

15-453

FORMAL LANGUAGES, AUTOMATA AND COMPUTABILITY

**FIRST PROJECT REPORT IS
DUE SEPTEMBER 20**

DATE	LECTURE	READING	ASSIGNMENT
Tue Aug 30	Overview (SET)	Chapters 0, 1.1	
Thu Sep 1	Non-Determinism and the Pumping Lemma	Chapter 1.2	Homework 1
Tue Sep 6	Regular Expressions	Chapter 1.3	
Thu Sep 8	Minimizing DFAs	Finish Chapter 1	Homework 2
Tue Sep 13	Context-Free Grammars and Push-Down Automata	Chapters 2.1 and 2.2	
Thu Sep 15	The Pumping Lemma for Context-Free Grammars	Chapter 2.3	Homework 3
Tue Sep 20	Chomsky Normal Form		Project Report 1 due
Thu Sep 22	Review		
Tue Sep 27	Midterm Exam 1		

NON-DETERMINISM AND THE PUMPING LEMMA

THURSDAY SEP 1

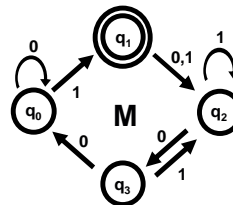
$M = (Q, \Sigma, \delta, q_0, F)$ where $Q = \{q_0, q_1, q_2, q_3\}$

$\Sigma = \{0,1\}$

$\delta : Q \times \Sigma \rightarrow Q$ transition function*

$q_0 \in Q$ is start state

$F = \{q_1, q_2\} \subseteq Q$ accept states



*

δ	0	1
q_0	q_0	q_1
q_1	q_2	q_2
q_2	q_3	q_2
q_3	q_0	q_2

UNION THEOREM

The union of two regular languages is also a regular language

THE REGULAR OPERATIONS

Union: $A \cup B = \{ w \mid w \in A \text{ or } w \in B \}$

Intersection: $A \cap B = \{ w \mid w \in A \text{ and } w \in B \}$

Reverse: $A^R = \{ w_1 \dots w_k \mid w_k \dots w_1 \in A \}$

Negation: $\neg A = \{ w \mid w \notin A \}$

Concatenation: $A \cdot B = \{ vw \mid v \in A \text{ and } w \in B \}$

Star: $A^* = \{ w_1 \dots w_k \mid k \geq 0 \text{ and each } w_i \in A \}$

REVERSE CLOSURE

Regular languages are closed under reverse

Assume L is a regular language and M recognizes L

We build M^R that accepts L^R

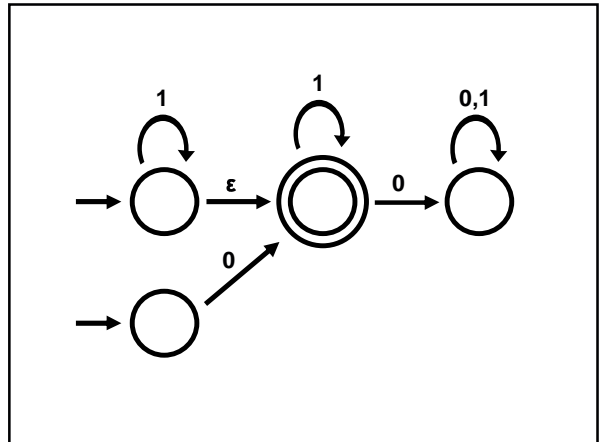
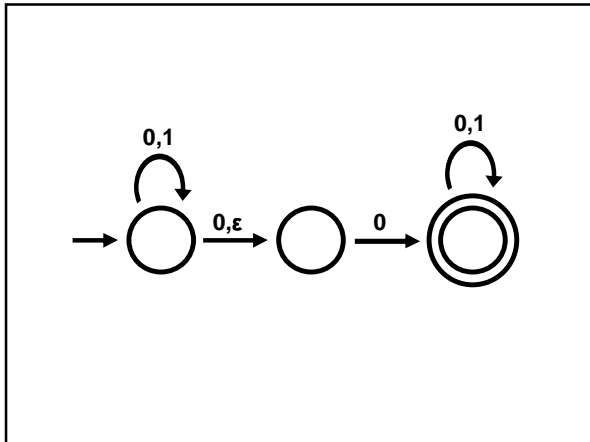
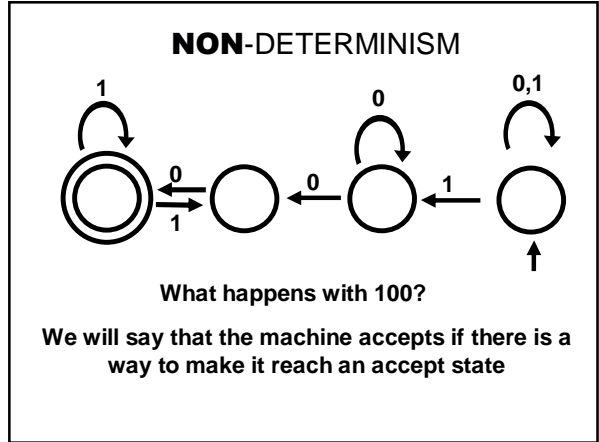
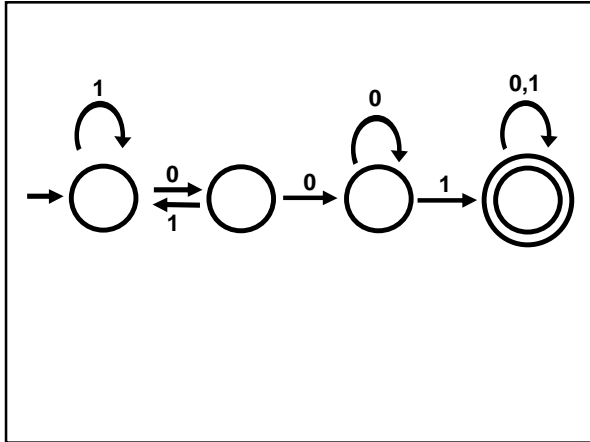
If M accepts w then w describes a directed path in M from start to an accept state

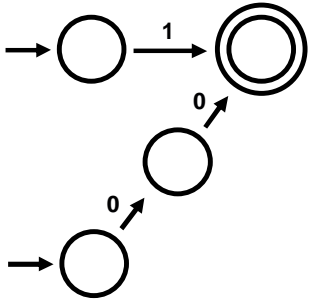
Define M^R as M with the arrows reversed

M^R IS NOT ALWAYS A DFA!

It may have many start states

Some states may have too many outgoing edges, or none





A non-deterministic finite automaton is a 5-tuple $N = (Q, \Sigma, \delta, Q_0, F)$

Q is the set of states

Σ is the alphabet

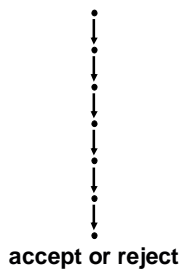
$\delta : Q \times \Sigma_{\epsilon} \rightarrow 2^Q$ is the transition function

$Q_0 \subseteq Q$ is the set of start states

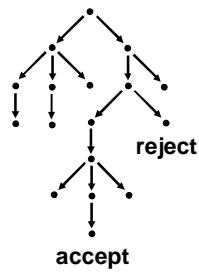
$F \subseteq Q$ is the set of accept states

2^Q is the set of subsets of Q and $\Sigma_{\epsilon} = \Sigma \cup \{\epsilon\}$

Deterministic Computation



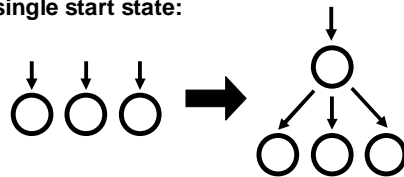
Non-Deterministic Computation



MULTIPLE START STATES

We allow multiple start states for NFAs, and Sipser allows only one

But we can easily convert a machine with many start states into one with a single start state:



FROM NFA TO DFA

Input: $N = (Q, \Sigma, \delta, Q_0, F)$

Output: $M = (Q', \Sigma, \delta', q_0', F')$

$$Q' = 2^Q$$

$$\delta' : Q' \times \Sigma \rightarrow Q'$$

$$\delta'(R, \sigma) = \bigcup_{r \in R} \varepsilon(\delta(r, \sigma))$$

$$q_0' = \varepsilon(Q_0)$$

$$F' = \{ R \in Q' \mid f \in R \text{ for some } f \in F \}$$

REGULAR LANGUAGES CLOSED UNDER CONCATENATION

REGULAR LANGUAGES ARE CLOSED UNDER REGULAR OPERATIONS

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Intersection: $A \cap B = \{ w \mid w \in A \text{ and } w \in B \}$

Reverse: $A^R = \{ w_1 \dots w_k \mid w_k \dots w_1 \in A \}$

Negation: $\neg A = \{ w \mid w \notin A \}$

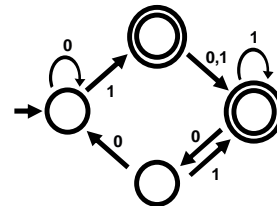
Concatenation: $A \cdot B = \{ vw \mid v \in A \text{ and } w \in B \}$

Star: $A^* = \{ w_1 \dots w_k \mid k \geq 0 \text{ and each } w_i \in A \}$

REGULAR LANGUAGES CLOSED UNDER STAR

Let L be a regular language and M be a DFA for L

We construct an NFA N that recognizes L^*



Formally:

Input: $M = (Q, \Sigma, \delta, q_1, F)$

Output: $N = (Q', \Sigma, \delta', \{q_0\}, F')$

$$Q' = Q \cup \{q_0\}$$

$$F' = F \cup \{q_0\}$$

$$\delta'(q,a) = \begin{cases} \{\delta(q,a)\} & \text{if } q \in Q \text{ and } a \neq \epsilon \\ \{q_1\} & \text{if } q \in F \text{ and } a = \epsilon \\ \{q_1\} & \text{if } q = q_0 \text{ and } a = \epsilon \\ \emptyset & \text{if } q = q_0 \text{ and } a \neq \epsilon \\ \emptyset & \text{else} \end{cases}$$

$$L(N) = L^*$$

Assume $w = w_1 \dots w_k$ is in L^* , where $w_1, \dots, w_k \in L$

We show N accepts w by induction on k

Base Cases:

✓ $k = 0$

✓ $k = 1$

Inductive Step:

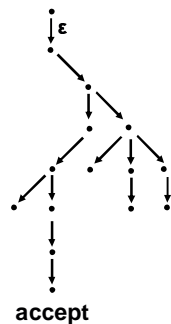
Assume N accepts all strings $v = v_1 \dots v_k \in L^*$, and let $u = u_1 \dots u_k u_{k+1} \in L^*$

Since N accepts $u_1 \dots u_k$ and M accepts u_{k+1} , N must accept u

Assume w is accepted by N , we show $w \in L^*$

If $w = \epsilon$, then $w \in L^*$

If $w \neq \epsilon$



REGULAR LANGUAGES ARE CLOSED UNDER REGULAR OPERATIONS

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SOME LANGUAGES **ARE NOT** REGULAR
B = {0ⁿ1ⁿ | n ≥ 0} is **NOT** regular!

WHICH OF THESE ARE REGULAR

C = { w | w has equal number of 1s and 0s}

D = { w | w has equal number of occurrences of 01 and 10}

THE PUMPING LEMMA

Let L be a regular language with |L| = ∞

Then there exists a positive integer P such that

if w ∈ L and |w| ≥ P then w = xyz, where:

1. |y| > 0
2. |xy| ≤ P
3. xyⁱz ∈ L for any i ≥ 0

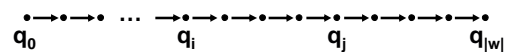
Let M be a DFA that recognizes L

Let P be the number of states in M

Assume w ∈ L is such that |w| ≥ P

We show w = xyz

1. |y| > 0
2. |xy| ≤ P
3. xyⁱz ∈ L for any i ≥ 0



There must be j > i such that q_i = q_j

USING THE **PUMPING LEMMA**

Use the pumping lemma to prove that
 $B = \{0^n 1^n \mid n \geq 0\}$ is not regular

Hint: Assume B is regular, and try pumping $s = 0^p 1^p$

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Read Chapter 1.2 of the book for next time