

## Principles of Software Construction: Objects, Design, and Concurrency (Part 2: Designing (Sub-)Systems)

### Design for Robustness

Christian Kästner Charlie Garrod



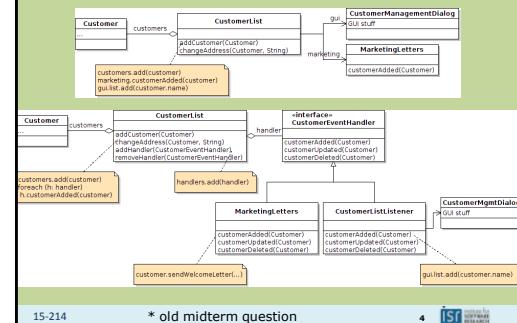
School of  
Computer Science  
Institute for  
SOFTWARE  
RESEARCH  
15-214

1



ISI INSTITUTE FOR SOFTWARE RESEARCH

Which design is better? Argue with design goals, principles, heuristics, and patterns that you know



### Administrativa

- Midterm 1: Thursday here
- Practice midterm on Piazza
- Review session tomorrow, 7pm HBH 100
- HW 2 grades
- HW 4 out, Milestone A due Feb 19
  - Do not underestimate design

15-214

2



3



### Learning Goals

- Use exceptions to write robust programs
- Make error handling explicit in interfaces and contracts
- Isolate errors modularly
- Test complex interactions locally
- Test for error conditions

15-214

5



6



### Design Goals, Principles, and Patterns

- Design Goals
  - Design for robustness
- Design Principle
  - Modular protection
  - Explicit interfaces
- Supporting Language Features
  - Exceptions

15-214

## Additional Readings

- Textbook Chapter 36 (handling failure, exceptions, proxy)

15-214

7

ISI  
CERTIFIED  
INSTRUCTOR

## EXCEPTION HANDLING

15-214

8

ISI  
CERTIFIED  
INSTRUCTOR

## What does this code do?

```
FileInputStream fin = new FileInputStream(filename);
if (fin == null) {
    switch (errno) {
        case _ENOFILE:
            System.err.println("File not found: " + ...);
            return -1;
        default:
            System.err.println("Something else bad happened: " + ...);
            return -1;
    }
}
DataInput dataInput = new DataInputStream(fin);
if (dataInput == null) {
    System.err.println("Unknown internal error.");
    return -1; // errno > 0 set by new DataInputStream
}
int i = dataInput.readInt();
if (errno > 0) {
    System.err.println("Error reading binary data from file");
    return -1;
} // The slide lacks space to close the file. Oh well.
return i;
```

15-214

9

ISI  
CERTIFIED  
INSTRUCTOR

## Compare to:

```
try {
    FileInputStream fileInputStream = new FileInputStream(filename);
    DataInputStream dataInputStream = new DataInputStream(fileInputStream);
    int i = dataInputStream.readInt();
    fileInputStream.close();
    return i;
} catch (FileNotFoundException e) {
    System.out.println("Could not open file " + filename);
    return -1;
} catch (IOException e) {
    System.out.println("Error reading binary data from file "
                       + filename);
    return -1;
}
```

15-214

10

ISI  
CERTIFIED  
INSTRUCTOR

## Exceptions

- Exceptions notify the caller of an exceptional circumstance (usually operation failure)
- Semantics
  - An exception propagates up the function-call stack until main() is reached (terminates program) or until the exception is caught
- Sources of exceptions:
  - Programmatically throwing an exception
  - Exceptions thrown by the Java Virtual Machine

15-214

11

ISI  
CERTIFIED  
INSTRUCTOR

## Exceptional control-flow in Java

```
public static void test() {
    try {
        System.out.println("Top");
        int[] a = new int[10];
        a[42] = 42;
        System.out.println("Bottom");
    } catch (NegativeArraySizeException e) {
        System.out.println("Caught negative array size");
    }
}

public static void main(String[] args) {
    try {
        test();
    } catch (IndexOutOfBoundsException e) {
        System.out.println("Caught index out of bounds");
    }
}
```

15-214

12

ISI  
CERTIFIED  
INSTRUCTOR

## Java: The **finally** keyword

- The finally block always runs after try/catch:

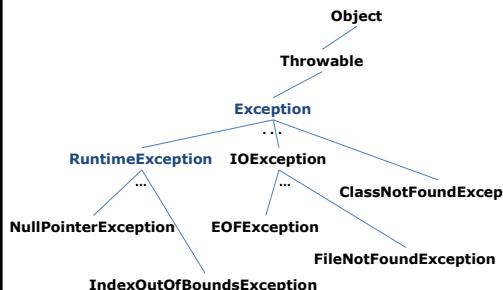
```
try {  
    System.out.println("Top");  
    int[] a = new int[10];  
    a[2] = 2;  
    System.out.println("Bottom");  
} catch (IndexOutOfBoundsException e) {  
    System.out.println("Caught index out of bounds");  
} finally {  
    System.out.println("Finally got here");  
}
```

15-214

13



## The exception hierarchy in Java



15-214

14



## Design choice: Checked and unchecked exceptions and return values

- Unchecked exception: any subclass of RuntimeException
  - Indicates an error which is highly unlikely and/or typically unrecoverable
- Checked exception: any subclass of Exception that is not a subclass of RuntimeException
  - Indicates an error that every caller should be aware of and explicitly decide to handle or pass on
- Return values (boolean, empty lists, null, etc): If failure is common and expected possibility

Design Principle: Explicit Interfaces (contracts)

15-214

15



## Creating and throwing your own exceptions

- Methods must declare any checked exceptions they might throw
- If your class extends java.lang.Throwable you can throw it:
  - if (someErrorBlahBlahBlah) {
    - throw new MyCustomException("Blah blah blah");

15-214

16



## Benefits of exceptions

- Provide high-level summary of error and stack trace
  - Compare: core dumped in C
- Can't forget to handle common failure modes
  - Compare: using a flag or special return value
- Can optionally recover from failure
  - Compare: calling System.exit()
- Improve code structure
  - Separate routine operations from error-handling (see Cohesion)
- Allow consistent clean-up in both normal and exceptional operation

15-214

17



## Guidelines for using exceptions

- Catch and handle all checked exceptions
  - Unless there is no good way to do so...
- Use runtime exceptions for programming errors
- Other good practices
  - Do not catch an exception without (at least somewhat) handling the error
  - When you throw an exception, describe the error
  - If you re-throw an exception, always include the original exception as the cause

15-214

18



## Testing for presence of an exception

```
import org.junit.*;
import static org.junit.Assert.fail;

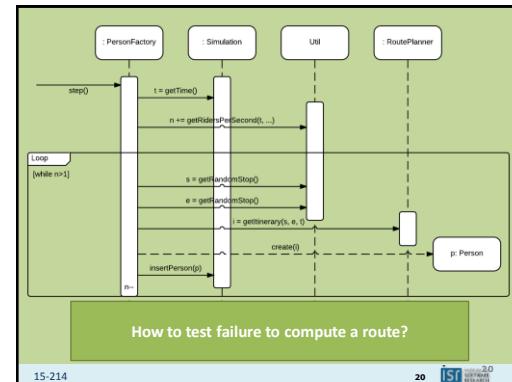
public class Tests {

    @Test
    public void testSanityTest() {
        try {
            openNonexistingFile();
            fail("Expected exception");
        } catch( IOException e) { }
    }

    @Test(expected = IOException.class)
    public void testSanityTestAlternative() {
        openNonexistingFile();
    }
}
```

15-214

19



15-214

20



21



## DESIGN PRINCIPLE: MODULAR PROTECTION

15-214

22



## Modular Protection

- Errors and bugs unavoidable, but exceptions should not leak across modules (methods, classes), if possible
- Good modules handle exceptional conditions locally
  - Local input validation and local exception handling where possible
  - Explicit interfaces with clear pre/post conditions
  - Explicitly documented and checked exceptions where exceptional conditions may propagate between modules
  - Information hiding/encapsulation of critical code (likely bugs, likely exceptions)

15-214

23



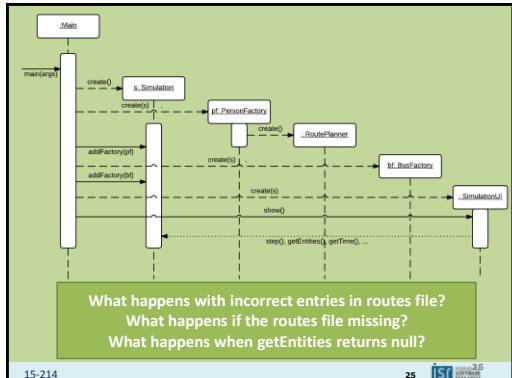
## Examples

- Printer crash should not corrupt entire system
  - E.g., printer problem handled locally, logged, user informed
- Exception/infinite loop in Pine Simulation should not freeze GUI
  - E.g., decouple simulation from UI
- Error in shortest-path algorithm should not corrupt graph
  - E.g., computation on immutable data structure

15-214

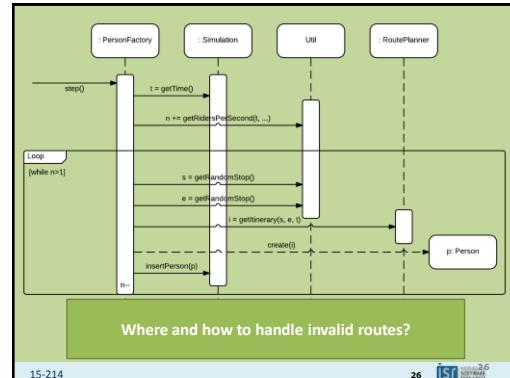
24





15-214

25



15-214

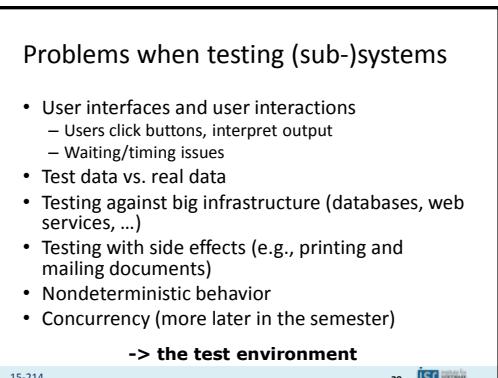
26



## TESTING WITH COMPLEX ENVIRONMENTS

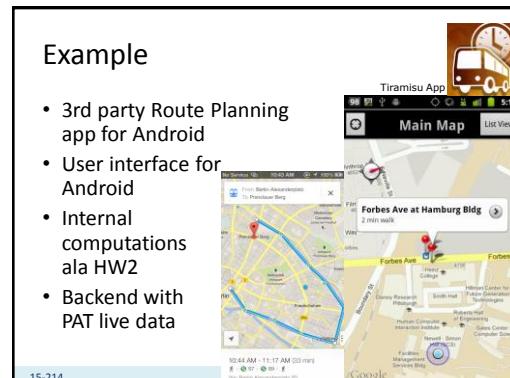
15-214

27

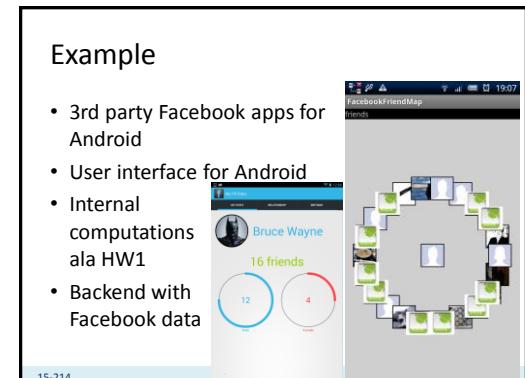


15-214

28



15-214



15-214

## Testing in real environments

```

Facebook --- Code --- Android client
    |           |
void buttonClicked() {
    render(getFriends());
}
Pair[] getFriends() {
    Connection c = http.getConnection();
    FacebookAPI api = new FacebookAPI(c);
    try {
        List<Node> persons = api.getFriends("john");
        for (Node personA: persons) {
            for (Node personB: persons) {
                ...
            }
        }
    } catch (...) { ... }
    return result;
}

```

15-214

31



## Test drivers

```

Facebook --- Code --- Test driver (JUnit)
                                         |
                                         +--> Android client
    |           |
@Test void testGetFriends() {
    assert getFriends() == ...;
}
Pair[] getFriends() {
    Connection c = http.getConnection();
    FacebookAPI api = new FacebookAPI(c);
    try {
        List<Node> persons = api.getFriends("john");
        for (Node personA: persons) {
            for (Node personB: persons) {
                ...
            }
        }
    } catch (...) { ... }
    return result;
}

```

15-214

32



## Stubs

```

Stub --- Facebook --- Facebook Interface --- Code --- Test driver (JUnit)
                                         |
                                         +--> Android client
    |           |
FacebookInterface fb;
@Before void init() {fb = new FacebookStub();}

Pair[] getFriends() {
    try {
        class FacebookStub implements FacebookInterface {
            void connect() {}
            List<Node> getPersons(String name) {
                if ("john".equals(name)) {
                    List<Node> result=new List();
                    result.add(...);
                    return result;
                }
            }
        }
        return fb.getFriends();
    } catch (...) { ... }
}

```

15-214

33



## Robustness test

```

Stub --- Facebook --- Facebook Interface --- Code --- Test driver (JUnit)
                                         |
                                         +--> Android client
    |           |
Connection Error --- Facebook --- Facebook Interface --- Code --- Test driver (JUnit)
                                         |
                                         +--> Android client
    |           |
class ConnectionError implements FacebookInterface {
    List<Node> getPersons(String name) {
        throw new HttpConnectionException();
    }
}
@Test void testConnectionError() {
    assert getFriends(new ConnectionError()) == null;
}

```

### Test for expected error conditions by introducing artificial errors through stubs

15-214

34



## Testing in real environments

```

Server Backend (tbd.) --- Code --- Android client
    |           |

```

- Separating code (with stubs) allows to test against functionality
  - provided by other teams
  - specified, but not yet implemented

15-214

35



## Testing Strategies in Environments

- Separate business logic and data representation from GUI for testing (more later)
- Test algorithms locally without large environment using stubs
- Advantages of stubs
  - Create deterministic response
  - Can reliably simulate spurious states (e.g. network error)
  - Can speed up test execution (e.g. avoid slow database)
  - Can simulate functionality not yet implemented
- Automate, automate, automate

15-214

36



## Design Implications

- Write testable code!
- When planning to test with a stub design for it! Abstract the actual subsystem behind and

```

int getFreeTime() {
    MySQLImpl db = new MySQLImpl("calendar.db");
    return db.execute("select ...");
}

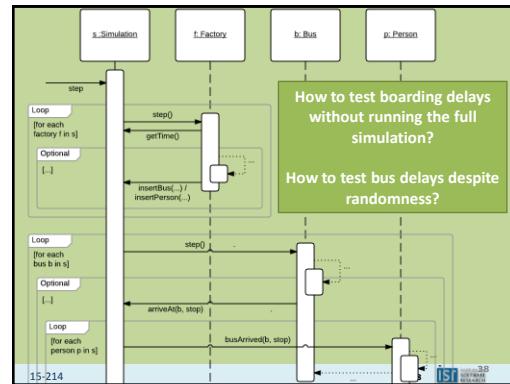
int getFreeTime() {
    DatabaseInterface db =
        databaseFactory.createDb("calendar.db");
    return db.execute("select ...");
}

int getFreeTime(MySQLImpl db) {
    return db.execute("select ...");
}

int getFreeTime(DatabaseInterface db) {
    return db.execute("select ...");
}

```

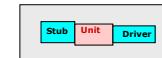
15-214



15-214

## Scaffolding

- Catch bugs early: Before client code or services are available
- Limit the scope of debugging: Localize errors
- Improve coverage
  - System-level tests may only cover 70% of code [Massol]
  - Simulate unusual error conditions – test internal robustness
- Validate internal interface/API designs
  - Simulate clients in advance of their development
  - Simulate services in advance of their development
- Capture developer intent (in the absence of specification documentation)
  - A test suite formally captures elements of design intent
  - Developer documentation
- Improve low-level design
  - Early attention to ability to test – “testability”



39 IST 4.1 DESIGN PATTERNS

## More Testing in 313

- Manual testing
- Security testing, penetration testing
- Fuzz testing for reliability
- Usability testing
- GUI/Web testing
- Regression testing
- Differential testing
- Stress/soak testing

15-214

40 IST 4.0

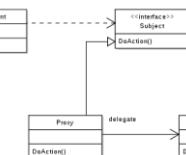
## DESIGN PATTERN: PROXY

15-214

41 IST 4.1

## Proxy Design Pattern

- Applicability
  - Whenever you need a more sophisticated object reference than a simple pointer
  - Local representative for a remote object
  - Create or load expensive object on demand
  - Control access to an object
  - Extra error handling, failover
  - Caching
  - Reference count an object
- Consequences
  - Introduces a level of indirection
  - Hides distribution from client
  - Hides optimizations from client
  - Adds housekeeping tasks



15-214

42 IST 4.2

## Example: Caching

```
interface FacebookAPI {  
    List<Node> getFriends(String name);  
}  
  
class FacebookProxy implements FacebookAPI {  
    FacebookAPI api;  
    HashMap<String, List<Node>> cache = new HashMap...  
    FacebookProxy(FacebookAPI api) { this.api=api; }  
  
    List<Node> getFriends(String name) {  
        result = cache.get(name);  
        if (result == null) {  
            result = api.getFriends(name);  
            cache.put(name, result);  
        }  
    }  
}
```

15-214

43



## Example: Caching and Failover

```
interface FacebookAPI {  
    List<Node> getFriends(String name);  
}  
  
class FacebookProxy implements FacebookAPI {  
    FacebookAPI api;  
    HashMap<String, List<Node>> cache = new HashMap...  
    FacebookProxy(FacebookAPI api) { this.api=api; }  
  
    List<Node> getFriends(String name) {  
        try {  
            result = api.getFriends(name);  
            cache.put(name, result);  
            return result;  
        } catch (ConnectionException c) {  
            return cache.get(name);  
        }  
    }  
}
```

15-214

44



## Example: Redirect to Local Service

```
interface FacebookAPI {  
    List<Node> getFriends(String name);  
}  
  
class FacebookProxy implements FacebookAPI {  
    FacebookAPI api;  
    FacebookAPI fallbackApi;  
    FacebookProxy(FacebookAPI api, FacebookAPI f) {  
        this.api=api; fallbackApi = f; }  
  
    List<Node> getFriends(String name) {  
        try {  
            return api.getFriends(name);  
        } catch (ConnectionException c) {  
            return fallbackApi.getFriends(name);  
        }  
    }  
}
```

15-214

Further alternatives: other error handling, redirect to other/local service, default values, etc

## Summary

- Design for Robustness as Design Goal
- Explicit Interfaces as Design Principle
  - Error handling explicit in interfaces (declared exceptions, return types)
  - Exceptions in Java as supporting language mechanism
- Modular Protection as Design Principle
  - Handle Exceptions Locally
- Local testing with stubs and drivers
- Proxy design pattern for separate error handling

15-214

46

