Principles of Software Construction: Objects, Design, and Concurrency

Part 3: Concurrency

Introduction to concurrency, part 4
In the trenches of parallelism

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Administrivia

- Homework 5b due 11:59 p.m. tonight
 - Turn in by tomorrow 9 a.m. to be considered as a Best Framework
- Optional reading due today:
 - Java Concurrency in Practice, Chapter 12





NEW COURSE: LANGUAGE DESIGN & PROTOTYPING

17-396/17-696 - SPRING 2020

Little languages are everywhere! Would you like to – or do you need to – design your own?

In this course, you will:

- Learn how to critique a language design
- Practice several language prototyping approaches (interpreters, transpilers, fluent APIs)
- Apply techniques for evaluating language designs with users
- Design and prototype your own language in the final project

Prof. Jonathan Aldrich – T/Th 3-4:20 http://www.cs.cmu.edu/~aldrich/courses/17-396/

Software Engineering (SE) at CMU

- 17-214: Code-level design
 - Extensibility, reuse, concurrency, functional correctness
- 17-313: Human aspects of software development
 - Requirements, teamwork, scalability, security, scheduling, costs, risks, business models
- 17-413 Practicum, 17-415 Seminar, Internship
- Various courses on requirements, architecture, software analysis, SE for startups, API design, etc.
- SE Minor: http://isri.cmu.edu/education/undergrad



Key concepts from last Thursday



Policies for thread safety

- Thread-confined
- Shared read-only
- Shared thread-safe
 - Objects that perform internal synchronization
- Guarded
 - Objects that must be synchronized externally

Shared thread-safe

- "Thread-safe" objects that perform internal synchronization
- Build your own, or know the Java concurrency libraries



Advice for building thread-safe objects

- Do as little as possible in synchronized region: get in, get out
 - Obtain lock
 - Examine shared data
 - Transform as necessary
 - Drop the lock
- If you must do something slow, move it outside the synchronized region



Example: adding concurrency to the observer pattern

```
private final List<Observer<E>> observers = new ArrayList<>();
public void addObserver(Observer<E> observer) {
   synchronized(observers) { observers.add(observer); }
}
public boolean removeObserver(Observer<E> observer) {
   synchronized(observers) { return observers.remove(observer); }
private void notifyOf(E element) {
   synchronized(observers) {
       for (Observer<E> observer : observers)
           observer.notify(this, element); // Risks liveness and
                                            // safety failures!
```

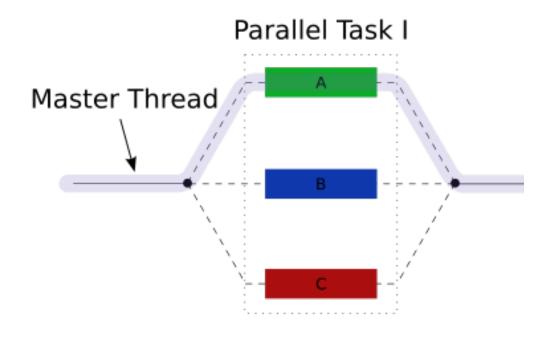
One solution: *snapshot iteration*

```
private void notifyOf(E element) {
    List<Observer<E>> snapshot = null;
    synchronized(observers) {
        snapshot = new ArrayList<>(observers);
    for (Observer<E> observer : snapshot) {
        observer.notify(this, element); // Safe
```

A better solution: CopyOnWriteArrayList

```
private final List<Observer<E>> observers =
       new CopyOnWriteArrayList<>();
public void addObserver(Observer<E> observer) {
   observers.add(observer);
public boolean removeObserver(Observer<E> observer) {
   return observers.remove(observer);
private void notifyOf(E element) {
   for (Observer<E> observer : observers)
       observer.notify(this, element);
```

The fork-join pattern



```
if (my portion of the work is small)
   do the work directly
else
   split my work into pieces
   invoke the pieces and wait for the results
```

A framework for asynchronous computation

• The java.util.concurrent.Future<V> interface

```
V get();
V get(long timeout, TimeUnit unit);
boolean isDone();
boolean cancel(boolean mayInterruptIfRunning);
boolean isCancelled();
```

• The java.util.concurrent.ExecutorService interface

```
void execute(Runnable task);
Future submit(Runnable task);
Future<V> submit(Callable<V> task);
List<Future<V> invokeAll(Collection<Callable<V>> tasks);
Future<V> invokeAny(Collection<Callable<V>> tasks);
```

Today

Concurrency in practice: In the trenches of parallelism



17-214

Concurrency at the language level

• Consider: Collection<Integer> collection = ...; int sum = 0; for (int i : collection) { sum += i;• In python: collection = ... sum = 0for item in collection: sum += item

Parallel quicksort in Nesl

```
function quicksort(a) =
  if (#a < 2) then a
  else
  let pivot = a[#a/2];
    lesser = {e in a| e < pivot};
    equal = {e in a| e == pivot};
    greater = {e in a| e > pivot};
    result = {quicksort(v): v in [lesser,greater]};
  in result[0] ++ equal ++ result[1];
```

- Operations in {} occur in parallel
- 210-esque questions: What is total work? What is depth?

Prefix sums (a.k.a. inclusive scan, a.k.a. scan)

 Goal: given array x[0...n-1], compute array of the sum of each prefix of x

```
[ sum(x[0...0]),
   sum(x[0...1]),
   sum(x[0...2]),
   ...
  sum(x[0...n-1]) ]
```

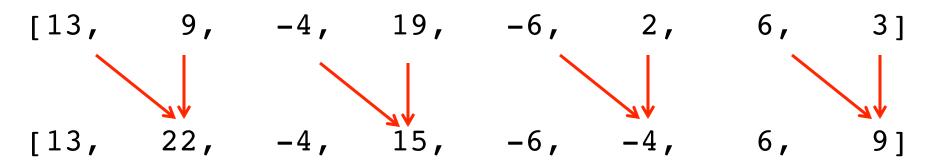
```
• e.g., x = [13, 9, -4, 19, -6, 2, 6, 3]
prefix sums: [13, 22, 18, 37, 31, 33, 39, 42]
```

Parallel prefix sums

- Intuition: Partial sums can be efficiently combined to form much larger partial sums. E.g., if we know sum(x[0...3]) and sum(x[4...7]), then we can easily compute sum(x[0...7])
- e.g., x = [13, 9, -4, 19, -6, 2, 6, 3]

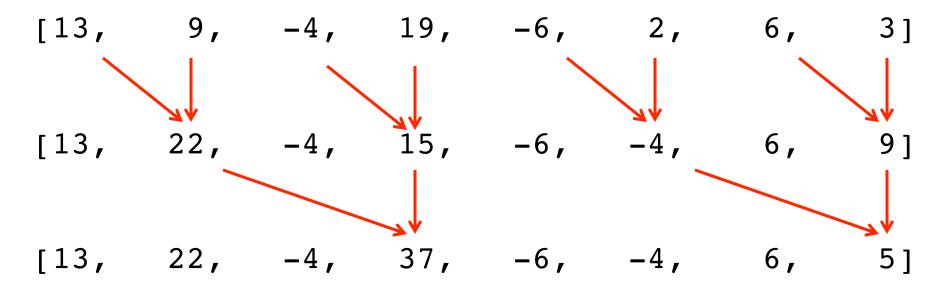
Parallel prefix sums algorithm, upsweep

Compute the partial sums in a more useful manner



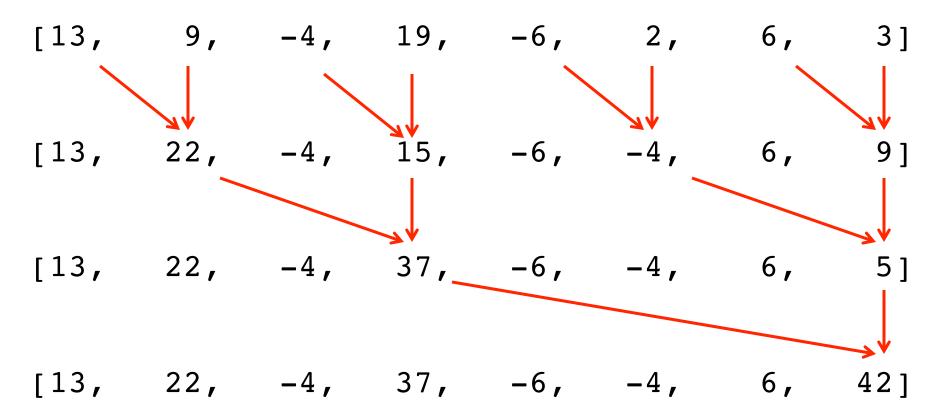
Parallel prefix sums algorithm, upsweep

Compute the partial sums in a more useful manner



Parallel prefix sums algorithm, upsweep

Compute the partial sums in a more useful manner



Parallel prefix sums algorithm, downsweep

Now unwind to calculate the other sums

[13, 22, -4, 37, -6, 33, 6, 42]

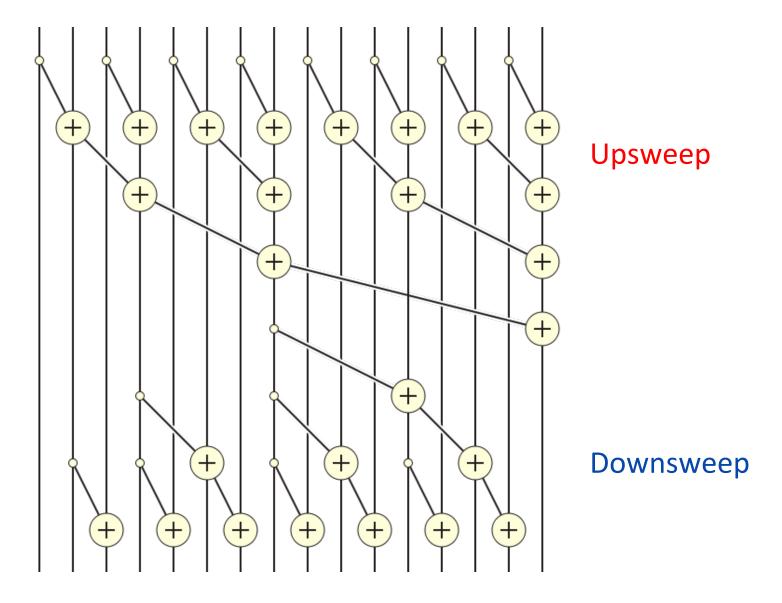
Parallel prefix sums algorithm, downsweep

Now unwind to calculate the other sums

• Recall, we started with:

$$[13, 9, -4, 19, -6, 2, 6, 3]$$

Doubling array size adds two more levels



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Parallel prefix sums

pseudocode

```
// Upsweep
prefix_sums(x):
  for d in 0 to (\lg n)-1:
                           // d is depth
    parallelfor i in 2^{d}-1 to n-1, by 2^{d+1}:
      x[i+2^d] = x[i] + x[i+2^d]
// Downsweep
for d in (lg n)-1 to 0:
  parallelfor i in 2^{d}-1 to n-1-2^{d}, by 2^{d+1}:
    if (i-2^d >= 0):
      x[i] = x[i] + x[i-2^d]
```

Parallel prefix sums algorithm, in code

• An iterative Java-esque implementation:

```
void iterativePrefixSums(long[] a) {
  int gap = 1;
  for (; gap < a.length; gap *= 2) {
    parfor(int i=gap-1; i+gap < a.length; i += 2*gap) {</pre>
      a[i+gap] = a[i] + a[i+gap];
  for (; gap > 0; gap /= 2) {
    parfor(int i=gap-1; i < a.length; i += 2*gap) {</pre>
      a[i] = a[i] + ((i-gap >= 0) ? a[i-gap] : 0);
```

Parallel prefix sums algorithm, in code

• A recursive Java-esque implementation:

```
void recursivePrefixSums(long[] a, int gap) {
  if (2*gap - 1 >= a.length) {
    return;
  parfor(int i=gap-1; i+gap < a.length; i += 2*gap) {</pre>
    a[i+gap] = a[i] + a[i+gap];
  recursivePrefixSums(a, gap*2);
  parfor(int i=gap-1; i < a.length; i += 2*gap) {</pre>
    a[i] = a[i] + ((i-gap >= 0) ? a[i-gap] : 0);
```

How good is this?



How good is this?

– Work: O(n)

Depth: O(lg n)

See PrefixSums.java,
 PrefixSumsSequentialWithParallelWork.java

Goal: parallelize the PrefixSums implementation

Specifically, parallelize the parallelizable loops

```
parfor(int i = gap-1; i+gap < a.length; i += 2*gap) {
   a[i+gap] = a[i] + a[i+gap];
}</pre>
```

Partition into multiple segments, run in different threads

```
for(int i = left+gap-1; i+gap < right; i += 2*gap) {
   a[i+gap] = a[i] + a[i+gap];
}</pre>
```

Recall from Thursday: Fork/join in Java

- The java.util.concurrent.ForkJoinPool class
 - Implements ExecutorService
 - Executes java.util.concurrent.ForkJoinTask<V> or java.util.concurrent.RecursiveTask<V> or java.util.concurrent.RecursiveAction
- In a long computation:
 - Fork a thread (or more) to do some work
 - Join the thread(s) to obtain the result of the work



The RecursiveAction abstract class

```
public class MyActionFoo extends RecursiveAction {
    public MyActionFoo(...) {
        store the data fields we need
    }
    @Override
    public void compute() {
        if (the task is small) {
            do the work here;
            return;
        invokeAll(new MyActionFoo(...), // smaller
                   new MyActionFoo(...), // subtasks
                   ...);
                                         // ...
    }
```

A ForkJoin example

- See PrefixSumsParallelForkJoin.java
- See the processor go, go go!



How good is this?

– Work: O(n)

Depth: O(lg n)

• See PrefixSumsParallelArrays.java

- How good is this?
 - Work: O(n)
 - Depth: O(lg n)
- See PrefixSumsParallelArrays.java
- See PrefixSumsSequential.java

- How good is this?
 - Work: O(n)
 - Depth: O(lg n)
- See PrefixSumsParallelArrays.java
- See PrefixSumsSequential.java
 - n-1 additions
 - Memory access is sequential
- For PrefixSumsSequentialWithParallelWork.java
 - About 2n useful additions, plus extra additions for the loop indexes
 - Memory access is non-sequential
- The punchline:
 - Don't roll your own. Know the libraries
 - Cache and constants matter



In-class example for parallel prefix sums

[7, 5, 8, -36, 17, 2, 21, 18]