

**15-214**  
*toad*

# Principles of Software Construction: Objects, Design and Concurrency

## Design Case Study: Collections

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# Learning Goals

- Understand the design aspects of collection libraries
- Understand the design patterns involved and their rationale
  - Marker Interface
  - Decorator
  - Factory Method
  - Iterator
  - Strategy
  - Template Method
  - Adapter
- Knowledge of useful collection functions, including helpers in Collections class

# Design patterns we have seen so far:

Composite

Template Method

Strategy

Observer

Façade

Model-View-Controller

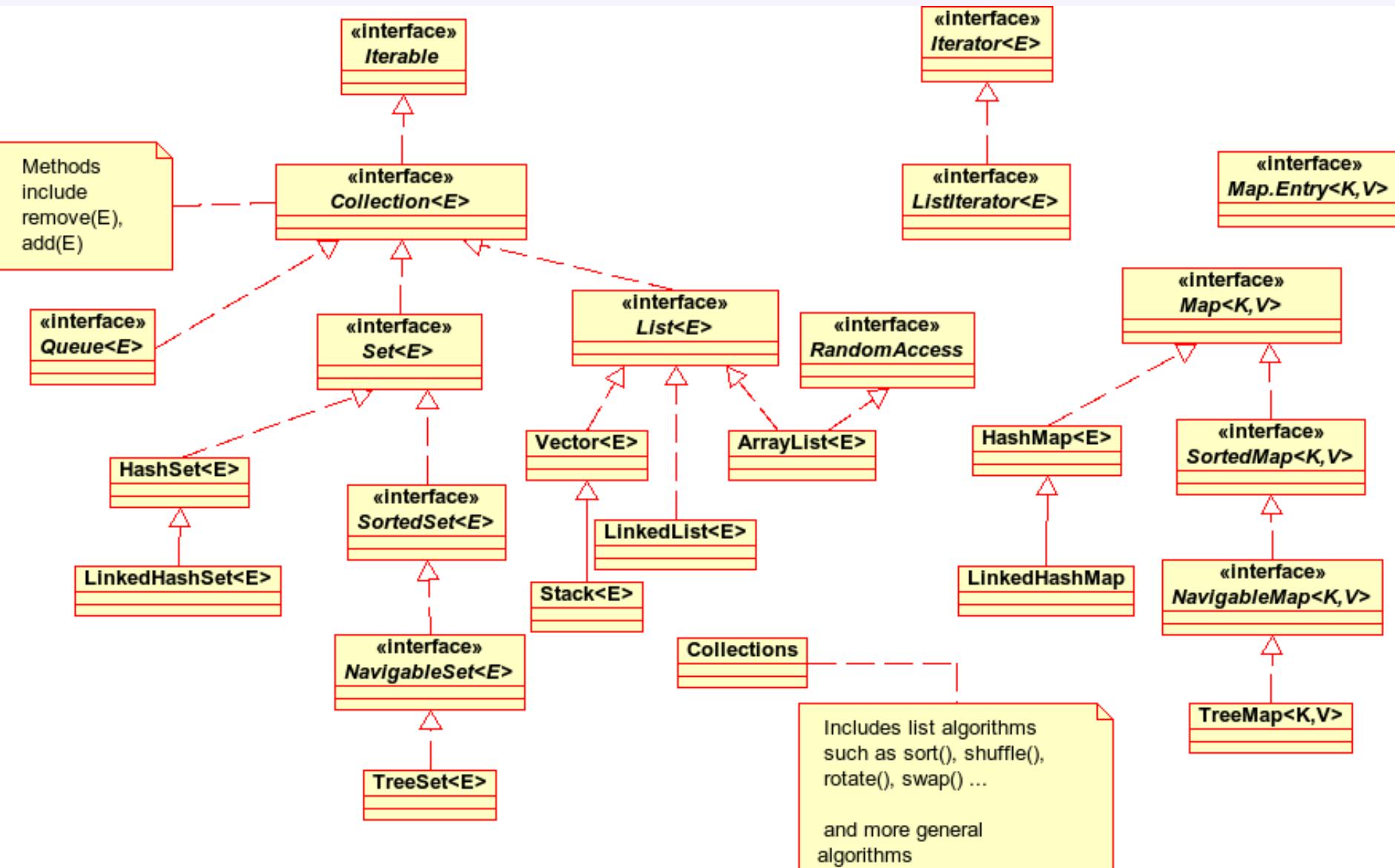
# The philosophy of the Collections framework

- Powerful and general
- Small in size and conceptual weight
  - Only include fundamental operations
  - "Fun and easy to learn and use"

# Collection Designs

- Different data types: lists, sets, maps, stacks, queues, ...
- Different implementations
  - ArrayList vs LinkedList
  - HashSet vs TreeSet
- Different concepts and designs
  - Mutable vs immutable
  - Sorted vs unsorted
  - Accepts null or not
  - Accepts duplicates or not
  - Concurrency/threadsafe or not
  - ...

# Collections Library Overview



# The `java.util.Collection<E>` interface

```
boolean      add(E e);  
boolean      addAll(Collection<E> c);  
boolean      remove(E e);  
boolean      removeAll(Collection<E> c);  
boolean      retainAll(Collection<E> c);  
boolean      contains(E e);  
boolean      containsAll(Collection<E> c);  
void        clear();  
int         size();  
boolean      isEmpty();  
Iterator<E> iterator();  
Object[]    toArray()  
E[]         toArray(E[] a);
```

# Design Decisions

- Collection represent "group of elements"
  - for lists, queues, sets, stacks, ...
- No concept of order or uniqueness
- Seems mutable (clear, add, ...)
  - but: Mutation is optional, may throw UnsupportedOperationException
  - Documentation describes whether mutation is supported (invariant as textual specification instead of type checking)
  - Note: Scala separates mutable and immutable collection hierarchies with different interfaces
- Maps are not collections
- Helper functions (sort, search, copy) in separate Collections class

# The `java.util.List<E>` interface

- Defines order of a collection
  - Uniqueness unspecified
- Extends `java.util.Collection<E>`:

```
boolean add(int index, E e);  
E        get(int index);  
E        set(int index, E e);  
int     indexOf(E e);  
int     lastIndexOf(E e);  
List<E> sublist(int fromIndex, int toIndex);
```

# The `java.util.Set<E>` interface

- Enforces uniqueness of each element in collection
- Extends `java.util.Collection<E>`:
  - //adds invariant (textual specification) only
- The *Marker Interface* design pattern
  - Problem: You want to define a behavioral constraint not enforced at compile time.
  - Solution: Define an interface with no methods, but with additional invariants as JavaDoc comment or JML specification

# The `java.util.Queue<E>` interface

- Additional helper methods only
- Behavioral subtype?

- Extends `java.util.Collection<E>`:

```
boolean add(E e);           // These three methods
E        remove();          // might throw exceptions
E        element();
```

```
boolean offer(E e);
E        poll();            // These two methods
E        peek();             // might return null
```

# The `java.util.Map<K,V>` interface

- Map of keys to values; keys are unique
- Does not extend `java.util.Collection<E>` (Why?)

```
V      put (K key, V value) ;  
V      get (Object key) ;  
V      remove (Object key) ;  
boolean containsKey (Object key) ;  
boolean containsValue (Object value) ;  
void    putAll (Map<K,V> m) ;  
int     size () ;  
boolean isEmpty () ;  
void    clear () ;  
Set<K>          keySet () ;  
Collection<V>    values () ;  
Set<Map.Entry<K,V>> entrySet () ;
```

# One problem: Java arrays are not Collections

- To convert a Collection to an array
  - Use the `toArray` method

```
List<String> arguments = new LinkedList<String>();  
... // puts something into the list  
String[] arr = (String[]) arguments.toArray();  
String[] brr = arguments.toArray(new String[0]);
```

- To view an array as a Collection
  - Use the `java.util.Arrays.asList` method

```
String[] arr = {"foo", "bar", "baz", "qux"};  
List<String> arguments = Arrays.asList(arr);
```

# One problem: Java arrays are not Collections

- To convert a Collection to an array
  - Use the `toArray` method

```
List<String> arguments = new LinkedList<String>();  
... // puts something into the list  
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- To view an array as a Collection
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```
String[] arr = {"foo", "bar", "baz", "qux"};  
List<String> arguments = Arrays.asList(arr);
```

- The *Adapter* design pattern
  - `ArrayList` adapter from array to `List` interface
  - `asList` and `toArray` create copies in other representation (adapter to other interface for read part, not for mutation though)

# Java Collections as a *framework*

- You can write specialty collections
  - Custom representations and algorithms
  - Custom behavioral guarantees
    - e.g., file-based storage
- JDK built-in algorithms (e.g. all helper functions in Collections) would then be calling your collections code

# The abstract `java.util.AbstractList<T>`

```
abstract T    get(int i);                      // Template Method.
abstract int   size();                         // Template Method.
boolean       set(int i, E e);                 // set add remove are
boolean       add(E e);                        // pseudo-abstract
boolean       remove(E e);                     // Template Methods.
boolean      addAll(Collection<E> c);
boolean      removeAll(Collection<E> c);
boolean      retainAll(Collection<E> c);
boolean      contains(E e);
boolean      containsAll(Collection<E> c);
void         clear();
boolean      isEmpty();
Iterator<E> iterator();
Object[]     toArray();
E[]          toArray(E[] a);
...
...
```

# Iterators

# Traversing a Collection

- Old-school Java for loop for ordered types

```
List<String> arguments = ...;
for (int i = 0; i < arguments.size(); ++i) {
    System.out.println(arguments.get(i));
}
```

- Modern standard Java for-each loop

```
List<String> arguments = ...;
for (String s : arguments) {
    System.out.println(s);
}
```

- Works for every implementation of **Iterable**

- Iterable is interface with single "Iterator<T> iterator()" method

# The *Iterator* interface

```
public interface java.util.Iterator<E> {  
    boolean hasNext();  
    E next();  
    void remove(); // removes previous returned item  
} // from the underlying collection
```

- To use, e.g.:

```
List<String> arguments = ...;  
for (Iterator<String> it = arguments.iterator();  
     it.hasNext(); ) {  
    String s = it.next();  
    System.out.println(s);  
}
```

# Using a `java.util.Iterator<E>`: A warning

- The default Collections implementations are mutable
- The `java.util.Iterator` assumes the Collection does not change while the Iterator is being used
  - You will get a `ConcurrentModificationException`

```
List<String> arguments = ...;
for (Iterator<String> it = arguments.iterator();
     it.hasNext(); ) {
    String s = it.next();
    if (s.equals("Charlie"))
        arguments.remove("Charlie"); // runtime error
}
```

## Pair example

```
public class Pair<E> implements Iterable<E> {  
    private final E first, second;  
    public Pair(E f, E s) { first = f; second=s; }  
    public Iterator<E> iterator() {  
        return new PairIterator();  
    }  
    private class PairIterator implements Iterator<E> {  
        private boolean seen1=false, seen2=false;  
        public boolean hasNext() { return !seen2; }  
        public E next() {  
            if (!seen1) { seen1=true; return first; }  
            if (!seen2) { seen2=true; return second; }  
            throw new NoSuchElementException();  
        }  
        public void remove() { throw new UnsupportedOperationException(); }  
    }  
    for (Integer i: new Pair<Integer>(3,4)) println(i);
```

# The Iterator design pattern

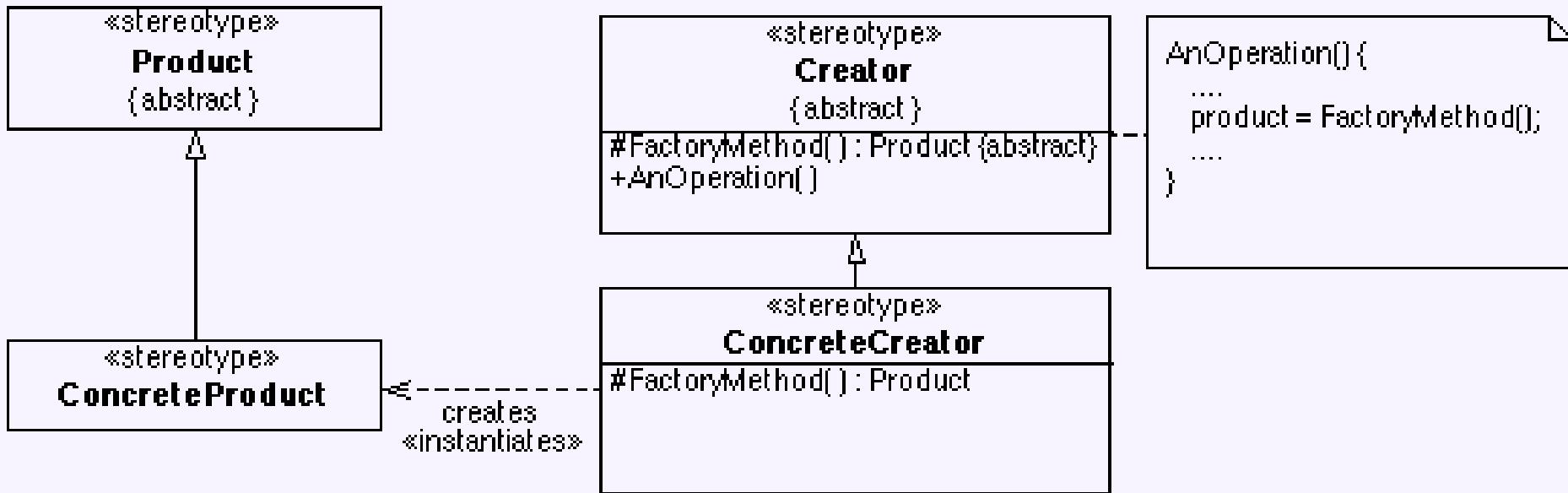
- Provide a strategy to uniformly access all elements of a container in a sequence
  - independent of how the container is implemented
  - independent of how children are stored in the container
  - ordering is unspecified, but every child is only visited once
- Design for change, information hiding
  - Hides internal implementation of container behind uniform explicit interface
- Design for reuse, division of labor
  - Hides complex data structure behind simple interface, simple interface to communicate between parts of the programs

# Creating Iterators

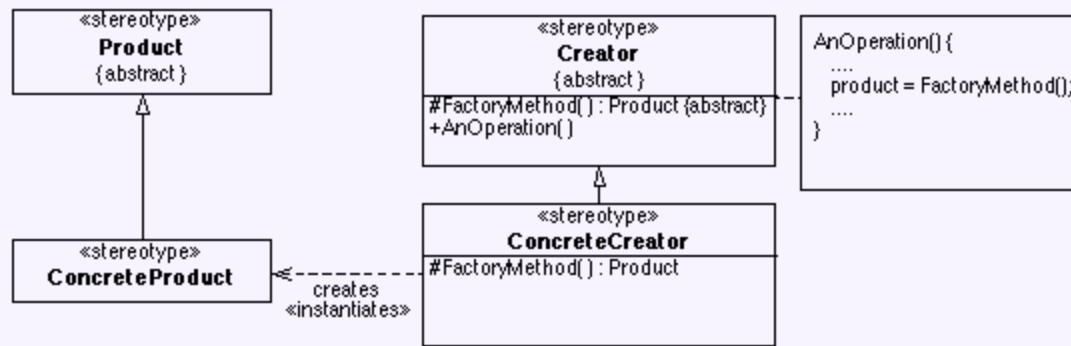
```
public interface Collection<E> {  
    boolean      add(E e);  
    boolean      addAll(Collection<E> c);  
    boolean      remove(E e);  
    boolean      removeAll(Collection<E> c);  
    boolean      retainAll(Collection<E> c);  
    boolean      contains(E e);  
    boolean      containsAll(Collection<E> c);  
    void         clear();  
    int          size();  
    boolean      isEmpty();  
    Iterator<E> iterator();  
    Object[]     toArray();  
    E[]          toArray(E[] a);  
    ...  
}
```

*Defines an interface for creating an Iterator, but allows Collection implementation to decide which Iterator to create.*

# The *Factory Method* design pattern



# The *Factory Method* design pattern



## • Applicability

- A class can't anticipate the class of objects it must create
- A class wants its subclasses to specify the objects it creates

## • Consequences

- Provides hooks for subclasses to customize creation behavior
- Connects parallel class hierarchies

# Sorting

# Sorting a Collection

- Use the `Collections.sort` method:

```
public static void main(String[] args) {  
    List<String> lst = Arrays.asList(args);  
    Collections.sort(lst);  
    for (String s : lst) {  
        System.out.println(s);  
    }  
}
```

- Abuse the `SortedSet`:

```
public static void main(String[] args) {  
    SortedSet<String> set =  
        new TreeSet<String>(Arrays.asList(args));  
    for (String s : set) {  
        System.out.println(s);  
    }  
}
```

# Sorting your own types of objects

```
public interface Comparable<T> {  
    int compareTo(T o);  
}
```

- `Collections.sort(list)` static helper function to sort list of comparable elements    Why not member of `List` (`List.sort()`)?
- General contracts:
  - `a.compareTo(b)` should return:
    - `<0` if `a` is less than `b`
    - `0` if `a` and `b` are equal
    - `>0` if `a` is greater than `b`
  - Should define a total order
    - If `a.compareTo(b) < 0` and `b.compareTo(c) < 0`, then `a.compareTo(c)` should be `< 0`
    - If `a.compareTo(b) < 0`, then `b.compareTo(a)` should be `> 0`
  - Should usually be consistent with `.equals`
    - `a.compareTo(b) == 0` iff `a.equals(b)`

# Comparable objects – an example

```
public class Integer implements Comparable<Integer> {  
    private int val;  
    public Integer(int val) { this.val = val; }  
    ...  
    public int compareTo(Integer o) {  
        if (val < o.val) return -1;  
        if (val == o.val) return 0;  
        return 1;  
    }  
}
```

# Comparable objects – another example

- Make Name comparable:

```
public class Name {  
    private String first;  
    private String last;  
    public Name(String first, String last) { // should  
        this.first = first; this.last = last; // check  
    } // for null  
    ...  
}
```

- Hint: Strings implement Comparable<String>

# Comparable objects – another example

- Make Name comparable:

```
public class Name implements Comparable<Name> {  
    private String first;  
    private String last;  
    public Name(String first, String last) { // should  
        this.first = first; this.last = last; // check  
    } // for null  
    ...  
    public int compareTo(Name o) {  
        int lastComparison = last.compareTo(o.last);  
        if (lastComparison != 0) return lastComparison;  
        return first.compareTo(o.first);  
    }  
}
```

# Alternative comparisons

```
public class Employee implements Comparable<Employee> {  
    protected Name name;  
    protected int salary;  
    ...  
}
```

- What if we want to sort Employees by name, usually, but sometimes sort by salary?

# Alternative comparisons

```
public class Employee implements Comparable<Employee> {  
    protected Name name;  
    protected int salary;  
    ...  
}
```

- What if we want to sort Employees by name, usually, but sometimes sort by salary?
- Answer: There's a **Strategy design pattern** interface for that

```
public interface Comparator<T> {  
    public int compare(T o1, T o2);  
    public boolean equals(Object obj);  
}  
static helper function Collections.sort(list, comparator)
```

# Tradeoffs

```
void sort(int[] list, String order) {  
    ...  
    boolean mustswap;  
    if (order.equals("up")) {  
        mustswap = list[i] < list[j];  
    } else if (order.equals("down")) {  
        mustswap = list[i] > list[j];  
    }  
    ...  
}
```

```
void sort(int[] list, Comparator cmp) {  
    ...  
    boolean mustswap;  
    mustswap = cmp.compare(list[i], list[j]);  
    ...  
}  
interface Comparator {  
    boolean compare(int i, int j);  
}  
class UpComparator implements Comparator {  
    boolean compare(int i, int j) { return i < j; } }  
  
class DownComparator implements Comparator {  
    boolean compare(int i, int j) { return i > j; } }
```

# Writing a Comparator object

```
public class Employee implements Comparable<Employee> {  
    protected Name name;  
    protected int salary;  
    public int compareTo(Employee o) {  
        return name.compareTo(o.name);  
    }  
}  
  
public class EmpSalComp implements Comparator<Employee> {  
    public int compare (Employee o1, Employee o2) {  
        return o1.salary - o2.salary;  
    }  
    public boolean equals(Object obj) {  
        return obj instanceof EmpSalComp;  
    }  
}
```

# Using a Comparator

- Order-dependent classes and methods take a Comparator as an argument

```
public class Main {  
    public static void main(String[] args) {  
        SortedSet<Employee> empByName = // sorted by name  
            new TreeSet<Employee>();  
  
        SortedSet<Employee> empBySal = // sorted by salary  
            new TreeSet<Employee>(new EmpSalComp());  
    }  
}
```

# Immutable

# The `java.util.Collections` class

- Helper methods in `java.util.Collections`:

```
static List<T> unmodifiableList(List<T> lst);  
static Set<T> unmodifiableSet( Set<T> set);  
static Map<K,V> unmodifiableMap( Map<K,V> map);
```

- Turn a mutable list into an immutable list

- All mutation operations on resulting list throw `UnsupportedOperationException`

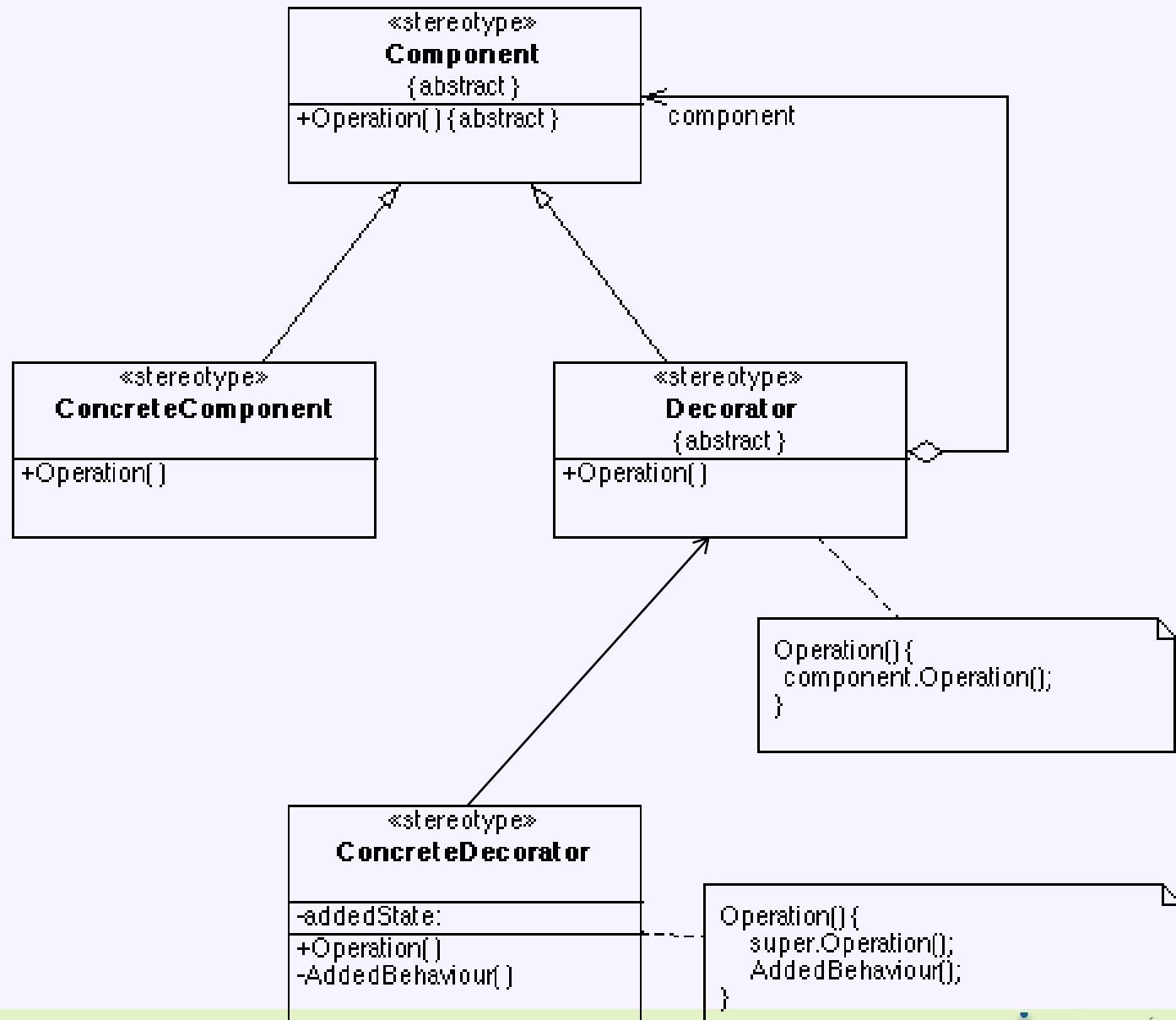
- Similar for synchronization:

```
static List<T> synchronizedList(List<T> lst);  
static Set<T> synchronizedSet( Set<T> set);  
static Map<K,V> synchronizedMap( Map<K,V> map);
```

# java.util.Collections (simplified excerpt)

```
public static <T> Collection<T> unmodifiableCollection(Collection<T> c) {  
    return new UnmodifiableCollection<>(c);  
}  
class UnmodifiableCollection<E> implements Collection<E>, Serializable {  
    final Collection<E> c;  
    UnmodifiableCollection(Collection<> c) {this.c = c; }  
    public int size() {return c.size();}  
    public boolean isEmpty() {return c.isEmpty();}  
    public boolean contains(Object o) {return c.contains(o);}  
    public Object[] toArray() {return c.toArray();}  
    public <T> T[] toArray(T[] a) {return c.toArray(a);}  
    public String toString() {return c.toString();}  
    public boolean add(E e) {throw new UnsupportedOperationException();}  
    public boolean remove(Object o) { throw new UnsupportedOperationException();}  
    public boolean containsAll(Collection<?> coll) { return c.containsAll(coll);}  
    public boolean addAll(Collection<? extends E> coll) { throw new UnsupportedOperationException();}  
    public boolean removeAll(Collection<?> coll) { throw new UnsupportedOperationException();}  
    public boolean retainAll(Collection<?> coll) { throw new UnsupportedOperationException();}  
    public void clear() { throw new UnsupportedOperationException();}  
}
```

# The *Decorator* design pattern



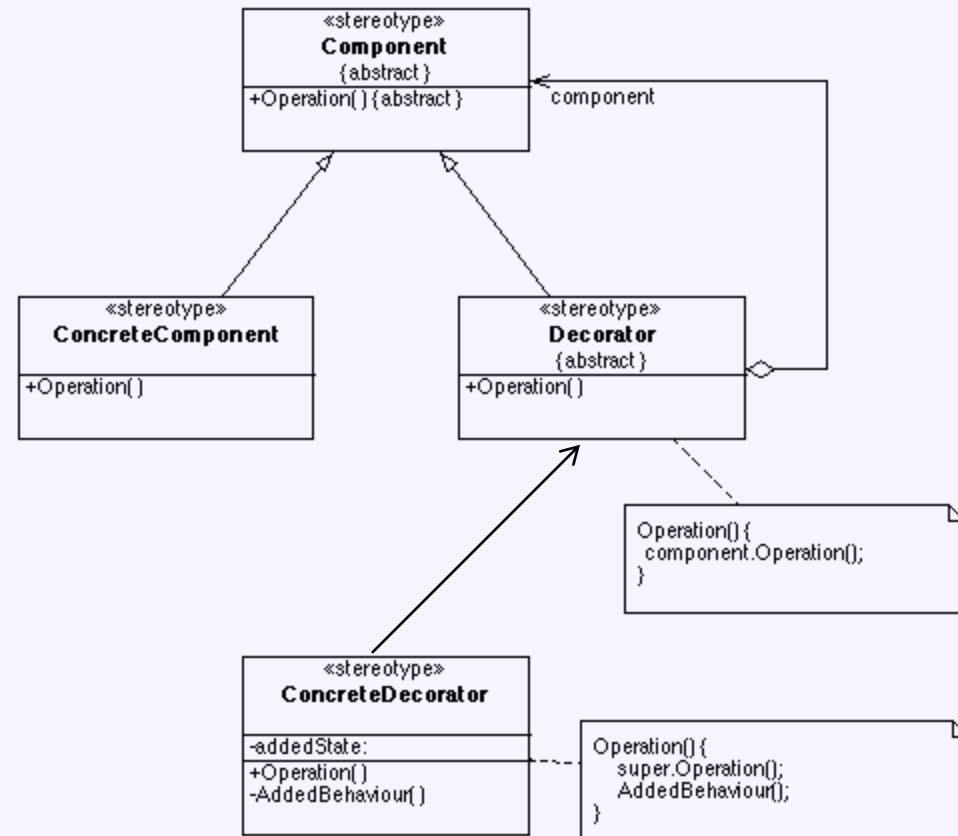
# The *Decorator* design pattern

- **Applicability**

- To add responsibilities to individual objects dynamically and transparently
- For responsibilities that can be withdrawn
- When extension by subclassing is impractical

- **Consequences**

- More flexible than static inheritance
- Avoids monolithic classes
- Breaks object identity
- Lots of little objects



# Summary

- Collections as reusable and extensible data structures
  - design for reuse
  - design for change
- Iterators to abstract over internal structure
- Decorator to attach behavior at runtime
- Template methods and factory methods to support behavior changes in subclasses
- Adapters to bridge between implementations
- Strategy pattern for sorting