



## Exploring creative thinking in graphically mediated synchronous dialogues

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### ABSTRACT

This paper reports on an aspect of the EC funded Argunaut project which researched and developed awareness tools for moderators of online dialogues. In this study we report on an investigation into the nature of creative thinking in online dialogues and whether or not this creative thinking can be coded for and recognized automatically such that moderators can be alerted when creative thinking is occurring or when it has not occurred after a period of time. We outline a dialogic theory of creativity, as the emergence of new perspectives from the interplay of voices, and the testing of this theory using a range of methods including a coding scheme which combined coding for creative thinking with more established codes for critical thinking, artificial intelligence pattern-matching techniques to see if our codes could be read automatically from maps and 'key event recall' interviews to explore the experience of participants. Our findings are that: (1) the emergence of new perspectives in a graphical dialogue map can be recognized by our coding scheme supported by a machine pattern-matching algorithm in a way that can be used to provide awareness indicators for moderators; (2) that the trigger events leading to the emergence of new perspectives in the online dialogues studied were most commonly disagreements and (3) the spatial representation of messages in a graphically mediated synchronous dialogue environment such as Digalo may offer more affordance for creativity than the much more common scrolling text chat environments. All these findings support the usefulness of our new account of creativity in online dialogues based on dialogic theory and demonstrate that this account can be operationalised through machine coding in a way that can be turned into alerts for moderators.

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### 1. Introduction

This paper reports on research that took place within a European Commission Framework 6 Future and Emerging Technologies (FET) project, called Argunaut (<http://www.argunaut.org/>). The Argunaut system developed during the project uses the graphical e-discussion environment Digalo ([dito.ais.fraunhofer.de/digalo/](http://dito.ais.fraunhofer.de/digalo/)) which is similar to a dynamic online concept map, along with a Moderators Interface (MI) for teachers, which includes a range of awareness indicators and tools for intervention designed to make the task of moderation easier. The awareness tools in the Argunaut MI, discussed in other papers (De Groot et al., 2007; Hever et al., 2007) include little charts indicating who is online and who is interacting with who and an indication of the kinds of messages that they are choosing to send based on 'message types' set-up in the system. As well as these ways of visualising the progress of the collaborative learning the MI also enables the moderator to ask for and receive alerts which are highly customisable messages about the quality of the online dialogue. At the simplest level the moderator can set the alert messaging tool to alert him or her if there is any bad language online by inputting a list of words and asking to be warned if they occur. At a more complex level an alert can let the moderator know if there is any creative or critical thinking occurring in the discussion over a period of time, or indeed perhaps more usefully, if there has been no critical or creative reasoning for a period of time. Such alerts can help moderation by suggesting when intervention might be fruitful, and also what kind of intervention is needed: if there is no sign of critical thinking for a period than a question challenging assumptions might be called for or if there is no sign of creativity it might be an idea to suggest a brainstorm of ways to look at the problem.

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It is in the context of developing these awareness indicators for moderators that we explored online creative thinking and developed a coding for creative thinking which could be read by a machine in order to generate online awareness alerts for moderators telling them if creative thinking is or is not occurring. This led to a number of original developments at the methodological level: a new way of conceptualising and coding for creativity in online dialogues, a new way of ensuring the reliability of the coding of online dialogues by automating that coding using machine learning pattern-matching techniques and finally, a methodology for exploring meaning making in online dialogues combining discourse analysis of the electronic traces of dialogue with 'key event recall' interviews taking participants back to events in the dialogue to explore what they meant and how they felt from the inside. It also led to some significant findings, firstly of course the fact that the automatic coding of creativity in online dialogues is possible at all is a significant finding given the uncertainty about the nature of creativity in the literature, secondly some trigger events for the emergence of creative new perspectives, particularly disagreement, were found and finally evidence was gathered to support the claim that dialogues using graphical environments like Digalo, which allow for multiple views on a problem, are particularly good at supporting the teaching and learning of creativity. The method of using pattern-matching techniques for automated coded has been reported in published conference proceedings (McLaren et al., 2007) and the more detailed data matching incidents of creativity and criticality has been reported in a short paper in conference proceedings (Wegerif et al., 2009) but this is the first journal paper integrating a number of strands of the Argonaut project to explore at more length the significance of these findings for our understanding of creativity in online dialogues and how to support teaching for creativity online.

## 2. Understanding creative and critical thinking in online dialogues

Creativity is now generally agreed by educational policy makers to be an important skill for the knowledge age and governments across the world are seeking to promote the teaching of creativity (e.g., Bruns, 2007; Leitch, 2006). However creativity remains a disputed concept with a lack of consensus as to what creativity really is, where it comes from and how best to encourage it (Craft, 2005). One tension can be seen in a definition of creativity produced by a UK government commission on how to promote creativity in education. The group defined creativity as: 'Imaginative activity fashioned so as to produce outcomes that are original and of value' (NACCCE, 1999: 29) neatly combining in one sentence the two sides of creativity which often seem incompatible, 'imaginative activity' or just 'playing around' on the one side and products with social 'value' on the other. Governments who seek to promote creativity are almost certainly more interested in the production of socially valued products than they are in promoting more 'imaginative play' for its own sake in classrooms. Another tension is between the individualistic approach in much creativity research where the focus has been on what factors made this or that individual into a creative genius different from others and more recent approaches that have seen creativity as part of group processes. Sawyer, a leading creativity researcher, rejects what he calls the romantic myth of individual inspiration in favour of a view of creative breakthroughs as a product of what he describes as lots of little sparks of insight that occur as people respond to each other in dialogues (Sawyer, 2006). Similarly, empirical research on lab conversations by Dunbar suggests that the use of imaginative analogies in lab conversations underlies creativity in science up to and including award winning major breakthroughs, one of which he was fortunate enough to record (Dunbar, 1995).

The literature on the analysis of online dialogues is dominated by the application of argumentation theory in which creativity is marginal and more formalisable accounts of reason are foregrounded. In a recent review of the literature, Andriessen (2008) presents developments in argumentation theory as moving from abstract and formal studies towards taking the empirical reality of human dialogues into account. However it is clear most schemes applied to analyze online argumentation (e.g., those developed originally by Toulmin, 1958; Van Eemeren & Grootendorst, 2004; and Walton, 2000; see Andriessen, 2008 for details) focus on explicit reasoning in the form of claims, challenges to claims and reasons in support of claims. This approach is good at picking up critical reasoning but ignores more creative forms of shared thinking. Knowledge construction is sometimes claimed to follow from reasoning in an essentially dialectical manner where a claim followed by a question challenging the assumptions behind the claim leads to the establishment of new shared ground. However, any form of explicit reasoning which unpacks the necessary implications of grounds is not creative because it could, in principal, be reduced to formal procedures or algorithms (for arguments against claims to the creativity of algorithmic thinking see Wegerif, 2007, p. 78).

A possible implication of this focus on explicit reasoning in knowledge construction is that there is one correct way forward: a correct answer already implicit in the premises. This was certainly the implication of the dialectical reasoning of Hegel that Bakhtin opposed when he developed his 'dialogic' alternative. This dialogic approach begins with embodied, situated 'living' dialogue where no-one can predict which direction the dialogue will take (Bakhtin, 1986). Bakhtin claimed that real open-ended dialogues are essentially creative and multiple. Against the idea in argumentation that it is necessary to achieve common ground and a shared framework he points out that the meaning that flows in a dialogue actually depends on a tension between different perspectives. For Bakhtin the aim of dialogue is not common ground or consensus but creative inter-illumination between different voices and perspectives. In dialogues voices interact in unpredictable ways to produce new perspectives that enable participants to see the topic of the dialogue in a new way. This dialogic understanding of shared thinking, as a dance of voices and perspectives, puts the emphasis on creativity. Here we are defining creativity as the unpredictable emergence of new perspectives in a dialogue where a new perspective is a new way of seeing the problem. This contrasts to critical thinking in which the focus is on criteria for testing filtering ideas. Critical thinking, with its focus on questioning premises and unpacking assumptions, can be understood as 'deepening' the space of dialogue whereas creative thinking, by generating new ways of seeing a problem, can be described as widening this space (a version of a distinction found in Baker, Quignard, Lund, & Séjourné, 2003). Of course both creative and critical thinking are often usefully combined but since previous coding schemes and analyses of online learning have focussed successfully on critical thinking the focus on this study is on whether and how we can assess the creative moment in shared thinking.

The essence of creativity in dialogues is the emergence of new perspectives out of the tension of holding multiple perspectives together. This leads to the hypothesis that graphical interfaces may have a greater 'affordance' or natural support for creative emergence within dialogues than more linear threaded discussions (Wegerif, 2007, p. 259). In this paper we explore the affordance of graphical interfaces for creative dialogues through the application of a coding for 'new perspectives' supported by artificial intelligence graph matching techniques

and critical event interviews with participants. The idea that creativity can be seen in new perspectives that widen the dialogue by bringing in a new voice or a new way of seeing is a specifically dialogic way of understanding creativity. This is not an account of creativity as fashioning a socially valued product although to code a new idea as a new perspective it has to be valued as offering potential insight. In other words a new perspective that widens a dialogue is not simply the result of unpacking a list of features of a problem, it is more like a new point of view from which a problem can be seen in a new way. Examples will be given below that will make this notion of a new perspective clearer.

### 3. The ARGUNAUT coding scheme

On the ARGUNAUT project we developed a coding scheme both for research purposes and to provide a basis (and data) for the AI techniques discussed later all with a view to supporting the moderators of online teaching and learning dialogues. The scheme included the more traditional focus on explicit reasoning but also looked for the taking of perspectives and the listening to different perspectives in a way that allows for the emergence of creative new perspectives (insights) that expand the dialogue without necessarily being a resolution to any problem. In other words it sought to combine critical thinking with creative thinking. Earlier studies of classroom talk by Wegerif (2005) and by Nystrand (1997) suggest that the development of dialogic reasoning, is often signalled through the expression of openness to other points of view, through changes of mind and through inclusion of multiple voices in one ‘utterance’. This led us to expand the dimensions of coding from the traditional single dimension of critical thinking with its focus on claims, counterclaims and reasons (D1) to include the dimension of creative reasoning understood as a sort of dance of perspectives (D2) in which each new perspective or point of view on a problem is labelled and also the dimension of dialogic engagement which includes not only ‘addressivity’ (language explicitly addressing the other such as pronouns) and expressions of empathy but also expressions of doubt, changes of mind, ‘ventriloquation’ (a term from Bakhtin for the presence of another voice within an utterance) and elicitation of the views of others (D3). At the same time we are crucially interested in how moderation influences and can improve the quality of dialogues, not only the moderation of those assigned the role of moderator but also of students moderating each other through encouragement and the scaffolding support of recapitulations, reformulations and evaluations (D4).

The key code for dialogic creativity was that of ‘new perspective’. This takes the term ‘perspective’ as a metaphor for a new way of seeing the problem. In physical space a perspective is one way of looking at an objective scene but in a dialogue a perspective is more than this as it helps to create the shared landscape within which participants locate themselves. A ‘new perspective’ then is more than just a listing of alternatives but implies an embodied perspective from which to see the world in a unique and different way. Our aim was to capture Bakhtin’s notion of the illumination and insight that comes from seeing an issue through other eyes. While the application of this coding was intuitive and difficult to make fully explicit in a way that could be shared between the international partners on the project the fact that the clusters identified as containing the emergence of a new perspective could be recognized by machine learning, as we describe below, offers a convincing measure of reliability for this coding.

To help us code the rather complex online discussions produced in the course of the ARGUNAUT project (called “e-discussions” or “e-discussion maps” henceforth) we developed sequence diagrams (see Fig. 1 for an example) that are visual representations of e-discussions that serve the purpose of having an instant abstracted overview of the following aspects of a discussion:

- (1) The number and length of sequences of messages. A new sequence starts at the top representing the first contribution of this particular discussion thread followed by related (linked) contributions shown directly below this contribution in a vertical layout. When a new sequence is developed it will be placed next to existing sequences.
- (2) The branching of sequences at different points during the discussion. This happens when a message in a sequence has more than one linked message.
- (3) Identifies messages that are not part of any sequence. They will appear isolated at the ‘top line’ of the diagram.

Once all the contributions are coded individually, these sequence diagrams can be used to visualise the multiple dimensions of our analytical framework (*group dynamics, critical reasoning, dialogic reasoning, dialogic engagement, and moderation*).

Our next step in the analysis was to detect key dialogic moments in the discussion by mapping out ‘widening’ moves (new perspectives) in the tree diagrams. In the tree diagram below (Fig. 1) the coloured dots represent opening questions (coloured blue) and<sup>1</sup> disagreements (coloured red) suggesting either new perspectives or opinions being presented or sought for by the students. Our results indicate that the presentation of these widening moments coincide with branching activities in the map. Almost every time when the tree structure branches to the left, rather than directly down, it appears that participants are *widening* the discussion rather than just deepening a given perspective. Our use of sequence diagrams gives visual reference to widening in debates and our qualitative analysis showed that this corresponds to the dimension of creativity where new insights emerge whereas deepening corresponds largely to critical reasoning and dialectic reasoning which unpack assumptions.

This coding scheme and approach to analysis has been tested and further developed through the analysis of over sixty free-form e-discussions created by approximately 100 undergraduates and 12 post-graduate students in the UK. The outcomes of this analysis serve two aims. One aim is to advance understanding of creative thinking and how technology can support it. A linked aim is to code and annotate ARGUNAUT discussions in order to develop awareness indicators used in the Moderators Interface by the moderator. The aim of coding is to detect patterns and actions. Applying a comprehensive coding scheme aimed at identifying structural argumentative and dialogical events in the synchronous discussions mostly does this. These expertly coded events were then used to develop classifiers using artificial intelligence techniques (McLaren et al., 2007; Mikšátko & McLaren, 2008; Scheuer & McLaren, 2008) that are able to detect and classify these events automatically and inform the moderator. This is done by a component of the ARGUNAUT system called the Deep Loop. As we will see in the next section of this paper, the preconditions of ‘widening’ creative thinking can be recognized by artificial intelligence techniques in a way that can then be fed back to the Moderators Interface.

<sup>1</sup> For interpretation of color in Fig. 1, the reader is referred to the web version of this article.





- We evaluated recall, precision, and recall + precision on each run of DOCE. These are metrics typically used in information retrieval and were calculated as follows:
  - *Recall* represents the number of relevant matches in the Top  $N$  divided by the count of annotations in the searched map (value between 0 and 1.0).
  - *Precision* is the number of relevant matches in the Top  $N$  divided by  $N$  (value between 0 and 1.0).

Unfortunately, since there is no “gold standard” for performing the type of retrieval task done by DOCE, there was no other computational model to compare to DOCE in our experiment. However, in an earlier experiment, reported in (Mikšátko & McLaren, 2008), we compared DOCE to a simple program that returned random clusters and found that DOCE performed significantly better. While the random algorithm is, admittedly, a “low bar” to exceed, doing *significantly* better than random demonstrated that DOCE is clearly finding (at least some) clusters of interest. We considered recall to be the most important metric in our experiment, as it was most important to us to find *all* of the interesting clusters in a given discussion. The number of relevant matches (i.e., precision) has somewhat lower importance since we as researchers, and humans in general, are typically clever enough to filter out irrelevant matches.

## 7. Results on the effectiveness of the computational model

The results of our experiment are summarized in Figs. 3 and 4. Note, first of all, that the *best* results for deepening and widening are quite reasonable (the middle bar for recall, precision, and recall + precision in each of the figures), especially for recall, the metric we consider most important. By “best” result, we mean the human-annotated cluster that led to the best recall and precision values when used as a model graph to DOCE. For instance, notice that the best deepening model graph (the middle bar in each of the first two sets of three metrics in Fig. 3) led to a recall of 0.80 and precision of 0.52. The *average* results, calculated across *all* of the annotated clusters (the leftmost bar for recall, precision, and recall + precision in each of the figures), are not good (e.g., the 0.42 recall and 0.27 precision in Fig. 3 are very poor). However, focusing on the best results is more important because, by the nature of the DOCE algorithm, only the *best* examples of deepening and widening will subsequently be used as model graphs to DOCE. That is, once one finds the best model for a particular cluster type – or the best set of models – that model (or models) will then be used as a “search probe” for all subsequent searches.

We also tested whether *combining* the results of multiple runs of DOCE might further improve the results. That is, we wanted to answer the question: can multiple, high-quality clusters lead to even better results than single “best” clusters in retrieving relevant clusters?. We implemented this combination by ranking the results according to the average relevance scores of the three single-best models. The third bar in each set of three bars in Figs. 3 and 4 depicts these results. Notice that for the deepening cluster results shown in Fig. 3 the combination approach did marginally worse (i.e., recall + precision = 1.30 for the combination approach vs. 1.33 for the single best model), but for the widening clusters shown in Fig. 4, the combination approach did a bit better (i.e., recall + precision = 1.49 for the combination approach vs. 1.42 for the single best model).

It is also important to note that DOCE did better in identifying ‘new perspective’ clusters, one of the hallmarks of creative reasoning discussed earlier in this paper, than in identifying critical thinking clusters. In particular, note that the best new perspective recall (0.93), precision (0.59), and recall + precision (1.49) in Fig. 4 improves upon the best critical thinking recall (0.83), precision (0.52), and recall + precision (1.33) from Fig. 3.

Interestingly, the results we achieved with this data improved upon most of the results reported on a different data set, with different annotated cluster types (Mikšátko & McLaren, 2008). More specifically, the DOCE algorithm generally performed better in finding snippets of “creative reasoning” than it did in finding more standard argumentation structures, such as “chain of opposition” (i.e., a chain of contributions by students in which they go back in forth in argue for and against a given issue) and “argument + evaluation” (i.e., a student makes an argument which is then evaluated by another student).

## 8. Key event recall interviews

The fact that an intuitive coding of new perspectives could be recognized and reproduced by the DOCE algorithm as described above confirms the reliability of the coding but not its validity to the experience of the participants in the study. We had coded certain ideas as ‘new perspectives’ but were they surprising to the participants?. Did they really represent ‘insights’? To explore this further we interviewed five undergraduate students about maps which they had participated in focussing on specific messages by them which we had labelled as ‘new perspectives’ and asking about their feelings at the time and how these messages had come to be sent.

To illustrate this procedure we will take one example. The dialogue map created by five students responded to the task: ‘There is a competition about who is going to organize a party for the end of the course for this year 2008. The budget for this part is £2500. The winning

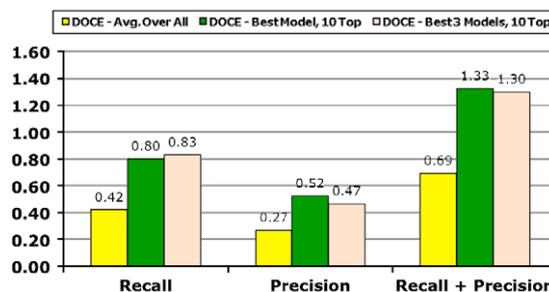


Fig. 3. Results of applying DOCE to the critical thinking clusters.

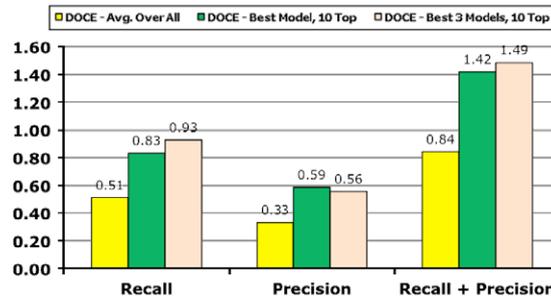


Fig. 4. Results of applying DOCE to the 'new perspective' clusters.

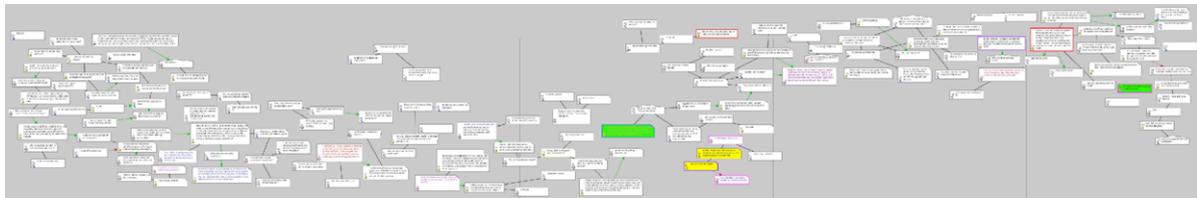


Fig. 5. Map of SWOT analysis.

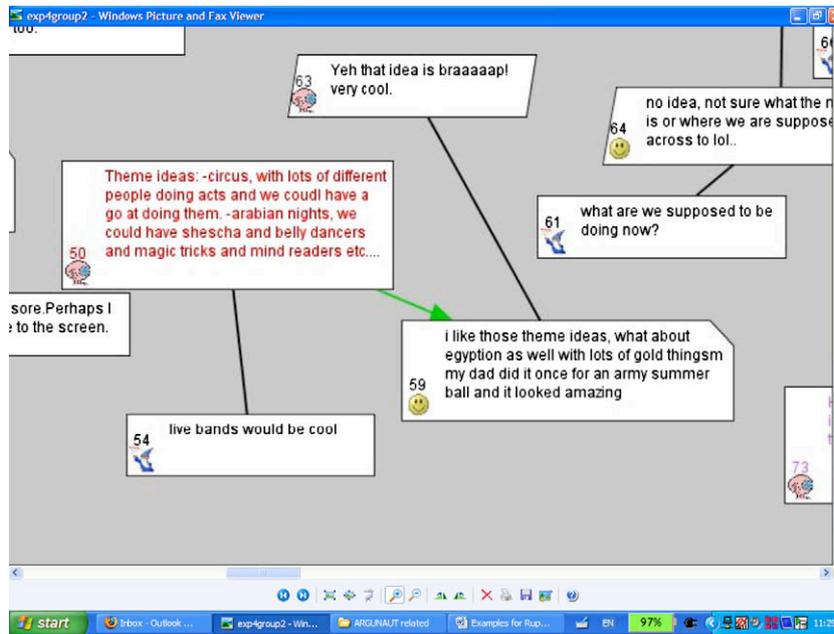


Fig. 6. A cluster around the emergence of a 'new perspective'.

team will get an award of £500. You are working with a team to plan this party. Discuss with your team the strengths, weaknesses, opportunities and threats related to your plan'. This activity led to an extended map the overall shape of which is illustrated in Fig. 5.

Within this map we had coded a number of clusters for the emergence of a new perspective. One such cluster is illustrated visually in Fig. 6 and in table form (a stage closer to the form in which it was input into the DOCE algorithm) in Fig. 7. Here the 'new perspective' we focus upon is an idea for an Egyptian theme for the party that is being planned which was picked up by others in the dialogue and become an organiser for the discussion.

Amy, the student who came up with this idea (not her real name) was then interviewed about her experience as a participant in the dialogue that constructed this map and the interview was recorded. In the interview the 'replay' function of the Argonaut system was used to walk her through the creation of the map and she was asked to comment on her feelings and motivations as the map progressed. Amy described how the spatial arrangement made it easier to follow the whole discussion than her previous experience with linear text-based conferencing environments. She explained how she was motivated to engage by her relationships with the other participants. She read messages from her friends first and wanted to respond to them most but was concerned about responding to those she did not know in case she was misunderstood. She was surprised by the ideas that 'popped into her head' prompted and triggered by the ideas put down by others. She was aware of other strands and ideas in the discussion. The spatial nature of the relationship between messages, with

shape	text	Critical reasoning	Dialogic reasoning	Dialogic engagement	Moderation
50	Theme ideas — circus, with lots of different people doing acts and we could have a go at doing them. —arabian nights, we could have shescha and belly dancers and magic tricks and mind readers etc...	[claim(qualifier)]	[opening statement+ new perspective]	0	0
54	Live bands would be cool	[claim(support)]	[build on]	0	0
59	I like those theme ideas, what about Egyptian with lots of gold things? My dad did it once for an army summer ball and it looked amazing	[claim(support)+ question+ reason (unpacking)]	[new perspective]	[empathy]	0
63	Yeh that idea is braaaaap! Very cool.	[claim(support)]	0	[addressivity+ affection]	0

Fig. 7. Coding of the cluster.

multiple themes and idea co-present in the space of the map, helped to trigger new ideas. In the case of the 'new perspective' in Fig. 6 a previous posting triggered her childhood memory of 'an amazing party' with an Egyptian theme.

All the five students interviewed confirmed Amy's account of how some of the ideas that came to them triggered by previous postings in the discussion surprised them. All also agreed that one powerful motive for them in coming up with new perspective was disagreement with ideas posted previously by others, thus offering an account of the relationship observed in the coding between oppositions and the subsequent emergence of new ideas.

## 9. Discussion

There is currently a great deal of interest around the world in teaching for creativity which is widely seen as one of the core '21st century skills' required for flourishing in the emerging knowledge age (Wegerif & De Laat, *in press*). However, debates continue as to what creativity is, and even if it exists at all in a way that can be reliably recognized and so made use of in practical teaching and learning (Craft, 2005). In this study we explored the emergence of creativity in online graphically mediated dialogues. Our account of creativity is specific to Bakhtinian dialogic theory focussing not, as some accounts of creativity do, on the social recognition of the value of a product, but on the expansion of understanding that comes from seeing an issue from a new perspective. Our findings are suggestive that: (1) the emergence of new perspectives in a graphical dialogue map can be coded for using an intuitive interpretation of the construction of meaning in a dialogue in a way which can be recognized reliably by a machine algorithm; (2) that the trigger events leading to the emergence of new perspectives are most commonly disagreements and (3) that the spatial representation of messages in a graphically mediated synchronous dialogue offers a pedagogical affordance for creativity.

These results are potentially important. It is significant that the DOCE algorithm is capable of finding examples of creative reasoning, given prior, annotated examples of such reasoning in earlier discussions. Therefore, the DOCE algorithm is a tool that either a researcher or a teacher can use to pinpoint and evaluate creative reasoning in the context of real e-discussions. This technique has the potential to inform moderators when creative thinking and critical reasoning is occurring in maps as well as when it is not occurring and it might be a good time to intervene with a stimulus or a challenge.

The findings of this research broadly supports the value of a dialogic theoretical framework that sees thinking not as an imperfect expression of any underlying logic or formal system but as a flow of meaning between embodied voices or perspectives. From this perspective it is crucial that research on online dialogue does not only analyze the externally visible traces of a dialogue in the form of the electronic data but also explores the internal perspective of the participants. We have illustrated how a methodology combining qualitative interpretation of the flow of meaning in discourse with rigorous machine-learning techniques and key event recall interviews can be effective in illuminating some of the mechanisms of online dialogues.

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