

15-410

“Strangers in the night...”

Synchronization #2
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Synchronization

Pass/fail?

- If you are considering switching to pass/fail, this has potentially serious implications for your project partner
- Unless *both* of you are agreed on this, please see me after class today
 - Maybe a brokered partner swap is in order

Outline

Last time

- Two building blocks for threaded programs
- Three requirements for critical-section mechanisms
- Algorithms people *don't* use for critical sections

Today

- Ways to *really* solve the critical-section problem

Upcoming

- Inside voluntary descheduling
- Project 2 – thread library

Critical Section: Reminder

Protects an “atomic instruction sequence”

- We must “do something” to guard against
 - Our CPU switching to another thread
 - A thread running on another CPU

Assumptions

- Atomic instruction sequence will be “short”
- No other thread “likely” to compete

Critical Section: Goals

Typical case (no competitor) should be fast

Atypical case can be slow

- **Should not be “too wasteful”**

Interfering Code Sequences

<i>Customer</i>	<i>Delivery</i>
<code>cash = store->cash;</code>	<code>cash = store->cash;</code>
<code>cash += 50;</code>	<code>cash -= 2000;</code>
<code>wallet -= 50;</code>	<code>wallet += 2000;</code>
<code>store->cash = cash;</code>	<code>store->cash = cash;</code>

Which sequences interfere?

“Easy”: Customer interferes with Customer

Also: Delivery interferes with Customer

“Mutex” aka “Lock” aka “Latch”

Specify interfering code sequences via *an object*

- Data item(s) “protected by the mutex”

Object methods encapsulate entry & exit protocols

```
mutex_lock(&store->lock);  
cash = store->cash  
cash += 50;  
personal_cash -= 50;  
store->cash = cash;  
mutex_unlock(&store->lock);
```

What's inside the object?

Atomic Exchange

Intel x86 XCHG instruction

- intel-isr.pdf page 754

xchg (%esi), %edi

```
int32 xchg(int32 *lock, int32 val) {  
    register int old;  
    old = *lock; /* "bus is locked" */  
    *lock = val; /* "bus is locked" */  
    return (old);  
}
```


Inside a Mutex

Initialization

```
int lock_available = 1;
```

“Try-lock”

```
i_won = xchg(&lock_available, 0);
```

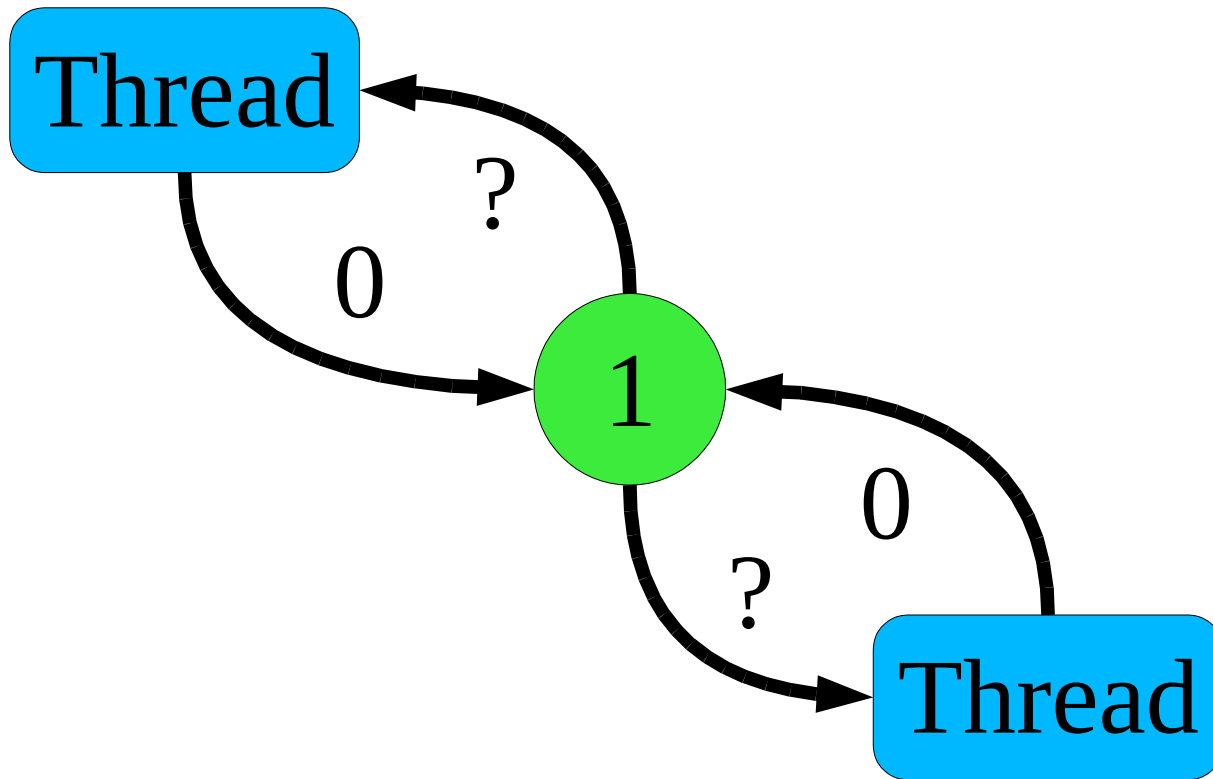
Spin-wait

```
while (!xchg(&lock_available, 0))  
    continue;
```

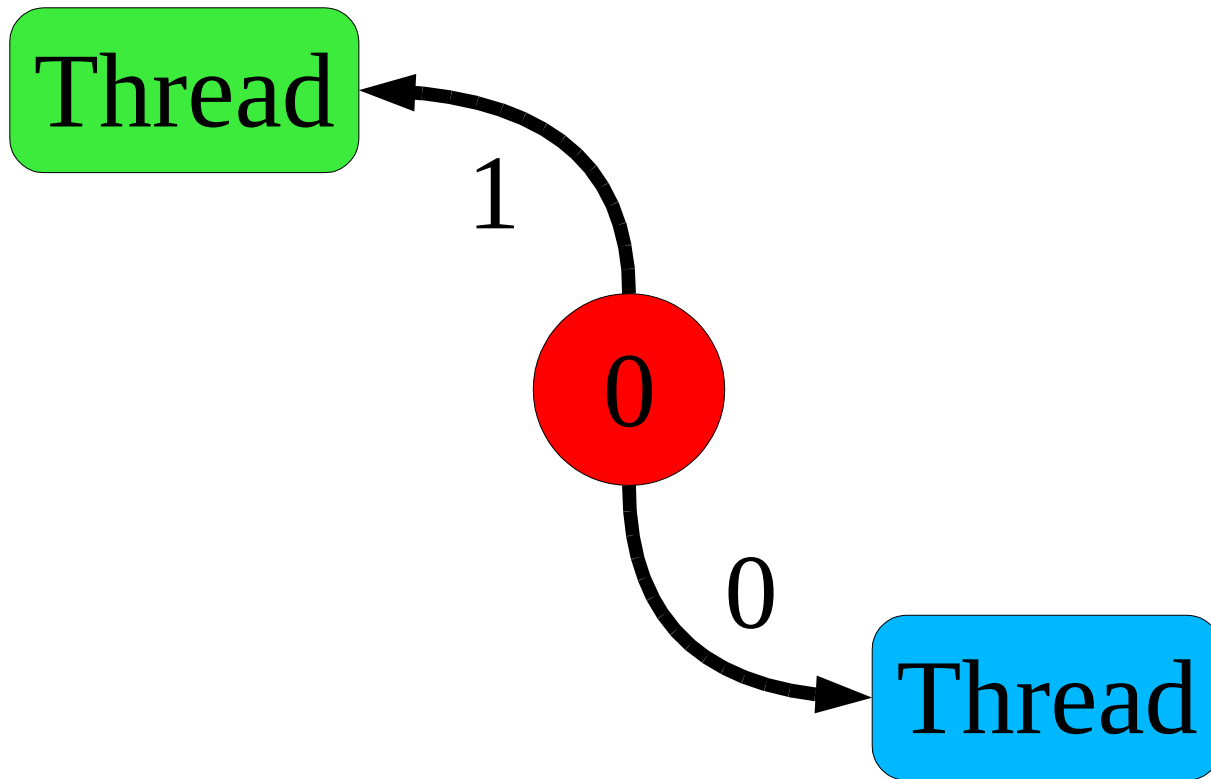
Unlock

```
xchg(&lock_available, 1); /*expect 0!!*/
```

Strangers in the Night, Exchanging 0's



And the winner is...



Does it work?

[What are the questions, again?]

Does it work?

Mutual Exclusion

Progress

Bounded Waiting

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Mutual Exclusion

- There's only one 1; 1's are conserved
- Only one thread can see `lock_available == 1`

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- Whenever `lock_available == 1` some thread will get it

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Progress

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Bounded Waiting

- *No*
- A thread can lose *arbitrarily many times*

Ensuring Bounded Waiting

Intuition

- Lots of people might XCHG “at the same time”
- We need a system with some “taking turns” nature

Possible approach

- Make sure each lock-acquisition XCHG race-condition party has a “fair outcome”
 - Accomplishing this may not be obvious

Ensuring Bounded Waiting

Intuition

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Possible approaches

- Make sure each lock-acquisition XCHG race-condition party has a “fair outcome”
 - Accomplishing this may not be obvious
- Add fairness via the lock *release* procedure
 - Somebody is “in charge”; let's leverage that

Ensuring Bounded Waiting

Lock

```
waiting[i] = true; /*Declare interest*/
got_it = false;
while (waiting[i] && !got_it)
    // "spin on XCHG", keep the bus warm
    got_it = xchg(&lock_available,
                 false);
waiting[i] = false;
return; // Success: in critical section
```

Ensuring Bounded Waiting

Unlock

```
j = (i + 1) % n;
while ((j != i) && !waiting[j])
    j = (j + 1) % n;
if (j == i)
    xchg(&lock_available, true); /*W*/
else
    waiting[j] = false;
return;
```

Ensuring Bounded Waiting

Possible variations

- Exchange vs. TestAndSet
- Field name is “available” vs. “locked”
- Atomic release vs. normal memory write
 - Some people do “blind write” at point “W”
`lock_available = true;`
 - This may be illegal on some machines
 - Unlocker may be *required* to use special memory access
 - Exchange, TestAndSet, etc.

Evaluation

One awkward requirement

One unfortunate behavior

Evaluation

One awkward requirement

- Everybody knows size of thread population
 - Always & instantly!
 - Or uses an upper bound

One unfortunate behavior

- Recall: expect *zero* competitors
- Algorithm: $O(n)$ in *maximum possible* competitors

Is this criticism too harsh?

- After all, Baker's Algorithm has these “misfeatures”...

Looking Deeper

Look beyond abstract semantics

- Mutual exclusion, progress, bounded waiting

Consider

- *Typical* access pattern
- *Particular* runtime environments

Environment

- Uniprocessor vs. Multiprocessor
 - Who is doing what when we are trying to lock/unlock?
- Threads aren't mysteriously “running” or “not running”
 - Decision made by a scheduling algorithm, with properties

Uniprocessor Environment

Lock

- What if `xchg()` didn't work the first time?

Uniprocessor Environment

Lock

- What if `xchg()` didn't work the first time?
- Some other process has the lock
 - That process isn't running (because we are)
 - *`xchg()` loop is a waste of time*
 - We should let the lock-holder run instead of us

Uniprocessor Environment

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Unlock

- What about bounded waiting?
- When we mark mutex available, who wins next?

Uniprocessor Environment

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Unlock

- What about bounded waiting?
- When we mark mutex available, who wins next?
 - Whoever *runs* next..only one at a time! (“Fake competition”)
 - How unfair are real OS kernel thread schedulers?
 - If scheduler is vastly unfair, the right thread will *never* run!

Multiprocessor Environment

Lock

- Spin-waiting probably justified
 - (why?)

Multiprocessor Environment

Lock

- Spin-waiting probably justified
 - (why?)

Unlock

- Next xchg() winner “chosen” by memory hardware
- How unfair are real memory controllers?

Test&Set

```
boolean testandset(int32 *lock) {
    register boolean old;
    old = *lock;    /* "bus is locked" */
    *lock = true;  /* "bus is locked" */
    return (old);
}
```

Conceptually simpler than XCHG??

Other x86 instructions

- XADD, CMPXCHG, CMPXCHG8B, ...
- See “Locked Atomic Operations” in intel-sys.pdf
 - We *expect* you to consult intel-sys and intel-isr about this

Load-linked/Store-conditional

For multiprocessors

- “Bus locking considered harmful”

Split XCHG into two halves

- *Load-linked(addr)* fetches old value from memory
- *Store-conditional(addr,val)* stores new value back
 - If nobody else stored to that address in between
 - If so, instruction “fails” (sets an error code)

Load-linked, Store-conditional

```
lock:  LA    R1, mutex      # &mutex in R1
loop:  LL    R2, 0(R1)      # mutex->avail
      BEQ   R2, R0, loop   # avail == 0?
      MOV   R3, R0        # prepare 0
      SC    0(R1), R3     # write 0?
      BEQ   R3, R0, loop   # aborted...
```

Your cache “snoops” the shared memory bus

- Locking would shut down *all* memory traffic
- Snooping allows all traffic, watches for *conflicting* traffic
- Are aborts “ok”? *When* are they “ok”?

Intel i860 magic lock bit

Instruction sets processor in “lock mode”

- Locks bus
- Disables interrupts

Isn't that dangerous?

- 32-instruction countdown timer triggers exception
- Any exceptions (page fault, zero divide, ...) unlock bus

Why would you want this?

- Implement test&set, compare&swap, semaphore – you choose

Mutual Exclusion: Inscrutable Software

Lamport's “Fast Mutual Exclusion” algorithm

- 5 writes, 2 reads (if no contention)
- Not bounded-waiting (in theory, i.e., if contention)
- <http://www.hpl.hp.com/techreports/Compaq-DEC/SRC-RR-7.html>

Cool magic - why not use it?

- What *kind* of memory writes/reads?
- Remember, the computer is “modern” ...

Passing the Buck?

Q: Why not ask the OS for mutex_lock() *system call*?

Easy on a uniprocessor...

- Kernel *automatically* excludes other threads
- Kernel can easily disable interrupts
- No need for messy unbounded loop, weird XCHG...

Kernel has special power on a multiprocessor

- Can issue “remote interrupt” to other CPUs
- No need for messy unbounded loop...

So why *not* rely on OS?

Passing the Buck

A: Too expensive

- **Because... (you know this song!)**

Mutual Exclusion: *Tricky* Software

Fast Mutual Exclusion for Uniprocessors

- Bershad, Redell, Ellis: ASPLOS V (1992)

Want uninterruptable instruction sequences?

- Pretend!

```
scash = store->cash;
```

```
scash += 10;
```

```
wallet -= 10;
```

```
store->cash = scash;
```

- Uniprocessor: interleaving requires thread switch...
- Short sequence *almost always* won't be interrupted...

How can that work??

Kernel *detects* “context switch in atomic sequence”

- Maybe a small set of instructions
- Maybe particular memory areas
- Maybe a flag

```
no_interruption_please = 1;
```

Kernel *handles* unusual case

- Hand out another time slice? (Is that ok?)
- Hand-simulate unfinished instructions (yuck?)
- “Idempotent sequence”: slide PC back to start

Summary

Atomic instruction sequence

- Nobody else may interleave same/”related” sequence

Specify interfering sequences via *mutex object*

Inside a mutex

- Last time: race-condition memory algorithms
- Atomic-exchange, Compare&Swap, Test&Set, ...
- Load-linked/Store-conditional
- Tricky software, weird software

Mutex strategy

- How should you behave given runtime environment?