

## Lecture 18

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## 1 Overview

We review fairness properties such as **Proportionality** and **Envy-Freeness (EF)** in the cake cutting problem. Given the allocation of player  $i$ ,  $A_i$ , proportionality is defined as  $\forall i \in N, V_i(A_i) \geq \frac{1}{n}$ . Envy-freeness is defined as  $\forall i, j \in N, V_i(A_i) \geq V_i(A_j)$ .

## 2 Complexity of Cake Cutting Algorithm

**Theorem 1** *The complexity of any proportional protocol for cake cutting is  $\Omega(n \log n)$ .*

We consider the thin-rich game, which has same setting as the cake cutting game. Below we want to prove that the complexity of the thin-rich game is  $\Omega(\log n)$ , which gives the complexity of cake cutting is  $\Omega(n \log n)$ .

**Thin-Rich Game:** A piece of cake  $x$  is thin if  $|x| \leq \frac{2}{n}$ , and rich for  $i$  if  $V_i(x) \geq \frac{1}{n}$ . The goal of the game is to identify a thin-rich piece.

**Lemma 2** *If complexity of thin-rich game against some  $i$  is  $T(n)$ , the complexity of finding proportional piece is  $\Omega(n \cdot T(n))$ .*

**Proof of Lemma 2:** In our model for the cake problem, we can assume that each of players is in a separate black box. If the cake cutting protocol uses fewer than  $\frac{1}{2}T(n)$  queries, then there's a cake value distribution such that the pieces of cake allocated to more than half of the players are not both thin and rich. Suppose that  $> \frac{n}{2}$  of pieces allocated are not thin-rich. If one piece is not rich, then the protocol is not proportional ( $V_i(A_i) < \frac{1}{n}$  for player  $i$ ). Hence, there cannot be  $> \frac{n}{2}$  pieces that are not thin, because pieces are disjoint and width of cake  $[0, 1]$  is 1. ■

In the following, we define value trees and explain how a cake value distribution is derived from a value tree.

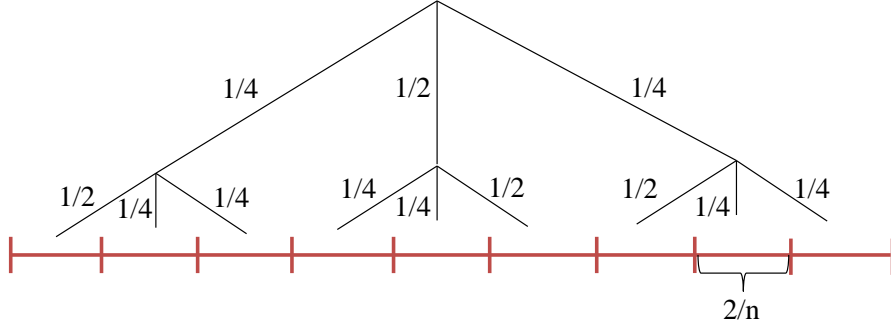


Figure 1: The illustration of a value tree.

**Value Trees:** Divide the cake into  $\frac{n}{2}$  disjoint intervals of length  $\frac{2}{n}$ . Assume value is uniform inside each interval. Construct a 3-ary tree with intervals as leaves. For each interval node  $u$ , weight one edge to child by  $\frac{1}{2}$  (heavy edge), two edges by  $\frac{1}{4}$  (light edges). The tree is illustrated as Figure 1. Value of node  $u$ ,  $V(u)$ , is the product of weights on path from root to  $u$ . Let height of tree be  $L = \log_3 \frac{n}{2} = \Theta(\log n)$  and  $q(u)$  be the number of heavy edges on path from root to  $u$ . Hence, we can compute  $V(u)$  as follows.

$$\begin{aligned}
V(u) &= \left(\frac{1}{2}\right)^{q(u)} \left(\frac{1}{4}\right)^{L-q(u)} \geq \frac{1}{n} (\because \text{rich}) & (1) \\
&\Rightarrow \left(\frac{1}{4}\right)^{\frac{q(u)}{2}} \left(\frac{1}{4}\right)^{L-q(u)} \geq \frac{1}{n} \\
&\Rightarrow \left(\frac{1}{4}\right)^{L-\frac{q(u)}{2}} \geq \frac{1}{n} \\
&\Rightarrow 4^{L-\frac{q(u)}{2}} \leq n \\
&\Rightarrow 2\left(L - \frac{q(u)}{2}\right) \leq \log n \\
&\Rightarrow q(u) \geq 2L - \log n = \Omega(\log n)
\end{aligned}$$

**Definition 3** Algorithm is normal if it returns a leaf of value tree.

**Lemma 4** If  $\exists T(n)$ -complexity algorithm for thin-rich, then  $\exists O(T(n))$ -complexity normal algorithm for thin-rich when values are derived from a value tree.

**Proof of Lemma 4:** Original protocol returned a thin-rich piece. Density of piece  $\geq \frac{1}{2}$ , i.e.  $\frac{V(x)}{|x|} \geq \frac{1}{2}$  because  $V(x) \geq \frac{1}{n}$ ,  $|x| \leq \frac{2}{n}$  (by definition).  $\exists$  an interval  $I \in x$  with density  $\geq \frac{1}{2}$  (also  $|I| \leq \frac{2}{n}$ )  $I$  intersects at most 2 leaves  $\Rightarrow$  one leaf has density  $\geq \frac{1}{2} \Rightarrow$  density of leaf  $\geq \frac{1}{2}$ . ■

**Lemma 5** Let  $u_1, \dots, u_k$  is path from root to  $u_k$ .  $u_k$  is revealed if for each  $u_i$ , the weights of edges its children are known.

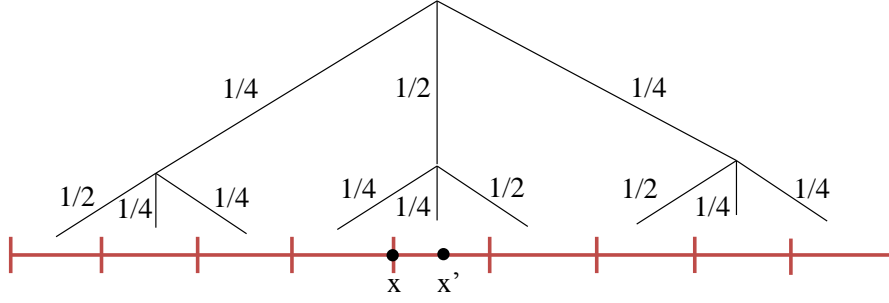


Figure 2:  $x$  is the left-most point and  $x'$  is a point in revealed  $u$ .

1. If  $u$  is revealed, then  $V(u)$  is known.
2. If  $u$  is revealed,  $x$  is the left-most in  $u$ , the  $V([0, x])$  is known.
3. If  $u$  is a revealed leaf,  $x'$  is a point in  $u$ , then  $V([0, x'])$  is known, because  $V([x, x']) = \frac{x' - x}{2/n} \cdot V(u)$  shown in Figure 2.  $\Rightarrow u, v$  are revealed leaves,  $x \in u, y \in v$ , then  $V([x, y])$  is known.
4. If  $u$  is revealed,  $x \in u$ ,  $\alpha$  is a given value. We can find the least common ancestor of  $u$  and  $v$ , where  $y \in v$  s.t.  $V([x, y]) = \alpha$ .

**Proof:** The goal of adversary is that after  $k$  queries it won't reveal any path from root to leaf known to have  $\geq 2k$  heavy edges.

- Given a  $Eval(x, y)$  query, reveal the leaves containing  $x, y$  (sufficient by part 3 of Lemma 5). If  $u_k$  contains  $x$ , let  $u_i, \dots, u_k$  be the unrevealed path to  $u_k$ , weight  $(u_i, u_{i+1})$  by  $\frac{1}{4}$ , arbitrarily label other edges.
- Given a  $Cut(x, \alpha)$  query, reveal  $x$  like before start from least common ancestor. Recursively, for each  $u$ , if the additional value that query seeks  $\geq \frac{1}{2}V(u)$ , label edges  $(\frac{1}{4}, \frac{1}{4}, \frac{1}{2})$  otherwise label by  $(\frac{1}{2}, \frac{1}{4}, \frac{1}{4})$ .

■

### 3 Approximate Envy-Freeness

**Definition 6** Given  $m$  goods,  $V_i(S)$  denotes the value of agent  $i \in N$  for the bundle  $S$ .

**Definition 7** Given an allocation  $A$ , denote  $e_{ij}(A) = \max\{0, V_i(A_j) - V_i(A_i)\}$  and  $e(A) = \max\{e_{ij}(A) : i, j \in N\}$ .

**Theorem 8** *An allocation with  $e(A) \leq \alpha$  can be found in polynomial time, where  $\alpha = \max\{V_i(S \cup \{x\}) - V_i(S) : i, S, x\}$ , which is maximum marginal utility.*

**Proof:** We can build an envy graph, where there's an edge  $(i, j)$  if  $i$  envies  $j$ .

**Lemma 9** *Given partial allocation  $A$  with envy graph  $G$ , we can find allocation  $B$  with acyclic envy graph  $H$  such that  $e(B) \leq e(A)$ .*

**Proof of Lemma 9:** We can iteratively remove cycles by shifting allocations along the cycle from  $A$ . We can obtain  $A'$  from  $A$ , where  $e(A') \leq e(A)$ . Given  $C$  is the set of nodes within cycle and  $C'$  is the set of nodes that are not in  $C$ . The number of edges in envy graph of  $A'$  decreased because

- Same edges between  $C'$
- Edges from  $C'$  to  $C$  shifted
- Edges from  $C$  to  $C'$  can only decrease
- Edges inside  $C$  decrease

Hence we can successfully remove the cycles and obtain allocation  $B$  with acyclic envy graph. ■

We want to maintain envy  $\leq \alpha$  and acyclic graph. First, we arbitrarily allocate good  $g_1, g_2, \dots, g_{k-1}$  in acyclic  $A$ . Then we derive  $B$  by allocating  $g_k$  to source  $i$  such that  $e_{ji}(B) \leq e_{ji}(A) + \alpha = \alpha$ . We use the above lemma to remove the cycles from  $B$ . ■

To obtain an approximately envy-free allocation of the cake, each player cuts the cake into  $1/\epsilon$  subintervals worth  $\epsilon$  each. Make a mark at the beginning and end of each of these subintervals. The intervals between adjacent marks are worth at most  $\epsilon$  to *all players*. Now we can treat these intervals as indivisible goods, and use the algorithm described above with  $\alpha \leq \epsilon$  to get an  $\epsilon$ -envy-free allocation.