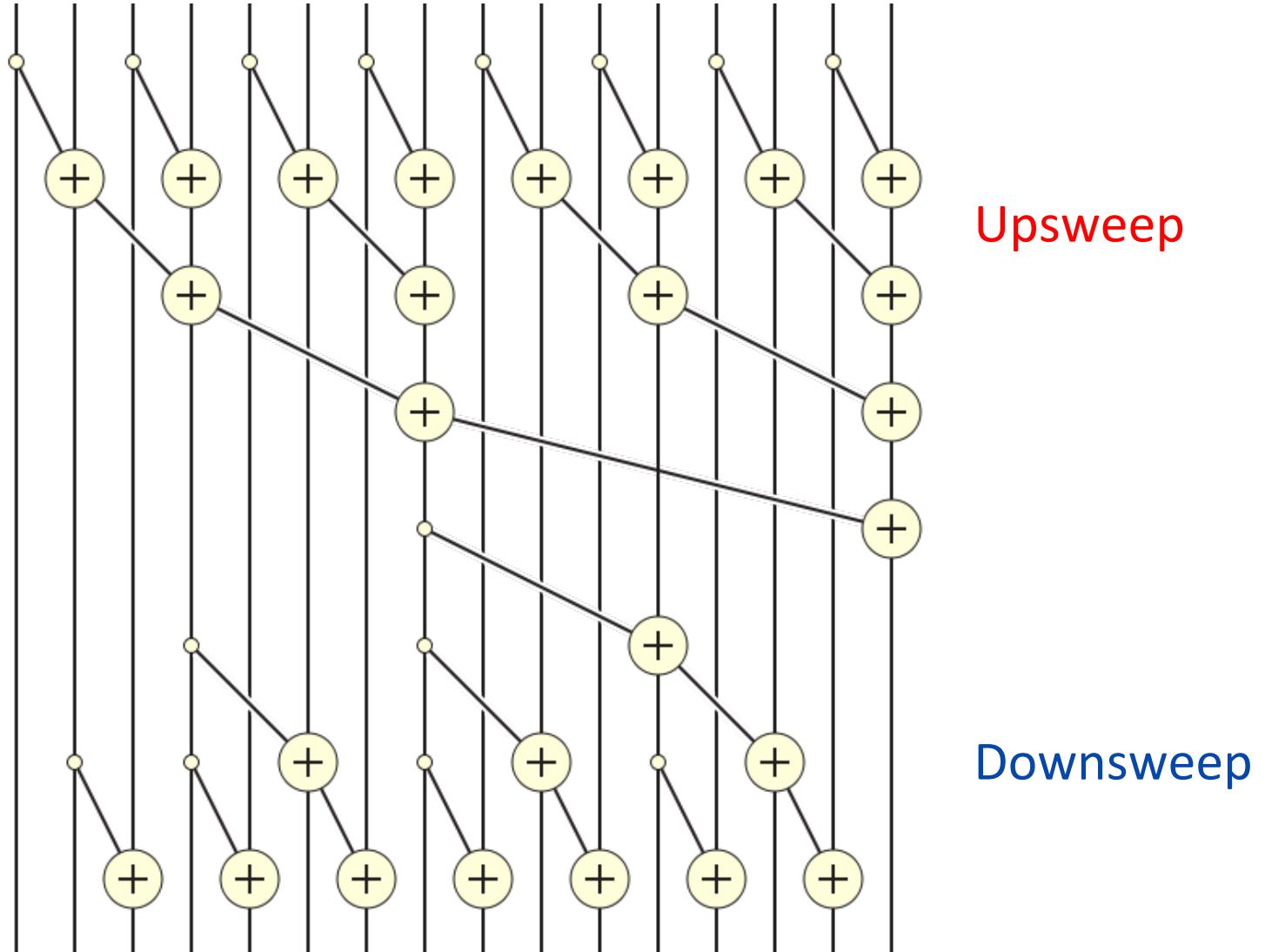
[ 13, 22, -4, 37, -6, 33, 6, 42 ]

[ 13, 22, 18, 37, 31, 33, 39, 42 ]

- Recall, we started with:

[ 13, 9, -4, 19, -6, 2, 6, 3 ]

# Doubling array size adds two more levels



# Recall from Thursday: Fork/join in Java

- The `java.util.concurrent.ForkJoinPool` class
  - Implements `ExecutorService`
  - Executes `java.util.concurrent.ForkJoinTask<V>` or `java.util.concurrent.RecursiveTask<V>` or `java.util.concurrent.RecursiveAction`
- In a long computation:
  - Fork a thread (or more) to do some work
  - Join the thread(s) to obtain the result of the work

# Parallel prefix sums algorithm

- How good is this?
  - Work:  $O(n)$
  - Depth:  $O(\lg n)$
- See `PrefixSumsParallelArrays.java`
- See `PrefixSumsSequential.java`
  - $n-1$  additions
  - Memory access is sequential
- For `PrefixSumsSequentialWithParallelWork.java`
  - About  $2n$  useful additions, plus extra additions for the loop indexes
  - Memory access is non-sequential
- The punchline:
  - Don't roll your own. Know the libraries
  - Cache and constants matter

# Today

- Java lambdas and functional interfaces
- Java streams

# Lambdas, briefly

- Term comes from  $\lambda$ -Calculus
  - Everything is a function!
- A lambda ( $\lambda$ ) is an *anonymous* function

# Does Java have lambdas?

- A. Yes, it's had them since the beginning
- B. Yes, it's had them since anonymous classes (1.1)
- C. Yes, it's had them since Java 8 — the spec says so!
- D. No, never had 'em, never will

# Function objects in Java 1.0

```
class StringLengthComparator implements Comparator {  
    private StringLengthComparator() { }  
    public static final StringLengthComparator INSTANCE =  
        new StringLengthComparator();  
  
    public int compare(Object o1, Object o2) {  
        String s1 = (String) o1, s2 = (String) o2;  
        return s1.length() - s2.length();  
    }  
}  
  
Arrays.sort(words, StringLengthComparator.INSTANCE);
```

# Function objects in Java 1.1

```
Arrays.sort(words, new Comparator() {  
    public int compare(Object o1, Object o2) {  
        String s1 = (String) o1, s2 = (String) o2;  
        return s1.length() - s2.length();  
    }  
});
```

"Class Instance Creation Expression" (CICE)

# Function objects in Java 5

```
Arrays.sort(words, new Comparator<String>() {  
    public int compare(String s1, String s2) {  
        return s1.length() - s2.length();  
    }  
});
```

CICE with generics

# Function objects in Java 8

```
Arrays.sort(words,  
           (s1, s2) -> s1.length() - s2.length());
```

- They feel like lambdas, they're called lambdas

# Lambda syntax

Syntax	Example
parameter -> expression	<code>x -&gt; x * x</code>
parameter -> block	<code>s -&gt; { System.out.println(s); }</code>
(parameters) -> expression	<code>(x, y) -&gt; Math.sqrt(x*x + y*y)</code>
(parameters) -> block	<code>(s1, s2) -&gt;     { System.out.println(s1 + "," + s2); }</code>
(parameter decls) -> expression	<code>(double x, double y) -&gt; Math.sqrt(x*x + y*y)</code>
(parameters decls) -> block	<code>(List&lt;?&gt; list) -&gt;     { Arrays.shuffle(list); Arrays.sort(list); }</code>

# Method references: more succinct than lambdas

- A static method
  - e.g., `Math::cos`
- An unbound instance method (whose receiver is unspecified)
  - e.g., `String::length`
  - The resulting function has an extra argument for the receiver
- A bound instance method of a specific object
  - e.g., `System.out::println`
- A constructor
  - e.g., `Integer::new`, `String[]::new`

# No function types in Java, only *functional interfaces*

- Interfaces with only one abstract method
- Optionally annotated with `@FunctionalInterface`
- Some functional interfaces you know
  - `java.lang.Runnable`
  - `java.util.concurrent.Callable`
  - `java.util.Comparator`
  - `java.awt.event.ActionListener`
  - Many, many more in `java.util.function`

# Function interfaces in `java.util.function`

BiConsumer<T,U>  
BiFunction<T,U,R>  
BinaryOperator<T>  
BiPredicate<T,U>  
BooleanSupplier  
**Consumer<T>**  
DoubleBinaryOperator  
DoubleConsumer  
DoubleFunction<R>  
DoublePredicate  
DoubleSupplier  
DoubleToIntFunction  
DoubleToLongFunction  
DoubleUnaryOperator  
**Function<T,R>**  
IntBinaryOperator  
IntConsumer  
IntFunction<R>  
IntPredicate  
IntSupplier  
IntToDoubleFunction  
IntToLongFunction

IntUnaryOperator  
LongBinaryOperator  
LongConsumer  
LongFunction<R>  
LongPredicate  
LongSupplier  
LongToDoubleFunction  
LongToIntFunction  
LongUnaryOperator  
ObjDoubleConsumer<T>  
ObjIntConsumer<T>  
ObjLongConsumer<T>  
**Predicate<T>**  
**Supplier<T>**  
ToDoubleBiFunction<T,U>  
ToDoubleFunction<T>  
ToIntBiFunction<T,U>  
ToIntFunction<T>  
ToLongBiFunction<T,U>  
ToLongFunction<T>  
**UnaryOperator<T>**

# Some Function<String, Integer>

Description	Code
Lambda	s -> Integer.parseInt(s)
Lambda w/ explicit param type	(String s) -> Integer.parseInt(s)
Static method reference	Integer::parseInt
Constructor reference	Integer::new
Instance method reference	String::length
Anonymous class ICE	<pre>new Function&lt;String, Integer&gt;(){     public Integer apply(String s) {         return s.length();     } }</pre>

# Java streams

- A stream is a bunch of data objects, typically from a collection, array, or input device, for processing
- Processed by a *pipeline*
  - A single ***stream generator*** (data source)
  - Zero or more ***intermediate stream operations***
  - A single ***terminal stream operation***

# Stream examples: Iteration

```
// Iteration over a collection
static List<String> stringList = ...;
stringList.stream()
    .forEach(System.out::println);

// Iteration over a range of integers
IntStream.range(0, 10)
    .forEach(System.out::println);

// A mini puzzler: what does this print?
"Hello world!".chars()
    .forEach(System.out::print);
```

# Puzzler solution

```
"Hello world!".chars()  
.forEach(System.out::print);
```

Prints "721011081081113211911111410810033"

The `chars` method on `String` returns an `IntStream`

# How do you fix it?

```
"Hello world!".chars()  
    .forEach(x -> System.out.print((char) x));
```

- Now prints "Hello world!"
- Morals:
  - Streams only for object ref types, int, long, and double
  - Type inference can be confusing

# Stream examples: mapping, filtering

```
List<String> longStrings = stringList.stream()
    .filter(s -> s.length() > 42)
    .collect(Collectors.toList());
```

```
List<String> firstLetters = stringList.stream()
    .map(s -> s.substring(0,1))
    .collect(Collectors.toList());
```

```
List<String> firstLetterOfLongStrings =
    stringList.stream()
        .filter(s -> s.length() > 42)
        .map(s -> s.substring(0,1))
        .collect(Collectors.toList());
```

## Stream examples: duplicates, sorting

```
List<String> dupsRemoved = stringList.stream()  
    .map(s -> s.substring(0,1))  
    .distinct()  
    .collect(Collectors.toList());
```

```
List<String> sortedList = stringList.stream()  
    .map(s -> s.substring(0,1))  
    .sorted() // Buffers everything until terminal op  
    .collect(Collectors.toList());
```

## Stream examples: bulk predicates

```
boolean allStringHaveLengthThree = stringList.stream()  
    .allMatch(s -> s.length() == 3);
```

```
boolean anyStringHasLengthThree = stringList.stream()  
    .anyMatch(s -> s.length() == 3);
```

# Streams are processed lazily

- Data is pulled by terminal operation, not pushed by source
  - Infinite streams are not a problem
- Intermediate operations can be fused
  - Multiple intermediate operations usually don't cause multiple traversals
- Intermediate results usually not stored
  - But there are exceptions (e.g., sorted)

# Easy parallelism: .parallelStream()

```
List<String> longStrings = stringList.parallelStream()  
    .filter(s -> s.length() > 42)  
    .collect(Collectors.toList());
```

```
List<String> firstLetters = stringList.parallelStream()  
    .map(s -> s.substring(0,1))  
    .collect(Collectors.toList());
```

```
List<String> firstLetterOfLongStrings =  
    stringList.parallelStream()  
        .filter(s -> s.length() > 42)  
        .map(s -> s.substring(0,1))  
        .collect(Collectors.toList());
```

# Stream interface is a monster (1/3)

```
public interface Stream<T> extends BaseStream<T, Stream<T>> {  
    // Intermediate Operations  
    Stream<T> filter(Predicate<T>);  
    <R> Stream<R> map(Function<T, R>);  
    IntStream mapToInt(ToIntFunction<T>);  
    LongStream mapToLong(ToLongFunction<T>);  
    DoubleStream mapToDouble(ToDoubleFunction<T>);  
    <R> Stream<R> flatMap(Function<T, Stream<R>>);  
    IntStream flatMapToInt(Function<T, IntStream>);  
    LongStream flatMapToLong(Function<T, LongStream>);  
    DoubleStream flatMapToDouble(Function<T, DoubleStream>);  
    Stream<T> distinct();  
    Stream<T> sorted();  
    Stream<T> sorted(Comparator<T>);  
    Stream<T> peek(Consumer<T>);  
    Stream<T> limit(long);  
    Stream<T> skip(long);
```

# Stream interface is a monster (2/3)

```
// Terminal Operations
void forEach(Consumer<T>);           // Ordered only for sequential streams
void forEachOrdered(Consumer<T>);      // Ordered if encounter order exists
Object[] toArray();
<A> A[] toArray(IntFunction<A[]> arrayAllocator);
T reduce(T, BinaryOperator<T>);
Optional<T> reduce(BinaryOperator<T>);
<U> U reduce(U, BiFunction<U, T, U>, BinaryOperator<U>);
<R, A> R collect(Collector<T, A, R>); // Mutable Reduction Operation
<R> R collect(Supplier<R>, BiConsumer<R, T>, BiConsumer<R, R>);
Optional<T> min(Comparator<T>);
Optional<T> max(Comparator<T>);
long count();
boolean anyMatch(Predicate<T>);
boolean allMatch(Predicate<T>);
boolean noneMatch(Predicate<T>);
Optional<T> findFirst();
Optional<T> findAny();
```

# Stream interface is a monster (3/3)

```
// Static methods: stream sources
public static <T> Stream.Builder<T> builder();
public static <T> Stream<T> empty();
public static <T> Stream<T> of(T);
public static <T> Stream<T> of(T...);
public static <T> Stream<T> iterate(T, UnaryOperator<T>);
public static <T> Stream<T> generate(Supplier<T>);
public static <T> Stream<T> concat(Stream<T>, Stream<T>);
}
```

# In case your eyes aren't glazed yet

```
public interface BaseStream<T, S extends BaseStream<T, S>>
    extends AutoCloseable {
    Iterator<T> iterator();
    Spliterator<T> spliterator();
    boolean isParallel();
    S sequential(); // May have little or no effect
    S parallel(); // May have little or no effect
    S unordered(); // Note asymmetry wrt sequential/parallel
    S onClose(Runnable);
    void close();
}
```

# It keeps going: `java.util.stream.Collectors`

```
... toList()
... toMap(...)
... toSet(...)
... reducingBy(...)
... groupingBy(...)
... partitioningBy(...)
```

•  
•  
•

# Optional<T>: another way to indicate the absence of a result

It also acts a bit like a degenerate stream

```
public final class Optional<T> {  
    boolean isPresent();  
    T get();  
  
    void ifPresent(Consumer<T>);  
    Optional<T> filter(Predicate<T>);  
    <U> Optional<U> map(Function<T, U>);  
    <U> Optional<U> flatMap(Function<T, Optional<U>>);  
    T orElse(T);  
    T orElseGet(Supplier<T>);  
    <X extends Throwable> T orElseThrow(Supplier<X>) throws X;  
}
```

# Stream practice

- Given a `List<String>` `words`, use streams to:
  - Generate a `List<String>` of all words containing the substring "heat"
  - Determine if any word contains the substring "aoeu" (a boolean)
- Challenge: Convert some operation in your Carcassonne solution to use streams... (or at least identify some operations that you might convert)

# Stream parallelism: Your mileage may vary

- Consider this for-loop (.96 s runtime; dual-core laptop)

```
long sum = 0;  
for (long j = 0; j < Integer.MAX_VALUE; j++) sum += j;
```

- Equivalent stream computation (1.5 s)

```
long sum = LongStream.range(0, Integer.MAX_VALUE).sum();
```

- Equivalent parallel computation (.77 s)

```
long sum = LongStream.range(0, Integer.MAX_VALUE)  
    .parallel().sum();
```

- Carefully handcrafted parallel code (.48 s)

# When to use a parallel stream, loosely speaking

- When operations are independent, and
- Either or both:
  - Operations are computationally expensive
  - Operations are applied to many elements of efficiently splittable data structures
  - Roughly: Number of elements \* Cost/element  $\gg$  10,000
- **Always measure before and after parallelizing!**

# When not to...

- Use a parallel stream...
- Use a stream...

# Summary

- API design: "Fun and easy to learn and use...?"
- When to use a lambda
  - Always, in preference to CICE
- When to use a method reference
  - Almost always, in preference to a lambda
- When to use a stream
  - When it feels and looks right
- When to use a parallel stream
  - Number of elements \* Cost/element >> 10,000
- Keep it classy!
  - Java is not a functional language