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Spring 2013



Principles of Software Construction: Objects, Design and Concurrency

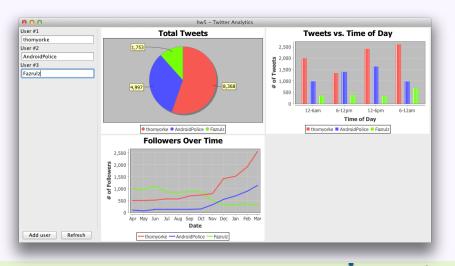
The Perils of Concurrency (Can't live with it, can't live without it.)

Christian Kästner Charlie Garrod

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Administrivia

- Homework 4c due tonight
- Homework 5 coming soon
 - Must select partner(s) by Thursday (28 March)
 - 5a due next Wednesday (03 April)
 - 5b due the following Wednesday (10 April)
 - 5c due the following Tuesday (16 April)
- Final exam is Monday 13 May, 5:30 8:30 p.m.



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Key topics from last Thursday





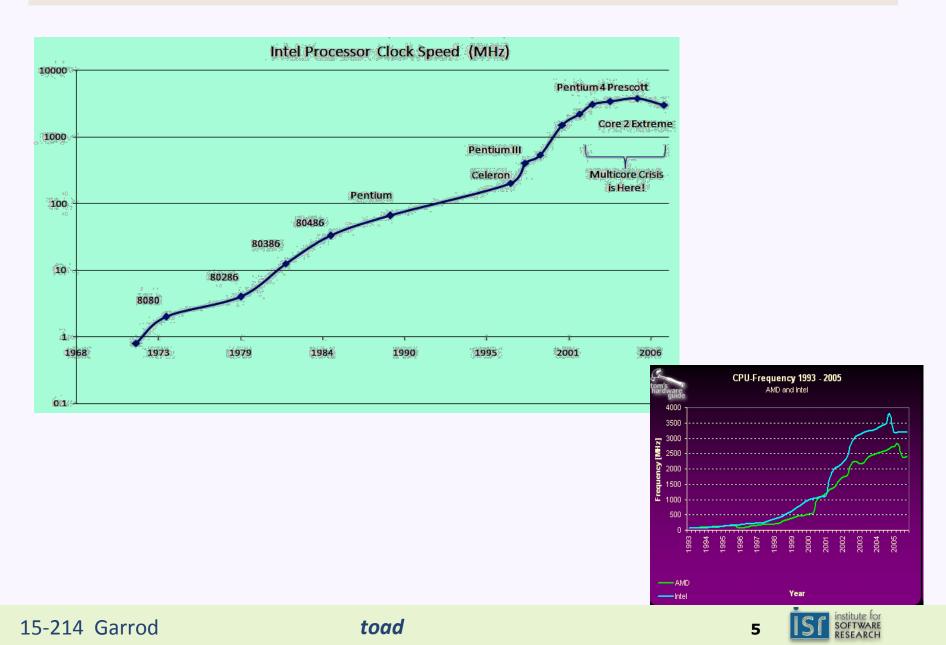
Today: Concurrency, part 1

- The backstory
 - Motivation, goals, problems, ...
- Basic concurrency in Java
 - Synchronization
- Coming soon (but not today):
 - Higher-level abstractions for concurrency
 - Data structures
 - Computational frameworks





Processor speeds over time



Power requirements of a CPU

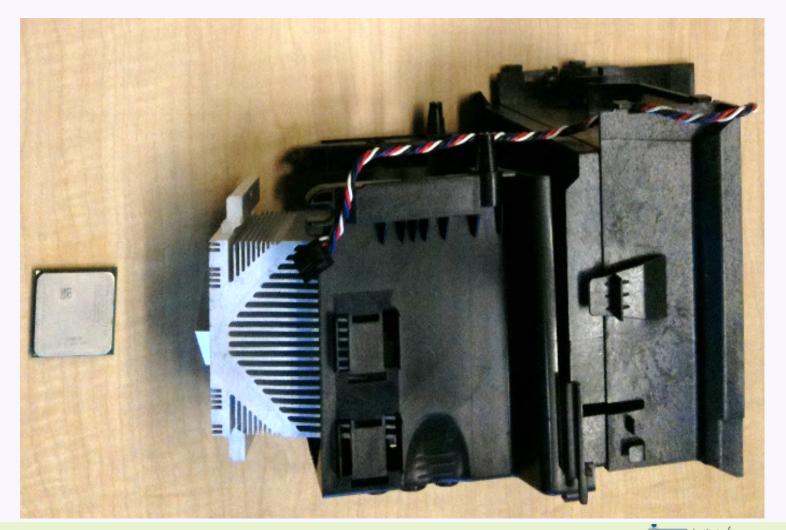
- Approx.: Capacitance * Voltage² * Frequency
- To increase performance:
 - More transistors, thinner wires: more **C**
 - More power leakage: increase V
 - Increase clock frequency F
 - Change electrical state faster: increase V
- Problem: Power requirements are super-linear to performance
 - Heat output is proportional to power input





One option: fix the symptom

• Dissipate the heat



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SOFTWARE

One option: fix the symptom

- Better: Dissipate the heat with liquid nitrogen
 - Overclocking by Tom's Hardware's 5 GHz project





http://www.tomshardware.com/reviews/5-ghz-project,731-8.html

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Another option: fix the underlying problem

- Reduce heat by limiting power input
 - Adding processors increases power requirements linearly with performance
 - Reduce power requirement by reducing the frequency and voltage
 - Problem: requires concurrent processing



Aside: Three sources of disruptive innovation

- Growth crosses some threshold
 - e.g., Concurrency: ability to add transistors exceeded ability to dissipate heat
- Colliding growth curves
 - Rapid design change forced by jump from one curve onto another
- Network effects
 - Amplification of small triggers leads to rapid change



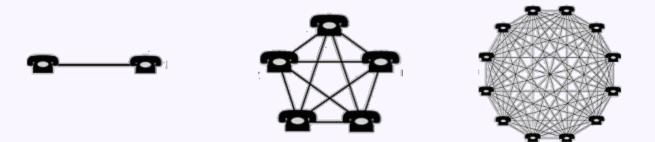
Aside: The threshold for distributed computing

- Too big for a single computer?
 - Forces use of distributed architecture
 - Shifts responsibility for reliability from hardware to software
 - Allows you to buy cheap flaky machines instead of expensive somewhat-flaky machines
 - -Revolutionizes data center design



Aside: Network effects

- Metcalfe's rule: network value grows quadratically in the number of nodes
 - a.k.a. Why my mom has a Facebook account
 - n(n-1)/2 potential connections for n nodes



- Creates a strong imperative to merge networks
 - Communication standards, USB, media formats, …



Concurrency

- Simply: doing more than one thing at a time
 - In software: more than one point of control
 - Threads, processes
- Resources simultaneously accessed by more than one thread or process



Concurrency then and now

- In the past multi-threading was just a convenient abstraction
 - GUI design: event threads
 - Server design: isolate each client's work
 - Workflow design: producers and consumers
- Now: must use concurrency for scalability and performance

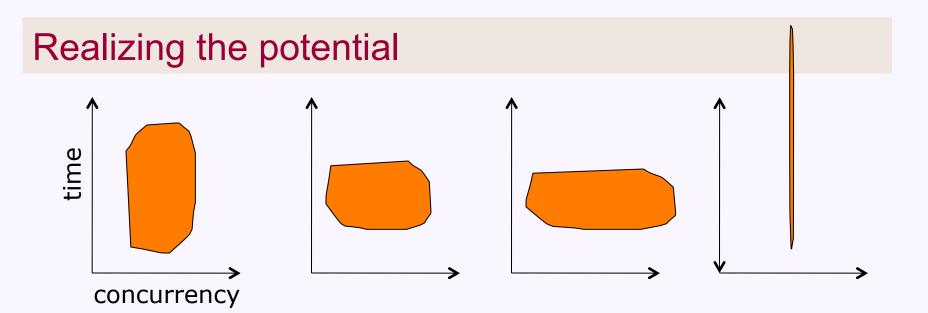
Image Name	Threads	С
IPSSVC.EXE	86	(
svchost.exe	82	- (
System	: 80	- (
afsd_service.exe	51	- (
Rtvscan.exe	47	- (
winlogon.exe	39	- (
explorer.exe	: 20	- (
ccEvtMgr.exe	19	- (
svchost.exe	18	- (
lsass.exe	18	- (
tabtip.exe	: 17	- (
svchost.exe	17	- (
firefox.exe	: 16	- (
services.exe	16	(
thunderbird.exe	: 15	(
csrss.exe	: 13	(
tcserver.exe	: 10	- (
KeyboardSurroga	: 10	- (
spoolsv.exe	: 10	- (
tvt_reg_monitor	: 10	- (
svchost.exe	10	- (
POWERPNT.EXE	: 9	- (
taskmgr.exe	: 8	- (
VPTray.exe	: 8	- (
S24EvMon.exe	: 8	- (
EvtEng.exe	: 8	(
emacs.exe	: 7	- (
tvtsched.exe	: 7	- (
ibmpmsvc.exe	: 7	- (
AcroRd32.exe	: 7	- (
vpngui.exe	: 6	- (
cvpnd.exe	6	- (
AluSchedulerSvc	: 6	- (
ccSetMgr.exe	6	- (
svchost.exe	6	(
wisptis.exe	: 5	(
alg.exe	5	- (
TPHKMGR.exe	: 5	- (
ASRSVC.exe	: 5	(
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Problems of concurrency

- Realizing the potential
 - Keeping all threads busy doing useful work
- Delivering the right language abstractions
 - How do programmers think about concurrency?
 - Aside: parallelism vs. concurrency
- Non-determinism
 - Repeating the same input can yield different results

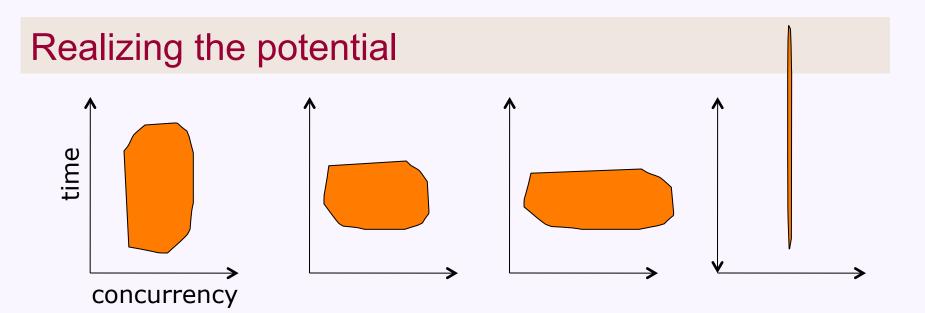






- Possible metrics of success
 - Breadth: extent of simultaneous activity
 - width of the shape
 - Depth (or span): length of longest computation
 - height of the shape
 - Work: total effort required
 - area of the shape
- Typical goals in parallel algorithm design?





- Possible metrics of success
 - Breadth: extent of simultaneous activity
 - width of the shape
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 - area of the shape
- Typical goals in parallel algorithm design?
 - First minimize depth (total time we wait), then minimize work



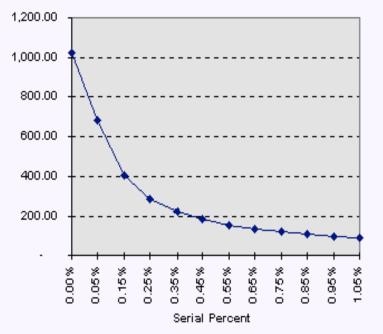
Amdahl's law: How good can the depth get?

- Ideal parallelism with N processors:
 - Speedup = N

Speedup by Amdahl's Law (P=1024)

- In reality, some work is always inherently sequential
 - Let F be the portion of the total task time that is inherently sequential

• Speedup =
$$\frac{1}{F + (1 - F)/N}$$



• Suppose F = 10%. What is the max speedup? (you choose N)



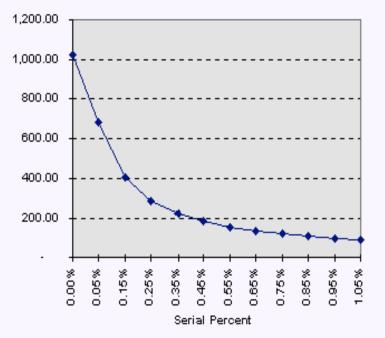
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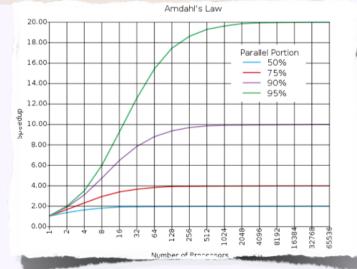


Suppose F = 10%. What is the max speedup? (you choose N)
As N approaches ∞, 1/(0.1 + 0.9/N) approaches 10.



Using Amdahl's law as a design guide

- For a given algorithm, suppose
 - N processors
 - Problem size м
 - Sequential portion F



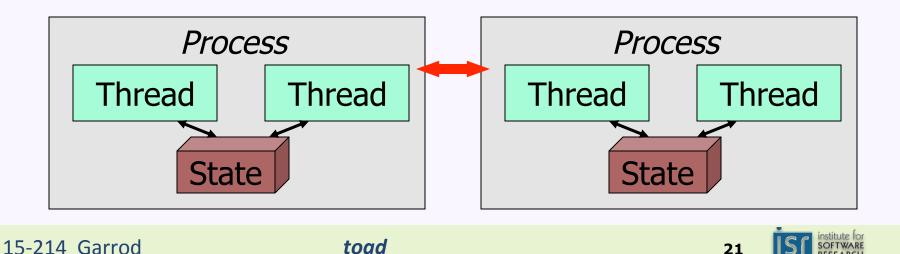
- An obvious question:
 - What happens to speedup as N scales?
- Another important question:
 - What happens to F as problem size M scales?

"For the past 30 years, computer performance has been driven by Moore's Law; from now on, it will be driven by Amdahl's Law." — Doron Rajwan, Intel Corp



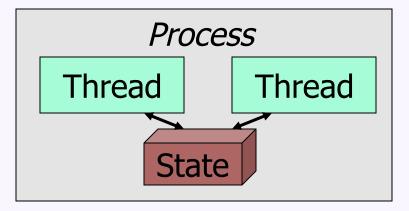
Abstractions of concurrency

- Processes
 - Execution environment is isolated
 - Processor, in-memory state, files, ...
 - Inter-process communication typically slow, via message passing
 - Sockets, pipes, ...
- Threads
 - Execution environment is shared
 - Inter-thread communication typically fast, via shared state

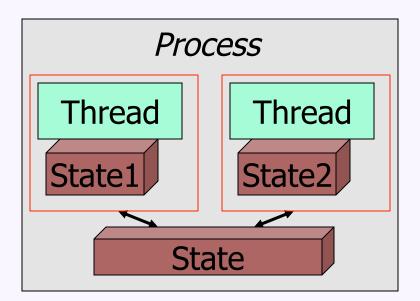


Aside: Abstractions of concurrency

- What you see:
 - State is all shared



- A (slightly) more accurate view of the hardware:
 - Separate state stored in registers and caches
 - Shared state stored in caches and memory





Basic concurrency in Java

- The java.lang.Runnable interface void run();
- The java.lang.Thread class
 Thread(Runnable r);
 void start();
 static void sleep(long millis);
 void join();
 boolean isAlive();
 static Thread currentThread();
- See IncrementTest.java



Atomicity

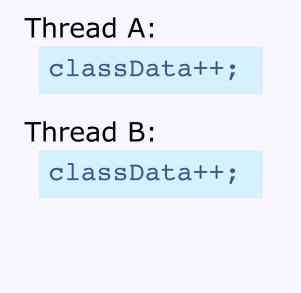
- An action is *atomic* if it is indivisible
 - Effectively, it happens all at once
 - No effects of the action are visible until it is complete
 - No other actions have an effect during the action
- In Java, integer increment is not atomic

- 1. Load data from variable i
- 2. Increment data by 1
- 3. Store data to variable i



One concurrency problem: race conditions

- A *race condition* is when multiple threads access shared data and unexpected results occur depending on the order of their actions
- E.g., from IncrementTest.java:
 - Suppose classData starts with the value 41:

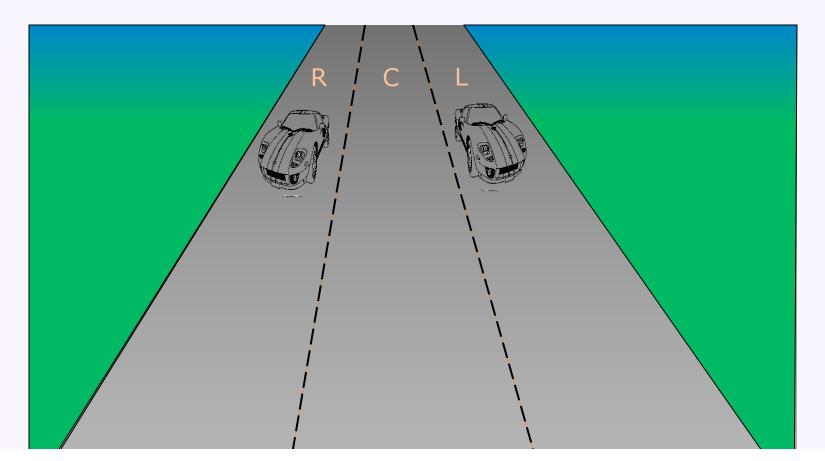


One possible interleaving of actions:

- 1A. Load data(41) from classData
- 1B. Load data(41) from classData
- 2A. Increment data(41) by 1 -> 42
- 2B. Increment data(41) by 1 -> 42
- 3A. Store data(42) to classData
- 3B. Store data(42) to classData

Race conditions in real life

• E.g., check-then-act on the highway





Race conditions in real life

- E.g., check-then-act at the bank
 - The "debit-credit problem"

Alice, Bob, Bill, and the Bank

- A. Alice to pay Bob \$30
 - Bank actions
 - 1. Does Alice have \$30?
 - 2. Give \$30 to Bob
 - 3. Take \$30 from Alice
- B. Alice to pay Bill \$30
 - Bank actions
 - **1.** Does Alice have \$30 ?
 - 2. Give \$30 to Bill
 - 3. Take \$30 from *Alice*
- If *Alice* starts with \$40, can *Bob* and *Bill* both get \$30?



Race conditions in real life

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 1. Does Alice have \$30 ?
 2. Give \$20 to Bill
 - 2. Give \$30 to *Bill*
 - 3. Take \$30 from *Alice*
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A.1 A.2 B.1 B.2 A.3 B.3!



Race conditions in your real life

• E.g., check-then-act in simple code

```
public class StringConverter {
    private Object o;
    public void set(Object o) {
        this.o = o;
    }
    public String get() {
        if (o == null) return "null";
        return o.toString();
    }
}
```

See StringConverter.java, Getter.java, Setter.java



Some actions are atomic

Precondition:	Thread A:	Thread B:
int i = 7;	i = 42;	ans = i;

• What are the possible values for ans?



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int i = 7;	i = 42;	a

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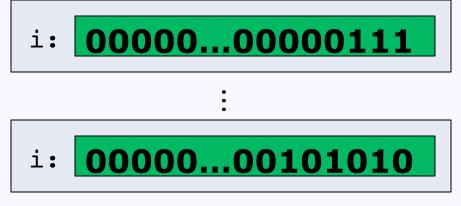




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• In Java:

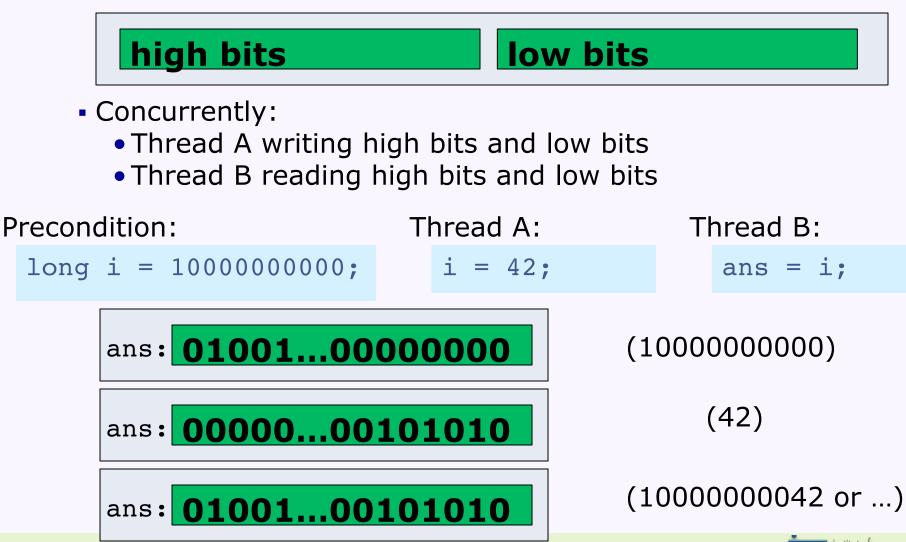
- Reading an int variable is atomic
- Writing an int variable is atomic
- Thankfully, ans: **OC**

is not possible



Bad news: some simple actions are not atomic

• Consider a single 64-bit long value



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Thursday:

• More concurrency



