

# How to Walk Your Dog in the Mountains with No Magic Leash\*

Sariel Har-Peled<sup>†</sup>   Amir Nayyeri<sup>‡</sup>   Mohammad Salavatipour<sup>§</sup>  
Anastasios Sidiropoulos<sup>¶</sup>

September 14, 2012

## Abstract

We describe a  $O(\log n)$ -approximation algorithm for computing the homotopic Frechét distance between two polygonal curves that lie on the boundary of a triangulated topological disk. Prior to this work, algorithms were known only for curves on the Euclidean plane with polygonal obstacles.

A key technical ingredient in our analysis is a  $O(\log n)$ -approximation algorithm for computing the minimum height of a homotopy between two curves. No algorithms were previously known for approximating this parameter. Surprisingly, it is not even known if computing either the homotopic Frechét distance, or the minimum height of a homotopy, is in NP.

## 1 Introduction

Comparing the shapes of curves – or sequenced data in general – is a challenging task that arises in many different contexts. The *Frechét distance* and its variants (e.g. dynamic time-warping [KP99]) have been used as a similarity measure in various applications such as matching of time series in databases [KKS05], comparing melodies in music information retrieval [SGHS08], matching coastlines over time [MDBH06], as well as in map-matching of vehicle tracking data [BPSW05, WSP06], and moving objects analysis [BBG08a, BBG<sup>+</sup>08b]. See [Fre06, AB05, AG95] for algorithms for computing the Frechét distance.

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\*A preliminary version of this paper appeared in SoCG 2012 [HNSS12].

<sup>†</sup>Department of Computer Science, University of Illinois, Urbana-Champaign; [sariel@illinois.edu](mailto:sariel@illinois.edu). Work on this paper was partially supported by a NSF AF award CCF-0915984.

<sup>‡</sup>Department of Computer Science, University of Illinois, Urbana-Champaign. Part of this work was done while visiting Toyota Technological Institute at Chicago; [nayyeri2@illinois.edu](mailto:nayyeri2@illinois.edu).

<sup>§</sup>Department of Computing Science, University of Alberta; Supported by NSERC and Alberta Innovates. Part of this work was done while visiting Toyota Technological Institute at Chicago; [mreza@cs.ualberta.ca](mailto:mreza@cs.ualberta.ca).

<sup>¶</sup>Toyota Technological Institute at Chicago; [tasos@ttic.edu](mailto:tasos@ttic.edu).

Informally, for a pair of such curves  $f, g : [0, 1] \rightarrow \mathcal{D}$ , for some ambient metric space  $(\mathcal{D}, d)$ , their Frechét distance is the minimum length leash needed to traverse both curves in sync. To this end, imagine a person traversing  $f$  starting from  $f(0)$ , and a dog traversing  $g$  starting from  $g(0)$ , both traveling along these curves without ever moving backwards. Then, the Frechét distance is the infimum over all possible traversals, of the maximum distance between the person and the dog. Specifically, given a bijective continuous parameterization  $\phi : [0, 1] \rightarrow [0, 1]$ , the **width** of this reparameterization, i.e., the longest leash needed by this reparameterization, is  $\text{width}(\phi) = \sup_{x \in [0, 1]} d(f(x), g(\phi(x)))$ . As such, the Frechét distance between  $f$  and  $g$  is defined to be

$$d_{\mathcal{F}}(f, g) = \inf_{\phi: [0, 1] \rightarrow [0, 1]} \text{width}(\phi),$$

where  $\phi$  ranges over all orientation-preserving homeomorphisms.

While this distance makes sense when the underlying distance is the Euclidean metric, it becomes less useful if the distance function is more interesting. For example, imagine walking a dog in the woods. The leash might get tangled as the dog and the person walk on two different sides of a tree. Since the Frechét distance cares only about the distance between the two moving points, the leash would “magically” jump over the tree.

**Homotopic Frechét distance.** To address this shortcoming, a natural extension of the above notion called **homotopic Frechét distance** was introduced by Chambers *et al.* [CCE<sup>+</sup>10]. Informally, revisiting the above person-dog analogy, we consider the infimum over all possible traversals of the curves, but this time, we require that the person is connected to the dog via a leash, i.e. a curve that moves continuously over time. Furthermore, one keeps track of the leash during the motion, where the purpose is to minimize the maximum leash length needed.

To this end, consider a continuous mapping  $\psi : [0, 1]^2 \rightarrow \mathcal{D}$ . For parameters  $s, t \in [0, 1]$  consider the one dimensional functions  $\ell_t(y) = \psi(t, y)$  and  $\mu_s(x) = \psi(x, s)$ . The functions  $\ell(y) \equiv \ell_t(y)$  and  $\mu(x) \equiv \mu_s(x)$  are parametrized curves that are the natural restrictions of  $\psi$  to one dimension, by the  $x$  and  $y$  coordinates, respectively. We require that  $\mu(0) = f$  and  $\mu(1) = g$ . The **homotopic width** of  $\psi$  is  $\text{width}(\psi) = \max_{t \in [0, 1]} \|\ell(t)\|$ , and the **homotopic Frechét distance** between  $f$  and  $g$  is

$$d_{\mathcal{H}}(f, g) = \inf_{\psi: [0, 1]^2 \rightarrow \mathcal{D}} \text{width}(\psi),$$

where the infimum is over all such mappings, and  $\|\cdot\|$  denotes the length of a curve.

Clearly,  $d_{\mathcal{H}}(f, g) \geq d_{\mathcal{F}}(f, g)$  and, furthermore,  $d_{\mathcal{H}}(f, g)$  can be arbitrary larger than  $d_{\mathcal{F}}(f, g)$ . We remark that  $d_{\mathcal{H}}(f, g) = d_{\mathcal{F}}(f, g)$  for any pair of curves in the Euclidean plane, as we can always pick the leash to be a straight line segment between the person and the dog. In other words, the map  $\psi$  in the definition of  $d_{\mathcal{H}}$  can be obtained from the map  $\psi$  in the definition of  $d_{\mathcal{F}}$  via an appropriate affine extension. However, this is not true for general

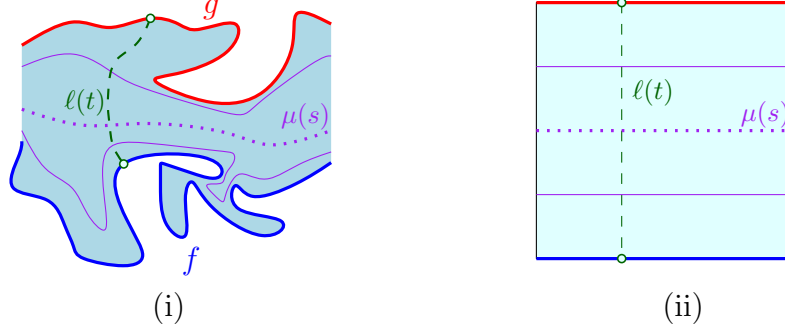


Figure 1: (i) Two curves  $f$  and  $g$ , and (ii) the parametrization of their homotopic Frechet distance.

ambient spaces, where the leash might have to pass over obstacles, hills, etc. In particular, in the general settings, usually, the leash would not be a geodesic (i.e., a shortest path) during the motion.

The homotopic Frechet distance is referred to as the *morphing width* of  $f$  and  $g$ , and it bounds how far a point on  $f$  has to travel to its corresponding point in  $g$  under the morphing of  $\psi$  [EGH<sup>+</sup>02]. The length of  $\mu(s)$  is the *height of the morph at time  $s$* , and the *height* of such a morphing is  $\text{height}(\mu) = \max_{s \in [0,1]} |\mu(s)|$ . The *homotopy height* between  $f$  and  $g$  bounded by  $\ell(0)$  and  $\ell(1)$  is

$$h(f, g, \ell(0), \ell(1)) = \inf_{\mu} \text{height}(\mu),$$

where  $\mu$  varies over all possible morphings between  $f$  and  $g$ , such that each curve in  $\mu$  has one end on  $\ell(0)$  and one end in  $\ell(1)$ . See Figure 1 for an example. Note that if we do not constraint the endpoints of the curves during the morphing to stay on  $\ell(0)$  and  $\ell(1)$ , the problem of computing the minimum height homotopy is trivial. One can contract  $f$  to a point, send it to a point in  $g$ , and then expand it to  $g$ . To keep the notation simple, we use  $h(f, g)$  when  $f$  and  $g$  have common endpoints.

Intuitively, the homotopy height measures how long the curve has to become as it deforms from  $f$  to  $g$ , and it was introduced by Chambers and Letscher [CL09, CL10] and Brightwell and Winkler [BW09]. Observe that if we are given the starting and ending leashes  $\ell(0)$  and  $\ell(1)$  then the homotopy height of  $f$  and  $g$ , is the homotopic Frechet distance between  $\ell(0)$  and  $\ell(1)$ .

Here, we are interested in the problems of computing the homotopic Frechet distance and the homotopic height between two simple polygonal curves that lie on the boundary of an arbitrary triangulated topological disk.

**Why are these measures interesting?** For the sake of the discussion here, assume that we know the starting and ending leash of the homotopy between  $f$  and  $g$ . The region bounded by the two curves and these leashes, form a topological disk, and the mapping realizing the homotopic Frechet distance is a mapping of the unit square to this disk  $\mathcal{D}$ . This mapping

specifies how to sweep over  $\mathcal{D}$  in a geometrically “efficient” way (especially if the leash does not sweep over the same point more than once), so that the leash (i.e., the sweeping curve) is never too long [EGH<sup>+</sup>02]. As a concrete example, consider the two curves as enclosing several mountains between them on the surface – computing the homotopic Frechét distance corresponds to deciding which mountains to sweep first and in which order.

Furthermore, this mapping can be interpreted as surface parameterization [Flo97, SdS00] and can thus be used in applications such as texture mapping [BVG91, PB00]. In the texture mapping problem, we wish to find a continuous and invertible mapping from the texture, usually a two-dimensional rectangular image, to the surface.

Another interesting interpretation is when  $f$  is a closed curve, and  $g$  is a point. Interpreting  $f$  as a rubber band in a 3d model, the homotopy height between  $f$  and  $g$  here is the minimum length the rubber band has to be so that it can be collapsed to a point (here, the rubber band stays on the surface as this is happening). In particular, a short closed curve with large homotopic height to any point in the surface is a “neck” in the 3d model.

To summarize, these measures seem to provide us with a fundamental understanding of the structure of the given surface/model.

**Previous work.** The problem of computing the (standard) Frechét distance between two polygonal curves in the plane has been considered by Alt and Godau [AG95], who gave a polynomial time algorithm. Eiter and Mannila [EM94] studied the easier discrete version of this problem. Computing the Frechét distance between surfaces [Fre24], appears to be a much more difficult task, and its complexity is poorly understood. The problem has been shown to be NP-hard by Godau [God99], while the best algorithmic result is due to Alt and Buchin [AB05], who showed that it is upper semi-computable.

Efrat *et al.* [EGH<sup>+</sup>02] considered the Frechét distance inside a simple polygon as a way to facilitate sweeping it efficiently. They also used the Frechét distance with the underlying geodesic metric as a way to get a morphing between two curves. For recent work on the Frechét distance, see [CW10, DHW12, HR11, CDH<sup>+</sup>11] and references therein.

Chambers *et al.* [CCE<sup>+</sup>10] gave a polynomial time algorithm to compute the homotopic Frechét distance between two polygonal curves on the Euclidean plane with polygonal obstacles. Chambers and Letscher [CL09, CL10] and Brightwell and Winkler [BW09] considered the notion of minimum homotopy height, and proved structural properties for the case of a pair of paths on the boundary of a topological disk. We remark that in general, it is not known whether the optimum homotopy has polynomially long description. In particular, it is not known whether the problem is in NP.

**Our results.** In this paper, we consider the problems of computing the homotopic Frechét distance and the homotopy height between two simple polygonal curves that lie on the boundary of a triangulated topological disk  $\mathcal{D}$  that is composed of  $n$  triangles.

We give a polynomial time  $O(\log n)$ -approximation algorithm for computing the homotopy height between  $f$  and  $g$ . Our approach is based on a simple, yet delicate divide and conquer approach.

We use the homotopy height algorithm as an ingredient for a  $O(\log n)$ -approximation algorithm for the homotopic Frechét distance problem. Here is an informal (and somewhat imprecise) description of our algorithm for approximating the homotopic Frechét distance: We first guess (i.e., search over) the optimum (i.e.  $d_{\mathcal{H}}(f, g)$ ). Using this guess, we classify parts of  $\mathcal{D}$  as “obstacles”, i.e. regions over which a short leash cannot pass. Consider the punctured disk obtained from  $\mathcal{D}$  after removing these obstacles. Intuitively, two leashes are homotopic if one can be continuously deformed to the other one within the punctured disc, while its endpoints remain on the boundary during the deformation. Observe that the leashes of the optimum solution are homotopic. We describe a greedy algorithm to compute a “small” number of homotopy classes out of infinitely many choices. The homotopic Frechét distance constrained to lines inside one of these classes is a polynomial approximation to the homotopic Frechét distance in  $\mathcal{D}$ . We can then do a binary search over this interval to get a better approximation. An extended version of the homotopy height algorithm is used in this algorithm in several places.

The  $O(\log n)$  factor shows up in the homotopic Frechét distance algorithm only because it uses the homotopy height as a subroutine. Thus, any constant factor approximation algorithm for the homotopy height problem implies a constant factor approximation algorithm for the homotopic Frechét distance.

**Organization.** We first consider the discrete version of the homotopy height problem in Section 2. This is how Chambers and Letscher formulated the problem. Later, in Section 3, we describe an algorithm to approximately find the shortest homotopy in continuous settings. In Section 4, we address the homotopic Frechét distance, for both the discrete and the continuous cases. We conclude in Section 5. Basic definitions are provided in Appendix A.

## 2 Approximating the height – the discrete case

In this section, we give an approximation algorithm for finding a homotopy of minimum height in a topological disc  $\mathcal{D}$ , whose boundary is defined by two walks  $L$  and  $R$  that share their end-points  $s$  and  $t$ . We start with the discrete case, i.e. when the disk is a triangulated edge-weighted planar graph. We use the ideas developed here in the continuous case, see Section 3.

### 2.1 Settings

We are given an embedded planar graph  $G$  all of whose faces (except possibly the outer face) are triangles. Let  $s, t \in \partial G$  and  $L$  and  $R$  be the two non-crossing  $(s, t)$ -walks on  $\partial G$  in counter-clockwise and clockwise order, respectively. We use  $\mathcal{D}$  to denote the topological disk enclosed by  $L \cup R$ . We refer to vertices of  $G$  (inside or on the boundary of  $\mathcal{D}$ ) as vertices of  $\mathcal{D}$ . Our goal is to find a minimum height homotopy from  $L$  to  $R$  of non-crossing walks. Informally, the homotopy is defined by a sequence of walks, where every two consecutive

walks differ by either a triangle, or an edge (being traversed twice). For a formal definition, see Appendix A.4.

**Lemma 2.1.** *Let  $x$  and  $y$  be vertices of  $G$  that are at distance  $\rho$ . Then any homotopy between  $L$  and  $R$  has height at least  $\rho$ .*

*Proof:* Fix a homotopy of height  $\delta$ . This homotopy contains an  $(s, t)$ -walk  $\omega$  that passes through  $x$ , and an  $(s, t)$ -walk  $\chi$  that passes through  $y$ . We have, by the triangle inequality, that  $\rho = d_G(x, y) \leq \|\omega[s, x]\| + \|\chi[s, y]\|$ , and  $\rho \leq \|\omega[x, t]\| + \|\chi[y, t]\|$ . Therefore,  $\rho \leq (\|\omega\| + \|\chi\|)/2 \leq \max(\|\omega\|, \|\chi\|) \leq \delta$ , as required. ■

**Lemma 2.2.** *Suppose  $d_1$  is the maximum distance of a vertex of  $G$  from either of  $L$  or  $R$ ,  $d_2$  is the largest edge weight, and let  $d = \max\{d_1, d_2\}$ . Furthermore, let  $\mathcal{D}$ ,  $L$ , and  $R$  be defined as above. Then any homotopy between  $L$  and  $R$  has height at least  $d$ .*

*Proof:* For every homotopy between  $L$  and  $R$ , and for every edge  $e$ , there exists a walk in the homotopy that passes through  $e$ . Therefore, the height of the homotopy is at least  $d_2$ . Moreover, the height is at least  $d_1$  by Lemma 2.1. ■

## 2.2 The algorithm

**Theorem 2.3.** *Let  $\mathcal{D}$  be an edge-weighted triangulated topological disk with  $n$  faces such that its boundary is formed by two walks  $L$  and  $R$  that share endpoints  $s$  and  $t$ . Then, one can compute, in  $O(n \log n)$  time, a homotopy from  $L$  to  $R$  of height at most  $\|L\| + \|R\| + O(d_L \log n)$ , where  $d_L$  is the largest among (i) the maximum distance of a vertex of  $\mathcal{D}$  from  $L$ , and (ii) the maximum edge weight.*

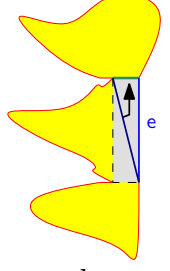
*In particular, the generated homotopy has height  $O(h_{\text{opt}} \log n)$ , where  $h_{\text{opt}}$  is the minimum homotopy height between  $L$  and  $R$ .*

*Proof:* Let  $f(\|L\| + \|R\|, d_L, n)$  denote the maximum height of such a homotopy. We will show that  $f(u, d_L, n) = u + O(d_L \log n)$ .

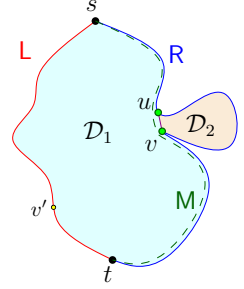
The base case  $n = 0$  is easy. Indeed, if we have two edges  $(u, v)$  and  $(v, u)$  consecutive in  $R$  (or in  $L$ ) we can retract these two edges. By repeating this we arrive at both  $L$  and  $R$  being identical, and we are done. The case  $n = 1$  is handled in a similar fashion. After one face flip, the problem reduces to the case  $n = 0$ . As such,  $f(\|L\| + \|R\|, d_L, 1) \leq \|L\| + \|R\| + d_L$ .

For  $n > 1$ , compute for each vertex of  $G$  its shortest path to  $L$ , and consider the set of edges  $\mathcal{E}$  used by all these shortest paths. Clearly, these shortest paths can be chosen so that  $L \cup \mathcal{E}$  form a tree. We consider each edge of  $R$  to be “thick” and have two sides (i.e., we think about these edges as being corridors with zero thickness). If  $\mathcal{E}$  uses an edge of  $R$  then it uses the inner copy of this edge, while  $R$  uses the outer side. Similarly, we will consider each original vertex of  $R$  to be two vertices (one inside and the other one on the boundary  $R$ ). The set  $\mathcal{E}$  would use only the inner vertices of  $R$ , while  $R$  would use only the outer vertices. To keep the graph triangulated we also arbitrarily triangulate inside each thick edge of  $R$  by adding corridor edges. Observe that, each corridor edge either connects two copies of a single vertex (thus has weight zero) or copies of two neighbors on  $R$  (and so has the same weight as the original edge).

Clearly, if we cut  $\mathcal{D}$  along the edges of  $\mathcal{E}$ , what remains is a simple triangulated polygon (it might have “thin” corridors along the edges of  $R$ ). One can find a diagonal  $uv$  such that each side of the diagonal contains at least  $\lceil n/3 \rceil$  triangles of  $G$  (and at most  $(2/3)n$ ). (Here, we count only the “real” triangles of  $G$  – we consider the faces of the thin corridors of the edges of  $R$  to have weight 0.) Observe that, because the faces inside corridors have weight zero, we can ensure that if the separating edge  $uv$  is a corridor edge (i.e., corresponding to an edge  $e$  of  $R$ ) then  $u$  and  $v$  are copies of the same vertex. Indeed, if not, we can change the separating edge so this property holds, and the new separating edge separates regions with the same weight, see the figure on the right. We use this property in the following case analysis.



**(A)** Consider the case that  $u$  and  $v$  are both vertices of  $R$ . In this case, let  $R[u, v]$  be the portion of  $R$  in between  $u$  and  $v$ , and let  $\mathcal{D}_2$  be the disk having  $R[u, v] \cup uv$  as its outer boundary. Let  $\mathcal{D}_1$  be the disk  $\mathcal{D} \setminus \mathcal{D}_2$ . Let  $M = R[s, u] \cup uv \cup R[v, t]$ .

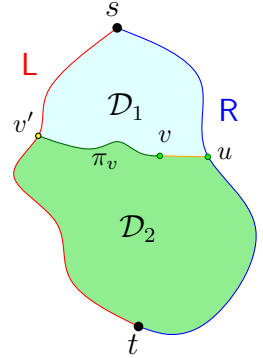


Clearly, the distance of any vertex of  $\mathcal{D}_1$  from  $L$  is at most  $d_L$ . By induction, there is a homotopy of height  $f(\|L\| + \|M\|, d_L, (2/3)n)$  from  $L$  to  $M$ . Similarly, the distance of any vertex of  $\mathcal{D}_2$  from  $uv$  is at most its distance to  $L$ . As such, by induction, there is a homotopy between  $uv$  and  $R[u, v]$  of height at most  $f(\|R[u, v]\| + d_L, d_L, (2/3)n)$ . Clearly, we can extend this to a homotopy of  $M$  to  $R$  of height  $\|R[s, u]\| + f(\|R[u, v]\| + d_L, d_L, (2/3)n) + \|R[v, t]\|$ .

Putting these two homotopies together results in the desired homotopy from  $L$  to  $R$ .

**(B)** Consider the case that  $v$  is a vertex of  $\mathcal{E}$  and  $u$  is a vertex of  $R$ . As such,  $v$  is an inner vertex of  $R$  (that belongs to  $\mathcal{E}$ ) and  $u$  is an outer vertex of  $R$ . Recall that we can assume that  $v$  and  $u$  are inner and outer copies of the same vertex of  $R$ . Let  $\pi_v$  be the shortest path in  $\mathcal{D}$  from  $v$  to  $L$ , and let  $v'$  be its endpoint on  $L$ .

Consider the disk  $\mathcal{D}_1$  having the “left” boundary  $L_1 = L[s, v'] \cup \pi_v \cup vu$  and  $R_1 = R[s, u]$  as its “right” boundary. This disk contains at most  $(2/3)n$  triangles, and by induction, it has a homotopy of height  $f(\|L_1\| + \|R_1\|, d_L, (2/3)n)$ . To see why we can apply the recursion, observe that  $u$  and  $v$  are copies of the same vertex of  $R$ . That is, all shortest paths of vertices inside  $\mathcal{D}_1$  to  $L$  are completely inside  $\mathcal{D}_1$ . Particularly, the distance of all vertices in  $\mathcal{D}_1$  to  $L_1$  are at most  $d_L$ .



Similarly, the topological disk  $\mathcal{D}_2$  with the left boundary  $L_2 = uv \cup \pi_v \cup L[v', t]$  and the right boundary  $R_2 = R[u, t]$  has a homotopy of height  $f(\|L_2\| + \|R_2\|, d_L, (2/3)n)$ .

Starting with  $L$ , extending a tendrill from  $v'$  to  $v$ , from  $v$  to  $u$ , and then applying the homotopy to the first part of this walk (i.e.,  $L_1$ ) to move to  $R_1$ , and then the homotopy of

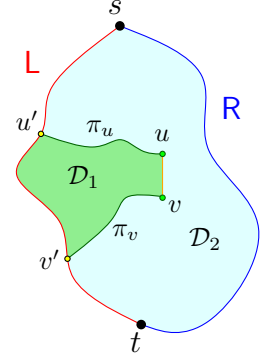
$\mathcal{D}_2$  to the second part, results in a homotopy of  $L$  to  $R$  of height

$$\max \begin{pmatrix} \|L\| + 2d_L, \\ f(\|L_1\| + \|R_1\|, d_L, (2/3)n) + \|L_2\|, \\ \|R_1\| + f(\|L_2\| + \|R_2\|, d_L, (2/3)n) \end{pmatrix}.$$

If the first number is the maximum, we are done. Otherwise, the above value is at most  $f(\|L\| + \|R\| + 2d_L, d_L, 2/3n)$ .

(C) Here we handle the case that  $u$  and  $v$  are both vertices of  $L \cup \mathcal{E}$ . Then, as before, let  $u'$  and  $v'$  be the closest points on  $L$  to  $u$  and  $v$ , respectively. Now, let  $\pi_u$  (resp.  $\pi_v$ ) be the shortest path from  $u$  (resp.  $v$ ) to  $u'$  (resp.  $v'$ ). Note that we might have  $u' = v'$ .

Consider the disk  $\mathcal{D}_1$  having  $L_1 = L[u', v']$  as left boundary, and  $R_1 = \pi_u \cup uv \cup \pi_v$  as right boundary. This disk contains between  $n/3$  and  $2n/3$  triangles of the original surface. The distance of any vertex of  $\mathcal{D}_1$  to  $L_1$  (when restricted to  $\mathcal{D}_1$ ) is at most  $d_L$ , and as such by induction, there is a homotopy from  $L_1$  to  $R_1$  of height at most  $\alpha = f(\|L_1\| + \|R_1\|, d_L, (2/3)n) \leq f(\|L[u', v']\| + 3d_L, d_L, (2/3)n)$ . This yields a homotopy of height  $\alpha_1 = \|L[s, u']\| + \alpha + \|L[v', t]\|$ , from  $L$  to  $L_2 = L[s, u'] \cup \pi_u \cup uv \cup \pi_v \cup L[v', t]$ . It is straight forward to check that  $\alpha_1 \leq f(\|L\| + 3d_L, d_L, (2/3)n)$ .



Next, let  $\mathcal{D}_2$  be the disk with its left boundary being  $L_2$  and its right boundary being  $R_2 = R$ . Observe, that as before, the maximum distance of any vertex of  $\mathcal{D}_2$  to  $L_2$  is at most  $d_L$ . As before, by induction, there is a homotopy form  $L_2$  to  $R_2$  of height  $\alpha_2 = f(\|L_2\| + \|R_2\|, d_L, (2/3)n)$ . Since  $\|L_2\| \leq \|L\| + 3d$ , we have  $\alpha_2 \leq f(\|L\| + \|R\| + 3d_L, d_L, (2/3)n)$ .

In all cases the length of the homotopy is at most

$$f(\|L\| + \|R\| + 3d_L, d_L, (2/3)n).$$

Now, it is easy to verify that the solution to the recursion  $f(u, d_L, n)$  that complies with all the above inequalities is  $f(u, d_L, n) = u + O(d_L \log n)$ , as desired.

The final guarantee of approximation follows as  $d_L \leq h_{\text{opt}}$ , by Lemma 2.2.

We can compute the shortest path tree in linear time using the algorithm of Henzinger *et al.* [HKRS97]. The separating edge can also be found in linear time using DFS. So, the running time for a graph with  $n$  faces is  $T(n) = T(n_1) + T(n_2) + O(n)$ , where  $n_1 + n_2 = n$  and  $n_1, n_2 \leq (2/3)n$ . It follows that  $T(n) = O(n \log n)$ . ■

**Remark 2.4.** (A) In the algorithm of Theorem 2.3, it is not necessary that we have the shortest paths from  $L$  to all the vertices of  $\mathcal{D}$ . Instead, it is sufficient if we have a tree structure that provides paths from any vertex of  $\mathcal{D}$  to  $L$  of distance at most  $d_L$  in this tree. We will use this property in the continuous case, where recomputing the shortest path tree is relatively expensive.

(B) A more careful analysis shows that the height of the homotopy generated by Theorem 2.3 is at most  $\max(\|L\|, \|R\|) + O(d_L \log n)$ .

(C) Note, that if  $d_L = O(\max(\|L\|, \|R\|) / \log n)$  then Theorem 2.3 provides a constant factor approximation. This is the situation when  $L$  and  $R$  are close to each other compared



to their relative length.

(D) Note, that the  $O(n \log n)$  running time algorithm cannot explicitly output the list of paths in the homotopy. Indeed, that list requires  $O(n^2)$  space to be stored and so  $O(n^2)$  time to output. The output of the algorithm of the above lemma is a shortest path tree together with an ordered list of edges, each presenting an  $(s, t)$ -walk.

### 3 Approximating the height – The continuous case

In this section we extend the algorithm to the continuous case. Here we are given a piecewise linear triangulated topological disk,  $\mathcal{D}$ , with  $n$  triangles. The boundary of  $\mathcal{D}$  is composed of two paths  $L$  and  $R$  with shared endpoints  $s$  and  $t$ . Observe that the distance of any point  $x$  in  $\mathcal{D}$  from  $L$  and  $R$  is not longer than the homotopy height as there is a  $(s, t)$ -path that contains  $x$ . Here, we build a homotopy of height at most  $\|L\| + \|R\| + O(d \log n)$ , where  $d$  is the maximum distance of any point in  $\mathcal{D}$  from either  $L$  or  $R$ .

We use the following observations (see Appendix A for details):

- (A) The shortest path from a vertex to the whole surface can be computed in  $O(n^2 \log n)$  time.
- (B) The shortest path from a set of  $O(n)$  edges to the whole surface can be computed in  $O(n^3 \log n)$  time.
- (C) A shortest path (i.e., a geodesic) intersects a face along a segment and it locally looks like a segment if the adjacent faces are rotated to be coplanar.

#### 3.1 Homotopy height if edges are short

Here, we assume that the longest edge in  $\mathcal{D}$  has length at most  $2d$ , where  $d$  is the maximum distance for any point of  $\mathcal{D}$  from either  $L$  or  $R$ .

As in the discrete case, let  $\mathcal{E}$  be the union of all the shortest paths from the vertices of  $\mathcal{D}$  to  $L$  (as before, we treat the edges and vertices of  $R$  as having infinitesimal thickness). For a vertex  $v$  of  $\mathcal{D}$ , its shortest path  $\pi_v$  is a polygonal path that crosses between faces (usually) in the middle of edges (it might also go to a vertex, merge with some other shortest paths and then follow a common shortest path back to  $L$ ). In particular, each such shortest path might intersect a face of  $\mathcal{D}$  along a single segment. As such, the polygon resulting from cutting  $\mathcal{D}$  along  $\mathcal{E}$ , call it  $P$ , is a polygon that has complexity  $O(n^2)$ . A face of  $P$  is a hexagon, a pentagon, a quadrilateral, or a triangle. However, each such face has at most three edges that are portions of the edges of  $\mathcal{D}$ . We say the degree of a face is  $i$  if it has  $i$  edges that are portions of the edges of  $\mathcal{D}$ . Observe that, each triangle of  $\mathcal{D}$  is now decomposed into a set of faces. Obviously, each triangle of  $\mathcal{D}$  contains at most one face of degree 3 in  $P$ . Overall, there are  $O(n)$  faces of degree 3 in  $P$ .

Now consider  $C^*$ , the dual of the graph that is inside the polygon (ignore the edges on the boundary). More precisely,  $C^*$  has a vertex corresponding to each face inside the polygon  $P$ . Two vertices of  $C^*$  are adjacent if and only if their corresponding faces share a portion of an edge of  $\mathcal{D}$  (this shared edge is a diagonal of the polygon resulting from the cutting). Since

the maximum degree of the tree  $C^*$  is 3, there is an edge that is a good separator (i.e. a separator that has at most  $2/3$  of the faces on one side). We use this edge in a similar fashion to the proof of Theorem 2.3, except that in the recursion we avoid recomputing the shortest paths (i.e., we use the old shortest paths and distances computed in the original disk), see Remark 2.4. So, we compute the shortest paths once in the beginning in  $O(n^3 \log n)$  time. Then, in each step we can find the separator in  $O(n^2)$  time. Namely, the total time spent on computing the separators is  $T(n) = T(n_1) + T(n_2) + O(n^2)$ , where  $n_1 + n_2 = O(n^2)$  and  $n_1, n_2 \leq (2/3)(n_1 + n_2)$ ; that is,  $T(n) = O(n^2 \log n)$ . As such, the total running time is dominated by the computation of the shortest paths. The output is a list of  $O(n^2)$  paths each of complexity  $O(n)$ , and so it can be explicitly presented in  $O(n^3)$  time and space. Note that, we need a continuous deformation between any two consecutive paths in the list, which can be implicitly presented by a collection of functions in linear time and space, similar to Section 3.3 (A).

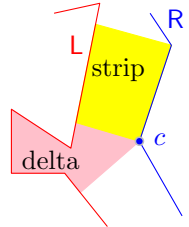
The proof of Theorem 2.3 then goes through literally in this case. Since all the edges have length at most  $2d$ , by assumption, we get the following.

**Lemma 3.1.** *Let  $\mathcal{D}$  be a topological disk with  $n$  faces where every face is a triangle (here, the distance between any two points on the triangle is their Euclidean distance). Furthermore, the boundary of  $\mathcal{D}$  is formed by two walks  $L$  and  $R$  (that share two endpoints  $s, t$ ). Let  $d_L$  be the maximum distance of any point of  $\mathcal{D}$  from  $L$ . Furthermore, assume that all edges of  $\mathcal{D}$  have length at most  $2d_L$ . Then, one can compute a continuous homotopy from  $L$  to  $R$  of height at most  $\|L\| + \|R\| + O(d_L \log n)$  in  $O(n^3 \log n)$  time.*

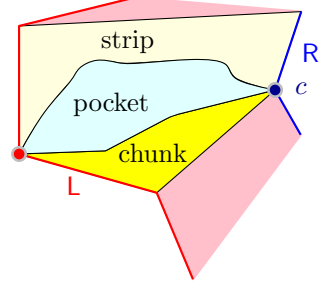
### 3.2 Breaking the disk into strips, pockets and chunks

For any two points in  $\mathcal{D}$  consider a shortest path  $\pi$  connecting them. The signature of  $\pi$  is the ordered sequence of edges (crossed or used) and vertices used by  $\pi$ , see Appendix A. For a point  $p \in R$ , let  $s_L(p)$  denote the signature of the shortest path from  $p$  to  $L$ . The signature  $s_L(p)$  is well defined in  $R$  except for a finite set of *medial* points, where there are two (or more) distinct shortest paths from  $L$  to  $p$ . In particular, let  $\Pi_R$  be the set of all shortest paths from any medial point on  $R$  to  $L$ . Observe that, the medial points are the only points that the signature of the shortest path from  $R$  to  $L$  changes in any non-degenerate triangulation.

Cutting  $\mathcal{D}$  along the paths of  $\Pi_R$  breaks  $\mathcal{D}$  into corridors. If the intersection of a corridor with  $R$  is a point (resp. segment) then it is a *delta* (resp. *strip*). In a strip  $C$ , all the shortest paths to  $L$  from the points in the interior of the segment  $C \cap R$  have the same signature. Intuitively, strips have a natural way to morph from one side to the other. We further break each delta into chunks and pockets, as follows.



So, consider a delta  $C$  with an apex  $c$  (i.e., the point of  $R$  on the boundary of  $C$ ). For a point  $x \in L \cap C$ , we define its signature (in relation to  $C$ ), to be the signature of the shortest path from  $x$  to  $c$  (restricted to lie inside  $C$ ). Again, we partition  $L \cap C$  into maximum intervals that have the same signature, and let  $P$  be the set of endpoints of these intervals. For each point  $p \in P$ , consider all the different shortest paths from  $c$  to  $p$  inside the delta  $C$ , and cut  $C$  along these paths. This breaks  $C$  into regions. If a newly created region has a single intersection point with both  $L$  and  $R$ , then it is a *pocket*, otherwise, it is a *chunk*. Clearly, this process decomposes  $C$  into pockets and chunks.



Applying the above partition scheme to all the deltas results in a decomposition of  $\mathcal{D}$  into strips, chunks and pockets.

### 3.2.1 Analysis

Let  $d$  the maximum distance of any point of  $\mathcal{D}$  to either  $L$  or  $R$ , and consider a chunk  $C$ . Its intersection with  $L$  is a segment, and its intersection with  $R$  is a point (i.e., the apex  $c$  of the delta). Observe that the distance of any point of  $x \in L \cap C$  to  $c$  is at most  $2d$ . To see this, consider the shortest path  $\pi_x$  from  $x$  to  $R$  in  $\mathcal{D}$ , and observe that if it intersects the boundary of  $C$  then it can be modified to connect to  $c$ , and its new length is at most  $2d$ . As such, for a chunk  $C$  there is a natural way to morph  $L \cap C$  to  $c$ .

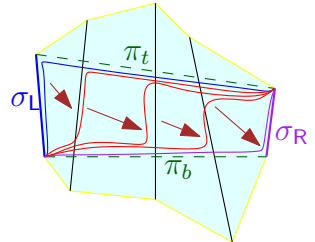
A pocket, on the other hand, is a topological disk such that its intersections with  $L$  and  $R$  are both single points, and the two boundary paths between these intersections are of length at most  $2d$ . Pockets are handled by using the recursive scheme developed for the discrete case.

## 3.3 Homotopy height if there are long edges

We use the above algorithm to break the given disk  $\mathcal{D}$  into strips, chunks and pockets (notice, that we assume nothing on the length of the edges). Next, order the resulting regions according to their order along  $L$ , and transform each one of them at time, such that starting with  $L$  we end up with  $R$ .

(A) **Morphing a chunk/strip  $S$ :** Let  $\sigma_L = L \cap S$  and  $\sigma_R = R \cap S$ . There is a natural homotopy from  $\pi_t \cup \sigma_L$  to  $\sigma_R \cup \pi_b$ .

The strip/chunk  $S$  has no vertex of  $\mathcal{D}$  in its interior, and as such it is formed by taking planar quadrilaterals and gluing them together along common edges. Observe that by the triangle inequality, all such edges of any of these quadrilaterals are of length at most  $\max(\|\sigma_L\|, \|\sigma_R\|) + 4d$ . It is now easy to check that we can collapse each such quadrilateral in turn to get the required homotopy. Since each of  $\pi_t$  and  $\pi_b$  is composed of two shortest paths, there is a linear number of such quadrilaterals, and each collapse can be done in constant time. See the figure for an example.



(B) **Morphing a pocket:** A pocket has perimeter at most  $4d$ , and there is a point on its boundary, such that the distance of any point in it to this base point is at most  $2d$ . By the triangle inequality, we have that if in a topological disk  $\mathcal{D}$  all the points of  $\mathcal{D}$  are in distance at most  $2d$  from some point  $c$ , then the longest edge in  $\mathcal{D}$  has length at most  $4d$ . As such, all the edges inside a pocket cannot be longer than  $4d$ . We can now apply Lemma 3.1 to such a pocket. This results in the desired homotopy.

### 3.3.1 Analysis

The shortest paths from  $R$  to  $L$  can be computed in  $O(n^3 \log n)$  time. The shortest paths inside a delta to its apex can be computed in  $O(n^2 \log n)$  time. Since there is a linear number of deltas, the total running time for building the strips is  $O(n^3 \log n)$ .

**Lemma 3.2.** *The number of paths in  $\Pi_R$  is  $O(|V(\mathcal{D})|)$ , where  $V(\mathcal{D})$  is the set of vertices of  $\mathcal{D}$ .*

*Proof:* Let  $\{\sigma_1, \sigma_2, \dots, \sigma_k\}$  be the paths in  $\Pi_R$  sorted by the order of their endpoints along  $R$ . Observe that these paths are geodesics and so one can assume that they are interior disjoint, or share a suffix. Now, if  $l_i \in L$  and  $r_i \in R$  are the endpoints of  $\sigma_i$ , for  $i = 1, \dots, k$ , then these endpoints are sorted along their respective curves. In particular, let  $\mathcal{D}_i$  be the disk having  $L[s, l_i] \cup \sigma_{i+1} \cup R[s, r_i]$  for boundary. We have that  $\mathcal{D}_1 \subseteq \mathcal{D}_2 \subseteq \dots \subseteq \mathcal{D}_k$ . The signatures of  $\sigma_i$  and  $\sigma_{i+2}$  must be different as otherwise they would be consecutive. Furthermore, because of the inclusion property, if an edge or a vertex of  $\mathcal{D}$  intersects  $\sigma_i$  but does not intersect  $\sigma_{i+1}$  then, it cannot intersect any later path. As such, every other path in  $\Pi_R$  can be charged to vertices or edges that are added or removed from the signature of the respective path. Since an edge or a vertex can be added at most once, and deleted at most once, this implies the desired bound on the number of paths. ■

Arguing as in Lemma 3.2, we have that the total number of parts (i.e., strips, chunks, and pockets) generated by the above decomposition is  $O(|V(\mathcal{D})|)$ .

**Lemma 3.3.** *Consider a strip or a chunk  $S$  generated by the above partition of  $\mathcal{D}$ . Let  $\sigma_L = L \cap S$  and  $\sigma_R = R \cap S$ . Let  $\pi_t$  and  $\pi_b$  be the top and bottom paths forming the two sides of  $S$  that do not lie on  $R$  or  $L$ .*

(A) *We have  $\|\pi_b\| \leq 2d$  and  $\|\pi_t\| \leq 2d$ .*

(B) *If  $\|\sigma_L\| > 0$  or  $\|\sigma_R\| > 0$  then there is no vertex of  $\mathcal{D}$  in the interior of  $S$ .*

(C) *If  $\|\sigma_L\| > 0$  or  $\|\sigma_R\| > 0$  then there is a homotopy from  $\pi_t \cup \sigma_L$  to  $\sigma_R \cup \pi_b$  of height  $\max(\|\sigma_L\|, \|\sigma_R\|) + 4d$ . This homotopy can be computed in linear time.*

*Proof:* (A) If the strip was generated by the first stage of partitioning then the claim is immediate.

Otherwise, consider a delta  $C$  with an apex  $c$ . For any point  $x \in L \cap C$  we claim that there is a path of length at most  $2d$  to  $c$ . Indeed, consider the shortest path  $\pi_x$  from  $x$  to  $R$  in  $\mathcal{D}$ . If this path goes to  $c$  the claim holds immediately. Otherwise, the shortest path (that has

length at most  $d$ ) must cross either the top or bottom shortest path forming the boundary of  $C$  that are emanating from  $c$ . We can now modify  $\pi_x$ , so that after its intersection point with this shortest path, it follows it back to  $c$ . Clearly, the resulting path has length at most  $2d$  and lies inside the resulting chunk.

(B) Indeed, the boundary paths  $\pi_t$  and  $\pi_b$  have the same signature (formally, they are the limit of paths with the same signature). Since  $\mathcal{D}$  is non-degenerate, if there was any vertex in the middle, then the path on one side of the vertex, and the path on the other side of the vertex cannot possibly have the same signature.

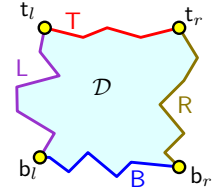
(C) Immediate from the algorithm description. ■

### 3.4 The result

**Theorem 3.4.** *Suppose that we are given a triangulated piecewise linear surface with the topology of a disk, such that its boundary is formed by two walks  $L$  and  $R$ . Then, there is a continuous homotopy from  $L$  to  $R$  of height at most  $\|L\| + \|R\| + O(d \log n)$ , where  $d$  is the maximum geodesic distance of any point of  $\mathcal{D}$  from either  $L$  or  $R$ . This homotopy can be computed in  $O(n^3 \log n)$  time.*

## 4 Computing the Homotopic Frechét Distance

In this section, fix  $\mathcal{D}$  to be a triangulated topological disk with  $n$  faces. Let the boundary of  $\mathcal{D}$  be composed of  $T$ ,  $R$ ,  $B$ , and  $L$ , four internally disjoint walks appearing in clockwise order along the boundary. Also, let  $t_l = L \cap T$ ,  $b_l = L \cap B$ ,  $t_r = R \cap T$ , and  $b_r = R \cap B$ .<sup>①</sup> See the figure on the right.



### 4.1 Approximating the Regular Frechét Distance

#### 4.1.1 The continuous case

Let  $d_{\mathcal{F}}(T, B)$  (resp.  $d_{\mathcal{H}}(T, B)$ ) be the regular (resp. homotopic) Frechét distance between  $T$  and  $B$  (when restricted to  $\mathcal{D}$ ). Clearly,  $d_{\mathcal{F}}(T, B) \leq d_{\mathcal{H}}(T, B)$ . The following lemma implies that the Frechét distance can be approximated within a constant factor.

**Lemma 4.1.** *Let  $\mathcal{D}$ ,  $n$ ,  $L$ ,  $T$ ,  $R$ , and  $B$  be as above. Then, for the continuous case, one can compute, in  $O(n^3 \log n)$  time, reparametrizations of  $T$  and  $B$  of width at most  $2\delta$ , where  $\delta = d_{\mathcal{F}}(T, B)$ .*

*Proof:* In the following, consider  $\mathcal{D}$  to be the region bounded by these four curves  $L$ ,  $T$ ,  $R$ , and  $B$ . We decompose  $\mathcal{D}$  into strips, chunks and pockets using the algorithm of Section 3.2 Let  $\Pi$  be the set of shortest paths from all points of  $T$  to the curve  $B$ . As in the algorithm of

<sup>①</sup>We use the same notation to argue about the discrete and continuous problems.

Section 3.2, let  $\Pi_{\mathbb{T}}$  be the set of all shortest paths from medial points on  $\mathbb{T}$  to  $\mathbb{B}$ . Arguing as in Section 3.2, we have that the set  $\Pi_{\mathbb{T}}$  is composed of a linear number of paths. The paths in  $\Pi_{\mathbb{T}}$  do not cross and so partition  $\mathcal{D}$  into a set of regions. Each region is bounded by a portion of  $\mathbb{T}$ , a portion of  $\mathbb{B}$  and two paths in  $\Pi_{\mathbb{T}}$ . A region is a *delta* if the two paths of  $\Pi_{\mathbb{T}}$  in its boundary share a single endpoint (on  $\mathbb{T}$ ), it is a *pocket* if they share two endpoints (one on  $\mathbb{T}$  and one on  $\mathbb{B}$ ), and it is *strip* if they share no endpoints.

Obviously, the (endpoints of the) paths in  $\Pi$  cover all of  $\mathbb{T}$ . The paths in  $\Pi$  also cover all of  $\mathbb{B}$  except for the bases of deltas. Now, for each delta we compute the set of all shortest paths from the vertices of its base to its apex inside the delta. Let  $\Pi_{\mathbb{B}}$  be the set of all such paths in all deltas. Clearly, the union of  $\Pi_{\mathbb{B}}$  and  $\Pi_{\mathbb{T}}$  is a set of non-crossing paths whose endpoints cover all the vertices of  $\mathbb{T}$  and  $\mathbb{B}$ .

The shortest path from any point of  $\mathbb{T}$  to  $\mathbb{B}$  is at most  $\delta$ . So, all paths in  $\Pi$  have length at most  $\delta$ . Similarly, the shortest path from a point of  $\mathbb{B}$  to  $\mathbb{T}$  is at most  $\delta$ . Now, consider a delta  $C$  with apex  $c$ . Let  $b$  be a point on the base of  $C$  (and so on  $\mathbb{B}$ ). The shortest path  $\pi_b$  from  $b$  to  $\mathbb{T}$  has length at most  $\delta$ . Let  $x$  be the first point that  $\pi_b$  intersects a boundary path of  $C$ ,  $\pi_C$ . Now,  $\pi_b[b, x] \cdot \pi_C[x, c]$  has length at most  $2\delta$  and it is inside  $C$ . We conclude that all paths in  $\Pi_{\mathbb{B}}$  have length at most  $2\delta$ .

The paths in  $\Pi_{\mathbb{B}} \cup \Pi_{\mathbb{T}}$  decompose  $\mathcal{D}$  into strips and corridors. The left and right portions of a strip is of length at most  $2\delta$ , and its top and bottom sides have as such Frechét distance at most  $2\delta$  from each other. Similarly, the leash can jump over a pocket from the left leash to the right leash. Doing this to all corridors and pockets, results in reparametrizations of  $\mathbb{L}$  and  $\mathbb{R}$  such that their maximum length of a leash for these reparametrizations are at most  $2\delta$ . This implies that the Frechét distance is at most  $2\delta$ , and we have an explicit reparametrization that realizes this distance.

As for the running time, in  $O(n^3 \log n)$  time, one can compute all shortest paths from  $\mathbb{T}$  to the whole surface. Then, one can, in  $O(n^2 \log n)$  time, compute the shortest paths inside each of the linear number of deltas. It follows that the total running time is  $O(n^3 \log n)$ . ■

#### 4.1.2 The discrete case

We can use a similar idea to the decomposition into atomic regions as done above.

**Lemma 4.2.** *Let  $\mathcal{D}$  be a triangulated topological disk with  $n$  faces, and  $\mathbb{T}$  and  $\mathbb{B}$  be two internally disjoint walks on the boundary of  $\mathcal{D}$ . Then, for the discrete case one can compute, in  $O(n)$  time, reparametrizations of  $\mathbb{T}$  and  $\mathbb{B}$  that approximate the discrete Frechét distance between  $\mathbb{T}$  and  $\mathbb{B}$ . The computed reparametrizations have width at most  $3\delta$ , where  $\delta$  is the Frechét distance between  $\mathbb{T}$  and  $\mathbb{B}$ .*

*Proof:* First, compute the set of shortest paths,  $\Pi_{\mathbb{T}} = \{\pi_1, \pi_2, \dots, \pi_k\}$ , from vertices of  $\mathbb{T}$  to the path  $\mathbb{B}$ . To this end, we (conceptually) collapse all the vertices of  $\mathbb{B}$  into a single vertex, and compute the shortest path from this meta vertex to all the vertices in  $\mathbb{T}$ .

Now, let  $\pi_i$  and  $\pi_{i+1}$  be two consecutive paths; that is, the endpoints of  $\pi_i$  and  $\pi_{i+1}$ ,  $a_i$  and  $a_{i+1}$ , are adjacent vertices on  $\mathbb{T}$ . For all  $1 \leq i < k$ , we add the paths  $\pi_i^+ = (a_i, a_{i+1}) \cdot \pi_{i+1}$  to the set  $\Pi_{\mathbb{T}}$  to obtain  $\Pi_{\mathbb{T}}^+$ . Observe that each path in  $\Pi_{\mathbb{T}}^+$  has length at most  $2\delta$ ; it is composed

of zero or one edge of  $\mathbb{T}$  and a shortest path from a vertex of  $\mathbb{T}$  to  $\mathbb{B}$ . Further,  $\Pi_{\mathbb{T}}^+$  partitions the graph into regions, similar to the continuous case. Now for each vertex of  $\mathbb{B}$  that is not an endpoint of a path in  $\Pi_{\mathbb{T}}^+$ , we compute the shortest path inside its region to  $\mathbb{T}$ . Because the region is bounded by paths of length at most  $2\delta$ , the length of such a shortest path is at most  $3\delta$ . If  $\Pi_{\mathbb{B}}$  is the set of all such shortest paths, then  $\Pi_{\mathbb{T}}^+ \cup \Pi_{\mathbb{B}}$  is a leash sequence of height at most  $3\delta$ .

We use the algorithm of Henzinger *et al.* [HKRS97] to compute the shortest paths from  $\mathbb{B}$  in linear time. Since all regions are disjoint, and every edge appears on the boundary of at most two regions, we can compute all the shortest paths inside all these regions to  $\mathbb{T}$  in  $O(n)$  time overall (this step requires careful implementation to achieve this running time). ■

**Remark 4.3.** The paths realizing the Frechét distance computed by Lemma 4.2 are stored using implicit data-structure (essentially two shortest path trees that are intertwined). This is why the space used is linear and why it can be constructed in linear time. Of course, an explicit listing of the paths realizing the Frechét distance might require quadratic space in the worst case.

## 4.2 Homotopic Frechét distance if there are no mountains

The following lemma implies that if all the vertices in  $\mathcal{D}$  are not too far from the two curves, then approximating the homotopic Frechét distance is doable.

**Lemma 4.4.** *Let  $\mathcal{D}$  be a triangulated topological disk with  $n$  faces, and  $\mathbb{T}$  and  $\mathbb{B}$  be two internally disjoint walks on the boundary of  $\mathcal{D}$ . Further, assume for all  $\mathbf{p} \in \mathcal{D}$ ,  $\mathbf{p}$ 's distance to both  $\mathbb{T}$  and  $\mathbb{B}$  is at most  $x$ . Then, one can compute reparametrizations of  $\mathbb{T}$  and  $\mathbb{B}$  of width  $O(x \log n)$ . The running time is  $O(n^4 \log n)$  (resp.  $O(n^2)$ ) in the continuous (resp. discrete) case.*

*In particular, if  $x = O(d_{\mathcal{H}}(\mathbb{T}, \mathbb{B}))$  then this is an  $O(\log n)$ -approximation to the optimal homotopic Frechét distance.*

*Proof:* Consider the continuous case. Using the algorithm of Lemma 4.1 we compute (not necessarily continuous) reparametrization of  $\mathbb{T}$  and  $\mathbb{B}$  of width  $\delta$ , realizing approximately (the regular) Frechét distance, where  $\delta = O(x)$ . Let  $\ell(t)$  denote the leash at time  $t$ . The leash  $\ell(\cdot)$  is not required to deform continuously in  $t$ . In particular, for a given time  $t \in [0, 1]$ , let  $\ell^-(t) = \lim_{t' \rightarrow t^-} \ell(t')$  and  $\ell^+(t) = \lim_{t' \rightarrow t^+} \ell(t')$ . By definition, the leash is discontinuous at  $t$  if and only if  $\ell^-(t) \neq \ell^+(t)$ .

Naturally, the above reparameterization can be used as long as it is continuous. Whenever the leash jumps over a gap (i.e., the leash is discontinuous at this point in time), say at time  $t$ , we are going to replace this jump by a  $(\ell^-(t), \ell^+(t))$ -homotopy between the two leashes. Clearly, this would result in the desired continuous homotopy.

To this end, observe that all the vertices inside the disc with boundary  $\ell^-(t) \cup \ell^+(t)$  have distance  $O(x)$  to  $\mathbb{T}$  and  $\mathbb{B}$ , and thus also so to  $\ell^-(t)$  and  $\ell^+(t)$ . As such, using the algorithm of Theorem 3.4 compute an  $(\ell^-(t), \ell^+(t))$ -homotopy with height  $O(x \log n)$ . Since a gap must contain a vertex there are  $O(n)$  gaps, so this filling in is done at most  $O(n)$  times.

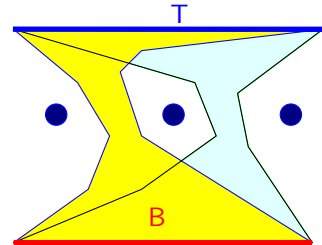
Computing the initial reparameterization takes  $O(n^3 \log n)$  time. Each gap can be filled in  $O(n^3 \log n)$  time.

The discrete case is similar. The Frechét distance here can be computed in linear time using Lemma 4.2 (see also Remark 4.3). Indeed we can compute an explicit listing of the paths in  $O(n^2)$  time. Each path in the list can be charged to a single face or edge of  $\mathcal{D}$ . It immediately follows that the number of paths is linear. For any two consecutive paths,  $\pi_i$  and  $\pi_{i+1}$  in the list, we can fill in the possible gap and compute the explicit solution in  $O(n_i^2)$  time, where  $n_i$  is the number of faces between  $\pi_i$  and  $\pi_{i+1}$ , see Theorem 2.3 and Remark 2.4 (D). Since  $\sum n_i = O(n)$  the total running time of the algorithm is  $O(n^2)$ . ■

The above lemma demonstrates that if the starting and ending leashes are known (i.e., the region of the disk  $\mathcal{D}$  swept over by the morphing) then approximating it is doable. The challenge is that a priori, we do not know these two leashes, as the input is a topological disk  $\mathcal{D}$  with the two curves  $\mathsf{T}$  and  $\mathsf{B}$  on its boundary, and the start/end leashes might be curves that lie somewhere in the interior of  $\mathcal{D}$ .

### 4.3 A Decision Procedure for the Homotopic Frechét distance in the presence of mountains

For a parameter  $\tau \geq 0$ , a vertex  $v \in \mathsf{V}(\mathcal{D})$  is  $\tau$ -*tall* if and only if its distance to  $\mathsf{T}$  or  $\mathsf{B}$  is larger than  $\tau$  (intuitively  $\tau$  is a guess for the value of  $d_{\mathcal{H}}(\mathsf{T}, \mathsf{B})$ ). Here, we consider the case where there are  $\tau$ -tall vertices. Intuitively, one can think about tall vertices as insurmountable mountains. Thus, to find a good homotopy between  $\mathsf{T}$  and  $\mathsf{B}$ , we have to choose which “valleys” to use (i.e., what homotopy class the solution we compute belongs to if we think about tall vertices as punctures in the disk). As a concrete example, consider the figure on the right, where there are three tall vertices, and two possible solutions are being shown.



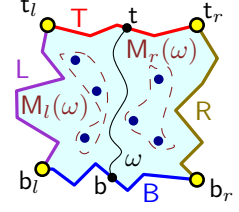
In the discrete case, we subdivide each edge in the beginning so that if an edge has length  $> 2\tau$ , then the vertex inserted in the middle of it is  $\tau$ -tall. Observe that, if  $\tau \geq d_{\mathcal{H}}(\mathsf{T}, \mathsf{B})$  then no leash of the optimum homotopic motion can afford to contain a  $\tau$ -tall vertex. We use  $\mathsf{M}^\tau$  to denote the set of all  $\tau$ -tall vertices in  $\mathsf{V}(\mathcal{D})$ .

Now, let  $\omega$  and  $\omega'$  be two walks connecting points on  $\mathsf{T}$  and  $\mathsf{B}$ . We say that  $\omega$  and  $\omega'$  are *homotopic* in  $\mathcal{D} \setminus \mathsf{M}^\tau$  if and only if they are homotopic in  $\mathcal{D} \setminus \mathsf{M}^\tau$  after contracting  $\mathsf{T}$  and  $\mathsf{B}$  (each to a single point). Two *non-crossing* walks  $\omega$  and  $\omega'$  are homotopic if and only if  $\mathsf{T} \cup \mathsf{B} \cup \omega \cup \omega'$  contains no tall vertices. It is straight forward to check that homotopy is an equivalence relation. So it partitions  $(\mathsf{T}, \mathsf{B})$ -paths into *homotopy classes*.

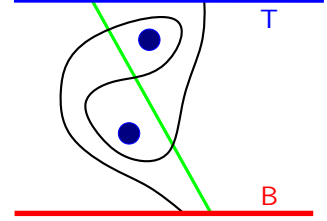
For a homotopy class  $\mathsf{h}$ , let  $\pi_{\mathsf{L}, \mathsf{h}}$  (resp.  $\pi_{\mathsf{R}, \mathsf{h}}$ ) be the *left geodesic* (resp. *right geodesic*); that is,  $\pi_{\mathsf{L}, \mathsf{h}}$  denotes the shortest path in  $\mathsf{h}$  from  $\mathsf{t}_l$  to  $\mathsf{b}_l$  (resp. from  $\mathsf{t}_r$  to  $\mathsf{b}_r$ ).



Let  $\omega$  be any walk in  $\mathfrak{h}$  from  $\mathbf{b} \in \mathbf{B}$  to  $\mathbf{t} \in \mathbf{T}$ . We define the **left tall set** of  $\mathfrak{h}$ , denote  $M_l(\mathfrak{h}) = M_l(\omega)$  to be the set of all  $\tau$ -tall vertices to the left of  $\omega$ . Namely,  $M_l(\mathfrak{h})$  is the set of tall vertices inside the disc with boundary  $L \cup T[t_l, t] \cup \omega \cup B[b_l, b]$ , where  $L$  is the “left” portion of the boundary of  $\mathcal{D}$ , having endpoints  $t_l$  and  $b_l$ . We similarly define the **right tall set** of  $\mathfrak{h}$ ,  $M_r(\mathfrak{h}) = M_r(\omega)$ , to be the set of all  $\tau$ -tall vertices to the right of  $\omega$ . See the figure on the right.



Note that the sets  $M_l(\mathfrak{h})$  and  $M_r(\mathfrak{h})$  do not depend on the particular choice of  $\omega$ , since all paths in  $\mathfrak{h}$  are homotopic and so have the same set of  $\tau$ -tall vertices to their left and right side. However, we emphasize that the left and right tall sets do not identify homotopy classes. The figure on the right demonstrates two non-homotopic paths with identical left and right tall sets.



We say that  $\mathfrak{h}$  is  **$\tau$ -extendable** from the left if and only if  $\|\pi_{L,\mathfrak{h}}\| \leq \tau$  and there is a homotopy class  $\mathfrak{h}'$ , such that  $\|\pi_{L,\mathfrak{h}'}\| \leq \tau$  and  $M_l(\mathfrak{h}) \subset M_l(\mathfrak{h}')$ . In particular,  $\mathfrak{h}$  is  **$\tau$ -saturated** if it is not  $\tau$ -extendable and  $\|\pi_{L,\mathfrak{h}}\| \leq \tau$ .

### 4.3.1 On the left and right geodesics

**Lemma 4.5.** *Let  $\mathfrak{h}$  be a  $\tau$ -saturated homotopy class, where  $\tau \geq d_{\mathcal{H}}(\mathbf{T}, \mathbf{B})$ . Then,  $\|\pi_{R,\mathfrak{h}}\| \leq 4\tau$ .*

*Proof:* Let  $\mathfrak{h}_{\text{opt}}$  be the homotopy class of the leashes in the optimum solution. Of course, no leash in the optimum solution contains a  $\tau$ -tall vertex. Further, all leashes in the optimal solution are homotopic because there is a homotopy that contains all of them by definition.

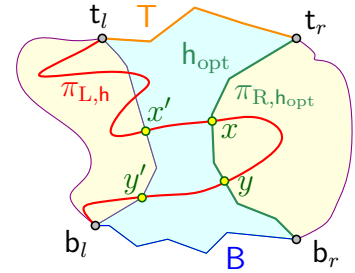
Since  $\mathfrak{h}$  is saturated the set  $M_l(\mathfrak{h})$  is not a proper subset of  $M_l(\mathfrak{h}_{\text{opt}})$ .

If  $M_l(\mathfrak{h}) = M_l(\mathfrak{h}_{\text{opt}})$  then either  $\mathfrak{h} = \mathfrak{h}_{\text{opt}}$ , and in particular  $\|\pi_{R,\mathfrak{h}}\| = \|\pi_{R,\mathfrak{h}_{\text{opt}}}\| \leq \tau$ , or  $\pi_{L,\mathfrak{h}}$  crosses  $\pi_{R,\mathfrak{h}_{\text{opt}}}$ .

Otherwise, the set  $M_l(\mathfrak{h}) \cap M_r(\mathfrak{h}_{\text{opt}})$  is not empty. Again, it follows that  $\pi_{L,\mathfrak{h}}$  crosses  $\pi_{R,\mathfrak{h}_{\text{opt}}}$ .

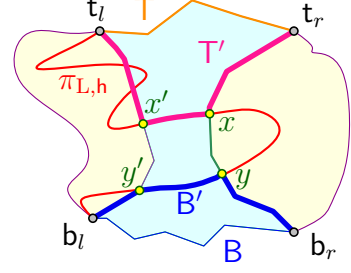
Therefore, we only need to address the case that  $\pi_{L,\mathfrak{h}}$  crosses  $\pi_{R,\mathfrak{h}_{\text{opt}}}$ .

Let  $x$  be the first intersection point between  $\pi_{L,\mathfrak{h}}$  and  $\pi_{R,\mathfrak{h}_{\text{opt}}}$ , as one traverses  $\pi_{L,\mathfrak{h}}$  from  $t_l$  to  $b_l$ . Let  $x'$  be the last intersection point of  $\pi_{L,\mathfrak{h}}[t_l, x]$  with  $\pi_{L,\mathfrak{h}_{\text{opt}}}$ . Similarly,  $y$  is the last intersection point between  $\pi_{L,\mathfrak{h}}$  and  $\pi_{R,\mathfrak{h}_{\text{opt}}}$ , and  $y'$  is the first intersection of  $\pi_{L,\mathfrak{h}}[y, b_l]$  and  $\pi_{L,\mathfrak{h}_{\text{opt}}}$ . Observe that the interiors of  $\pi_{L,\mathfrak{h}}[x', x]$  and  $\pi_{L,\mathfrak{h}}[y, y']$  does not intersect the curves  $\pi_{L,\mathfrak{h}_{\text{opt}}}$  and  $\pi_{R,\mathfrak{h}_{\text{opt}}}$ .



As the curves  $\pi_{L,h}$  and  $\pi_{R,h}$  are homotopic (by definition), the disk with the boundary  $T \cdot \pi_{L,h} \cdot B \cdot \pi_{R,h}$  does not contain any tall vertex, and  $T \cdot \pi_{L,h} \cdot B$  is homotopic to  $\pi_{R,h}$ .

Consider the walk  $T' = \pi_{R,h_{\text{opt}}}[t_r, x] \cdot \pi_{L,h}[x, x'] \cdot \pi_{L,h_{\text{opt}}}[x', t_l]$ . The walk  $T'$  is homotopic to  $T$ . Similarly,  $B' = \pi_{L,h_{\text{opt}}}[b_l, y'] \cdot \pi_{L,h}[y', y] \cdot \pi_{R,h_{\text{opt}}}[y, b_r]$  is homotopic to  $B$ . It follows that  $\pi_{R,h}$  is homotopic to  $T' \cdot \pi_{L,h} \cdot B'$ . As  $\pi_{R,h}$  is the shortest path in its homotopy class with these endpoints, it follows that



$$\|\pi_{R,h}\| \leq \|T' \cdot \pi_{L,h} \cdot B'\| \leq \|\pi_{L,h}\| + (\|\pi_{L,h_{\text{opt}}}\| + \|\pi_{L,h}\| + \|\pi_{R,h_{\text{opt}}}\|) \leq 4\tau,$$

as  $T'$  and  $B'$  are disjoint, and  $T' \cup B' \subseteq \pi_{R,h_{\text{opt}}} \cup \pi_{L,h_{\text{opt}}} \cup \pi_{L,h}$ . ■

A region that contains no  $\tau$ -tall vertices can still, potentially, contain  $\tau$ -tall points (that are not vertices) on its edges or faces. We next prove that this does not happen in our settings.

**Lemma 4.6.** *Let  $h$  be a  $\tau$ -homotopy class, such that  $\max(\|\pi_{L,h}\|, \|\pi_{R,h}\|) \leq x$ , where  $x \geq \tau \geq d_{\mathcal{H}}(T, B)$ . Let  $\mathcal{D}'$  be the disk with boundary  $T \cdot \pi_{R,h} \cdot B \cdot \pi_{L,h}$ . Then, all the points inside  $\mathcal{D}'$  are within distance  $O(x)$  to both  $T$  and  $B$  in  $\mathcal{D}'$ .*

*Proof:* We first consider the continuous case. By the definition of  $\tau$ -homotopy, the disk  $\mathcal{D}'$  has no  $\tau$ -tall vertices. Furthermore, by the definition of  $x$ , we have that the distance of any point on  $T$  to  $B$ , restricted to paths in  $\mathcal{D}'$  is at most  $\delta_1$ , where  $\delta_1 = x + d_{\mathcal{F}}(T, B) \leq 2x$ . Indeed, the shortest path from any point on  $T$  to  $B$  in  $\mathcal{D}'$ , either stays inside  $\mathcal{D}'$ , or alternatively intersects either  $\pi_{L,h}$  or  $\pi_{R,h}$ .

We can now deploy the decomposition of  $\mathcal{D}'$  into strips, pockets and chunks as done in Section 3.2. Every strip (or a chunk) is being swept by a leash of length at most  $\delta_2 = 2\delta_1 \leq 4x$  (the factor two is because a strip might rise out of a delta), and as such the claim trivially holds for points inside such regions.

Every pocket  $P$  has perimeter of length at most  $\|\partial P\| \leq \delta_3 = 2\delta_2 = 8x$  (the perimeter also contains two points of  $T$  and  $B$  and they are in distance at most  $\delta_2$  from each other in either direction along the perimeter).

So consider such a pocket  $P$ . Since  $\mathcal{D}'$  contains no  $\tau$ -tall vertices,  $P$  does not contain any tall vertex. Let  $e$  be an edge in  $P$  (or a subedge if it intersects the boundary of  $P$ ). The two endpoints of  $e$  are in  $P$ , and such an endpoint is either a (not tall) vertex or it is contained in  $\partial P$ . In either case, these endpoints are in distance at most  $x$  from  $\partial P$ , and as such they are in distance at most  $\delta_4 = 2x + \|\partial P\|/2 = 2x + \delta_2 \leq 6x$  from each other (inside  $P$ ). We conclude that  $\|e\| \leq \delta_4$ , and as such, any point in  $e$  is in distance at most  $\delta_5 = \|e\|/2 + x + \delta_2 \leq 3x + x + 8x \leq 12x$  from  $T$  and  $B$ .

Now, consider any point  $p$  in  $P$ , and consider the face  $F$  that contains it. Since the surface is triangulated,  $F$  is a triangle. Clipping  $F$  to  $P$  results in a planar region  $F'$  that has perimeter at most  $\delta_6 = 3\delta_4 + \|\partial P\| \leq 3 \cdot 6x + \delta_3 \leq (18 + 8)x \leq 26x$  (note, that an edge might be fragmented into several subedges, but the distance between the furthest two points

along a single edge is at most  $\delta_4$  using the same argument as above). As such, the furthest a point of  $\mathcal{P}$  can be from an edge of  $\mathcal{P}$  is at most  $\delta_7 = \delta_6/2\pi \leq 5x$ . As such, the maximum distance of a point of  $\mathcal{P}$  from either  $\mathsf{T}$  or  $\mathsf{B}$  (inside  $\mathcal{D}'$ ) is at most  $\delta_5 + \delta_7 \leq 12x + 5x = 17x$ .

The discrete case is easy. Any edge of length  $\geq 2\tau$  was split, by introducing a middle vertex, which must be  $\tau$ -tall. As such, the claim immediately holds. ■

### 4.3.2 The decision algorithm

**Lemma 4.7.** *Let  $\mathcal{D}, n, \mathsf{T}, \mathsf{L}, \mathsf{B}, \mathsf{R}, \mathbf{t}_l, \mathbf{b}_l, \tau$  be as above, and let  $X \subseteq \mathsf{V}(\mathcal{D})$  be a set of  $\tau$ -tall vertices. Consider the shortest path  $\sigma_l$  (between  $\mathbf{t}_l$  and  $\mathbf{b}_l$ ) that belongs to any homotopy class  $\mathbf{h}$  such that  $X \subseteq \mathsf{M}_l(\mathbf{h})$ . Then, the path  $\sigma_l$  can be computed in  $O(n^4 \log n)$  (resp.  $O(n \log n)$ ) time in the continuous (resp. discrete) case.*

*Proof:* For each vertex of  $v \in X$ , compute its shortest path  $\psi_v$  to  $\mathsf{L}$  in  $\mathcal{D}$ . Cut the disk  $\mathcal{D}$  along these paths. The result is a topological disk  $\mathcal{D}'$ . Compute the shortest path  $\zeta$  in  $\mathcal{D}'$  between  $\mathbf{t}_l$  and  $\mathbf{b}_l$ .

We claim that  $\zeta = \sigma_l$ . To this end, consider  $\sigma_l$  and any path  $\psi_v$  computed by the algorithm. We claim that  $\sigma_l$  and  $\psi_v$  do not cross in their interior. Indeed, if  $\sigma_l$  cross  $\psi_v$  an odd number of times, then  $v$  is inside the disk  $\sigma_l \cdot \mathsf{T} \cdot \mathsf{R} \cdot \mathsf{B}$ , which contradicts the condition that  $v \in X \subseteq \mathsf{M}_l(\mathbf{h})$ . Clearly,  $\sigma_l$  and  $\psi_v$  cannot cross in their interiors more than once, because otherwise, one can shorten one of them, which is a contradiction as they are both shortest paths. Thus,  $\sigma_l$  is a path in  $\mathcal{D}'$  connecting  $\mathbf{t}_l$  to  $\mathbf{b}_l$ , thus implying that  $\zeta$  is  $\sigma_l$ .

As for the running time, each shortest path computation takes time  $O(n^2 \log n)$ , in the continuous (resp. discrete) case. The resulting disk has complexity  $O(n^2)$ , and computing a shortest path in it takes  $O(n^4 \log n)$  time in the continuous case. In the discrete case, computing the paths can be done by collapsing  $\mathsf{L}$  to a vertex, forbid the shortest path tree edges, and run shortest path algorithm in the remaining graph. Clearly, this takes  $O(n \log n)$  time. ■

**Lemma 4.8.** *Let  $\mathcal{D}$  be a triangulated topological disk with  $n$  faces, and  $\mathsf{T}$  and  $\mathsf{B}$  be two internally disjoint walks on  $\mathcal{D}$ 's boundary. Given  $\tau > 0$ , one can compute a  $\tau$ -saturated homotopy class, in  $O(n^5 \log n)$  (resp.  $O(n^2 \log n)$ ) time, in the continuous (resp. discrete) case.*

*Proof:* Start with an empty initial set  $X = \emptyset$ . At each iteration, try adding one of the  $\tau$ -tall vertices  $v \in \mathsf{M}^\tau$  of  $\mathcal{D}$  to  $X$ , by using Lemma 4.7. The algorithm of Lemma 4.7 outputs a path  $\sigma$  between  $\mathbf{t}_l$  and  $\mathbf{b}_l$  and a set  $X' \supset X \cup \{v\}$ .

If  $\sigma$  is of length at most  $\tau$  update  $X$  to be the new set  $X'$ , otherwise reject  $v$ . If  $v$  is rejected then the left geodesic of any superset of  $X \cup \{v\}$  has length larger than  $\tau$ . It follows that  $v$  cannot be accepted in any later iteration, so we do not need to reinspect it. Clearly, after trying all the vertices of  $\mathsf{M}^\tau$ , the set  $X$  defines the desired saturated class, which can be computed by using the algorithm of Lemma 4.7. ■

**Lemma 4.9.** *Let  $\mathcal{D}$  be a triangulated topological disk with  $n$  faces, and  $\mathsf{T}$  and  $\mathsf{B}$  be two internally disjoint walks on the boundary of  $\mathcal{D}$ . Given a real number  $x > 0$ , one can either:*

- (A) *Compute a homotopy from  $\mathsf{T}$  to  $\mathsf{B}$  of width  $O(x \log n)$ .*
- (B) *Return that  $x < \mathsf{d}_{\mathcal{H}}(\mathsf{T}, \mathsf{B})$ .*

*The running time of this procedure is  $O(n^5 \log n)$  (resp.  $O(n^2 \log n)$ ) in the continuous (resp. discrete) case.*

*Proof:* Assume  $x \geq \delta_H = \mathsf{d}_{\mathcal{H}}(\mathsf{T}, \mathsf{B})$ , and we use  $x$  as a guess for this value  $\delta_H$ . Using Lemma 4.8, one can compute a  $x$ -saturated homotopy class,  $\mathsf{h}$ . Lemma 4.5 implies that both  $\pi_{\mathsf{L}, \mathsf{h}}$  and  $\pi_{\mathsf{R}, \mathsf{h}}$  are at most  $4x$ . Let  $\mathcal{D}' \subseteq \mathcal{D}$  be the disc with boundary  $\mathsf{T} \cup \pi_{\mathsf{L}, \mathsf{h}} \cup \mathsf{B} \cup \pi_{\mathsf{R}, \mathsf{h}}$ . By Lemma 4.6, all the vertices in  $\mathcal{D}'$  are in distance  $O(x)$  from  $\mathsf{T}$  and  $\mathsf{B}$  (this holds for all points in  $\mathcal{D}'$  in the continuous case). That is, there are no  $O(x)$ -tall vertices in  $\mathcal{D}'$ . Finally, Lemma 4.4 implies that a continuous leash sequence of height  $\leq Z = O(x \log n)$  between  $\mathsf{T}$  and  $\mathsf{B}$ , inside  $\mathcal{D}'$ , can be computed.

Thus, if  $x$  is larger than  $\mathsf{d}_{\mathcal{H}}(\mathsf{T}, \mathsf{B})$  then this algorithm returns the desired approximation; that is, a homotopy of width  $\leq Z$ . If the width of the generated homotopy is however larger than  $Z$  (a value that can be computed directly from  $x$ ), then the value of  $x$  was too small. That is, the algorithm fails in this case only if  $x < \mathsf{d}_{\mathcal{H}}(\mathsf{T}, \mathsf{B})$ . In the case of such failure, return that  $x$  is too small.  $\blacksquare$

## 4.4 A strongly polynomial approximation algorithm

For a vertex  $v \in \mathsf{V}(\mathcal{D})$ , define  $\mathsf{cost}(v)$  to be the length of the shortest path between  $\mathsf{t}_l$  and  $\mathsf{b}_l$  that has  $v$  on its left side. Similarly, for a set of vertices  $X \subseteq \mathsf{V}(\mathcal{D})$ , let  $\mathsf{Cost}(X)$  be the length of the shortest path between  $\mathsf{t}_l$  and  $\mathsf{b}_l$  that has  $X$  on its left side. For a specific  $v$  or  $X$ , one can compute  $\mathsf{cost}(v)$  and  $\mathsf{Cost}(X)$  by invoking the algorithm of Lemma 4.7 once.

### 4.4.1 The algorithm

- (I) **Identifying the tall vertices.** Observe that using the algorithm of Lemma 4.9, we can decide given a candidate value  $\delta_H$  for  $\mathsf{d}_{\mathcal{H}}(\mathsf{T}, \mathsf{B})$  if it is too large, too small, or leads to the desired approximation. Indeed, if the algorithm returns an approximation of values  $O(\delta_H \log n)$  but fails for  $\delta_H/2$ , we know it is the desired approximation.

So, compute for each vertex  $v \in \mathsf{V}(\mathcal{D})$  its tallness; that is  $\alpha_v$  would be the maximum distance of  $v$  to either  $\mathsf{T}$  or  $\mathsf{B}$ . Sort these values, and using binary search, compute the vertex  $w$ , with the minimum value  $\alpha_w$ , such that Lemma 4.9 returns a parametrization with homotopic Frechét distance  $O(\alpha_w \log n)$ . If the algorithm of Lemma 4.9 returns that  $\alpha_w/n$  is too small of a guess, then  $[\alpha_w/n, \alpha_w \log n]$  contains  $\delta_H$ . In this case, we can use binary search to find an interval  $[\gamma/2, \gamma]$  that contains  $\delta_H$  and use Lemma 4.9 to obtain the desired approximation. Similarly, if  $v$  is the tallest vertex shorter than  $w$ , then we can assume that  $\alpha_v n$  is too small of a guess, otherwise we are again done as  $[\alpha_v, \alpha_v n]$  contains  $\delta_H$ .

As such, in the following, we know that the desired distance  $\delta_H$  lies in interval  $[x, y]$  where  $x = \alpha_v n$  and  $y = \alpha_w/n$ , and for every vertex  $u$  of  $\mathcal{D}$  it holds that (i)  $\alpha_u \leq x/n$ ,

or (ii)  $\alpha_u \geq yn$ . Naturally, we consider all the vertices that satisfy (ii) as tall vertices, by setting  $\tau = 2x/n$ . In the following, let  $\mathbf{M}$  denote the set of these  $\tau$ -tall vertices.

(II) **Computing candidate homotopy classes.** Start with  $X_0 = \emptyset$ . In the  $i$ th iteration, the algorithm computes the vertex  $v_i \in \mathbf{M} \setminus X_{i-1}$ , such that  $\text{Cost}(X_{i-1} \cup \{v_i\})$  is minimized, and set  $X_i = X_{i-1} \cup \{v_i\}$ . Let  $\mathbf{h}_i$  be the homotopy class having  $X_i$  on its left side, and  $\mathbf{M} \setminus X_i$  on its right side.

(III) **Binary search over candidates.** We approximate the homotopic Frechét width of each one of the classes  $\mathbf{h}_1, \dots, \mathbf{h}_n$ . Let  $x$  be the minimum homotopic Frechét width computed among these  $n$  candidates.

Next, do a binary search in the interval  $[x/n^2, x]$  for the homotopic Frechét distance. We return the smallest width reparametrization computed as the desired approximation.

#### 4.4.2 Analysis

**Lemma 4.10.** (i) For any  $X' \subseteq X \subseteq \mathbf{V}(\mathcal{D})$ , we have  $\text{Cost}(X') \leq \text{Cost}(X)$ .

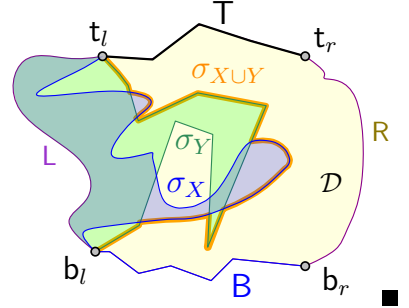
(ii) For any  $x \in X \subseteq \mathbf{V}(\mathcal{D})$ , we have  $\text{cost}(x) \leq \text{Cost}(X)$ .

(iii) For  $X, Y \subseteq \mathbf{V}(\mathcal{D})$ , we have that  $\text{Cost}(X \cup Y) \leq \text{Cost}(X) + \text{Cost}(Y)$ .

*Proof:* (i) Observe that the path realizing  $\text{Cost}(X')$  is less constrained than the path realizing  $\text{Cost}(X)$ , as such it might only be shorter.

(ii) Follows immediately from (i).

(iii) Consider the disk  $\mathcal{D}$  and the two paths  $\sigma_X$  and  $\sigma_Y$  realizing  $\text{Cost}(X)$  and  $\text{Cost}(Y)$ , respectively. The close curves  $\sigma_X \cup \mathbf{L}$  and  $\sigma_Y \cup \mathbf{L}$  encloses two topological disks. Consider the union of these two disks, and its connected outer boundary  $\sigma_{X \cup Y} \cup \mathbf{L}$ . Clearly,  $\sigma_{X \cup Y}$  connects  $\mathbf{t}_l$  and  $\mathbf{b}_l$ , and it has all the points of  $X$  and  $Y$  on one side of it, and finally  $\|\sigma_{X \cup Y}\| \leq \|\sigma_X\| + \|\sigma_Y\|$  as  $\sigma_{X \cup Y} \subseteq \sigma_X \cup \sigma_Y$ . See the figure on the right.



**Lemma 4.11.** The cheapest homotopic Frechét parametrization computed among  $\mathbf{h}_1, \dots, \mathbf{h}_n$  has width  $O(d_{\mathcal{H}}(\mathbf{T}, \mathbf{B}) n \log n)$ .

*Proof:* Consider the set  $Y$  that is the subset of tall vertices on the left side of the optimal solution. Let  $i$  be the first index such that  $Y \subseteq X_i$  and  $Y \not\subseteq X_{i-1}$ . Let  $v$  be any vertex in  $Y \setminus X_{i-1}$ . By construction, we have that  $\text{Cost}(X_i) \leq \text{Cost}(X_{i-1} \cup \{v\})$ , and furthermore, for all  $j \leq i$ , we have that  $\text{Cost}(X_j) \leq \text{Cost}(X_{j-1} \cup \{v\})$ , by the greediness in the construction

of  $X_1, \dots, X_i$ . Now, we have

$$\begin{aligned}
\text{Cost}(X_i) &\leq \text{Cost}(X_{i-1} \cup \{v\}) && \text{(by construction of } X_i) \\
&\leq \text{Cost}(X_{i-1}) + \text{cost}(v) && \text{(by Lemma 4.10 (iii))} \\
&\leq \text{Cost}(X_{i-1}) + \text{Cost}(Y) && \text{(by Lemma 4.10 (ii))} \\
&\leq (\text{Cost}(X_{i-2}) + \text{Cost}(Y)) + \text{Cost}(Y) && \text{(applying same argument to } X_{i-1}) \\
&= \text{Cost}(X_{i-2}) + 2\text{Cost}(Y) \\
&\leq \dots \leq i\text{Cost}(Y) \leq n\text{Cost}(Y).
\end{aligned}$$

Now, setting  $\tau = \text{Cost}(X_i)$ , it follows that  $X_i$  is  $\tau$ -saturated. Applying Lemma 4.5, implies that  $\|\pi_{\mathbb{R}, h_i}\| \leq 4\tau$ . Observe, that the disk defined by  $\mathbb{T}$ ,  $\pi_{\mathbb{L}, h_i}$ ,  $\mathbb{B}$ ,  $\pi_{\mathbb{R}, h_i}$  cannot contain any tall vertex (by construction).

Now, plugging this into Lemma 4.4 implies the homotopic Frechét width of  $h_i$  (starting with  $\pi_{\mathbb{L}, h_i}$  and ending up with  $\pi_{\mathbb{R}, h_i}$ ) is  $O(\tau \log n)$ , which implies the claim since  $\text{Cost}(X_i) \leq n\text{Cost}(Y) \leq nd_{\mathcal{H}}(\mathbb{T}, \mathbb{B})$ . ■

### 4.4.3 The algorithm

**Theorem 4.12.** *Let  $\mathcal{D}$  be a triangulated topological disk with  $n$  faces, and  $\mathbb{T}$  and  $\mathbb{B}$  be two internally disjoint walks on the boundary of  $\mathcal{D}$ . One can compute a homotopic Frechét parametrization of  $\mathbb{T}$  and  $\mathbb{B}$  of width  $O(d_{\mathcal{H}}(\mathbb{T}, \mathbb{B}) \log n)$ , where  $d_{\mathcal{H}}(\mathbb{T}, \mathbb{B})$  is the homotopic Frechét distance between  $\mathbb{T}$  and  $\mathbb{B}$  in  $\mathcal{D}$ .*

*The running time of this procedure is  $O(n^6 \log n)$  (resp.  $O(n^3 \log n)$ ) in the continuous (resp. discrete) case.*

*Proof:* For correctness, observe that the algorithm either found the desired value, or identified correctly the tall vertices. Next, by Lemma 4.11, the range the algorithm searches over contains the desired value.

The algorithm requires  $O(n^2)$  calls to Lemma 4.7, which takes  $O(n^6 \log n)$  (resp.  $O(n^3 \log n)$ ) time in the continuous (resp. discrete) case. Then, the algorithm requires Lemma 4.4 to compute the homotopic Frechét distance of the classes  $h_1, \dots, h_n$ . The algorithm also performs  $O(\log n)$  calls to the algorithm of Lemma 4.9. ■

## 5 Conclusions

We presented a  $O(\log n)$  approximation algorithm for approximating the homotopy height and the homotopic Frechét distance between curves on piecewise linear surfaces. It seems quite believable that the approximation quality can be further improved, and we leave this as the main open problem. Since our algorithm works both for the continuous and discrete cases, it seems natural to conjecture that this algorithm should also work for more general surfaces and metrics.

Our basic algorithm (Theorem 2.3) is inspired to some extent by the proof of the planar separator theorem [LT79]. In particular, our result implies sufficient conditions to having a separator that can continuously deform from enclosing nothing in a planar graph, till it encloses the whole graph, without being too long at any point in time. As such, our work can be viewed as extending the planar separator theorem. A natural open problem is to extend our work to graphs with higher genus.

**Acknowledgments** The authors thank Jeff Erickson and Gary Miller for their comments and suggestions.

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## A Some standard definitions used in the paper

### A.1 Planar Graphs

An *embedding* of a graph  $G$  on the plane maps the vertices of  $G$  to different points on the plane and its edges to disjoint paths except for the endpoints. The faces of an embedding are maximal connected subsets of the plane that are disjoint from the image of the graph. We use  $\partial F$  to denote the boundary of a set of faces  $F$ . We abuse the notation and use  $\partial G$  to denote the boundary of outer face of  $G$ . In particular,  $\partial f$  refers to the boundary of a single face  $f$ . The term *plane graph* refers to a graph together with its embedding on the plane.

The *dual* graph  $G^*$  of a plane graph  $G$  is the (multi-)graph whose vertices correspond to the faces of  $G$ , where two faces are joined by a (dual) edge if and only if their corresponding faces are separated by an edge of  $G$ . Thus, any edge  $e$  in  $G$  corresponds to a dual edge  $e^*$  in  $G^*$ , any vertex  $v$  in  $G$  corresponds to a face  $v^*$  in  $G^*$  and any face  $f$  in  $G^*$  corresponds to a vertex  $f^*$  in  $G^*$ .

Let  $G = (V, E)$  be a simple undirected plane graph with edge weights  $w : E \rightarrow \mathbb{R}^+$ . A **walk**  $W$  in  $G$  is a sequence of vertices  $(v_1, v_2, \dots, v_k)$  such that each adjacent pair  $e_i = (v_i, v_{i+1})$  is an edge in  $G$ . The length of  $W$  is  $\|W\| = \sum_{i=1}^{k-1} w(e_i)$ .

Let  $v_i$  and  $v_j$  be two vertices that appear on  $W$ . By  $W[v_i, v_j]$  we mean the sub-walk of  $W$  that starts from the first appearance of  $v_i$  and ends at the first appearance of  $v_j$  after  $v_i$  on  $W$ . For two walks,  $W_1 = (v_1, v_2, \dots, v_i)$  and  $W_2 = (v_i, v_{i+1}, \dots, v_j)$ , we define their **concatenation** to be  $W_1 \cdot W_2 = (v_1, v_2, \dots, v_i, v_{i+1}, \dots, v_j)$ .

A walk with distinct vertices is called a **path**. We use the terms  $(u, v)$ -walk to refer to a walk that starts at  $u$  and ends in  $v$ ;  $(u, v)$ -path is defined similarly. A walk is closed if its first and last vertices are identical. A closed path is a **cycle**. Two walks *cross* if and only if their images cross on the plane. That is, no infinitesimal perturbation makes them disjoint.

## A.2 Piecewise Linear Surfaces and Geodesics

A **piecewise linear** surface is composed of finite number of Euclidean triangles by identifying pairs of equal length edges. In this paper we work with piecewise linear surfaces that can be embedded in three dimensional space such that all triangles are flat and the surface does not cross itself. Equivalently, the surface can be presented by a set of edges and three dimensional coordinates of the vertices.

We say that a triangulated surface is **non-degenerate** if no interior point has curvature 0, i.e. when for every non-boundary vertex  $x$ , the sum of the angles of the triangles incident to  $x$  is not equal to  $2\pi$ . We can turn any triangulated surface into a non-degenerate one by perturbing all edge lengths by a factor of at most  $1 + \epsilon$ , for some  $\epsilon = O(1/n^2)$ . This changes the metric of the surface by at most a factor of  $O(1 + 1/n)$ , and thus the minimum height of a homotopy. Since such a factor will be negligible for our approximation guarantee, we can assume that the input surface is always non-degenerate.

A **path**  $\gamma$  on the surface  $\mathcal{D}$  is a function  $\gamma : [0, 1] \rightarrow \mathcal{D}$ ;  $\gamma(0)$  and  $\gamma(1)$  are the endpoints of the path. We use  $\|\gamma\|$  to denote the length of  $\gamma$ . The path  $\gamma$  is **simple** if and only if it maps  $[0, 1]$  to distinct points on  $\mathcal{D}$ . A path is a **geodesic** if and only if it is locally a shortest path; i.e. it cannot be shortened by an infinitesimal perturbation. In particular, global shortest paths are geodesics. We use the term **curve** as an alternative for path. A path or a curve is polygonal if it is composed of a finite number of segments.

Mitchel *et al.* [MMP87] describe an algorithm to compute the shortest path distance from a single source to the whole surface in  $O(n^2 \log n)$  time. The same algorithm can be adapted to compute the shortest path distance from an edge to the whole surface in the same running time. It follows that the shortest path from a set of  $O(n)$  edges to the whole surface can be computed in  $O(n^3 \log n)$ .

The shortest path from a point in  $\mathcal{D}$  to a set is a geodesic. So, it is a polygonal line that intersects every edge at most once at a point and passes through a face along a segment. The shortest path crossing an edge looks locally like a straight segment, if one rotates the adjacent faces so that they are coplanar. See [MMP87] for more details.

Let the source  $S$  be a set of edges of  $\mathcal{D}$  and let  $\pi$  be a shortest path from a point  $p$  to  $S$ . We define the **signature** of  $\pi$ , to be the ordered set of edges (crossed or used) by  $\pi$ . Since

$\pi$  is locally a straight segment, we can rotate all faces that intersect  $\pi$  one by one so that  $\pi$  becomes a straight line. It follows that there cannot be two geodesics from  $p$  with the same signature.

A point  $p$  on the surface is a **medial** point if there are more than one shortest paths (with different signatures) from  $p$  to  $S$ .

### A.3 Homotopy and Leash Function

Let  $\gamma$  and  $\gamma'$  be two paths with same endpoints  $s$  and  $t$  on a surface  $\mathcal{D}$ . A homotopy  $h : [0, 1] \times [0, 1] \rightarrow \mathcal{D}$  is a continuous function, such that  $h(0, \cdot) = \gamma$ ,  $h(1, \cdot) = \gamma'$ ,  $h(\cdot, 0) = s$  and  $h(\cdot, 1) = t$ . So, for each  $\tau \in [0, 1]$ ,  $h(\tau, \cdot)$  is an  $(s, t)$ -path. The **height** of such a homotopy is defined to be  $\sup_{\tau \in [0, 1]} \|h(\tau, \cdot)\|$ .

Let  $A$  and  $B$  be two disjoint curves. A curve connecting a point in  $A$  to a point in  $B$  is called an  $(A, B)$ -**leash**. We define a  $(A, B)$ -**leash function** to be a function  $f$  that sends every  $\tau \in [0, 1]$  to a leash with endpoints  $a(\tau) \in A$  and  $b(\tau) \in B$  such that  $a : [0, 1] \rightarrow A$  and  $b : [0, 1] \rightarrow B$  are reparametrizations of  $A$  and  $B$ , respectively. We say that a  $(A, B)$ -leash function  $f$  is *continuous* if the leash  $f(\tau)$  varies continuously with  $\tau$ . The **height** of a leash function  $f$  is defined to be  $\sup_{\tau \in [0, 1]} \|f(\tau)\|$ . The *Frechét distance* between  $A$  and  $B$  is the height of the minimum height  $(A, B)$ -leash function. The *homotopic Frechét distance* between  $A$  and  $B$  is the height of the minimum height continuous  $(A, B)$ -leash function.

### A.4 Discrete Problems

Let  $W_1$  be an  $(s, t)$ -walk and  $f$  be a face in  $G$ . Assume that  $\alpha_1$  is a subwalk of  $W_1$  and  $\partial f = \alpha_1 \cup \alpha_2$ , where  $\alpha_1$  and  $\alpha_2$  are walks that share endpoints  $u$  and  $v$ , such that  $u$  is closer to  $s$  on  $W_1$ . We define the **face flip** operation as follows. The walk  $W_2 = W_1[s, u] \cdot \alpha_2 \cdot W_1[v, t]$  is the result of flipping  $W_1$  over  $f$ . In this case, we say that  $W_1$  and  $W_2$  are one face flip operation apart.

Now, let  $W_1$  be an  $(s, t)$ -walk and  $e = (u, v)$  be an edge in  $G$ . Assume that  $u \in W_1$ . We obtain the walk  $W_2 = W_1[s, u] \cdot (u, v) \cdot (v, u) \cdot W_1[u, t]$  after applying a **spike** operation on  $W_1$  along  $e$ . In this case, we can obtain  $W_1$  from  $W_2$  by applying a **reverse spike** operation along  $e$ . We say that  $W_1$  and  $W_2$  are a spike operation apart. In general, we say that  $W_1$  and  $W_2$  are one operation apart if we can transform one to the other using a single face flip, spike, or reverse spike. Letscher and Chambers introduce the same set of operations with the names: face lengthening, face shortening, spike and reverse spike.

Let  $L$  and  $R$  be two  $(s, t)$ -walks on the outer face of  $G$ . We define the sequence of walks  $(L = W_0, W_1, \dots, W_m = R)$  to be a  $(L, R)$ -**discrete homotopy** if and only if for all  $1 \leq i \leq m$ ,  $W_i$  and  $W_{i-1}$  are one operation apart. We may use the word homotopy as a short form of discrete homotopy when it is clear from context. A homotopy is **monotonic** (or equivalently it avoids backward moves) if  $W_{i-1}$  is inside the disc with boundary  $L \cup W_i$  for every  $1 \leq i \leq m$ . The height of the homotopy is defined to be length of the longest walk in its sequence. The homotopy height between  $L$  and  $R$ , is the height of the shortest possible  $(L, R)$ -homotopy.

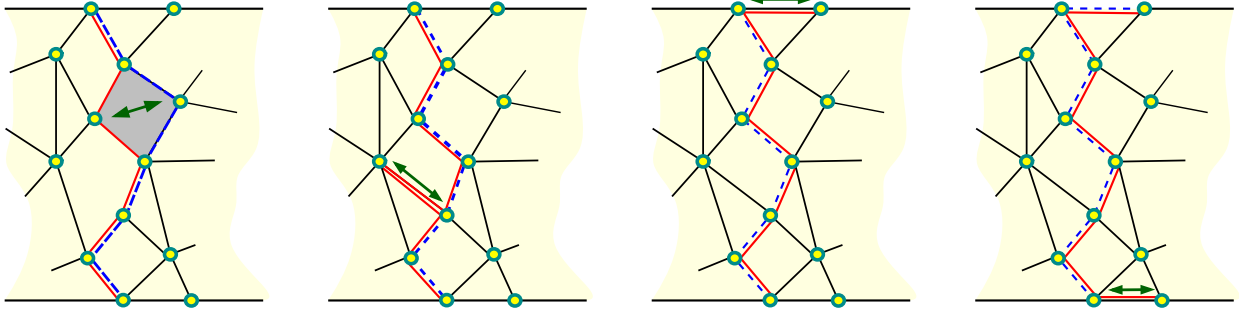


Figure 2: From left to right: face-flip, spike/reverse spike, man-move and dog-move.

Let  $A = (a_0, a_1, \dots, a_k)$  and  $B = (b_0, b_1, \dots, b_l)$  be walks of  $G$ . The walk  $W_1 = (a_i = w_1, w_2, \dots, w_k = b_j)$  changes to the walk  $W_2 = (a_{i+1}, a_i = w_1, w_2, \dots, w_k)$  after a **man move**. Similarly, the walk  $W_1 = (a_i = w_1, w_2, \dots, w_k = b_j)$  changes to the walk  $W_2 = (w_1, w_2, \dots, w_k = b_j, b_{j+1})$  after a **dog move**. We say that the walk  $W_1$  changes to the walk  $W_2$  by a **move** if there is a dog move or a man move that changes  $W_1$  to  $W_2$ . A **leash operation** is a move, a face flip, a spike or a reverse spike.

An  $(A, B)$ -walk is a walk that has one endpoint on  $A$  and one endpoint on  $B$ . A sequence of  $(A, B)$ -walks,  $(W_1, W_2, \dots, W_q)$  is called an  $(A, B)$ -**leash sequence** if  $W_1$  is a  $(a_0, b_0)$ -walk,  $W_q$  is a  $(a_k, b_l)$ -walk and for all  $1 \leq i < q$ ,  $W_i$  changes to  $W_{i+1}$  by a set of leash operations that contains at most one move. The height of a leash sequence is the length of its longest walk. The **discrete Frechét distance** of  $A$  and  $B$  is the height of the minimum height  $(A, B)$ -leash sequence. The leash sequence  $(W_1, W_2, \dots, W_q)$  contains no gap if  $W_i$  changes to  $W_{i+1}$  by exactly one leash operation. The **homotopic discrete Frechét distance** of  $A$  and  $B$  is the height of the minimum height  $(A, B)$ -leash sequence that contains no gap.