

# 15-410

*“Luckily the stack is a simple data structure.”*

## The Process Sep. 6, 2017

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# Synchronization

## Project 0 due tonight

- 11 students have already turned something in
  - Turning something in early is a good idea
- Please see hand-in instructions on P0 web page

## P2/P3/P4 partners

- 7 groups have signed up (to some extent)
  - » ***BOTH PARTNERS*** must register
- Already know who your partner is?
  - ***Please register now***
  - It makes it easier for others to partner
  - It will stem the tide of annoying reminder e-mail

# Synchronization

## Reminders on collaboration

- Project 1 will be *individual*
- Talking about code is ok
- Possessing the code of another is *not ok*
- Different classes have different policies
- We expect you to read and follow the policies of *this* class
  - (As found in the syllabus, which you are required to read)
  - If something is unclear, please mail us

# Synchronization

## What is source code “for”?

- What is done with it?

# Synchronization

**The purpose of code is for *people to read***

- By a reviewer / security auditor
- By your group
- By your manager
- By your successor
- By you six months later (6 hours later if no sleep)

**Oh, yeah, the compiler reads it too**

# Synchronization

**Anybody reading comp.risks?**

## **This lecture**

- **OSC: Chapter 3, but not exactly!**
  - **We are skipping 3.5 and 3.6, including the terrifying “POSIX Shared Memory”**
- **OS:P+P: Sections 3.1-3.3, but not exactly**

# Outline

## Process as pseudo-machine

- (that's *all* there is)

## Process life cycle

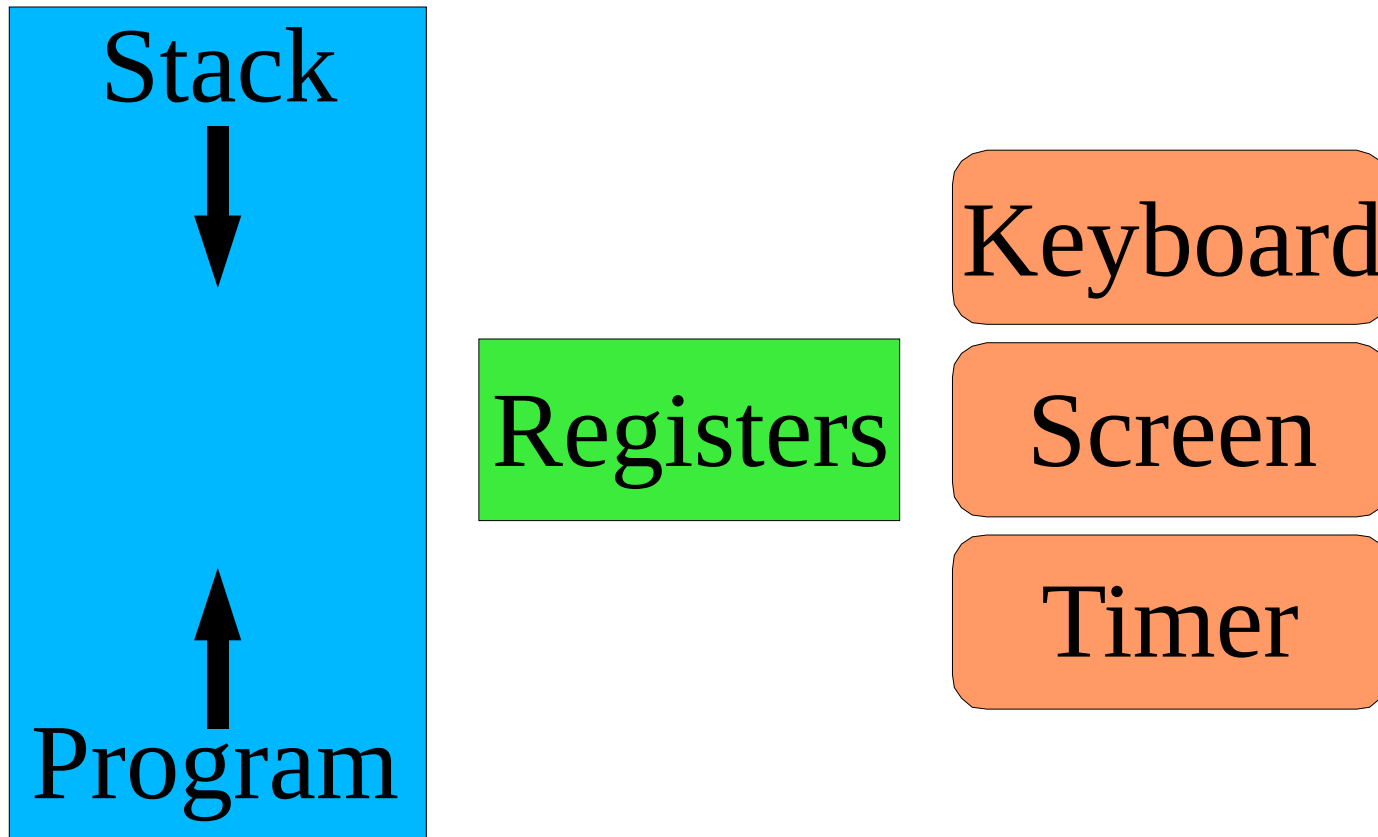
## Process kernel states

## Process kernel state

## P1/P3 memory layout

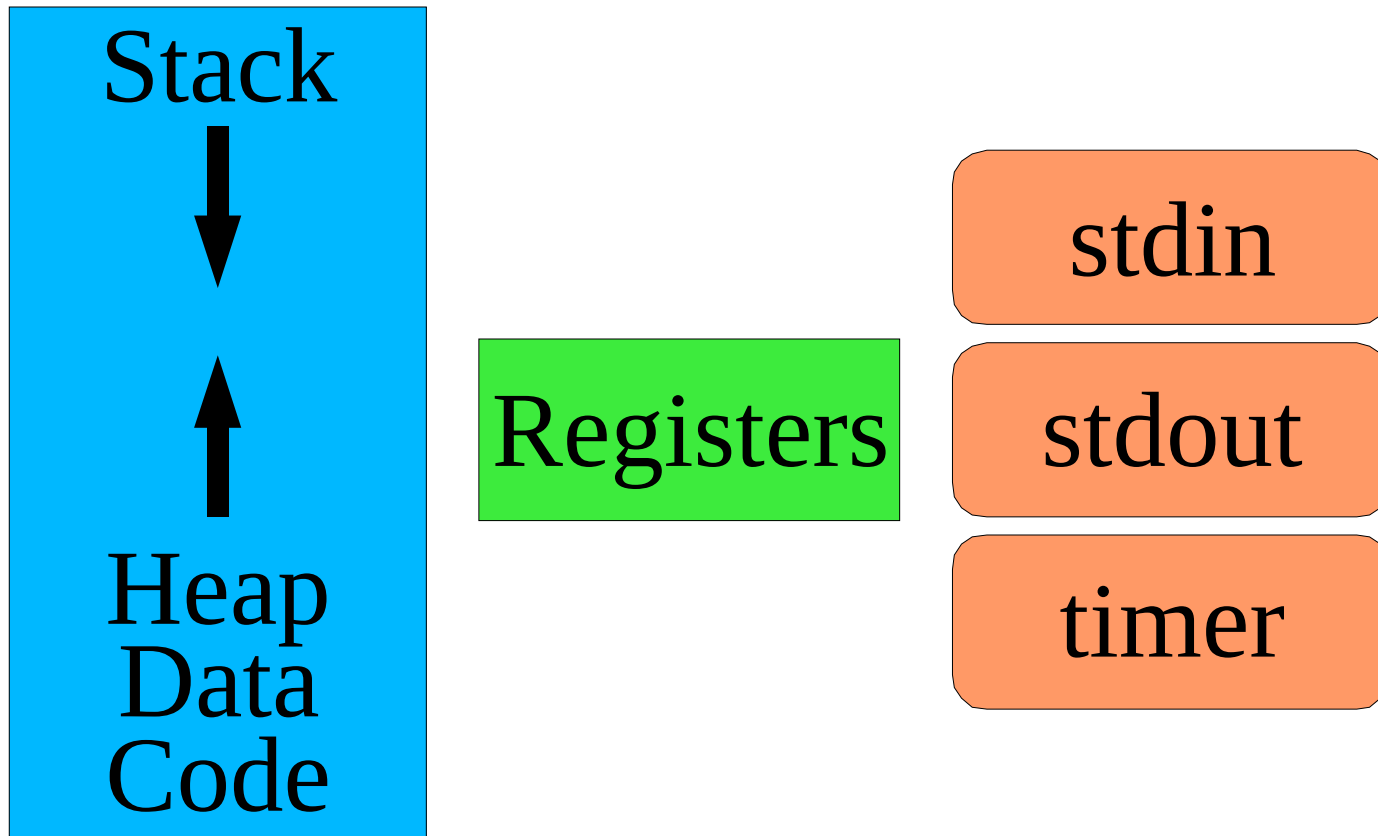
- (just a teaser for now)

# A Computer





# A Process



# Process life cycle

## Life cycle

- Birth
  - (or, well, fission)
- School
- Work
- Death

## Nomenclature

- courtesy of The Godfathers [1988]

# Birth

## Where do new processes come from?

- (Not: under a cabbage leaf, by stork, ...)

## What do we need?

- Memory contents
  - Text, data, stack
- CPU register contents (N of them)
- “I/O ports”
  - File descriptors, e.g., stdin/stdout/stderr
- Hidden “stuff”
  - timer state, current directory, umask

# Birth

**Intimidating?**

**How to specify all of that stuff?**

- What is your {name,quest,favorite\_color}?

**Gee, we already have *one* process we like...**

- Maybe we could use its settings to make a new one...
- Birth via “cloning”

# Birth – fork() - 1

**“fork” - Original Unix process creation system call**

## **Memory**

- Copy all of it
- Later lecture: VM tricks may make copy cheaper

## **Registers**

- Copy all of them
  - All but one: parent learns child's process ID, child gets 0

# Birth – fork() - 2

## File descriptors

- Copy all of them
- Can't copy the *files!*
- Copy *references* to open-file state

## Hidden stuff

- Do whatever is "obvious"

## Result

- Original, “parent”, process
- Fully-specified “child” process – despite 0 parameters to fork()

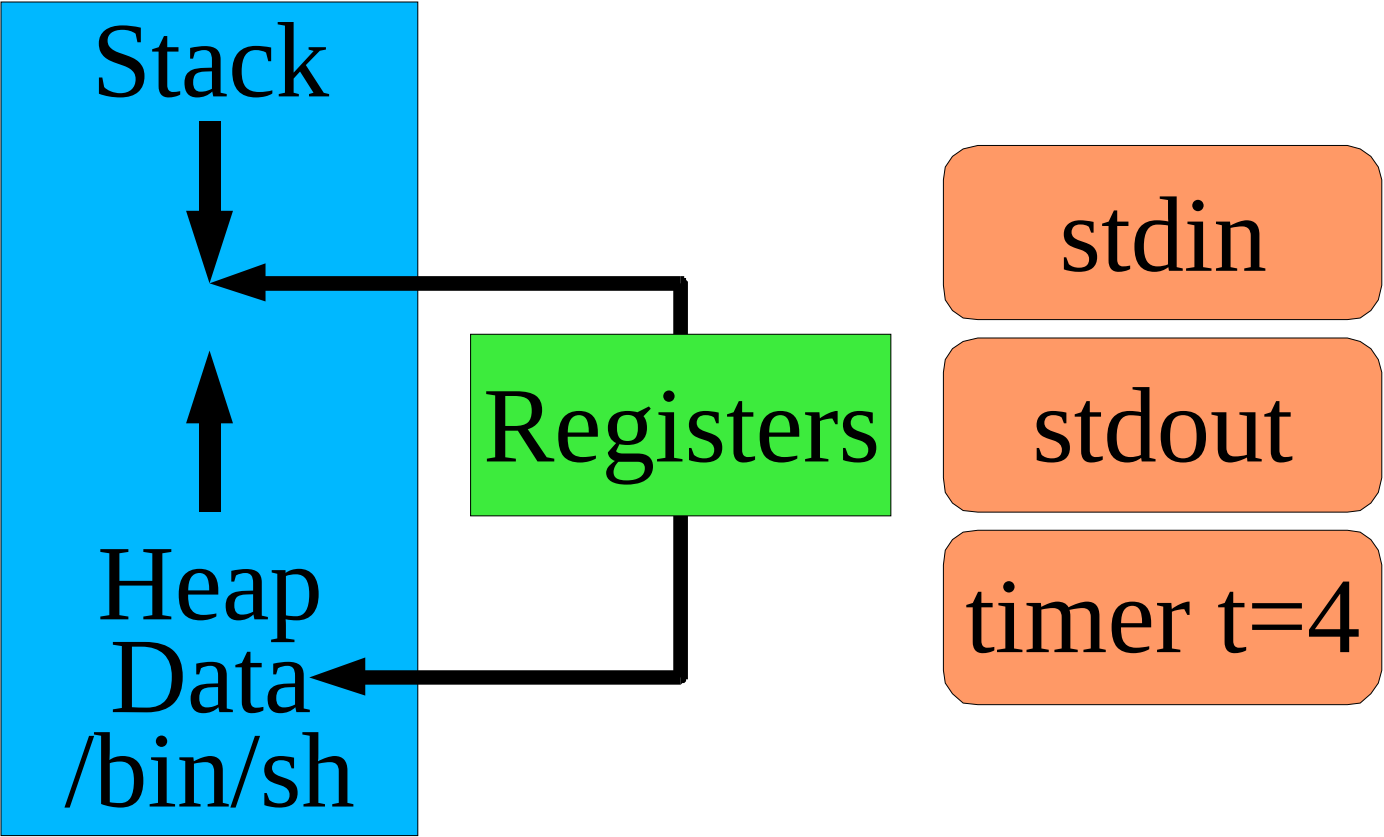
# Now what?

**Two copies of the same process is *boring***

## **Transplant surgery!**

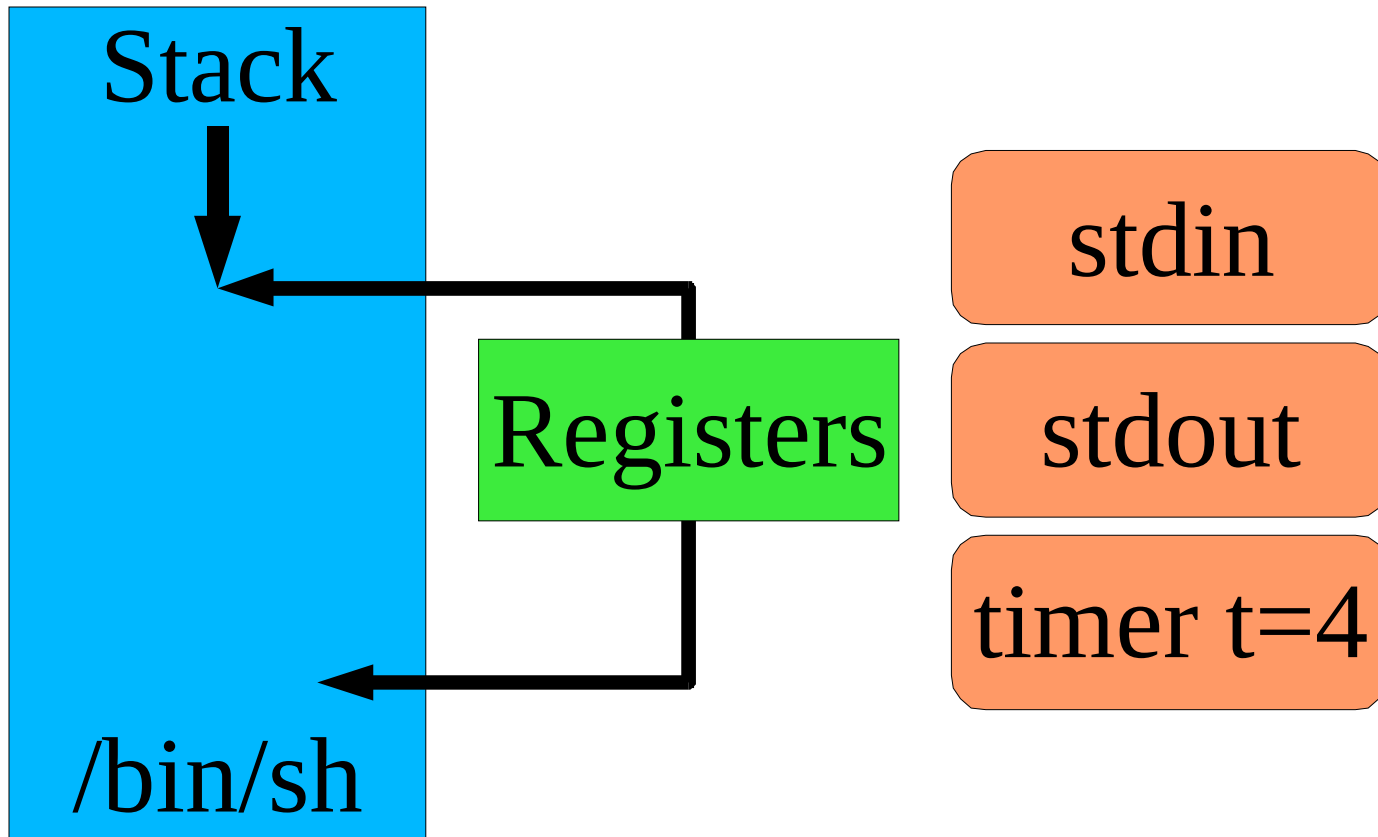
- **Implant new memory!**
  - **New program text**
- **Implant new registers!**
  - **Old ones don't point well into the new memory**
- **Keep (most) file descriptors**
  - **Good for cooperation/delegation**
- **Hidden state?**
  - **Do what's “obvious”**

# Original Process

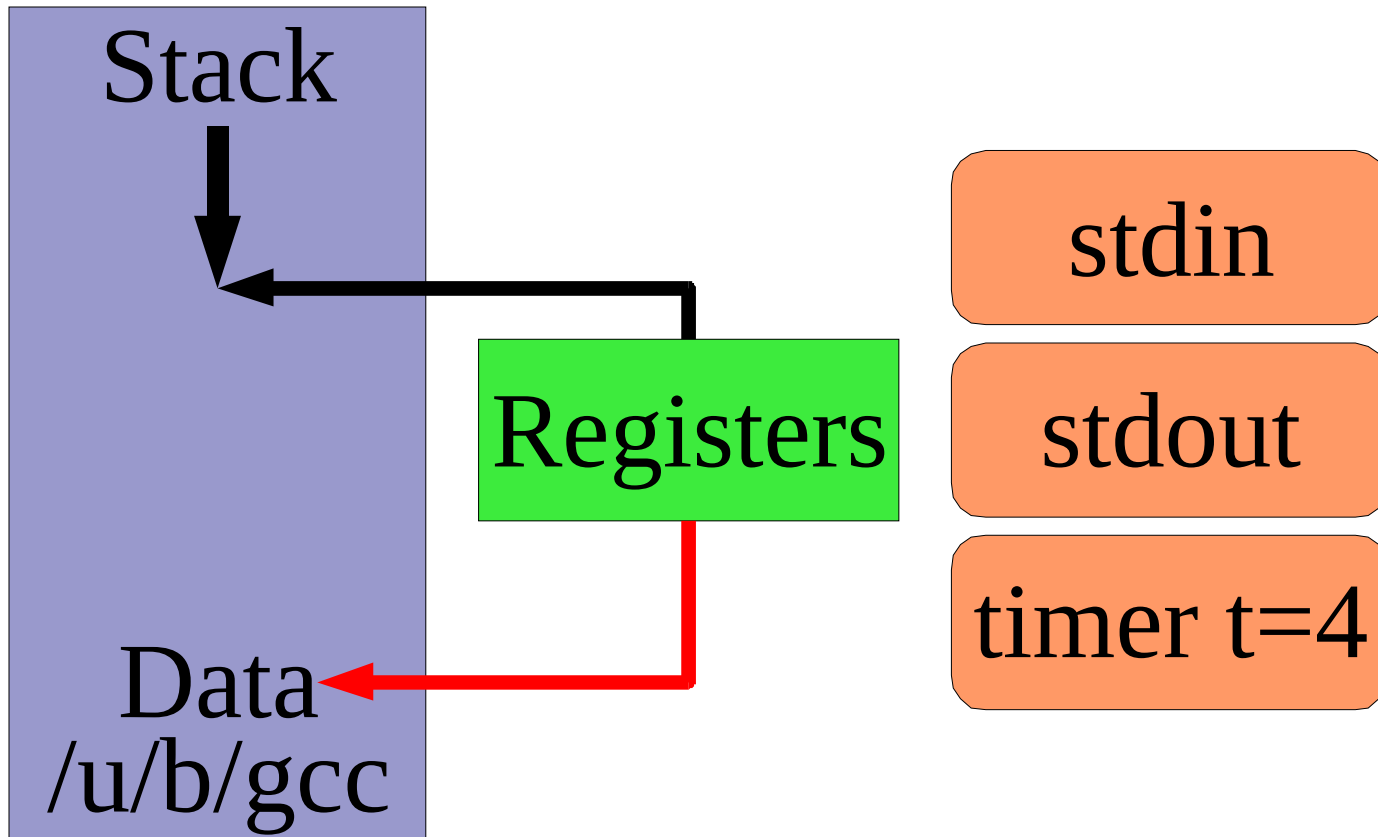




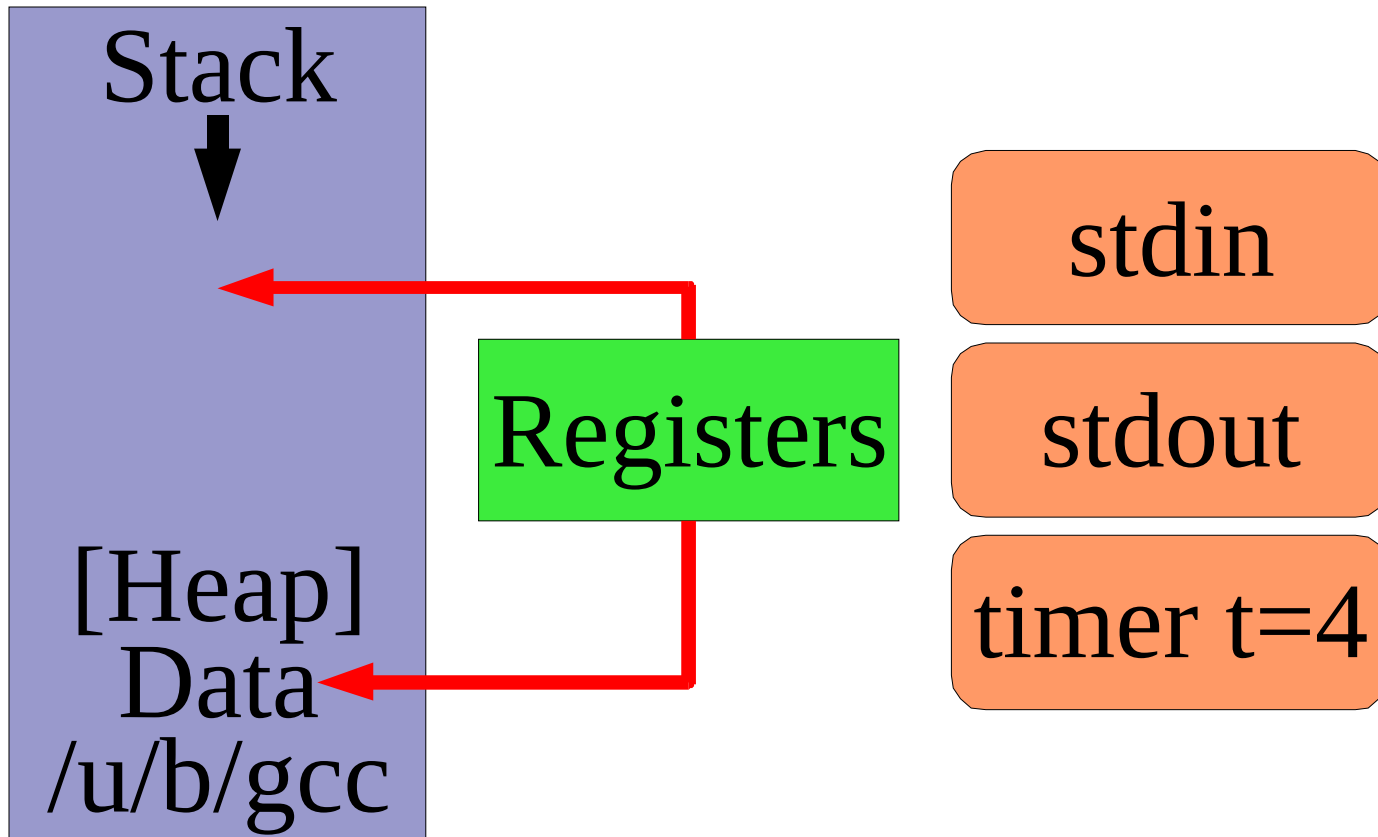
# Toss Heap, Data



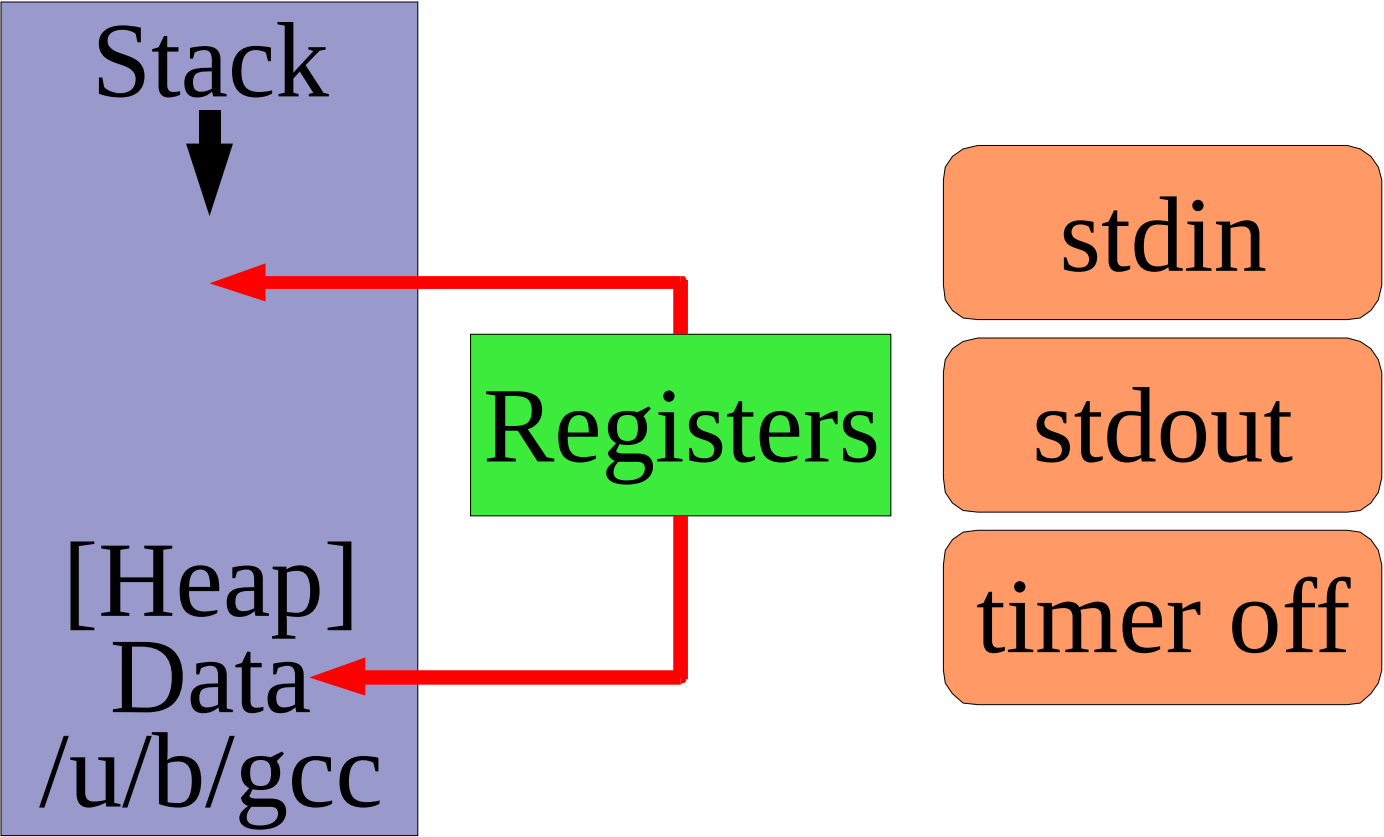
# Load New Code, Data From File



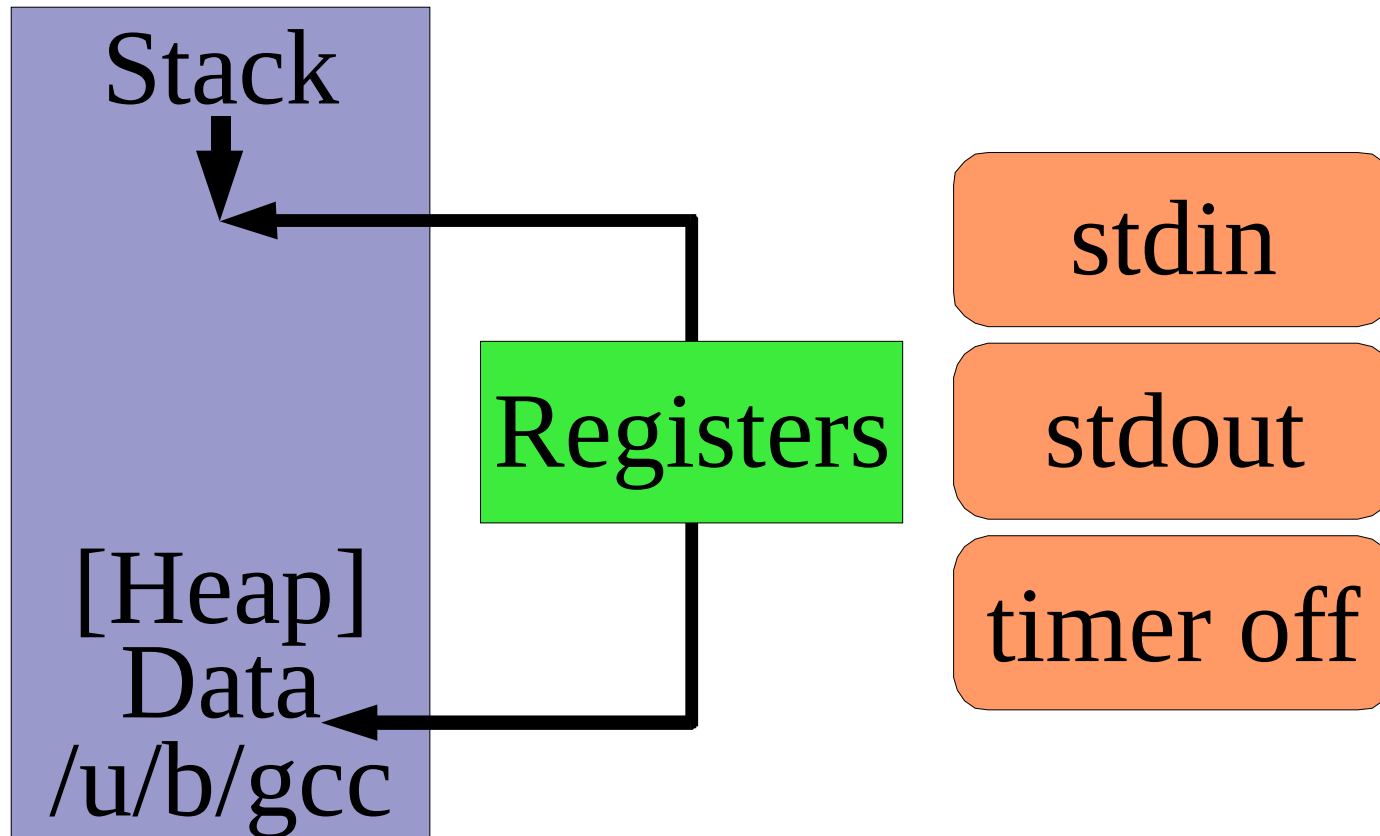
# Reset Stack, Heap



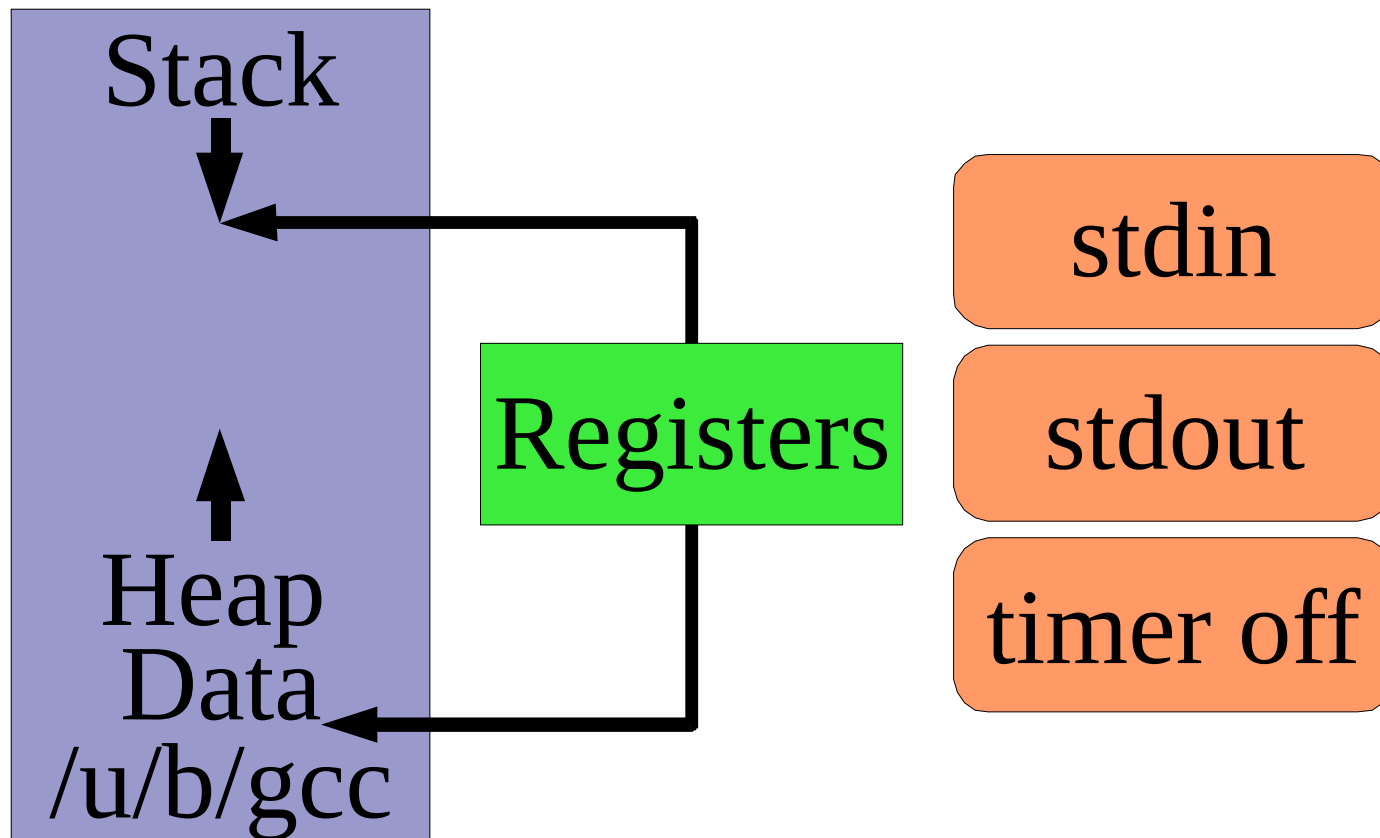
# Fix “Stuff”



# Initialize Registers



# Begin Execution



# What's The Implant Procedure Called?

```
int execve(  
    char *path,  
    char *argv[ ],  
    char *envp[ ])
```

# Birth - other ways

## There is another way

- Well, two

## spawn()

- Carefully specify all features of new process
  - Complicated
- Win: don't need to copy stuff you will immediately toss

## Plan 9 rfork() / Linux clone()

- Build new process from old one
- Specify which things get shared vs. copied
  - “Copy memory, share files, copy environment, share ...”



# School

## Old process called

```
execve(  
    char *path,  
    char *argv[ ],  
    char *envp[ ] );
```

## Result is

```
main(int argc,  
      char *argv[ ],  
      char *envp[ ] )  
{  
    ...  
}
```

# School

## How does the magic work?

- *15-410 motto: No magic*

## Kernel process setup: we saw...

- Toss old data memory
- Toss old stack memory
- Load executable file

## Also...

# The Stack!

## Kernel builds new stack for the process

- Transfers argv[] and envp[] to top of new stack
- Hand-crafts stack frame for ~main()
- Sets registers
  - Stack pointer (to top frame)
  - Program counter (to start of ~main())

# Work

## Process states

- **Running**
  - **User mode or kernel mode**
- **Blocked**
  - **Awaiting some event**
    - » **I/O completion, exit of another process, message, ...**
    - » **Maybe sleeping for a fixed period of time**
  - **Scheduler: “do not run”**
  - **Q: User mode, kernel mode, both, neither?**
- **Runnable**
  - **Q: User mode, kernel mode, both, neither?**
    - » **Be sure to understand this**

# Work

## Other process states

- **Forking**
  - **Obsolete, once used for special treatment**
- **Zombie**
  - **Process has called `exit()`, parent hasn't noticed yet**

## “Exercise for the reader”

- **Draw the state transition diagram**

# Death

## Voluntary

```
void exit(int reason);
```

## Hardware exception

- SIGSEGV - no memory there for you!

## Software exception

- SIGXCPU – used "too much" CPU time

# Death

## System call - `kill(pid, sig);`

- “Deliver `sig` to process `pid`”
  - (negative values of `pid` have “interesting” behaviors)
- Keyboard `^C`  $\Rightarrow$  equivalent of
  - `kill(getpid(), SIGINT);`
- Start/stop logging
  - `kill(daemon_pid, SIGUSR1);`
  - `% kill -USR1 33`
  - `% kill -USR2 33`
  - This is a “non-kill” use of `kill()`
- Any other key uses of `kill()`?

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- “Lost in Space”!!
  - `kill(Will_Robinson, SIGDANGER);`



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  - I apologize to IBM for lampooning their serious signal

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  - I apologize to IBM for lampooning their serious signal
    - » No, I apologize for that apology...

# Process cleanup

## Resource release

- Open files: close() each
  - TCP: 2 minutes (or more)
  - Solaris disk offline - forever (“*None* shall pass!”)
- Memory: release

## Accounting

- Record resource usage in a magic file

## Gone?

# “All You Zombies...”

## Zombie process

- Process state reduced to exit code
- Waits around until parent calls wait()
  - Exit code copied to parent's memory
  - PCB deleted from kernel

# Kernel process state

## The dreaded "PCB"

- (polychlorinated biphenol?)

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## Process Control Block

- “Everything without a user-visible memory address”
  - Kernel management information
  - Scheduler state
  - The “stuff”

# Sample PCB contents

**Pointer to CPU register save area**

**Process number, parent process number**

**Countdown timer value**

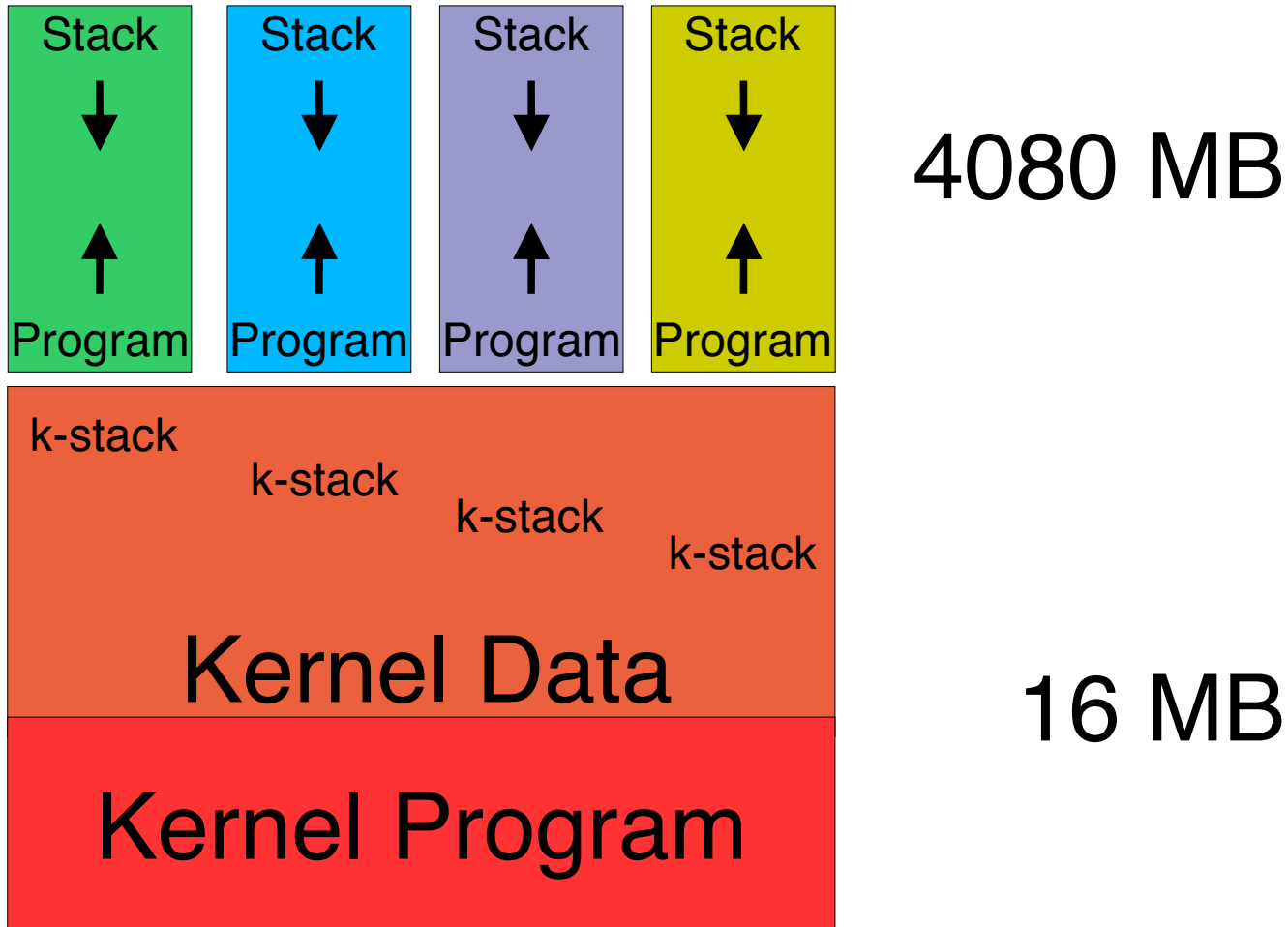
**Memory segment info**

- User memory segment list
- Kernel stack reference

**Scheduler info**

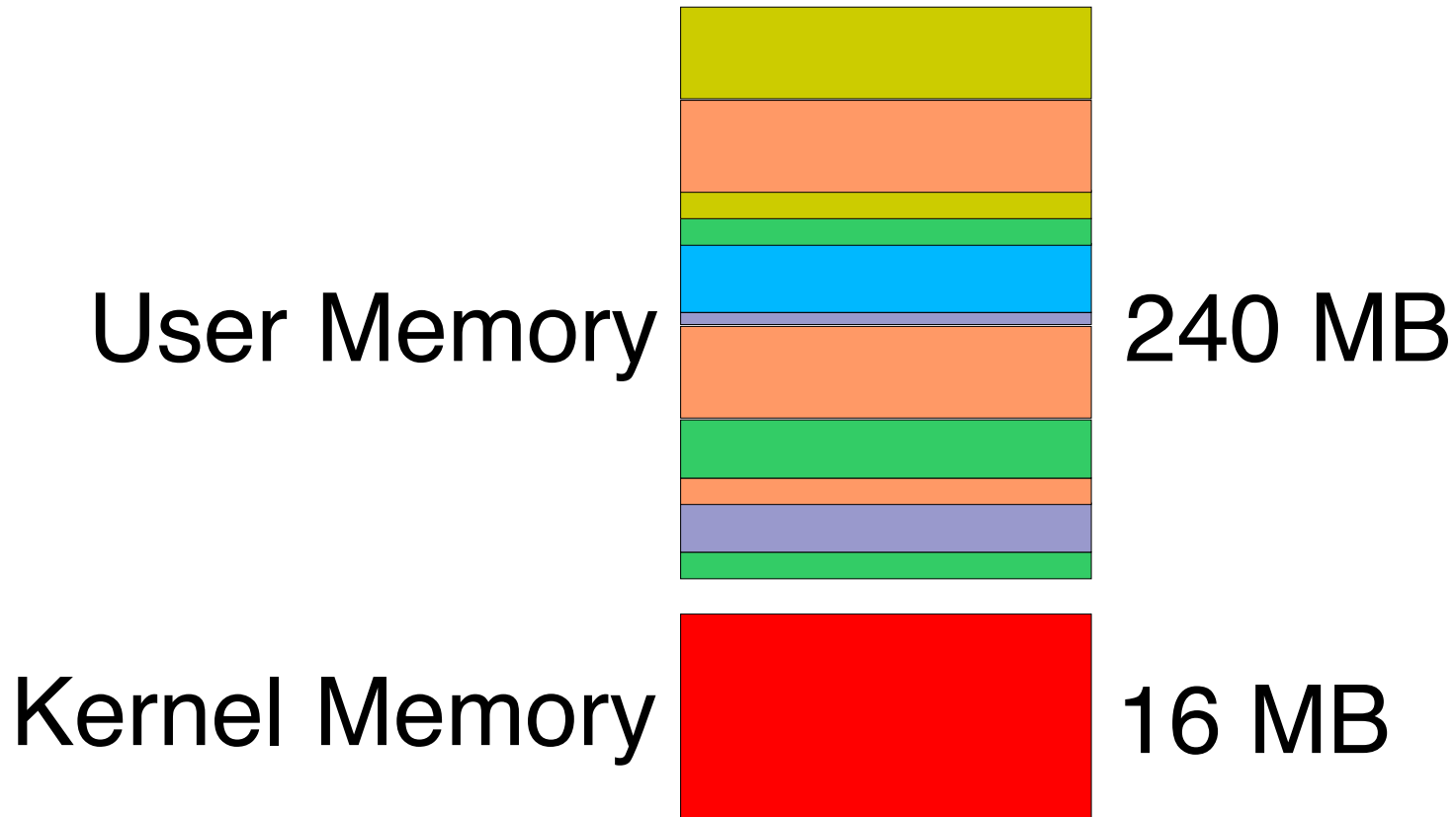
- linked list slot, priority, “sleep channel”

# 15-410 Virtual Memory Layout





# 15-410 Physical Memory Layout



# Ready to Implement All This?

## Not so complicated...

- `getpid()`
- `fork()`
- `exec()`
- `wait()`
- `exit()`

## What could possibly go wrong?

# Summary

## Parts of a Process

- Physical – Memory pages, registers, I/O devices
- Virtual – Memory regions, registers, I/O “ports”

## Birth, School, Work, Death

## “Big Picture” of system memory – both of them

- (Numbers & arrangement are 15-410–specific)