IPC & RPC

Dave Eckhardt
de0u@andrew.cmu.edu

Outline

- IPC – InterProcess Communication
- RPC – Remote Procedure Call
- Textbook
  - Sections 4.5, 4.6

Scope of “IPC”

- Communicating process on one machine
- Multiple machines?
  - Virtualize single-machine IPC
  - Switch to a “network” model
    - Failures happen
    - Administrative domain switch
    - ...
    - (RPC)

IPC parts

- Naming
- Synchronization/buffering
- Message body issues
  - Copy vs. reference
  - Size

Naming

- Message sent to ***process*** or to ***mailbox***?
- Process model
  - send(P, msg)
  - receive(Q, &msg) or receive(&id, &msg)
- No need to set up “communication link”
  - But you need to know process id's
  - You get only one “link” per process pair

Naming

- Mailbox model
  - send(box1, msg)
  - receive(box1, &msg) or receive(&box, &msg)
- Where do mailbox id's come from?
  - “name server” approach

```
box = createmailbox();
register(box1, “Terry's process”);
boxT = lookup(“Terry's process”);
```

- File system approach – ***great*** (if you have one)

Multiple Senders

- Problem
  - Receiver needs to know who sent request
- Typical solution
  - “Message” not just a byte array
  - OS imposes structure
    - sender id (maybe process id and mailbox id)
    - maybe: type, priority, ...

Multiple Receivers

- Problem
  - Service may be “multi-threaded”
  - Multiple receives waiting for one mailbox
- Typical solution
  - OS “arbitrarily” chooses receiver per message
    - (Can you guess how?)

Synchronization

- Issue
  - Does communication imply synchronization?
- Blocking send()?
  - Ok for request/response pattern
  - Provides assurance of message delivery
  - ***Bad*** for producer/consumer pattern
- Non-blocking send()?
  - Raises buffering issue (below)

Synchronization

- Blocking receive()?
  - Ok/good for “server thread”
    - Remember, de-scheduling is a kernel ***service***
  - Ok/good for request/response pattern
  - Awkward for some servers
    - Abort connection when client is “too idle”
- Pure-non-blocking receive?
  - Ok for polling
  - Polling is costly

Synchronization

- Receive-with-timeout
  - Wait for message
  - Abort if timeout expires
  - Can be good for real-time systems
  - What timeout value is appropriate?

Synchronization

- Meta-receive
  - Specify a group of mailboxes
  - Wake up on first message
- Receive-scan
  - Specify list of mailboxes, timeout
  - OS indicates which mailbox(es) are “ready” for what
  - Unix: select(), poll()

Buffering

- Issue
  - How much space does OS provide “for free”?
  - “Kernel memory” limited!
- Options
  - No buffering
    - implies blocking send
  - Fixed size, undefined size
    - Send blocks ***unpredictably***

A buffering problem

- P1

```
send(P2, p1-my-status)
receive(P2, &p1-peer-status)
```

- P2

```
send(P1, p2-my-status)
receive(P1, &p2-peer-status)
```

- What's the problem?

Message Size Issue

- Ok to copy ***small*** messages sender ⇒ receiver
- Bad to copy ***1-megabyte*** messages
  - (Why?)
- "Chop up large messages" evades the issue

“Out-of-line” Data

- Message can ***refer to*** memory regions
  - (page-aligned, multiple-page)
  - Either “copy” or ***transfer ownership*** to receiver
  - Can share the physical memory
    - Mooooo!

“Rendezvous”

- Concept
  - Blocking send
  - Blocking receive
- Great for OS
  - No buffering required!
- Theoretically interesting
- Popular in a variety of languages
  - (most of them called “Ada”)

Example: Mach IPC

- Why study Mach?
  - “Pure” “clean” capability/message-passing system
  - Low abstraction count
  - This ***is*** CMU...
- Why not?
  - Failed to reach market
  - Performance problems with multi-server approach?
- Verdict: hmm... (GNU Hurd? Godot??)

Mach IPC – ports

- Port: Mach “mailbox” object
  - One receiver
    - (one “backup” receiver)
  - Potentially many senders
- Ports identify system objects
  - Each task identified/controlled by a port
  - Each ***thread*** identified/controlled by a port
  - Kernel exceptions delivered to “exception port”
    - “External Pager Interface” - page faults in user space!

Mach IPC – port rights

- Receive rights
  - “Receive end” of a port
  - Held by one task
  - Capability typically unpublished
    - receive rights imply ownership
- Send rights
  - “Send end” - ability to transmit message to mailbox
  - Frequently published via “name server” task
  - Confer no rights (beyond “denial of service”)

Mach IPC – message

- Memory region
  - In-line for “small” messages (copied)
  - Out-of-line for “large” messages
    - Sender may de-allocate on send
    - Otherwise, copy-on-write
- “Port rights”
  - Sender specifies task-local port #
  - OS translates to internal port-id while queued
  - Receiver observes task-local port #

Mach IPC – operations

- send
  - block, block(n milliseconds), don't-block
  - “send just one”
    - when destination full, queue 1 message in ***sender thread***
    - sender notified when transfer completes
- receive
  - receive from port
  - receive from ***port set***
  - block, block(n milliseconds), don't-block

Mach IPC – RPC

- Common pattern: “Remote” Procedure Call
- Client synchronization/message flow
  - Blocking send, blocking receive
- Client must allow server to respond
  - Transfer “send rights” in message
    - “Send-once rights” speed hack
- Server message flow (N threads)
  - Blocking receive, non-blocking send

Mach IPC – naming

- Port send rights are OS-managed capabilities
  - unguessable, unforgeable
- How to contact a server?
  - Ask the name server task
    - ***Trusted*** – source of all capabilities
- How to contact the name server?
  - Task creator specifies name server for new task
    - Can create custom environment for task tree

IPC Summary

- Naming
  - Name server?
  - File system?
- Queueing/blocking
- Copy/share/transfer
- A Unix surprise
  - sendmsg()/recvmsg() pass file descriptors!

RPC Overview

- RPC = Remote ***Procedure Call***
- Concept: extend IPC across machines
  - Maybe across “administrative domains”
- Marshalling
- Server location
- Call semantics
- Request flow

RPC Model

- Approach

```
d = computeNthDigit(CONST_PI, 3000);
```

  - Abstract away from “who computes it”
  - Should “work the same” when remote Cray does
- Issues
  - Must specify server ***somehow***
  - What “digit value” is “server down”?
    - Exceptions useful in “modern” languages

Marshalling

- Values must cross the network
- ***Machine formats differ***
  - Integer byte order
    - www.scieng.com/ByteOrder.PDF
  - Floating point format
    - IEEE 754 or not
  - Memory packing/alignment issues

Marshalling

- Define a “network format”
  - ASN.1 - “self-describing” via in-line tags
  - XDR – not
- “Serialize” language-level object to byte stream
  - Rules typically recursive
    - Serialize a struct by serializing its fields in order
  - Implementation probably should ***not*** be

Marshalling

- Issues
  - Some types don't translate well
    - Ada has ranged integers, e.g., 44..59
    - Not everybody really likes 64-bit ints
    - Floating point formats are religious issues
  - Performance!
    - Memory speed $\cong$ network speed
  - The dreaded “pointer problem”

Marshalling

```
struct node {
  int value;
  struct node *neighbors[4];
}

n = occupancy(nodes, nnodes);
bn = best_neighbor(node);
i = value(node);
```

- Implications?

Marshalling

```
n = occupancy(nodes, nnodes);
```

- Marshall array – ok

```
bn = best_neighbor(node);
```

- Marshall graph structure – not so ok

```
i = value(node);
```

- ***Avoiding*** marshalling graph – not obvious
- "Node fault"?

Server location

- Which machine?
  - Multiple AFS cells on the planet
  - Each has multiple file servers
- Approaches
  - Special hostnames: *www*.cmu.edu
  - Machine lists
    - AFS CellSrvDB /usr/vice/etc/CellServDB
  - DNS SRV records (RFC 2782)

Server location

- Which port?
  - Must distinguish services on one machine
  - Fixed port assignment
    - AFS: fileserver UDP 7000, volume location 7003
    - /etc/services or www.iana.org/assignments/port-numbers
    - RFC 2468 www.rfc-editor.org/rfc/rfc2468.txt
  - Dynamic port assignment
    - Contact “courier” / “matchmaker” service via RPC
    - ...on a fixed port assignment!

Call Semantics

- Typically, caller blocks
  - Matches procedure call semantics
- Blocking can be expensive
  - By a factor of ***a million!***
- "Asynchronous RPC"
  - Transmit request, do other work, check for reply
  - Not really "PC" any more
  - More like programming language "futures"

Fun Call Semantics

- Batch RPC
  - Send ***list*** of procedure calls
  - Later calls can use results of earlier calls
- Issues
  - Abort batch if one call fails?
    - Yet another programming language?
  - Typically wrecks “procedure call” abstraction
    - Must make N calls before 1st answer

Fun Call Semantics

- Batch RPC Examples
  - NFS v4 (maybe), RFC 3010
  - Bloch, A Practical Approach to Replication of Abstract Data Objects

Sad Call semantics

- Network failure
  - Retransmit
    - How long?
- Server reboot
  - Does client deal with RPC session restart?
  - Did the call “happen” or not?

Client Flow

- Client code calls ***stub*** routine
  - "Regular code" which encapsulates the magic
- Stub routine
  - Locates communication channel
    - Else: costly location/set-up/authentication
  - Marshals information
    - Procedure #, parameters
  - Sends message, awaits reply
  - Unmarshals reply, returns

Server Flow

- Thread/process pool runs ***skeleton*** code
- Skeleton code
  - Waits for request
  - Locates client state
    - Authentication/encryption context
  - Unmarshals parameters
  - Calls “real code”
  - Marshals reply
  - Sends reply

RPC Deployment

- Define interface
  - Get it right, you'll live with it for a while!
  - AFS & NFS RPC layers ~15 years old
- “Stub generator”
  - Special-purpose compiler
  - Turns “interface spec” into stubs & skeleton
- Link stub code with client & server
- Run a server!

Java RMI

- ***R***emote ***M***ethod ***I***nvocation
- Serialization: programmer/language cooperation
  - ***Dangerously*** subtle!
    - Bloch, Effective Java
- RMI > RPC
  - Remote methods ≅ remote procedures
  - ***Parameters*** can be (differently) remote
    - Client on A can call method on B passing object on C (slowly)

RPC Summary

- RPC is lots of fun
- So much fun that lots of things don't do it
  - SMTP
  - HTTP
- RPC = IPC

  \+ server location, marshalling, network failure, delays

  \- special copy tricks, speed
- Remote Objects? Effective Java, Bitter Java