

Principles of Software Construction: Objects, Design, and Concurrency (Part 1: Designing Classes)

Design for Change (class level)

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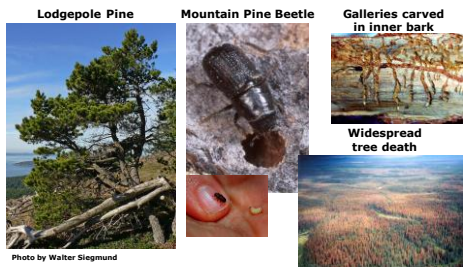
Tradeoffs?

```
void sort(int[] list, String order) {  
    -  
    boolean mustswap;  
    if (order.equals("up")) {  
        mustswap = list[0] < list[1];  
    } else if (order.equals("down")) {  
        mustswap = list[0] > list[1];  
    }  
    -  
}
```

```
void sort(int[] list, Comparator cmp) {  
    -  
    boolean mustswap;  
    mustswap = cmp.compare(list[0], list[1]);  
    -  
}  
  
interface Comparator {  
    boolean compare(int i, int j);  
}  
  
class UpComparator implements Comparator {  
    boolean compare(int i, int j) { return i < j; }  
}  
  
class DownComparator implements Comparator {  
    boolean compare(int i, int j) { return i > j; }  
}
```

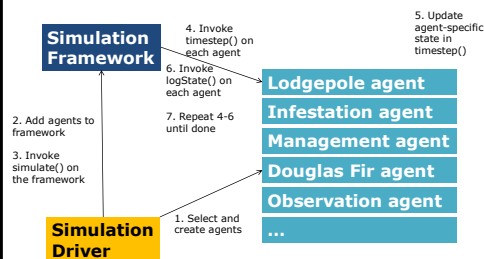
Case Study: Pines and Beetles

Source: BC Forestry website



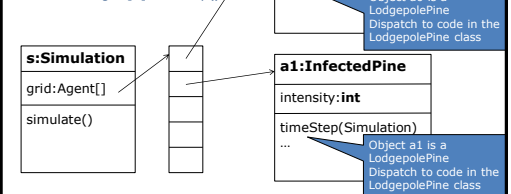
Further reading: Liliana Nereza and Susana Dragolovic. Exploring Forest Management Practices Using an Agent-Based Model of Forest Insect Infestations. International Congress on Environmental Modelling and Software Modelling for Environment's Sake, 2010.

Simulation Framework Behavior Model



Today: How Objects Respond to Messages

1. assign a0 to grid[0]
2. assign a1 to grid[1]
3. invoke grid[0].timeStep()
4. invoke grid[1].timeStep()



*simplification: we consider a 1-dimensional grid in this diagram

Learning Goals

- Explain the need to design for change and design for division of labor
- Understand subtype polymorphism and dynamic dispatch
 - Distinguish between static and runtime type
 - Explain *static* and *instanceof* and their limitations
- Use encapsulation to achieve information hiding
- Define method contracts beyond type signatures
- Explain the concept of design patterns, their ingredients and applications
- Identify applicability of and apply the strategy design pattern
- Write and automate unit tests

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Design Goals, Principles, and Patterns

- Design Goals
 - Design for Change
 - Design for Division of Labor
- Design Principles
 - Explicit Interfaces (clear boundaries)
 - Information Hiding (hide likely changes)
- Design Patterns
 - Strategy Design Pattern
 - Composite Design Pattern
- Supporting Language Features
 - Subtype Polymorphism
 - Encapsulation

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Software Change

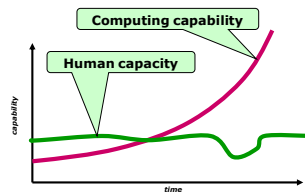
- ...accept the fact of change as a way of life, rather than an untoward and annoying exception.
 - Brooks, 1974
- Software that does not change becomes useless over time.
 - Belady and Lehman
- For successful software projects, most of the cost is spent evolving the system, not in initial development
 - Therefore, reducing the cost of change is one of the most important principles of software design

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The limits of exponentials

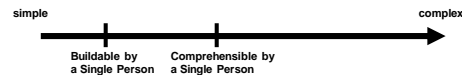


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Building Complex Systems



- Division of Labor
- Division of Knowledge and Design Effort
- Reuse of Existing Implementations

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Goal of Software Design

- For each desired program behavior there are infinitely many programs that have this behavior
 - What are the differences between the variants?
 - Which variant should we choose?
- Since we usually have to synthesize rather than choose the solution...
 - How can we design a variant that has the desired properties?

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Sorting with configurable order, variant B

```
void sort(int[] list, Comparator cmp) {
    ...
    boolean mustswap;
    mustswap = cmp.compare(list[i], list[j]);
    ...
}
interface Comparator {
    boolean compare(int i,
}
class UpComparator implements Comparator {
    boolean compare(int I,
}
class DownComparator implements Comparator {
    boolean compare(int I, int j) { return i > j; }}
```

Uses Polymorphism for
Extensibility

Programming against an
Interface

Strategy Design Pattern

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Design Goals for Today

- **Design for Change** (flexibility, extensibility, modifiability)

also

- Design for Division of Labor
- Design for Understandability

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SUBTYPE POLYMORPHISM / DYNAMIC DISPATCH (OBJECT-ORIENTED LANGUAGE FEATURE ENABLING FLEXIBILITY)

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Objects

- A package of state (data) and behavior (actions)
- Can interact with objects by sending messages
 - perform an action (e.g., move)
 - request some information (e.g., getSize)

```
Point p = ...
int x = p.getX();

IntSet a = ...; IntSet b = ...
boolean s = a.isSubsetOf(b);
```

- Possible messages described through an interface

```
interface Point {
    int getX();
    int getY();
    void moveUp(int y);
    Point copy();
}

interface IntSet {
    boolean contains(int element);
    boolean isSubsetOf(
        IntSet otherSet);
}
```

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Subtype Polymorphism

- There may be multiple implementations of an interface
- Multiple implementations coexist in the same program
- May not even be distinguishable
- Every object has its own data and behavior

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Creating Objects

```
interface Point {
    int getX();
    int getY();
}

Point p = new Point() {
    int getX() { return 3; }
    int getY() { return -10; }
}
```

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Creating Objects

```
interface IntSet {
    boolean contains(int element);
    boolean isSubsetOf(IntSet otherSet);
}
IntSet emptySet = new IntSet() {
    boolean contains(int element) { return false; }
    boolean isSubsetOf(IntSet otherSet) { return true; }
}
```

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Creating Objects

```
interface IntSet {
    boolean contains(int element);
    boolean isSubsetOf(IntSet otherSet);
}
IntSet threeSet = new IntSet() {
    boolean contains(int element) {
        return element == 3;
    }
    boolean isSubsetOf(IntSet otherSet) {
        return otherSet.contains(3);
    }
}
```

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Classes as Object Templates

```
interface Point {
    int getX();
    int getY();
}
Class CartesianPoint implements Point {
    int x,y;
    Point(int x, int y) {this.x=x; this.y=y;}
    int getX() { return this.x; }
    int getY() { return this.y; }
}
Point p = new CartesianPoint(3, -10);
```

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More Classes

```
interface Point {
    int getX();
    int getY();
}
class SkewedPoint implements Point {
    int x,y;
    SkewedPoint(int x, int y) {this.x=x + 10; this.y=y * 2;}
    int getX() { return this.x - 10; }
    int getY() { return this.y / 2; }
}
Point p = new SkewedPoint(3, -10);
```

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Polar Points

```
interface Point {
    int getX();
    int getY();
}
class PolarPoint implements Point {
    double len, angle;
    PolarPoint(double len, double angle)
        {this.len=len; this.angle=angle;}
    int getX() { return this.len * cos(this.angle); }
    int getY() { return this.len * sin(this.angle); }
    double getAngle() {...}
}
Point p = new PolarPoint(5, .245);
```

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Implementation of interfaces

- Classes can **implement** one or more interfaces.

```
public class PolarPoint implements Point, IPolarPoint {...}
```

- Semantics**
 - Must provide code** for all methods in the interface(s)

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Polar Points

```
interface Point {
    int getX();
    int getY();
}

interface PolarPoint {
    double getAngle();
    double getLength();
}

class PolarPointImpl implements Point, PolarPoint {
    double len, angle;
    PolarPoint(double len, double angle) {
        this.len=len; this.angle=angle;
    }
    int getX() { return this.len * cos(this.angle); }
    int getY() { return this.len * sin(this.angle); }
    double getAngle() { ... }
    double getLength() { ... }
}

PolarPoint p = new PolarPointImpl(5, .245);
Point q = new PolarPointImpl(5, .245);
```

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Middle Points

```
interface Point {
    int getX();
    int getY();
}

class MiddlePoint implements Point {
    Point a, b;
    MiddlePoint(Point a, Point b) { this.a = a; this.b = b; }
    int getX() { return (this.a.getX() + this.b.getX()) / 2; }
    int getY() { return (this.a.getY() + this.b.getY()) / 2; }
}

Point p = new MiddlePoint(new PolarPoint(5, .245),
    new CartesianPoint(3, 3));
```

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Example: Points and Rectangles

```
interface Point {
    int getX();
    int getY();
}

... = new Rectangle() {
    Point origin;
    int width, height;
    Point getOrigin() { return this.origin; }
    int getWidth() { return this.width; }
    void draw() {
        this.drawLine(this.origin.getX(), this.origin.getY(), // first line
            this.origin.getX()+this.width, this.origin.getY());
        ... // more lines here
    }
};
```

Subtype
Polymorphism

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Points and Rectangles: Interface

```
interface Point {
    int getX();
    int getY();
}

interface Rectangle {
    Point getOrigin();
    int getWidth();
    int getHeight();
    void draw();
}
```

What are possible
implementations of the
IRectangle interface?

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Java interfaces and classes

- Organize program functionality around kinds of abstract “objects”
 - For each object kind, offer a specific set of operations on the objects
 - Objects are otherwise opaque: Details of representation are hidden
 - “Messages to the receiving object”
- Distinguish interface from class
 - Interface: expectations
 - Class: delivery on expectations (the implementation)
 - Anonymous class: special Java construct to create objects without explicit classes: `Point x = new Point() { /* implementation */ };`
- Explicitly represent the taxonomy of object types
 - This is the type hierarchy (!= inheritance, more on that later): A CartesianPoint is a Point

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Discussion Subtype Polymorphism

- A user of an object does not need to know the object’s implementation, only its interface
- All objects implementing the interface can be used interchangeably
- Allows flexible **change** (modifications, extensions, reuse) later without changing the client implementation, even in unanticipated contexts

Design for Change!

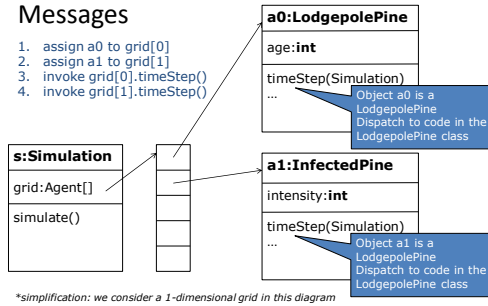
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Today: How Objects Respond to Messages

1. assign a0 to grid[0]
2. assign a1 to grid[1]
3. invoke grid[0].timeStep()
4. invoke grid[1].timeStep()



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Check your Understanding

```

interface Animal {
    void makeSound();
}
class Dog implements Animal {
    public void makeSound() { System.out.println("bark!"); }
}
class Cow implements Animal {
    public void makeSound() { mew(); }
    public void mew() { System.out.println("Mew!"); }
}
0 Animal x = new Animal() {
    public void makeSound() { System.out.println("chirp!"); }
};
1 Animal a = new Animal();
2 a.makeSound();
3 Dog d = new Dog();
4 d.makeSound();
5 Animal b = new Cow();
6 b.makeSound();
7 b.mew();
    
```

- What happens?

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See textbook 26.7

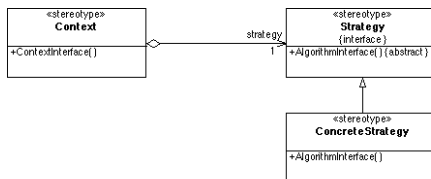
STRATEGY DESIGN PATTERN (EXPLOITING POLYMORPHISM FOR FLEXIBILITY)

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Behavioral: Strategy



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Tradeoffs

```

void sort(int[] list, String order) {
    ...
    boolean mustswap;
    if (order.equals("up")) {
        mustswap = list[i] < list[j];
    } else if (order.equals("down")) {
        mustswap = list[i] > list[j];
    }
    ...
}
    
```

```

void sort(int[] list, Comparator cmp) {
    ...
    boolean mustswap;
    mustswap = cmp.compare(list[i], list[j]);
    ...
}
interface Comparator {
    boolean compare(int i, int j);
}
class UpComparator implements Comparator {
    boolean compare(int i, int j) { return i < j; }
}
class DownComparator implements Comparator {
    boolean compare(int i, int j) { return i > j; }
}
    
```

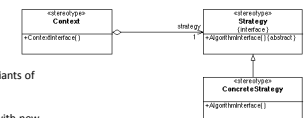
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Behavioral: Strategy

- Applicability
 - Many classes differ in only their behavior
 - Client needs different variants of an algorithm
- Consequences
 - Code is more extensible with new strategies
 - compare to conditionals
 - Separates algorithm from context
 - each can vary independently
 - design for change and reuse; reduce coupling
 - Adds objects and dynamism
 - code harder to understand
 - Common strategy interface
 - may not be needed for all Strategy implementations – may be extra overhead
- Design for change
 - Find what varies and encapsulate it
 - Allows changing/adding alternative variations later
 - Class Context closed for modification, but open for extension
- Equivalent in functional programming: Higher-order functions



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Design Patterns

- "Each pattern describes a problem which occurs over and over again in our environment, and then describes the core of the solution to that problem, in such a way that you can use this solution a million times over, without ever doing it the same way twice"
 - Christopher Alexander
- Every Strategy interface has its own domain-specific interface
 - But they share a common problem and solution

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Examples

- Change the sorting criteria in a list
- Change the aggregation method for computations over a list (e.g., fold)
- Compute the tax on a sale
- Compute a discount on a sale
- Change the layout of a form

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Benefits of Patterns

- Shared language of design
 - Increases communication bandwidth
 - Decreases misunderstandings
- Learn from experience
 - Becoming a good designer is hard
 - Understanding good designs is a first step
 - Tested solutions to common problems
 - Where is the solution applicable?
 - What are the tradeoffs?

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Illustration [Shalloway and Trott]

- Carpenter 1: How do you think we should build these drawers?
- Carpenter 2: Well, I think we should make the joint by cutting straight down into the wood, and then cut back up 45 degrees, and then going straight back down, and then back up the other way 45 degrees, and then going straight down, and repeating...
- SE example: "I wrote this if statement to handle ... followed by a while loop ... with a break statement so that..."

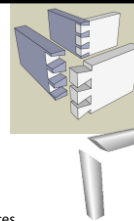
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A Better Way

- Carpenter 1: Should we use a dovetail joint or a miter joint?
- Subtext:
 - miter joint: cheap, invisible, breaks easily
 - dovetail joint: expensive, beautiful, durable
- Shared terminology and knowledge of consequences raises level of abstraction
 - CS: Should we use a Strategy?
 - Subtext
 - Is there a varying part in a stable context?
 - Might there be advantages in limiting the number of possible implementations?



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Elements of a Pattern

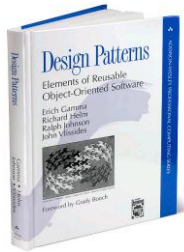
- Name
 - Important because it becomes part of a design vocabulary
 - Raises level of communication
- Problem
 - When the pattern is applicable
- Solution
 - Design elements and their relationships
 - Abstract: must be specialized
- Consequences
 - Tradeoffs of applying the pattern
 - Each pattern has costs as well as benefits
 - Issues include flexibility, extensibility, etc.
 - There may be variations in the pattern with different consequences

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History: Design Patterns Book



- Brought Design Patterns into the mainstream
- Authors known as the Gang of Four (GoF)
- Focuses on *descriptions of communicating objects and classes that are customized to solve a general design problem in a particular context*
- Great as a reference text
- Uses C++, Smalltalk

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Design Exercise (on paper)

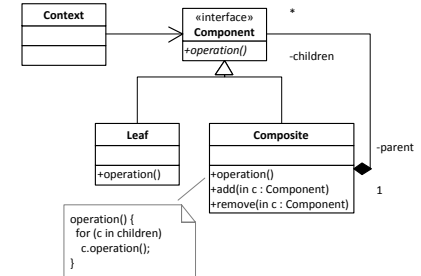
- You are designing software for a shipping company.
- There are several different kinds of items that can be shipped: letters, books, packages, fragile items, etc.
- Two important considerations are the **weight** of an item and its **insurance cost**.
 - Fragile items cost more to insure.
 - All letters are assumed to weigh an ounce
 - We must keep track of the weight of other packages.
- The company sells **boxes** and customers can put several items into them.
 - The software needs to track the contents of a box (e.g. to add up its weight, or compute the total insurance value).
 - However, most of the software should treat a box holding several items just like a single item.
- Think about how to represent packages; what are possible interfaces, classes, and methods? (letter, book, box only)

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The Composite Design Pattern



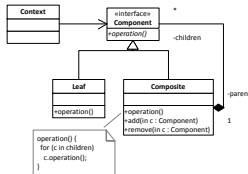
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The Composite Design Pattern

- Applicability
 - You want to represent part-whole hierarchies of objects
 - You want to be able to ignore the difference between compositions of objects and individual objects
- Consequences
 - Makes the client simple, since it can treat objects and composites uniformly
 - Makes it easy to add new kinds of components
 - Can make the design overly general
 - Operations may not make sense on every class
 - Composites may contain only certain components



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We have seen this before

```

interface Point {
    int getX();
    int getY();
}

class MiddlePoint implements Point {
    Point a, b;
    MiddlePoint(Point a, Point b) {this.a = a; this.b = b; }
    int getX() { return (this.a.getX() + this.b.getX()) / 2; }
    int getY() { return (this.a.getY() + this.b.getY()) / 2; }
}
    
```

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**ENCAPSULATION
(LANGUAGE FEATURE TO CONTROL
VISIBILITY)**

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Controlling Access – Best practices

- Define an interface
- Client may only use the messages in the interface
- Fields not accessible from client code
- Methods only accessible if exposed in interface

```
interface Point {
    int getX();
    int getY();
}

class CartesianPoint implements Point {
    int x,y;
    Point(int x, int y) {this.x=x; this.y=y;}
    int getX() { return this.x; }
    int getY() { return this.y; }
    String getText() { return this.x + " x " + this.y; }
}

Point p = new CartesianPoint(3, -10);
p.getX();
p.getText(); // not accessible
p.x; // not accessible
```

Interface Type

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Java: Classes as Types

- Classes usable as type
 - (Public) methods in classes usable like methods in interfaces
 - (Public) fields directly accessible from other classes
 - Language constructs (public, private, protected) to control access
- Prefer programming to interfaces (variables should have **interface type**, not class type)
 - Esp. whenever there are multiple implementations of a concept
 - Allows to provide different implementations later
 - Prevents dependence on implementation details

```
int add(CartesianPoint p) { ... // preferably no
int add(Point p) { ... // yes!
```

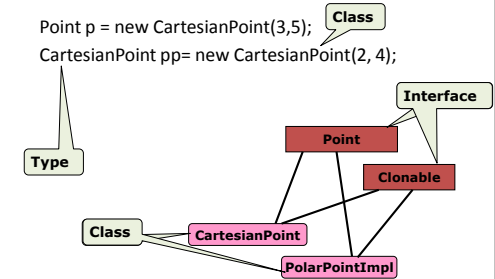
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Interfaces vs Classes as Types

```
Point p = new CartesianPoint(3,5);
CartesianPoint pp= new CartesianPoint(2, 4);
```



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Interfaces and Classes (Review)

```
class PolarPoint implements Point {
    double len, angle;

    PolarPoint(double len, double angle)
        {this.len=len; this.angle=angle;}

    int getX() { return this.len * cos(this.angle); }
    int getY() { return this.len * sin(this.angle); }
    double getAngle() { return angle; }
}

Point p = new PolarPoint(5, .245);    PolarPoint pp = ...
p.getX();                            pp.getX();
p.getAngle(); // not accessible       pp.getAngle();
p.len // not accessible                pp.len
```

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Java: Visibility Modifiers

```
class Point {
    private int x, y;
    public int getX() { return this.x; } // a method; getY() is similar
    public Point(int px, int py) { this.x = px; this.y = py; } // constructor creating the object
}

class Rectangle {
    private Point origin;
    private int width, height;
    public Point getOrigin() { return origin; }
    public int getWidth() { return width; }
    public void draw() {
        drawLine(this.origin.getX(), this.origin.getY(), // first line
                  this.origin.getX()+this.width, origin.getY());
        ... // more lines here
    }
    public Rectangle(Point o, int w, int h) {
        this.origin = o; this.width = w; this.height = h;
    }
}
```

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Hiding interior state

```
class Point {
    private int x, y;
    public int getX() { return this.x; }
    public int getY() { return this.y; }
}

class Rectangle {
    private Point origin;
    private int width, height;
    public Point getOrigin() { return origin; }
    public int getWidth() { return width; }
    public void draw() {
        drawLine(this.origin.getX(), this.origin.getY(), // first line
                  this.origin.getX()+this.width, origin.getY());
        ... // more lines here
    }
    public Rectangle(Point o, int w, int h) {
        this.origin = o; this.width = w; this.height = h;
    }
}
```

Some Client Code

```
Point o = new Point(0, 10); // allocates memory, calls ctor
Rectangle r = new Rectangle(o, 5, 10);
r.draw();
int rightEnd = r.getOrigin().getX() + r.getWidth(); // 5
```

Client Code that will *not* work in this version

```
Point o = new Point(0, 10); // allocates memory, calls ctor
Rectangle r = new Rectangle(o, 5, 10);
r.draw();
int rightEnd = r.origin.x + r.width; // trying to "look inside"
```

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Hiding interior state

```
class Point {
    private int x;
    public int y;
    public Point(int x, int y) {
        this.x = x;
        this.y = y;
    }
}

class Rectangle {
    private Point origin;
    private int width;
    private int height;

    public Point getOrigin() { return origin; }
    public int getWidth() { return width; }
    public int getHeight() { return height; }

    public void draw() {
        drawLine(origin.getX(), origin.getY(),
                  origin.getX()+width, origin.getY());
        // first line
        // ... more lines here
    }

    public Rectangle(Point o, int w, int h) {
        origin = o; width = w; height = h;
    }
}
```

Discussion:

- What are the benefits of private fields?
- Methods can also be private – why is this useful?

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Discussion

- Types vs Classes and Interfaces
- Subtypes

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DESIGN PRINCIPLE: INFORMATION HIDING

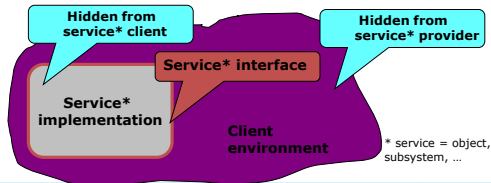
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Fundamental Design Principle for Change: Information Hiding

- Expose as little implementation detail as necessary
- Allows to change hidden details later



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Information Hiding

- Interfaces (contracts) remain stable
- Hidden implementation can be changed easily
- => Identify what is likely to change, and hide it
- => Requires anticipation of change (judgment)
- Points example: Minimal stable interface, allows alternative implementations and flexible composition
- (Not all change can be anticipated, causing maintenance work or reducing flexibility)

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Information Hiding promotes Reuse

- Think in terms of abstractions not implementations
 - e.g., Point vs CartesianPoint
- Abstractions can often be reused
- Different implementations of the same interface possible,
 - e.g., reuse Rectangle but provide different Point implementation
- Decoupling implementations
- Hiding internals of implementations

More on reuse next week

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INFORMATION HIDING CASE STUDY

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Contracts

- Agreement between provider and users of an object
- Includes
 - Interface specification
 - Functionality and correctness expectations
 - Performance expectations
- “Focus on concepts rather than operations”

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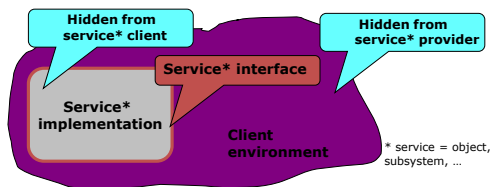
CONTRACTS (BEYOND TYPE SIGNATURES)

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Contracts and Clients



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Who's to blame?

```
Algorithms.shortestDistance(g,  
    "Tom", "Anne");  
  
> ArrayOutOfBoundsException
```

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Who's to blame?

```
Algorithms.shortestDistance(g,  
    "Tom", "Anne");
```

```
> -1
```

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Who's to blame?

```
Algorithms.shortestDistance(g,  
    "Tom", "Anne");
```

```
> 0
```

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Who's to blame?

```
class Algorithms {  
    /**  
     * This method finds the  
     * shortest distance between to  
     * vertices. It returns -1 if  
     * the two nodes are not  
     * connected. */  
    int shortestDistance(...) {...}  
}
```

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Who's to blame?

```
Math.sqrt(-5);
```

```
> 0
```

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Who's to blame?

```
/**  
 * Returns the correctly rounded positive square root of a  
 * {@code double} value.  
 * Special cases:  
 * <ul><li>If the argument is NaN or less than zero, then the  
 * result is NaN.  
 * <li>If the argument is positive infinity, then the result  
 * is positive infinity.  
 * <li>If the argument is positive zero or negative zero, then  
 * the result is the same as the argument.</li>  
 * <li>Otherwise, the result is the {@code double} value closest to  
 * the true mathematical square root of the argument value.  
 * </ul>  
 * @param a a value.  
 * @return the positive square root of {@code a}.  
 * If the argument is NaN or less than zero, the result is NaN.  
 */  
public static double sqrt(double a) { ...}
```

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Textual Specification

```
public int read(byte[] b, int off, int len) throws IOException
```

- Reads up to len bytes of data from the input stream into an array of bytes. An attempt is made to read as many as len bytes, but a smaller number may be read. The number of bytes actually read is returned as an integer. This method blocks until input data is available, end of file is detected, or an exception is thrown.
- If len is zero, then no bytes are read and 0 is returned; otherwise, there is an attempt to read at least one byte. If no byte is available because the stream is at end of file, the value -1 is returned; otherwise, at least one byte is read and stored into b.
- The first byte read is stored into element b[off], the next one into b[off+1], and so on. The number of bytes read is, at most, equal to len. Let k be the number of bytes actually read; these bytes will be stored in elements b[off] through b[off+k-1], leaving elements b[off+k] through b[off+len-1] unaffected.
- In every case, elements b[0] through b[off] and elements b[off+len] through b[b.length-1] are unaffected.
- Throws:
 - IOException - If the first byte cannot be read for any reason other than end of file, or if the input stream has been closed, or if some other I/O error occurs.
 - NullPointerException - If b is null.
 - IndexOutOfBoundsException - If off is negative, len is negative, or len is greater than b.length - off

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Textual Specification

```
public int read(byte[] b, int off, int len) throws IOException
```

- Reads up to len bytes of data from the input stream into an array of bytes. An attempt is made to read as many as len bytes, but a smaller number may be read. The number of bytes actually read is returned as an integer. This method blocks until input data is available, end of file is detected, or an exception is thrown.
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- The first byte read is stored into element b[off], the next one into b[off+1], and so on. The number of bytes read is, at most, equal to len. Let k be the number of bytes actually read; these bytes will be stored in elements b[off] through b[off+k-1], leaving elements b[off+k] through b[off+len-1] unaffected.
- In every case, elements b[0] through b[off] and elements b[off+len] through b[b.length-1] are unaffected.
- Throws:
 - IOException - If the first byte cannot be read for any reason other than end of file, or if the input stream has been closed, or if some other I/O error occurs.
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Textual Specification

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- If len is zero, then no bytes are read and 0 is returned; otherwise, there is an attempt to read at least one byte. If no byte is available because the stream is at end of file, the value -1 is returned; otherwise, at least one byte is read and stored into b.
- The first byte read is stored into element b[off], the next one into b[off+1], and so on. The number of bytes read is, at most, equal to len. Let k be the number of bytes actually read; these bytes will be stored in elements b[off] through b[off+k-1], leaving elements b[off+k] through b[off+len-1] unaffected.
- In every case, elements b[0] through b[off] and elements b[off+len] through b[b.length-1] are unaffected.
- Throws:
 - IOException - If the first byte cannot be read for any reason other than end of file, or if the input stream has been closed, or if some other I/O error occurs.
 - NullPointerException - If b is null.
 - IndexOutOfBoundsException - If off is negative, len is negative, or len is greater than b.length - off
- Specification of return
 - Timing behavior (blocks)
 - Case-by-case spec
 - len=0 → return 0
 - len>0 && eof → return -1
 - len>0 && !eof → return >0
 - Exactly where the data is stored
 - What parts of the array are not affected
- Multiple error cases, each with a precondition
- Includes "runtime exceptions" not in throws clause

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Specifications

- Contains
 - Functional behavior
 - Erroneous behavior
 - Quality attributes (performance, scalability, security, ...)
- Desirable attributes
 - Complete
 - Does not leave out any desired behavior
 - Minimal
 - Does not require anything that the user does not care about
 - Unambiguous
 - Fully specifies what the system should do in every case the user cares about
 - Consistent
 - Does not have internal contradictions
 - Testable
 - Feasible to objectively evaluate
 - Correct
 - Represents what the end-user(s) need

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Function Specifications

- A function's contract is a statement of the responsibilities of that function, and the responsibilities of the code that calls it.
 - Analogy: legal contracts - If you pay me \$30,000, I will build a new room on your house
 - Helps to pinpoint responsibility
- Contract structure
 - Precondition: the condition the function relies on for correct operation
 - Postcondition: the condition the function establishes after correctly running
- (Functional) correctness with respect to the specification
 - If the client of a function fulfills the function's precondition, the function will execute to completion and when it terminates, the postcondition will be fulfilled
- What does the implementation have to fulfill if the client violates the precondition?

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Formal Specifications

```
/*@ requires len >= 0 && array != null && array.Length == len;
   @ ensures \result ==
   @ (\sum int j; 0 <= j && j < len; array[j]);
   */
int total(int array[], int len);
```

Advantage of formal specifications:

- * runtime checks (almost) for free
- * basis for formal verification
- * assisting automatic analysis tools

JML (Java Modelling Language) as specifications language in Java (inside comments)

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Runtime Checking of Specifications with Assertions

```
/*@ requires len >= 0 && array.Length == len
   @ ensures \result ==
   @ (\sum int j; 0 <= j && j < len; array[j])
   */
float sum(int array[], int len) {
    assert len >= 0;
    assert array.Length == len;
    float sum = 0.0;
    int i = 0;
    while (i < len) {
        sum = sum + array[i]; i = i + 1;
    }
    assert sum == ...;
    return sum;
```

java -ea Main

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Runtime Checking with Exceptions

```
/*@ requires len >= 0 && array.length == len
   @ ensures \result ==
   @         (\sum int j; 0 <= j && j < len; array[j])
   @*/
float sum(int array[], int len) {
    if (len < 0 || array.length != len)
        throw IllegalArgumentException(...);
    float sum = 0.0;
    int i = 0;
    while (i < len) {
        sum = sum + array[i]; i = i + 1;
    }
    return sum;
    assert ...;
}
```

Check arguments
even when
assertions are
disabled.
Good for robust
libraries!

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Contracts and Interfaces

- All objects implementing an interface must adhere to the interface's contracts
 - Objects may provide different implementations for the same specification
 - Subtype polymorphism: Client only cares about interface, not about the implementation

p.getX() s.read()

=> Design for Change

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Specifications in Practice

- Describe expectations beyond the type signature
- Ideally formal pre- and post-conditions
- Textual specifications in practice
 - Best effort approach
- If any specification at all
- Specification especially necessary when reusing code and integrating code
- Writing specifications is good practice
- Writing fully formal specifications is often unrealistic

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ASIDE: SPECIFICATION OF CLASS INVARIANTS

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Data Structure Invariants (cf. 122)

```
struct list {
    elem data;
    struct list* next;
};
struct queue {
    list front;
    list back;
};
bool is_queue(queue Q) {
    if (Q == NULL) return false;
    if (Q->front == NULL || Q->back == NULL) return false;
    return is_segment(Q->front, Q->back);
}
```

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Data Structure Invariants (cf. 122)

- Properties of the Data Structure
- Should always hold before and after method execution
- May be invalidated temporarily during method execution

```
void enq(queue Q, elem s)
//@requires is_queue(Q);
//@ensures is_queue(Q);
{ ... }
```

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Class Invariants

- Properties about the fields of an object
- Established by the constructor
- Should always hold before and after execution of public methods
- May be invalidated temporarily during method execution

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Class Invariants

- Properties about the fields of an object
- Established by the constructor

```
public class SimpleSet {
    int contents[];
    int size;
    //@ ensures sorted(contents);
    SimpleSet(int capacity) { ... }
    //@ requires sorted(contents);
    //@ ensures sorted(contents);
    boolean add(int i) { ... }
    //@ requires sorted(contents);
    //@ ensures sorted(contents);
    boolean contains(int i) { ... }
}
```

```
public class SimpleSet {
    int contents[];
    int size;
    //@invariant sorted(contents);
    SimpleSet(int capacity) { ... }
    boolean add(int i) { ... }
    boolean contains(int i) { ... }
}
```



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Java: Constructors

- Special “Methods” to create objects
 - Same name as class, no return type
- May initialize object during creation
- Implicit constructor without parameters if none provided

```
class APoint {
    int x,y;
}
APoint p = new APoint();
p.x=3;
```

```
class BPoint {
    int x,y;
    BPoint(int x, int y)
        {this.x=x; this.y=y;}
}
BPoint p = new BPoint(3, -10);
```

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DESIGN PRINCIPLE: EXPLICIT INTERFACES

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Explicit Interfaces

- Whenever two modules A and B communicate, it must be obvious from the text of A or B or both
- Avoid communication through shared state



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Explicit Interfaces

- Behavior involving global state is hard to specify – redesign when writing lengthy specification involving the state of other objects / system state



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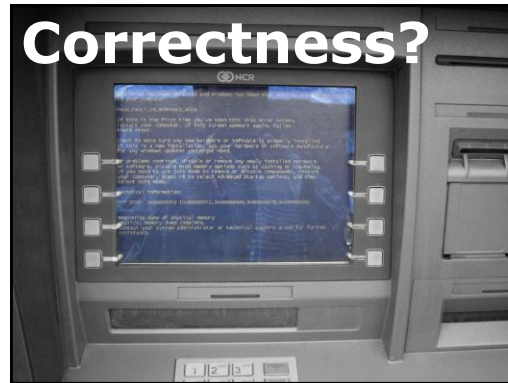
FUNCTIONAL CORRECTNESS (UNIT TESTING AGAINST INTERFACES)

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Correctness?



Functional Correctness

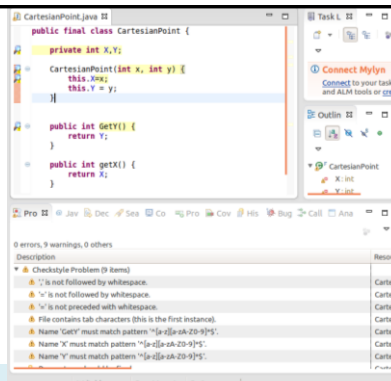
- The compiler ensures that the types are correct (type checking)
 - Prevents “Method Not Found” and “Cannot add Boolean to Int” errors at runtime
- Static analysis tools (e.g., FindBugs) recognize certain common problems
 - Warns on possible NullPointerExceptions or forgetting to close files
- How to ensure functional correctness of contracts beyond type correctness and bug patterns?

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CheckStyle



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Excursion: Formal Verification

- Proving the correctness of an implementation with respect to a formal specification, using formal methods of mathematics.
- Formally prove that all possible executions of an implementation fulfill the specification
- Manual effort; partial automation; not automatically decidable

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Recap: Hoare-Style Verification

- Formal reasoning about program correctness using pre- and postconditions
- Syntax: $\{P\} S \{Q\}$
 - P and Q are predicates
 - P is the precondition
 - S is a program
 - Q is the postcondition
- Semantics
 - If we start in a state where P is true and execute S, then S will terminate in a state where Q is true

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Recap: Hoare-Logic Rules

- Assignments
 $\{P(E/A)\} x := E \{P\}$
- Composition
 $\{P\} S \{Q\} \quad \{Q\} T \{R\}$
 $\{P\} S; T \{R\}$
- If statement
 $\{B \& P\} S \{Q\} \quad \{!B \& P\} T \{Q\}$
 $\{P\} \text{ if } (B) S \text{ else } T \{Q\}$
- While loop with loop invariant P
 $\{P \& B\} S \{P\}$
 $\{P\} \text{ while } (B) S \{P \& B \& P\}$
- Consequence
 $P \rightarrow P' \quad \{P\} S \{Q\} \quad Q \rightarrow Q'$
 $\{P'\} S \{Q'\}$

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Recap: 122 midterm

```
int find_peak_index(int A, int n)
//@requires 0 < n && n <= length(A);
//@ensures is_peak(A, 0, n);
//@ensures 0 <= result && result < n;
//@ensures g_max(A[result], A, result+1, n);

{
    int lower = 0;
    int upper = n-1;
    while (lower < upper)
        //@loop_invariant _____ :
        //@loop_invariant _____ :
    {
        int mid = lower + (upper-lower)/2;
        //@assert _____ : /* optional */
        if (A[mid] < A[mid+1])
            lower = mid+1;
        else //@assert _____ : /* optional */
            upper = mid;
    }
    //@assert _____ : /* optional */
    return lower;
}
```

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Hoare Triples – Examples

- $\{ \text{true} \} x := 5 \{ \quad \}$
- $\{ \quad \} x := x + 3 \{ x = y + 3 \}$
- $\{ \quad \} x := x * 2 + 3 \{ x > 1 \}$
- $\{ x=a \} \text{ if } (x < 0) \text{ then } x := -x \{ \quad \}$
- $\{ \text{false} \} x := 3 \{ x = 8 \}$
- $\{ x < 0 \} \text{ while } (x \neq 0) x := x-1 \{ \quad \}$

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Hoare Triples – Examples

- $\{ \text{true} \} x := 5 \{ x=5 \}$
- $\{ x = y \} x := x + 3 \{ x = y + 3 \}$
- $\{ x > -1 \} x := x * 2 + 3 \{ x > 1 \}$
- $\{ x=a \} \text{ if } (x < 0) \text{ then } x := -x \{ x=|a| \}$
- $\{ \text{false} \} x := 3 \{ x = 8 \}$
- $\{ x < 0 \} \text{ while } (x \neq 0) x := x-1 \{ \quad \}$
 – no such triple!

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Testing

- Executing the program with selected inputs in a controlled environment
- Goals:
 - Reveal bugs (main goal)
 - Assess quality (hard to quantify)
 - Clarify the specification, documentation
 - Verify contracts

**"Testing shows the presence,
not the absence of bugs"**

Edsger W. Dijkstra 1969

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What to test?

- Functional correctness of a method (e.g., computations, contracts)
- Functional correctness of a class (e.g., class invariants)
- Behavior of a class in a subsystem/multiple subsystems/the entire system
- Behavior when interacting with the world
 - Interacting with files, networks, sensors, ...
 - Erroneous states
 - Nondeterminism, Parallelism
 - Interaction with users
- Other qualities (performance, robustness, usability, security, ...)

Our focus now

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Manual Testing?

GENERIC TEST CASE: USER SENDS MMS WITH PICTURE ATTACHED.

Step ID	User Action	System Response
1	Go to Main Menu	Main Menu appears
2	Go to Messages Menu	Message Menu appears
3	Select "Create new Message"	Message Editor screen opens
4	Add Recipient	Recipient is added
5	Select "Insert Picture"	Insert Picture Menu opens
6	Select Picture	Picture is Selected
7	Select "Send Message"	Message is correctly sent

- Live System?
- Extra Testing System?
- Check output / assertions?
- Effort, Costs?
- Reproducible?



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Automate Testing

- Execute a program with specific inputs, check output for expected values
- Easier to test small pieces than testing user interactions
- Set up testing infrastructure
- Execute tests regularly

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Example

```
/**
 * computes the sum of the first len values of the array
 *
 * @param array array of integers of at Least Length Len
 * @param Len number of elements to sum up
 * @return sum of the array values
 */
int total(int array[], int len);
```

Black box testing

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Example

```
/**
 * computes the sum of the first len values of the array
 *
 * @param array array of integers of at Least Length Len
 * @param Len number of elements to sum up
 * @return sum of the array values
 */
int total(int array[], int len);
```

- Test empty array
- Test array of length 1 and 2
- Test negative numbers
- Test invalid length (negative or longer than array.length)
- Test null as array
- Test with a very long array

Black box testing

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JUnit

```
import org.junit.Test;
import static org.junit.Assert.assertEquals;

public class AdjacencyListTest {
    @Test
    public void testSanityTest() {
        Graph g1 = new AdjacencyListGraph(10);
        Vertex s1 = new Vertex("A");
        Vertex s2 = new Vertex("B");
        assertEquals(true, g1.addVertex(s1));
        assertEquals(true, g1.addVertex(s2));
        assertEquals(true, g1.addEdge(s1, s2));
        assertEquals(s2, g1.getNeighbors(s1)[0]);
    }

    @Test
    public void test...

    private int helperMethod...
}
```

Set up tests

Check expected results

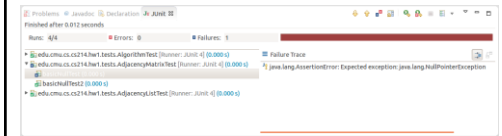
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JUnit

- Popular unit-testing framework for Java
- Easy to use
- Tool support available
- Can be used as design mechanism



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Selecting Test Cases: Common Strategies

- Read specification
- Write tests for representative case
 - Small instances are usually sufficient
- Write tests for invalid cases
- Write tests to check boundary conditions
- Are there difficult cases? (error guessing)
 - Stress tests? Complex algorithms?
- Think like a user, not like a programmer
 - The tester's goal is to find bugs!
- Specification covered?
- Feel confident? Time/money left?

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Unit Tests

- Unit tests for small units: functions, classes, subsystems
 - Smallest testable part of a system
 - Test parts before assembling them
 - Intended to catch local bugs
- Typically written by developers
- Many small, fast-running, independent tests
- Little dependencies on other system parts or environment
- Insufficient but a good starting point, extra benefits:
 - Documentation (executable specification)
 - Design mechanism (design for testability)

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assert, Assert

- `assert` is a native Java statement throwing an `AssertionError` exception when failing
 - `assert` expression: "Error Message";
- `org.junit.Assert` is a library that provides many more specific methods
 - static void `assertTrue`(java.lang.String message, boolean condition)
// Asserts that a condition is true.
 - static void `assertEquals`(java.lang.String message, long expected, long actual);
// Asserts that two longs are equal.
 - static void `assertEquals`(double expected, double actual, double delta);
// Asserts that two doubles are equal to within a positive delta
 - static void `assertNotNull`(java.lang.Object object)
// Asserts that an object isn't null.
 - static void `fail`(java.lang.String message)
// Fails a test with the given message.

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JUnit Conventions

- `TestCase` collects multiple tests (in one class)
- `TestSuite` collects test cases (typically package)
- Tests should run fast
- Tests should be independent
- Tests are methods without parameter and return value
- `AssertionError` signals failed test (unchecked exception)
- Test Runner knows how to run JUnit tests
 - (uses reflection to find all methods with `@Test` annotat.)

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Common Setup

```
import org.junit.*;
import org.junit.Before;
import static org.junit.Assert.assertEquals;

public class AdjacencyListTest {
    Graph g;

    @Before
    public void setUp() throws Exception {
        graph = createTestGraph();
    }

    @Test
    public void testSanityTest(){
        Vertex s1 = new Vertex("A");
        Vertex s2 = new Vertex("B");
        assertEquals(3, g.getDistance(s1, s2));
    }
}
```

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Checking for presence of an exception

```
import org.junit.*;
import static org.junit.Assert.fail;

public class Tests {

    @Test
    public void testSanityTest() {
        try {
            openNonExistingFile();
            fail("Expected exception");
        } catch (IOException e) { }
    }

    @Test(expected = IOException.class)
    public void testSanityTestAlternative() {
        openNonExistingFile();
    }
}
```

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Build and Test Automation

- Compile and execute from the command line
- Dependencies to all required libraries included (or downloaded on demand)
- Build tools
 - make
 - ant
 - gradle
 - maven
 - sbt
 - ...

Write testable code

```
//700LOC
public boolean foo() {
    try {
        synchronized () {
            if () {
            } else {
                for () {
                    if () {
                        if () {
                            if () {
                                if ()?
                            }
                            else {
                                for () {
                                }
                            }
                        } else {
                            if () {
                                for () {
                                    if () {
                                    } else {
                                        if () {
                                        }
                                    }
                                }
                            }
                        }
                    }
                }
            }
        }
    }
}
```

Unit testing as design mechanism

- * Code with low complexity
- * Clear interfaces and specifications

Source:
<http://thedailywtf.com/Articles/Coding-Like-the-Tour-de-France.aspx>

Ant example

```
<project name="MyProject" default="dist" basedir=".">
  <property name="src" location="src"/>
  <property name="build" location="build"/>
  <property name="dist" location="dist"/>

  <target name="init"><timestamp><mkdir dir="${build}"/> </target>

  <target name="compile" depends="init"
    description="compile the source" >
    <javac srcdir="${src}" destdir="${build}"/>
  </target>

  <target name="clean"
    description="clean up" >
    <!-- Delete the ${build} and ${dist} directory trees -->
    <delete dir="${build}"/>
    <delete dir="${dist}"/>
  </target>
</project>
```


Outlook: Statement Coverage

- Trying to test all parts of the implementation
- Execute every statement in at least one test

```

39 public boolean equals(Object anObject) {
40     if (isZero())
41         if (anObject instanceof IMoney)
42             return ((IMoney)anObject).isZero();
43     if (anObject instanceof Money) {
44         Money aMoney= (Money)anObject;
45         return aMoney.currency().equals(currency())
46             && amount() == aMoney.amount();
47     }
48     return false;
49 }

```

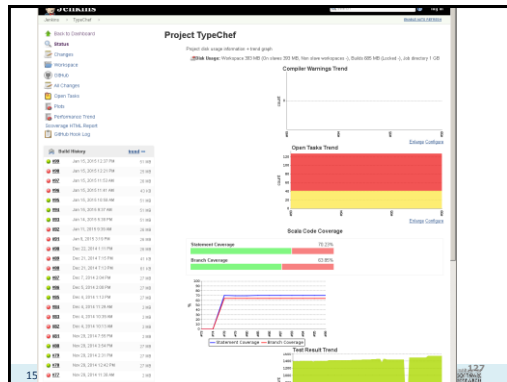
- Does this guarantee correctness?



The screenshot shows an IDE with a Java file named `SampleTest.java`. The code includes annotations for coverage: `@Coverage` on the `subtract` method, `@Coverage` on the `conditional` method, `@Coverage` on the `uncoveredMethod` method, and `@Coverage` on the `coveredMethod` method. The `coveredMethod` method is annotated with `@Test` and `@Test(expected = "Error")`. The IDE interface shows a toolbar with buttons for Problems, Inspec, Declaration, Console, Search, Coverage, Coverage Settings, Coverage Database, Coverage Log, Test Run Explorer, and Test Run. Below the code, a table displays the coverage report for the file `SampleTest.java`.

Name	Lines	Total	%	Branches	Total
All Packages (2010-10-21 21:38:34)	38	40	92.5%	1	18
com.corytech.tests	38	40	92.5%	1	18
Sample	11	14	78.57%	1	2
Sample_Coverage_1304070100	1	1	100.00%	0	0
SampleTest	26	36	93.33%	0	16

[illegible]



Testing and Proofs

- Testing
 - Observable properties
 - Verify program for one execution
 - Manual development with automated regression
 - Most practical approach now
 - Does not find all problems (unsound)
- Proofs (Formal Verification)
 - Any program property
 - Verify program for all executions
 - Manual development with automated proof checkers
 - Practical for small programs, may scale up in the future
 - Sound and complete, but not automatically decidable
- So why study proofs if they aren't (yet) practical?
 - Proofs tell us how to think about program correctness
 - Important for development, inspection, dynamic assertions
 - Foundation for static analysis tools
 - These are just simple, automated theorem provers
 - Many are practical today!

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Testing, Static Analysis, and Proofs

- Testing
 - Observable properties
 - Verify program for one execution
 - Manual development with automated regression
 - Most practical approach now
 - Does not find all problems (unsound)
- Proofs (Formal Verification)
 - Any program property
 - Verify program for all executions
 - Manual development with automated proof checkers
 - Practical for small programs, may scale up in the future
 - Sound and complete, but not automatically decidable
- Static Analysis
 - Analysis of all possible executions
 - Specific issues only with conservative approx. and bug patterns
 - Tools available, useful for bug finding
 - Automated, but unsound and/or incomplete

What strategy to use in your project?

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JAVA: STATIC AND INSTANCEOF (BREAKING SUBTYPE POLYMORPHISM AND ENCAPSULATION)

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Java: Static Methods

- Static methods belong to a **class**, not an object
- They are global (a single implementation only)
- Direct dispatch, no subtype polymorphism
- Avoid unless really only a single implementation exists (e.g., Math.min)
- Pure object-oriented languages don't support static methods

```
Point p = ...
p.getX()

Point.move(p);
```

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Java: Breaking encapsulation: instanceof and typecast

- Java allows to inspect an object's runtime type


```
Point p = ...
if (p instanceof PolarPoint) {
    PolarPoint q = (PolarPoint) p;
    q.getAngle()
}
```
- Objects always assignable to variables of supertypes ("upcast")


```
CartesianPoint q = ...
Point p = q;
```

 (this effectively throws away parts of the interface)
- Assignment to subtype requires downcast (may fail at runtime!)


```
Point p = ...
CartesianPoint q = (CartesianPoint) p;
```

Avoid instanceof and downcasts

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Instanceof breaks encapsulation

- Never ask for the type of an object
- Instead, ask the object to do something (call a method of the interface)
- If the interface does not provide the method, maybe there was a reason? Rethink design!
- Instanceof and downcasts are indicators of poor design
- They break abstractions and encapsulation
- There are only few exceptions where **instanceof** is needed
- Use polymorphism instead
- Pure object-oriented languages do not have an instanceof operation

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Excursion: Objects vs ADTs

```
interface Point {
    int getX();
    int getY();
}
class CartesianPoint implements Point { ... }
class PolarPoint implements Point { ... }

Point p = ...
p.getX()

datatype point
= CartesianP of int * int
| PolarPoint of real * real

fun getX point =
  case shape
  of CartesianP (x, _) => x
  | PolarPoint (r, a) => r * ...
```

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Excursion: Objects vs ADTs

```
interface Point {
    int getX();
    int getY();
}
class CartesianPoint implements Point { ... }
class PolarPoint implements Point { ... }

Point p = ...
p.getX()

• OOP solution with polymorphism
  - Easy to extend with new implementations of interface
  - Functions fixed; adding a function to the interface requires changes in all implementations

class CartesianPoint { ... }
class PolarPoint { ... }

int getX(Object p) {
    if (p instanceof CartesianPoint)
        return ((CartesianP)p).x;
    if (p instanceof PolarPoint)
        return ((PolarPoint)p).r*...;
    ...
}
```

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EXCURSION: TECHNICAL REALIZATION OF SUBTYPE POLYMORPHISM

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Reminder: Subtype Polymorphism

- A type (e.g. Point) can have many forms (e.g., CartesianPoint, PolarPoint, ...)
- All implementations of an interface can be used interchangeably
- When invoking a method p.x() the specific implementation of x() from object p is executed
 - The executed method depends on the actual object p, i.e., on the runtime type
 - It does not depend on the static type, i.e., how p is declared

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Objects and References (example)

```
// allocates memory, calls constructor
Point o = new PolarPoint(0, 10);
```

```
Rectangle r = new MyRectangle(o, 5, 10);
```

```
r.draw();
```

```
int rightEnd = r.getOrigin().getX() +
               r.getWidth(); // 5
```

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