



# Principles of Software Construction: Objects, Design, and Concurrency

## Testing and Invariants

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# The four course themes



- Threads and Concurrency

- Concurrency is a crucial system abstraction
- E.g., background computing while responding to users
- Concurrency is necessary for performance
- Multicore processors and distributed computing
- Our focus: application-level concurrency
- Cf. functional parallelism (150, 210) and systems concurrency (213)

- Object-oriented programming

- For flexible designs and reusable code
- A primary paradigm in industry – basis for modern frameworks
- Focus on Java – used in industry, some upper-division courses



- Analysis and Modeling

- Practical specification techniques and verification tools
- Address challenges of threading, correct library usage, etc.

- Design

- Proposing and evaluating alternatives
- Modularity, information hiding, and planning for change
- Patterns: well-known solutions to design problems

# Learning Goals

- Different strategies of quality assurance, different meanings of quality
- Importance of specifications (formal or informal)
- Testing approaches, benefits, and limitations
- Unit testing
  - Ability to use JUnit
- Test coverage: what it tells you, what it doesn't
  - Ability to use EcJemma for line coverage
- Class invariants and assertions

# Correctness?



A problem has been detected and windows has been shut down to prevent damage to your computer.

PAGE\_FAULT\_IN\_NONPAGED\_AREA

If this is the first time you've seen this stop error screen, restart your computer. If this screen appears again, follow these steps:

Check to make sure any new hardware or software is properly installed. If this is a new installation, ask your hardware or software manufacturer for any windows updates you might need.

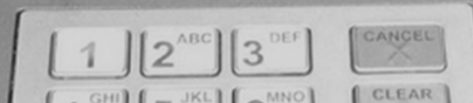
If problems continue, disable or remove any newly installed hardware or software. Disable BIOS memory options such as caching or shadowing. If you need to use Safe Mode to remove or disable components, restart your computer, press F8 to select Advanced Startup options, and then select Safe Mode.

Technical information:

\*\*\* STOP: 0x00000050 (0x800005F2, 0x00000000, 0x804E83C8, 0x00000000)

Beginning dump of physical memory  
physical memory dump complete.

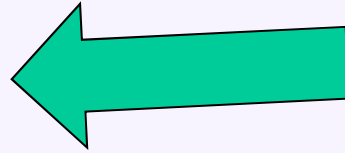
Contact your system administrator or technical support group for further assistance.



- **Sufficiency**
  - Fails to implement the specifications ... Satisfies all of the specifications
- **Robustness**
  - Will crash on any anomalous even ... Recovers from all anomalous events
- **Flexibility**
  - Will have to be replaced entirely if specification changes ... Easily adaptable to reasonable changes
- **Reusability**
  - Cannot be used in another application ... Usable in all reasonably related applications without modification
- **Efficiency**
  - Fails to satisfy speed or data storage requirement ... satisfies speed or data storage requirement with reasonable margin
- **Reliability**
  - Won't achieve required mean time between failure ... will achieve the required mean time between failure
- **Scalability**
  - Cannot be used as the basis of a larger version ... is an outstanding basis...
- **Security**
  - Security not accounted for at all ... No manner of breaching security is known

# Functional Correctness

- **Specification**



15-214

- **Formal Verification**
- **Unit Testing**
- **Type Checking**
- **Statistic Analysis**

15-313

- **Requirements definition**
- **Inspections, Reviews**
- **Integration/System/Acceptance/Regression/GUI/Blackbox/ Model-Based/Random Testing**
- **Change/Release Management**

# Textual Specification

**public int** read(**byte**[] b, **int** off, **int** len) **throws** IOException

- Reads up to len bytes of data from the input stream into an array of bytes. An attempt is made to read as many as len bytes, but a smaller number may be read. The number of bytes actually read is returned as an integer. This method blocks until input data is available, end of file is detected, or an exception is thrown.
  - If len is zero, then no bytes are read and 0 is returned; otherwise, there is an attempt to read at least one byte. If no byte is available because the stream is at end of file, the value -1 is returned; otherwise, at least one byte is read and stored into b.
  - The first byte read is stored into element b[off], the next one into b[off+1], and so on. The number of bytes read is, at most, equal to len. Let k be the number of bytes actually read; these bytes will be stored in elements b[off] through b[off+k-1], leaving elements b[off+k] through b[off+len-1] unaffected.
  - In every case, elements b[0] through b[off] and elements b[off+len] through b[b.length-1] are unaffected.
- **Throws:**
    - **IOException** - If the first byte cannot be read for any reason other than end of file, or if the input stream has been closed, or if some other I/O error occurs.
    - **NullPointerException** - If b is null.
    - **IndexOutOfBoundsException** - If off is negative, len is negative, or len is greater than b.length - off



# Specifications

- Contains
  - Functional behavior
  - Erroneous behavior
  - Quality attributes
- Desirable attributes
  - Complete
    - Does not leave out any desired behavior
  - Minimal
    - Does not require anything that the user does not care about
  - Unambiguous
    - Fully specifies what the system should do in every case the user cares about
  - Consistent
    - Does not have internal contradictions
  - Testable
    - Feasible to objectively evaluate
  - Correct
    - Represents what the end-user(s) need



# Function Specifications

- A function's contract is a statement of the responsibilities of that function, and the responsibilities of the code that calls it.
  - Analogy: legal contracts
    - If you pay me \$30,000
    - I will build a new room on your house
  - Helps to pinpoint responsibility
- Contract structure
  - Precondition: the condition the function relies on for correct operation
  - Postcondition: the condition the function establishes after correctly running
- (Functional) correctness with respect to the specification
  - If the client of a function fulfills the function's precondition, the function will execute to completion and when it terminates, the postcondition will be fulfilled
- What does the implementation have to fulfill if the client violates the precondition?

## Formal Specifications

```
/*@ requires len >= 0 && array != null && array.Length == len;  
@  
@ ensures \result ==  
@           (\sum int j; 0 <= j && j < len; array[j]);  
@*/  
int total(int array[], int len);
```

# Example Java I/O Library Specification (abridged)

public int **read**(byte[] b, int off, int len) throws [IOException](#)

- Reads up to len bytes of data from the input stream into an array of bytes. An attempt is made to read as many as len bytes, but a smaller number may be read. The number of bytes actually read is returned as an integer. This method blocks until input data is available, end of file is detected, or an exception is thrown.
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# Example Java I/O Library Specification (abridged)

public int **read**(byte[] b, int off, int len) throws [IOException](#)

- Reads up to len bytes of data from the input stream. An attempt is made to read as many bytes as possible. The number of bytes actually read is returned. If no bytes are available, the method returns -1. If the input data is available, the method returns the number of bytes read.
- If len is zero, then no bytes are read, and the method returns 0. If an attempt to read at least one byte results in an exception, the value -1 is returned, and the exception is thrown.
- The first byte read is stored in element b[off]. The number of bytes read is stored in the return value. The bytes actually read are stored in elements b[off+k] for k from 0 to len-1, leaving elements b[off+k] for k from len to b.length-1 unaffected.
- In every case, elements b[0] through b[off] and elements b[off+len] through b[b.length-1] are unaffected.

- **Throws:**

- [IOException](#) - If the first byte cannot be read from the input stream, or if the input stream has been closed.
- [NullPointerException](#) - If b is null.
- [IndexOutOfBoundsException](#) - If off is negative, or off+len is greater than b.length - off.

- **Specification of return**
- **Timing behavior (blocks)**
- **Case-by-case spec**
  - len=0 → return 0
  - len>0 && eof → return -1
  - len>0 && !eof → return >0
- **Exactly where the data is stored**
- **What parts of the array are not affected**

- **Multiple error cases, each with a precondition**
- **Includes “runtime exceptions” not in throws clause**

# Textual Specifications

## List:

**boolean** `addAll(int index, Collection<? extends E> c)`

Inserts all of the elements in the specified collection into this list at the specified position (optional operation). Shifts the element currently at that position (if any) and any subsequent elements to the right (increases their indices). The new elements will appear in this list in the order that they are returned by the specified collection's iterator. The behavior of this operation is undefined if the specified collection is modified while the operation is in progress. (Note that this will occur if the specified collection is this list, and it's nonempty.)

## Parameters:

`index` - index at which to insert the first element from the specified collection

`c` - collection containing elements to be added to this list

## Returns:

true if this list changed as a result of the call

## Throws:

**UnsupportedOperationException** - if the `addAll` operation is not supported by this list

**ClassCastException** - if the class of an element of the specified collection prevents it from being added to this list

**NullPointerException** - if the specified collection contains one or more null elements and this list does not permit null elements, or if the specified collection is null

**IllegalArgumentException** - if some property of an element of the specified collection prevents it from being added to this list

**IndexOutOfBoundsException** - if the index is out of range (`index < 0 || index > size()`)

## Quality Attribute Specifications: Discussion

- How would you specify...
  - Availability?
  - Modifiability?
  - Performance?
  - Security?
  - Usability?

# Runtime Checking of Specifications

```
/*@ requires len >= 0 && array.length == len
   @ ensures \result ==
   @          (\sum int j; 0 <= j && j < len; array[j])
   @*/
float sum(int array[], int len) {
    assert len >= 0;
    assert array.length == len;
    float sum = 0.0;
    int i = 0;
    while (i < len) {
        sum = sum + array[i]; i = i + 1;
    }
    return sum;
    assert ...;
}
```

java -ea Main

Notation from the Java Modeling Language (JML)



# Runtime Checking of Specifications

```
/*@ requires len >= 0 && array.length == len
   @ ensures \result ==
   @         (\sum int j; 0 <= j && j < len; array[j])
   @*/
```

```
float sum(int array[], int len) {
    if (len < 0 || array.length != len)
        throw IllegalArgumentException(...);
    float sum = 0.0;
    int i = 0;
    while (i < len) {
        sum = sum + array[i]; i = i + 1;
    }
    return sum;
    assert ...;
}
```

Check arguments  
even when assertions  
are disabled.  
Good for robust  
libraries!

Notation from the Java Modeling Language (JML)

## Data Structure Invariants (*cf.* 122)

```
struct list {  
    elem data;  
    struct list* next;  
};  
struct queue {  
    list front;  
    list back;  
};
```

## Data Structure Invariants (*cf.* 122)

```
struct list {  
    elem data;  
    struct list* next;  
};  
struct queue {  
    list front;  
    list back;  
};  
  
bool is_queue(queue Q) {  
    if (Q == NULL) return false;  
    if (Q->front == NULL || Q->back == NULL) return false;  
    return is_segment(Q->front, Q->back);  
}
```

## Data Structure Invariants (*cf.* 122)

```
struct list {
    elem data;
    struct list* next;
};
struct queue {
    list front;
    list back;
};

bool is_queue(queue Q) {
    if (Q == NULL) return false;
    if (Q->front == NULL || Q->back == NULL) return false;
    return is_segment(Q->front, Q->back);
}

void enq(queue Q, elem s)
//@requires is_queue(Q);
//@ensures is_queue(Q);
{
    list l = alloc(struct list);
    Q->back->data = s;
    Q->back->next = l;
    Q->back = l; }
```

## Data Structure Invariants (*cf.* 122)

- Properties of the Data Structure
- Should always hold before and after method execution
- May be invalidated temporarily during method execution

```
void enq(queue Q, elem s)
//@requires is_queue(Q);
//@ensures is_queue(Q);
{ ... }
```

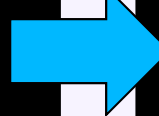
## Class Invariants

- Properties about the fields of an object
- Established by the constructor
- Should always hold before and after execution of public methods
- May be invalidated temporarily during method execution

## Class Invariants

- Properties about the fields of an object
- Established by the constructor
- Should always hold before and after execution of public methods

```
public class SimpleSet {  
    int contents[];  
    int size;  
  
    //@ ensures sorted(contents);  
    SimpleSet(int capacity) { ... }  
  
    //@ requires sorted(contents);  
    //@ ensures sorted(contents);  
    boolean add(int i) { ... }  
  
    //@ requires sorted(contents);  
    //@ ensures sorted(contents);  
    boolean contains(int i) { ... }  
}
```



```
public class SimpleSet {  
    int contents[];  
    int size;  
  
    //@invariant sorted(contents);  
    SimpleSet(int capacity) { ... }  
    boolean add(int i) { ... }  
    boolean contains(int i) { ... }  
}
```