

Principles of Software Construction: Objects, Design, and Concurrency

Functional Correctness – A Broader Perspective

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Christian Kästner

Charlie Garrod



Learning Goals

- Writing bug reports
- Apply Hoare-style verification to object-oriented programs
- Reason about inheritance with behavioral subtyping
- Apply static analysis tools
- Understand the tradeoffs among testing, formal verification and static analysis

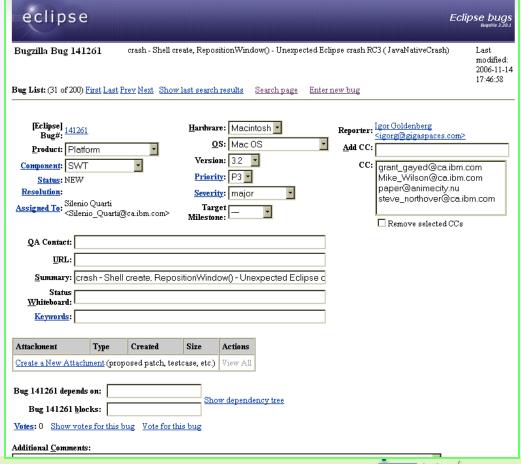
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Bug Reports

Reporting Defects

- Reproducible defects
 - Easier to find and fix
 - Easier to validate
 - Increased confidence
- Simple and general
 - More value doing the fix
- Non-antagonistic
 - State the problem
 - Don't blame

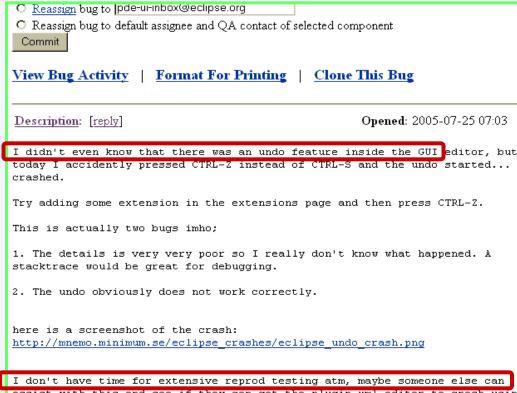
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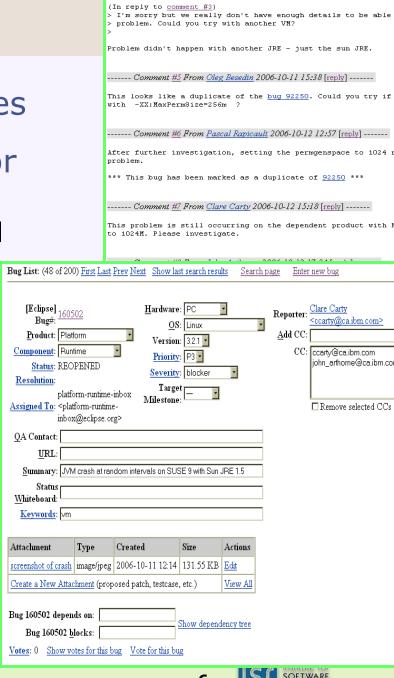
Social Issues in Defect Reporting

- There are differences between developer and tester culture
- Acknowledge that testers often deliver bad news
- Work hard to detect defects locally
 - Easier to narrow scope and responsibility
 - Less adversarial
- Don't measure performance in terms of defect reports



Defect Tracking

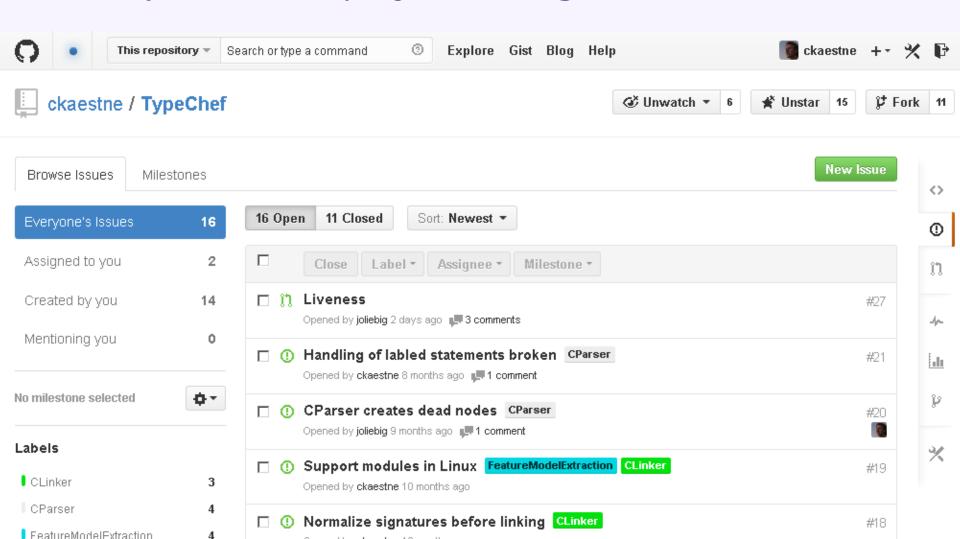
- Always track defects and issues
- Issue: Bug, feature request, or query
 - May not know which of these until analysis is done, so track in the same database (Bugzilla, github)
- Provides a basis for measurement
- Provides a basis for division of effort
- Facilitates communication
 - Organized record for each issue
 - Ensures problems are not forgotten



----- Comment #4 From Clare Carty 2006-10-11 15:28 [reply] ------

Bug Tracking on GitHub

 Every GitHub project has own issue tracker (and wiki); enable in project settings



Formal Verification of Object-Oriented Programs

Formal Verification

- Proving the correctness of an implementation with respect to a formal specification, using formal methods of mathematics.
- Formally prove that all possible executions of an implementation fulfill the specification

 Manual effort; partial automation; not automatically decidable

Formal Specifications

```
/*@ requires len >= 0 && array != null && array.length == len;
 @ ensures \result ==
@ (\sum in @*/
            (\sum int j; 0 <= j && j < len; array[j]);
int total(int array[], int len);
```

Advantage of formal specifications:

- * runtime checks for free
- * basis for formal verification
- * assisting automatic analysis tools

JML (Java Modelling Language) as specifications language in Java (inside comments)

Recap: Hoare-Style Verification

 Formal reasoning about program correctness using pre- and postconditions

- Syntax: {P} S {Q}
 - P and Q are predicates
 - P is the precondition
 - S is a program
 - Q is the postcondition

- Semantics
 - If we start in a state where P is true and execute S, then S will terminate in a state where Q is true



Recap: Hoare-Logic Rules

```
Assignments
   \{ P[E/x] \} x := E \{ P \}
Composition
   \{P\}S\{Q\} \{Q\}T\{R\}
        { P } S; T { R }
If statement
   {B&P}S{Q} {!B&P}T{Q}
        { P } if (B) S else T { Q }
While loop with loop invariant P
        { P & B } S { P }
    { P } while (B) S { !B & P }
Consequence
   P \rightarrow P' \qquad \{ P \} S \{ Q \} \qquad Q \rightarrow Q'
           { P' } S { Q' }
```

Hoare Triples – Examples

```
{ true } x := 5 { }
{ } x := x + 3 { x = y + 3 }
{ } x := x * 2 + 3 { x > 1 }
{ x=a } if (x < 0) then x := -x { }</li>
{ false } x := 3 { }
{ x < 0 } while (x!=0) x := x-1 { }</li>
```

Hoare Triples – Examples

```
{ true } x := 5 { x=5 }
{ x = y } x := x + 3 { x = y + 3 }
{ x > -1 } x := x * 2 + 3 { x > 1 }
{ x=a } if (x < 0) then x := -x { x=|a| }</li>
{ false } x := 3 { x = 8 }
{ x < 0 } while (x!=0) x := x-1 { }</li>
no such triple!
```

Recap: 122 midterm

```
int find_peak_bin(int[] A, int n)
//@requires 0 < n && n <= \length(A);
//@requires is_peaked(A, 0, n);
//@ensures 0 <= \result && \result < n;</pre>
//@ensures gt_seg(A[\result], A, 0, \result);
//@ensures gt_seg(A[\result], A, \result+1, n);
int lower = 0;
int upper = n-1;
while (lower < upper)
  //@loop_invariant ______;
  //@loop_invariant ______;
{
  int mid = lower + (upper-lower)/2;
  //@assert ______; /* optional */
  if (A[mid] < A[mid+1])
     lower = mid+1;
  else //@assert _____; /* optional */
     upper = mid;
//@assert _________; /* optional */
```

Class Invariants

- Properties about the fields of an object
- Established by the constructor
- Should always hold before and after execution of public methods
- May be invalidated temporarily during method execution

```
public class SimpleSet {
   int contents[];
   int size;
     @ ensures sorted(contents);
   SimpleSet(int capacity) { ... }
      @ requires sorted(contents);
@ ensures sorted(contents);
   boolean add(int i) { ... }
        requires sorted(contents
        ensures sorted(conten
   boolean contains(int i) { ... }
```

```
public class SimpleSet {
  int contents[];
  int size;

//@invariant sorted(contents);
  SimpleSet(int capacity) { ... }
  boolean add(int i) { ... }
  boolean contains(int i) { ... }
}
```

Let q(x) be a property provable about objects x of type T. Then q(y) should be provable for objects y of type S where S is a subtype of T.

Barbara Liskov

- An object of a subclass should be substitutable for an object of its superclass
- Known already from types:
 - May use subclass instead of superclass
 - Subclass can add, but not remove methods
 - Overriding method must return same or subtype
 - Overriding method may not throw additional exceptions
- Applies more generally to behavior:
 - A subclass must fulfill all contracts that the superclass does
 - Same or stronger invariants
 - Same or stronger postconditions for all methods
 - Same or **weaker** preconditions for all methods



```
abstract class Vehicle {
                                          class Car extends Vehicle {
    int speed, limit;
                                               int fuel;
    //@ invariant speed < limit;
                                               boolean engineOn;
                                               //@ invariant fuel >= 0;
    //@ requires speed != 0;
    //@ ensures |speed| < |\old{speed}|
                                              //@ requires fuel > 0 &&! engineOn;
    void break();
                                               //@ ensures engineOn;
                                               void start() { ... }
                                               void accelerate() { ... }
                                               //@ requires speed != 0;
                                               //@ ensures |speed| < |\old{speed}|
                                               void break() { ... }
```

Subclass fulfills the same invariants (and additional ones) Overridden method has the same pre and postconditions

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```
class Car extends Vehicle {
                                                    class Hybrid extends Car {
          int fuel;
                                                               int charge;
                                                              //@ invariant charge >= 0;
          boolean engineOn;
          //@ invariant fuel >= 0;
                                                              //@ requires (charge > 0 || fuel > 0)
          //@ requires fuel > 0 &&! engineOn;
                                                                              &&!engineOn;
          //@ ensures engineOn;
                                                              //@ ensures engineOn;
          void start() { ... }
                                                              void start() { ... }
          void accelerate() { ... }
                                                               void accelerate() { ... }
          //@ requires speed != 0;
                                                              //@ requires speed != 0;
                                                              //@ ensures |speed| < |\old{speed}|
          //@ ensures |speed| < |\old{speed}|
          void break() { ... }
                                                              //@ ensures charge > \old{charge}
                                                              void break() { ... }
```

Subclass fulfills the same invariants (and additional ones)
Overridden method start has weaker precondition
Overridden method break has stronger postcondition

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Is Square a behavior subtype of Rectangle?

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Is Square a behavior subtype of Rectangle?

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```
class Rectangle {
                                     class Square extends Rectangle {
        //@ invariant h>0 && w>0;
                                             //@ invariant h==w;
                                              Square(int w) {
        int h, w;
                                                  super(w, w);
        Rectangle(int h, int w) {
            this.h=h; this.w=w;
        void scale(int factor) {
            w=w*factor;
            h=h*factor;
```

Is Square a behavior subtype of Rectangle?

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```
class Rectangle {
                                         class Square extends Rectangle {
                                                  //@ invariant h==w;
         //@ invariant h>0 && w>0;
         int h, w;
                                                  Square(int w) {
                                                       super(w, w);
         Rectangle(int h, int w) {
             this.h=h; this.w=w;
         void scale(int factor) {
             w=w*factor;
             h=h*factor;
         void setWidth(int neww) {
             w=neww;
```

Is Square a behavior subtype of Rectangle?

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```
class Rectangle {
                                      class Square extends Rectangle {
                                               //@ invariant h==w;
        //@ invariant h>0 && w>0;
        int h, w;
                                               Square(int w) {
                                                   super(w, w);
        Rectangle(int h, int w) {
            this.h=h; this.w=w;
        void scale(int factor) {
            w=w*factor;
            h=h*factor;
                                class GraphicProgram {
                                    void scaleW(Rectangle r, int factor) {
                                       r.setWidth(r.getWidth() * factor);
        void setWidth(int neww)
            w=neww;
```

With these methods, Square is not a behavior subtype of Rectangle

Formal Verification of Object-Oriented Programs

- Analogue to verification of imperative programs
- Class invariants simplify specifications
- Behavioral subtyping ensures substitutability

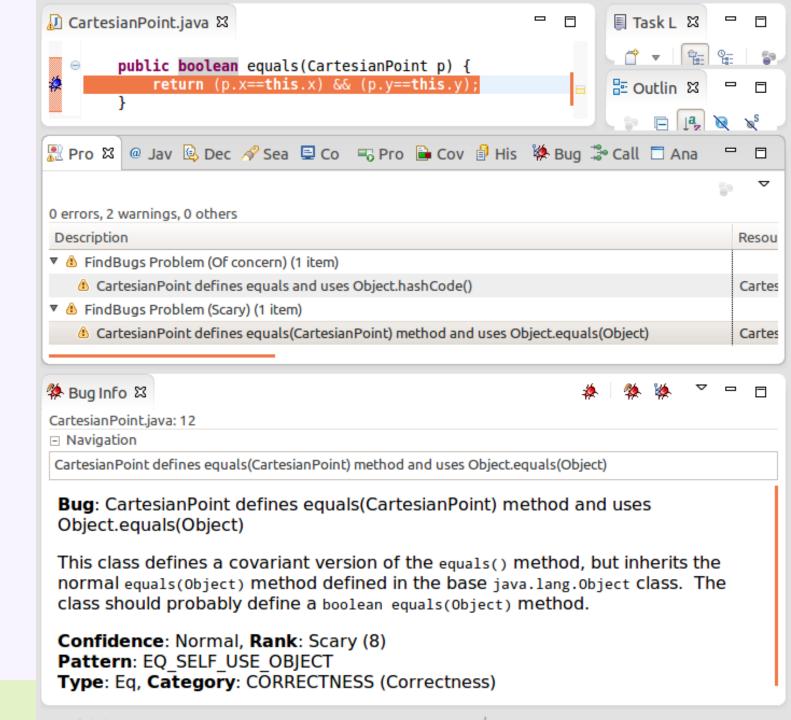
- Proof of correctness
 - All possible executions will fulfill the formal specifications
 - Pen and paper proof
 - Support for partially automated proofs available (full automation not possible)

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Static Analysis

Stupid Bugs

FindBugs



```
■ Task L X
🕖 CartesianPoint.java 🛭
     public final class CartesianPoint {
P
         private int X,Y;
                                                                                \nabla
         CartesianPoint(int x, int y) {

    Connect Mylyn

              this.X=x;
                                                                                  Connect to your task
              this.Y = y;
                                                                                  and ALM tools or crea
         }
                                                                              ⊞ Outlin 🖾
₽⊖
         public int GetY() {
              return Y;
         public int getX() {
                                                                              ▼ 😥 F Cartesian Point
              return X;

<sup>®</sup> X:int

                                                                                 ₀□ Y:int
🔛 Pro 🔀 🍭 Jav 🖳 Dec 🥜 Sea 🖳 Co 📑 Pro 🗎 Cov 🗐 His 🗱 Bug 🎏 Call 🗀 Ana
                                                                                                 0 errors, 9 warnings, 0 others
 Description
                                                                                               Resou
▼ 6 Checkstyle Problem (9 items)
    ',' is not followed by whitespace.
                                                                                                Carte
    '=' is not followed by whitespace.
                                                                                                Carte
    '=' is not preceded with whitespace.
                                                                                                Carte
    File contains tab characters (this is the first instance).
                                                                                                Carte
    Name 'Gety' must match pattern '^[a-z][a-zA-Z0-9]*$'.
                                                                                                Carte
    Mame 'X' must match pattern '^[a-z][a-zA-Z0-9]*$'.
                                                                                               Carte
    Name 'Y' must match pattern '^[a-z][a-zA-Z0-9]*$'.
                                                                                                Carte:
                                                                                               Carto
```

Static Analysis

- Analyzing code without executing it (automated inspection)
- Looks for bug patterns
- Attempts to formally verify specific aspects
- Point out typical bugs or style violations
 - NullPointerExceptions
 - Incorrect API use
 - Forgetting to close a file/connection
 - Concurrency issues
 - And many, many more (over 250 in FindBugs)
- Integrated into IDE or build process
- FindBugs and CheckStyle open source, many commercial products exist

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Example FindBugs Bug Patterns

- Correct equals()
- Use of ==
- Closing streams
- Illegal casts
- Null pointer dereference
- Infinite loops
- Encapsulation problems
- Inconsistent synchronization
- Inefficient String use
- Dead store to variable



Bug finding

A.java: 69

Navigation

 Θ

Bug: FBTest.decide() has Boolean return type and returns explicit null

A method that returns either Boolean.TRUE, Boolean.FALSE or null is an accident waiting to happen. This method can be invoked as though it returned a value of type boolean, and the compiler will insert automatic unboxing of the Boolean value. If a null value is returned, this will result in a NullPointerException.

Confidence: Normal, Rank: Troubling (14)
Pattern: NP BOOLEAN RETURN NULL

Type: NP, Category: BAD_PRACTICE (Bad practice)

public Boolean decide() {

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Abstract Interpretation

- Static program analysis is the systematic examination of an abstraction of a program's state space
- Abstraction
 - Don't track everything! (That's normal interpretation)
 - Track an important abstraction
- Systematic
 - Ensure everything is checked in the same way

Details on how this works in 15-313



Comparing Quality Assurance Strategies

	Error exists	No error exists
Error Reported	True positive (correct analysis result)	False positive (annoying noise)
No Error Reported	False negative (false confidence)	True negative (correct analysis result)

Sound Analysis:

reports all defects

-> no false negatives typically overapproximated

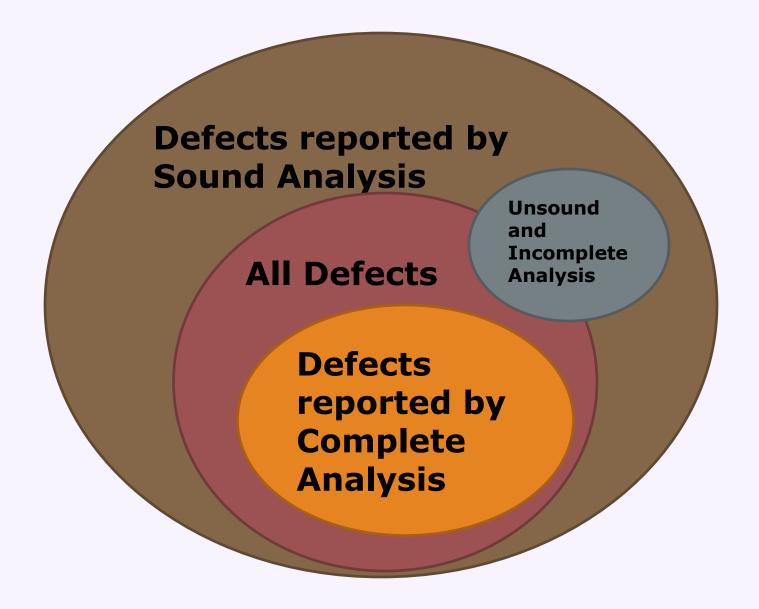
Complete Analysis:

every reported defect is an actual defect

-> no false positives typically underapproximated

How does testing relate? And formal verification?

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"Any nontrivial property about the language recognized by a Turing machine is undecidable." Henry Gordon Rice, 1953

- Every static analysis is necessarily incomplete or unsound or undecidable (or multiple of these)
- Each approach has different tradeoffs

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Soundness / Completeness / Performance Tradeoffs

- Type checking does catch a specific class of problems (sound), but does not find all problems
- Compiler optimizations must err on the safe side (only perform optimizations when sure it's correct; -> complete)
- Many practical bug-finding tools analyses are unsound and incomplete
 - Catch typical problems
 - May report warnings even for correct code
 - May not detect all problems
- Overwhelming amounts of false negatives make analysis useless
- Not all "bugs" need to be fixed

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Testing and Proofs

Testing

- Observable properties
- Verify program for one execution
- Manual development with automated regression
- Most practical approach now
- Does not find all problems (unsound)

Proofs (Formal Verification)

- Any program property
- Verify program for all executions
- Manual development with automated proof checkers
- Practical for small programs, may scale up in the future
- Sound and complete, but not automatically decidable

- So why study proofs if they aren't (yet) practical?
 - Proofs tell us how to think about program correctness
 - Important for development, inspection, dynamic assertions
 - Foundation for static analysis tools
 - These are just simple, automated theorem provers
 - Many are practical today!



Testing, Static Analysis, and Proofs

Testing

- Observable properties
- Verify program for one execution
- Manual development with automated regression
- Most practical approach now
- Does not find all problems (unsound)

Static Analysis

- Analysis of all possible executions
- Specific issues only with conservative approx. and bug patterns
- Tools available, useful for bug finding
- Automated, but unsound and/or incomplete

Proofs (Formal Verification)

- Any program property
- Verify program for all executions
- Manual development with automated proof checkers
- Practical for small programs, may scale up in the future
- Sound and complete, but not automatically decidable

What strategy to use in your project?

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Quality Assurance Summary

- Reporting and tracking bugs/issues
- Select a quality assurance strategy for functional correctness
- Testing can find faults in specific executions
- Formal verification (Hoare-style pre/postconditions) can ensure correctness of all executions
 - Class Invariants and Behavioral Subtyping
- Static analysis can find issues for classes of problems
- Soundness vs. Completeness vs. Automation

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