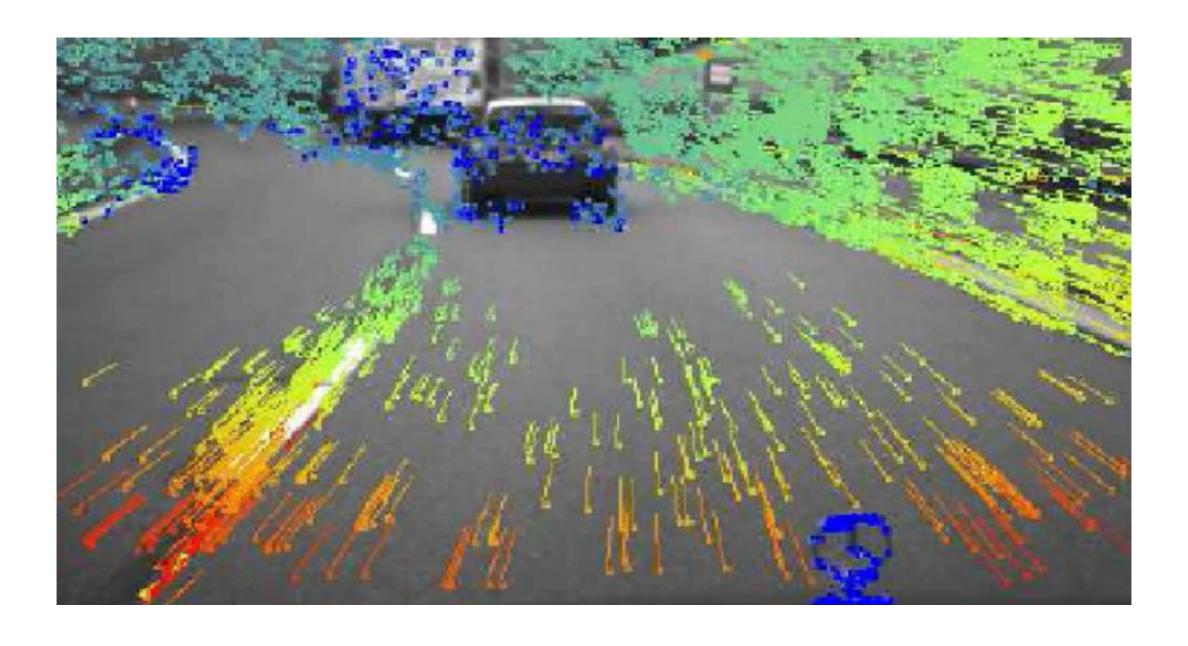
# Optical flow



16-385 Computer Vision Spring 2020, Lecture 23

### Course announcements

- Programming assignment 6 has been posted and is due on April 22<sup>th</sup>.
  - Any questions about the homework?
  - How many of you have looked at/started/finished homework 6?
  - Shorter than last year, but still requires significant time for training.
- Take-home quiz 9 due date moved to Monday (today) at midnight.

# Overview of today's lecture

- Continue convolutional neural networks.
- Quick intro to vision for video.
- Optical flow.
- Constant flow.
- Horn-Schunck flow.

## Slide credits

Most of these slides were adapted from:

Kris Kitani (16-385, Spring 2017).

## Course overview

1. Image processing.

\_\_\_ Lectures 1 – 6

See also 18-793: Image and Video Processing

2. Geometry-based vision.

Lectures 7 – 13

See also 16-822: Geometry-based Methods in Vision

3. Physics-based vision.

Lectures 14 – 17

See also 16-823: Physics-based Methods in Vision

See also 15-463: Computational Photography

4. Semantic vision.

Lectures 18 – 22

See also 16-824: Vision Learning and Recognition

5. Dealing with motion.

We are starting this part now

# Computer vision for video

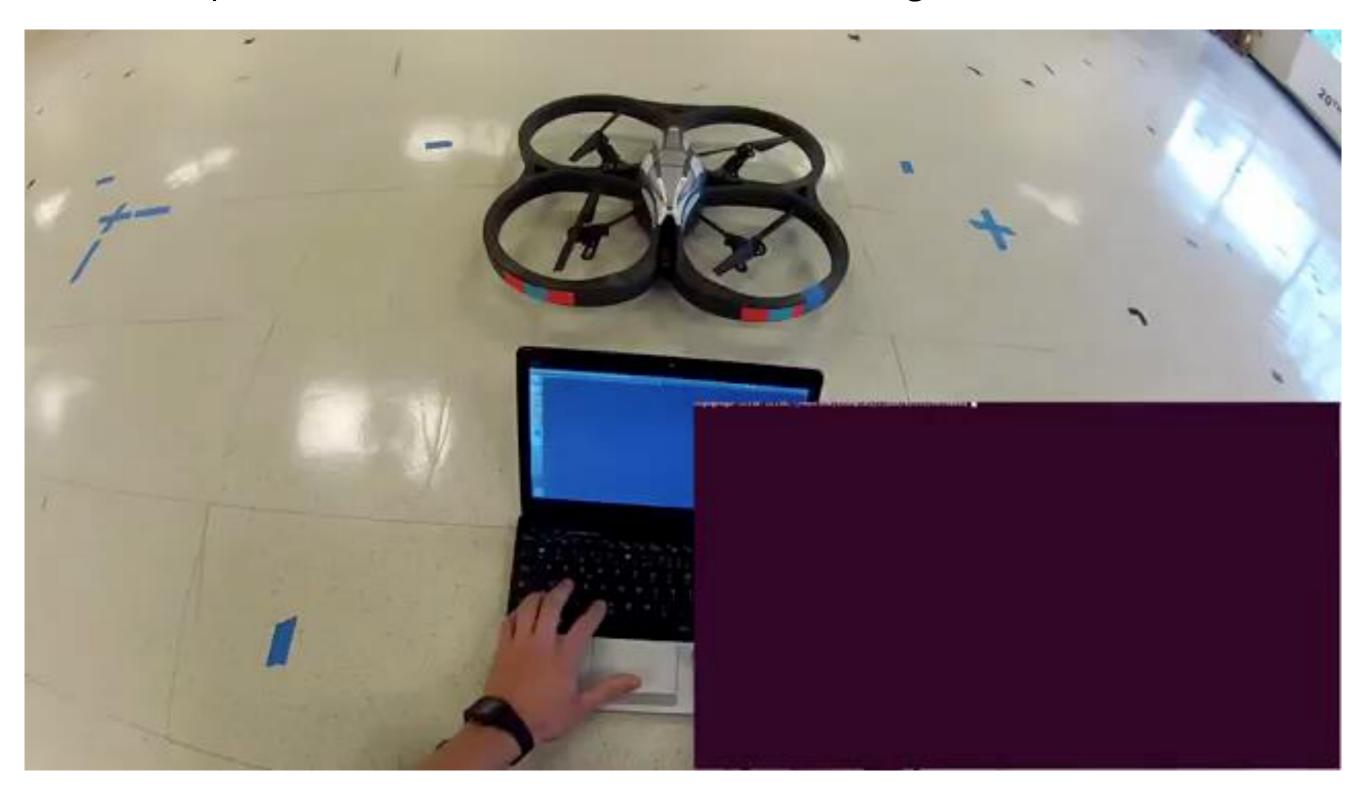
$$egin{bmatrix} I_x(oldsymbol{p}_1) & I_y(oldsymbol{p}_1) \ I_x(oldsymbol{p}_2) & I_y(oldsymbol{p}_2) \ dots & dots \ I_x(oldsymbol{p}_{25}) & I_y(oldsymbol{p}_{25}) \end{bmatrix} egin{bmatrix} u \ v \end{bmatrix} = -egin{bmatrix} I_t(oldsymbol{p}_1) \ I_t(oldsymbol{p}_2) \ dots \ I_t(oldsymbol{p}_{25}) \end{bmatrix} & egin{minipage} oldsymbol{min} oldsymbol{\Sigma} \ I_t(oldsymbol{p}_{25}) \end{bmatrix} & egin{minipage} oldsymbol{E}_d(i,j) + \lambda E_s(i,j) \ dots \ I_t(oldsymbol{p}_{25}) \end{bmatrix} & egin{minipage} oldsymbol{u}, oldsymbol{v} \ I_t(oldsymbol{p}_{25}) \ I_t(oldsymbol{p}_{25}) \end{bmatrix} & egin{minipage} oldsymbol{u}, oldsymbol{v} \ I_t(oldsymbol{u}, oldsymbol{u}) \ I_t(oldsymbol{u}, oldsymbol{u}, oldsymbol{u}) \ I_t(oldsymbol{u}, oldsymbol{u})$$

Constant Flow

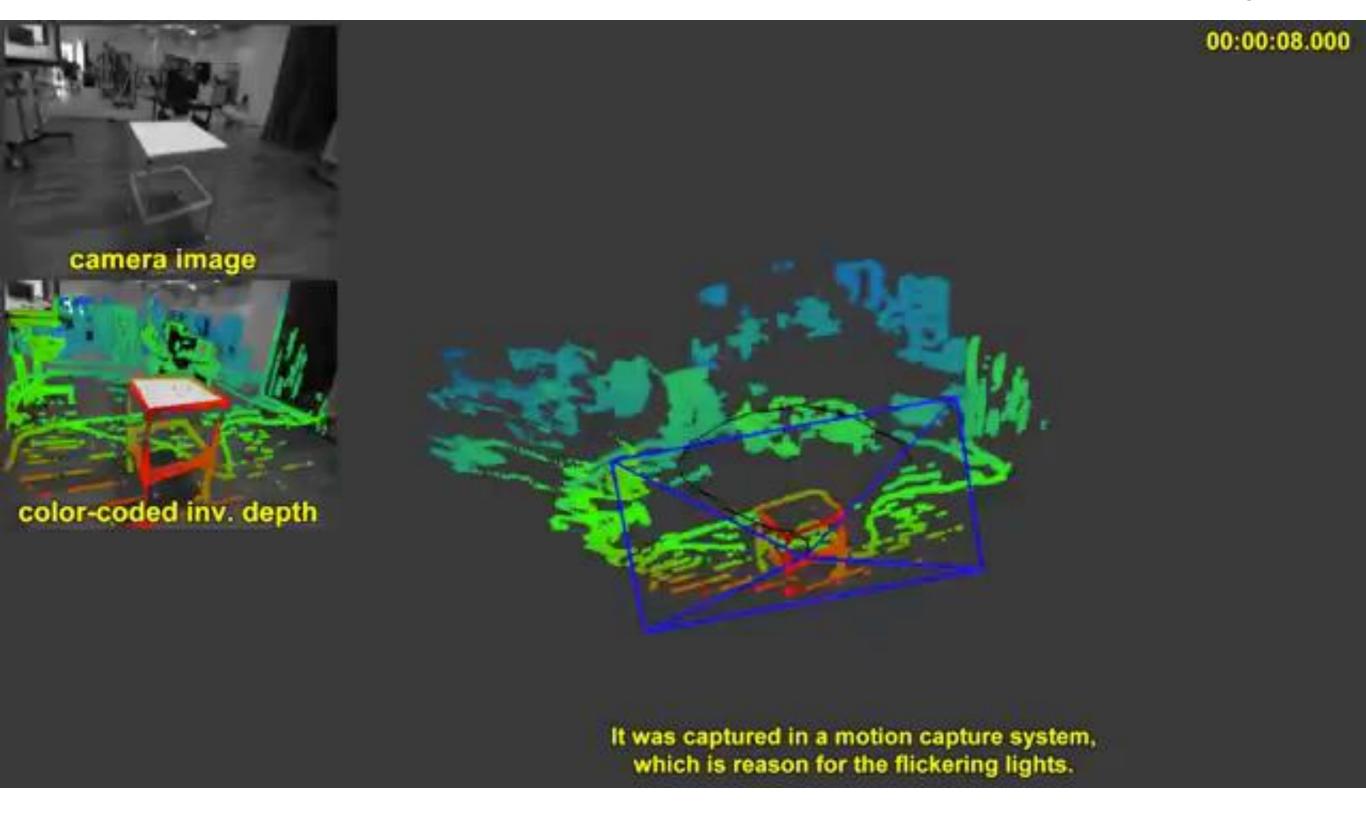
Horn-Schunck

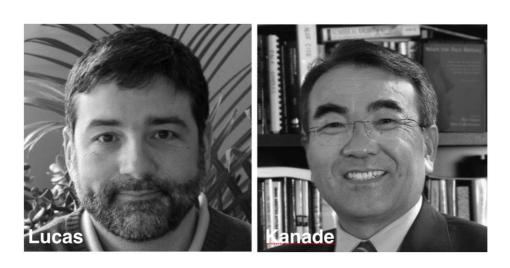
#### **Optical Flow**

### Optical flow used for feature tracking on a drone



### optical flow used for motion estimation in visual odometry





Lucas-Kanade (Forward additive)



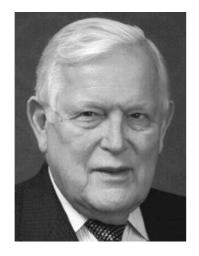


Baker-Matthews (Inverse Compositional)

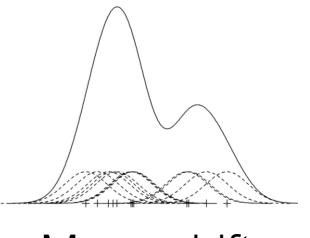
### **Image Alignment**



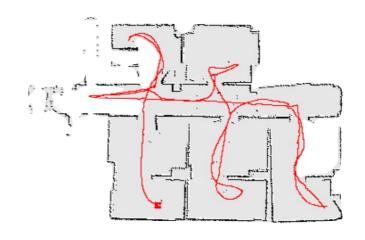
**KLT** 



Kalman Filtering



Mean shift



SLAM

### **Tracking in Video**

# Optical flow

# Optical Flow

#### **Problem Definition**

Given two consecutive image frames, estimate the motion of each pixel

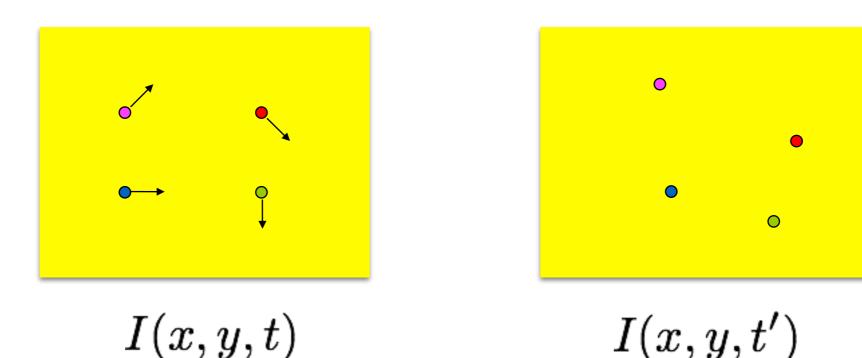
#### **Assumptions**

Brightness constancy

Small motion

# Optical Flow

(Problem definition)



Estimate the motion (flow) between these two consecutive images

How is this different from estimating a 2D transform?

# Key Assumptions

(unique to optical flow)

### **Color Constancy**

(Brightness constancy for intensity images)

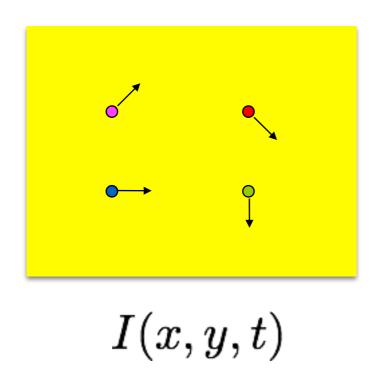
Implication: allows for pixel to pixel comparison (not image features)

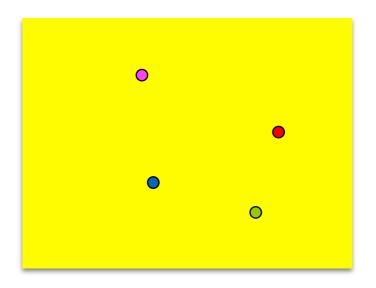
### **Small Motion**

(pixels only move a little bit)

Implication: linearization of the brightness constancy constraint

# Approach





I(x, y, t')

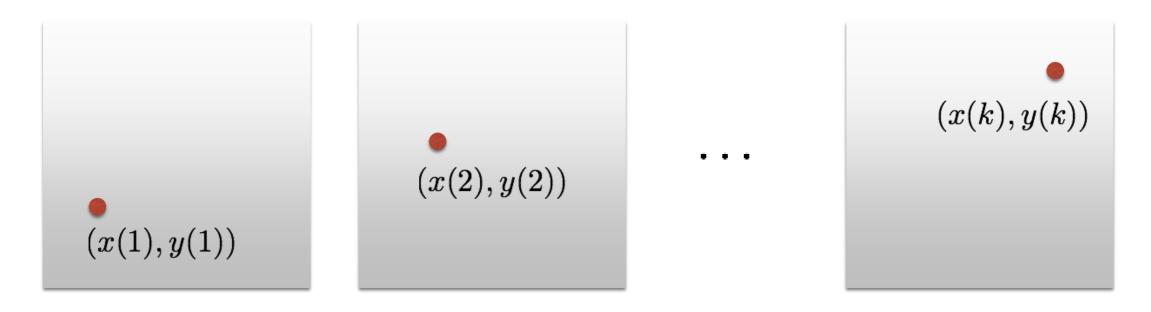
Look for nearby pixels with the same color

(small motion)

(color constancy)

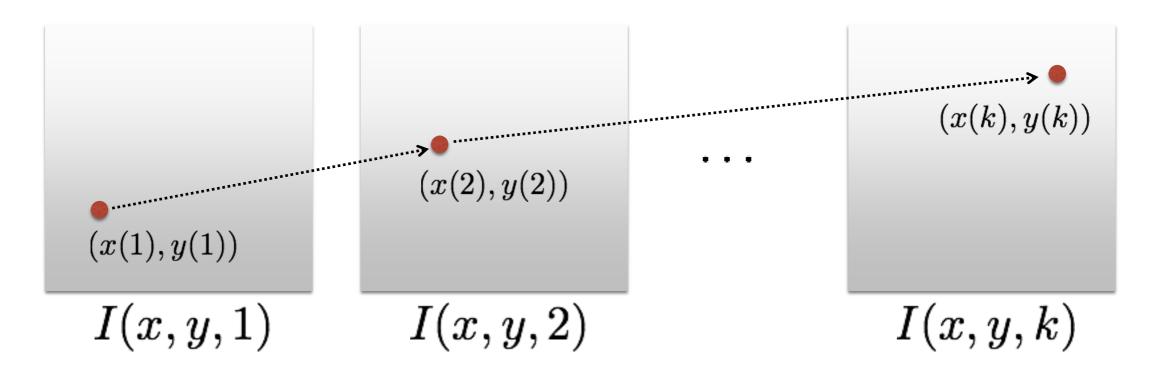
# Brightness constancy

Scene point moving through image sequence



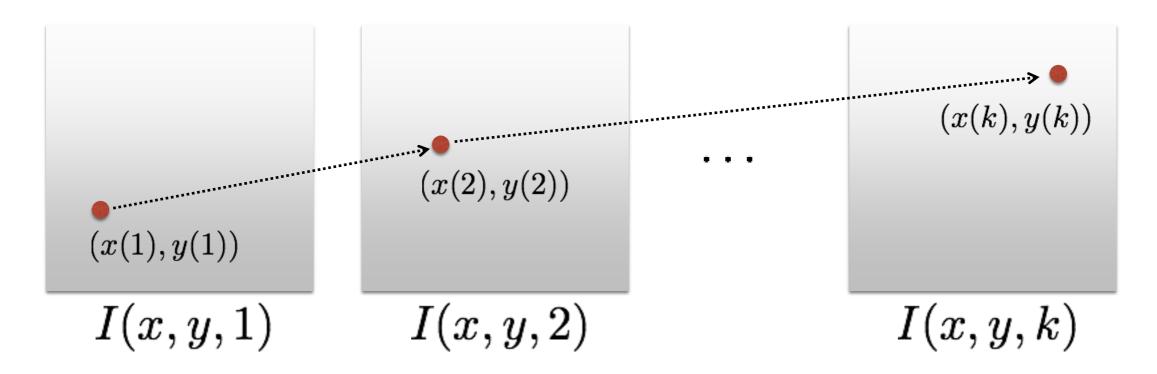
# Brightness constancy

Scene point moving through image sequence



# Brightness constancy

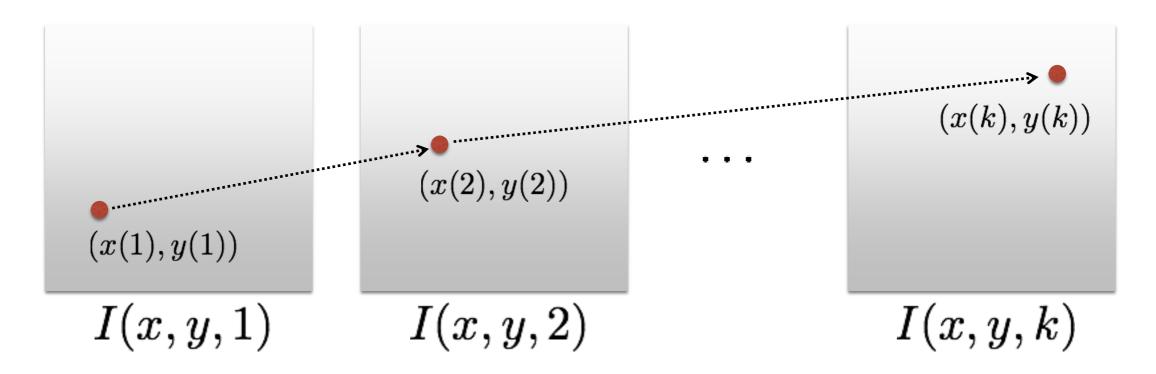
Scene point moving through image sequence



Assumption: Brightness of the point will remain the same

# Brightness constancy

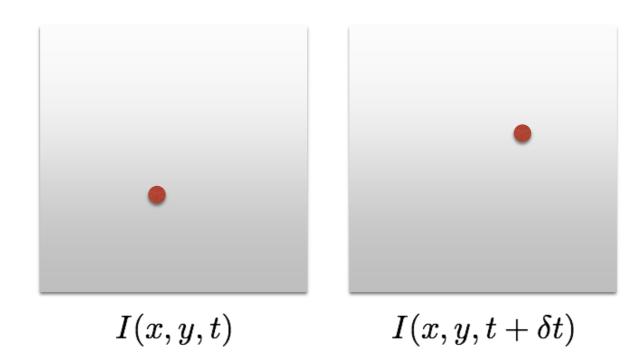
Scene point moving through image sequence



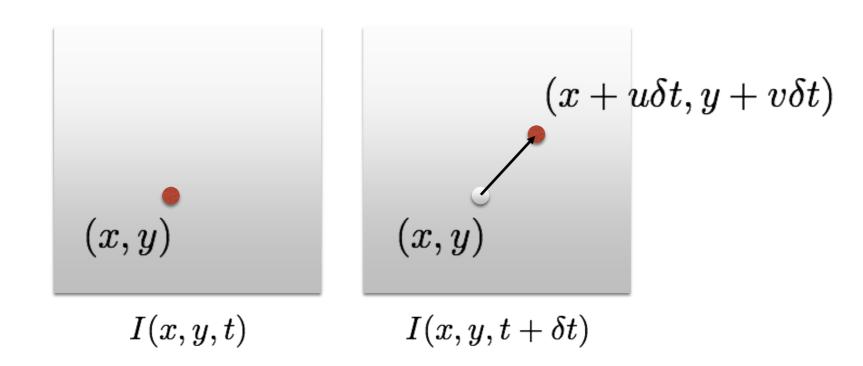
Assumption: Brightness of the point will remain the same

$$I(x(t),y(t),t)=C$$

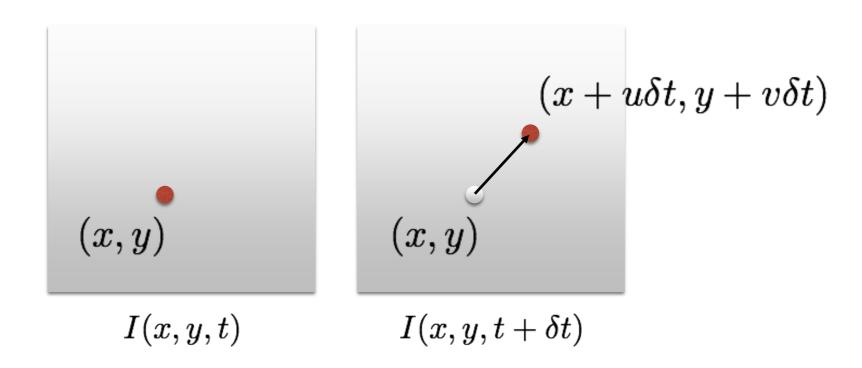
## Small motion



## Small motion

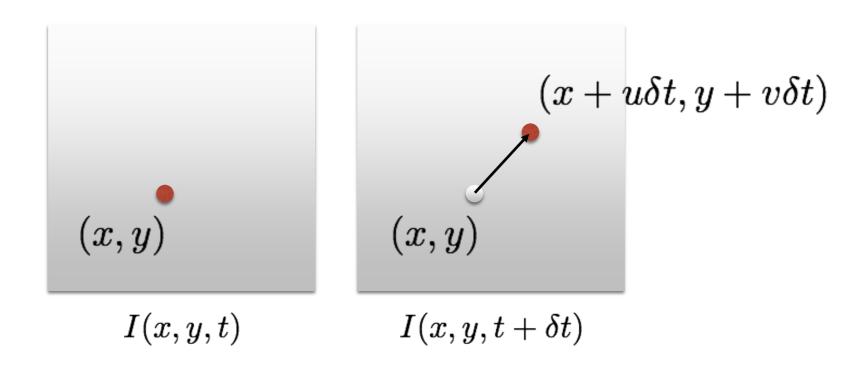


### Small motion



Optical flow (velocities): (u,v) Displacement:  $(\delta x,\delta y)=(u\delta t,v\delta t)$ 

### Small motion



Optical flow (velocities): (u,v)

Displacement:  $(\delta x, \delta y) = (u \delta t, v \delta t)$ 

For a *really small space-time step*...

$$I(x + u\delta t, y + v\delta t, t + \delta t) = I(x, y, t)$$

... the brightness between two consecutive image frames is the same

These assumptions yield the ...

### **Brightness Constancy Equation**

$$\frac{dI}{dt} = \frac{\partial I}{\partial x} \frac{dx}{dt} + \frac{\partial I}{\partial y} \frac{dy}{dt} + \frac{\partial I}{\partial t} = 0$$

total derivative partial derivative

Equation is not obvious. Where does this come from?

$$I(x + u\delta t, y + v\delta t, t + \delta t) = I(x, y, t)$$

For small space-time step, brightness of a point is the same

$$I(x + u\delta t, y + v\delta t, t + \delta t) = I(x, y, t)$$

For small space-time step, brightness of a point is the same

#### **Insight:**

If the time step is really small, we can *linearize* the intensity function

$$I(x + u\delta t, y + v\delta t, t + \delta t) = I(x, y, t)$$

$$f(x,y) \approx f(a,b) + f_x(a,b)(x-a) - f_y(a,b)(y-b)$$

$$I(x + u\delta t, y + v\delta t, t + \delta t) = I(x, y, t)$$

$$f(x,y) \approx f(a,b) + f_x(a,b)(x-a) - f_y(a,b)(y-b)$$

$$I(x,y,t)+rac{\partial I}{\partial x}\delta x+rac{\partial I}{\partial y}\delta y+rac{\partial I}{\partial t}\delta t=I(x,y,t)$$
 assuming small motion

$$I(x + u\delta t, y + v\delta t, t + \delta t) = I(x, y, t)$$

(First order approximation, two variables)

$$f(x,y) \approx f(a,b) + f_x(a,b)(x-a) - f_y(a,b)(y-b)$$

partial derivative

$$I(x,y,t)+rac{\partial I}{\partial x}\delta x+rac{\partial I}{\partial y}\delta y+rac{\partial I}{\partial t}\delta t=I(x,y,t)$$
 assuming small motion

cancel terms

$$I(x + u\delta t, y + v\delta t, t + \delta t) = I(x, y, t)$$

$$f(x,y) \approx f(a,b) + f_x(a,b)(x-a) - f_y(a,b)(y-b)$$

$$I(x,y,t)+rac{\partial I}{\partial x}\delta x+rac{\partial I}{\partial y}\delta y+rac{\partial I}{\partial t}\delta t=I(x,y,t)$$
 assuming small motion

$$\frac{\partial I}{\partial x}\delta x + \frac{\partial I}{\partial y}\delta y + \frac{\partial I}{\partial t}\delta t = 0$$
 cancel terms

$$I(x + u\delta t, y + v\delta t, t + \delta t) = I(x, y, t)$$

$$f(x,y) \approx f(a,b) + f_x(a,b)(x-a) - f_y(a,b)(y-b)$$

$$I(x,y,t)+rac{\partial I}{\partial x}\delta x+rac{\partial I}{\partial y}\delta y+rac{\partial I}{\partial t}\delta t=I(x,y,t)$$
 assuming small motion 
$$rac{\partial I}{\partial x}\delta x+rac{\partial I}{\partial y}\delta y+rac{\partial I}{\partial t}\delta t=0$$
 divide by  $\frac{\partial I}{\partial t}\delta t o 0$ 

$$I(x + u\delta t, y + v\delta t, t + \delta t) = I(x, y, t)$$

$$f(x,y) \approx f(a,b) + f_x(a,b)(x-a) - f_y(a,b)(y-b)$$

$$I(x,y,t)+rac{\partial I}{\partial x}\delta x+rac{\partial I}{\partial y}\delta y+rac{\partial I}{\partial t}\delta t=I(x,y,t)$$
 assuming small motion

$$rac{\partial I}{\partial x}\delta x + rac{\partial I}{\partial y}\delta y + rac{\partial I}{\partial t}\delta t = 0$$
 divide by  $\delta t$  take limit  $\delta t o 0$ 

$$\frac{\partial I}{\partial x}\frac{dx}{dt} + \frac{\partial I}{\partial y}\frac{dy}{dt} + \frac{\partial I}{\partial t} = 0$$

$$I(x + u\delta t, y + v\delta t, t + \delta t) = I(x, y, t)$$

(First order approximation, two variables)

$$f(x,y) \approx f(a,b) + f_x(a,b)(x-a) - f_y(a,b)(y-b)$$

$$I(x,y,t) + \frac{\partial I}{\partial x} \delta x + \frac{\partial I}{\partial y} \delta y + \frac{\partial I}{\partial t} \delta t = I(x,y,t) \quad \text{assuming small motion}$$

$$rac{\partial I}{\partial x}\delta x + rac{\partial I}{\partial y}\delta y + rac{\partial I}{\partial t}\delta t = 0$$
 divide by  $\delta t$  take limit  $\delta t o 0$ 

$$\frac{\partial I}{\partial x}\frac{dx}{dt} + \frac{\partial I}{\partial y}\frac{dy}{dt} + \frac{\partial I}{\partial t} = 0$$

**Brightness Constancy Equation** 

$$\frac{\partial I}{\partial x}\frac{dx}{dt} + \frac{\partial I}{\partial y}\frac{dy}{dt} + \frac{\partial I}{\partial t} = 0 \qquad \begin{array}{c} \text{Brightness} \\ \text{Constancy Equation} \end{array}$$

$$I_{m{x}}u+I_{m{y}}v+I_{m{t}}=0$$

shorthand notation

$$abla I^ op oldsymbol{v} + I_t = 0$$

vector form

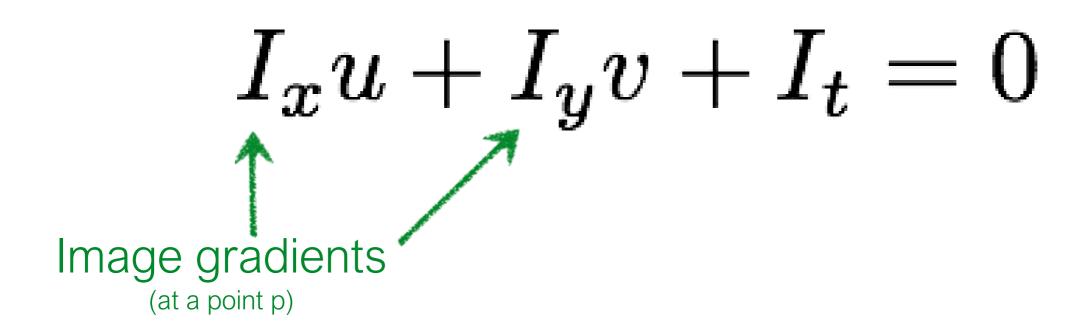
(putting the math aside for a second...)

What do the term of the brightness constancy equation represent?

$$I_x u + I_y v + I_t = 0$$

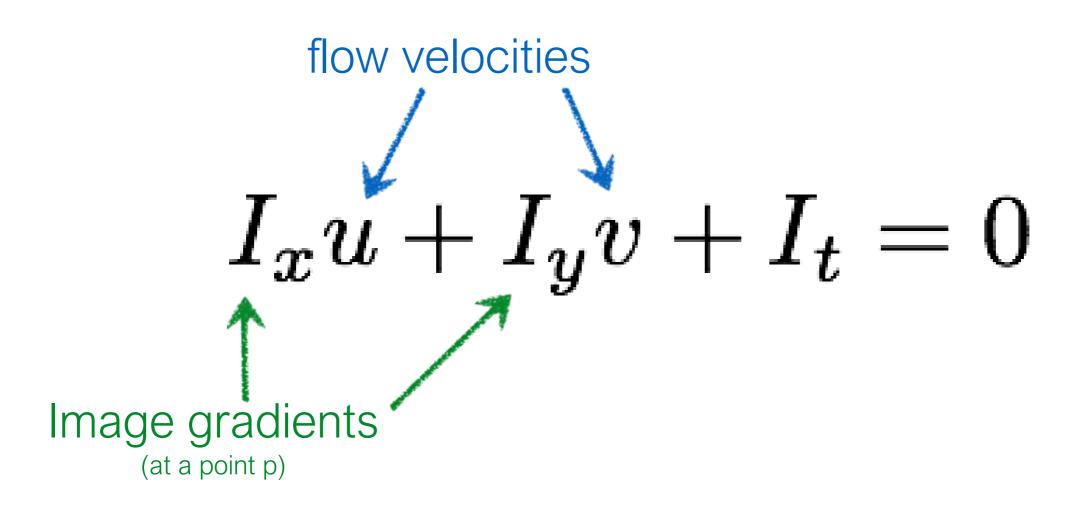
(putting the math aside for a second...)

What do the term of the brightness constancy equation represent?



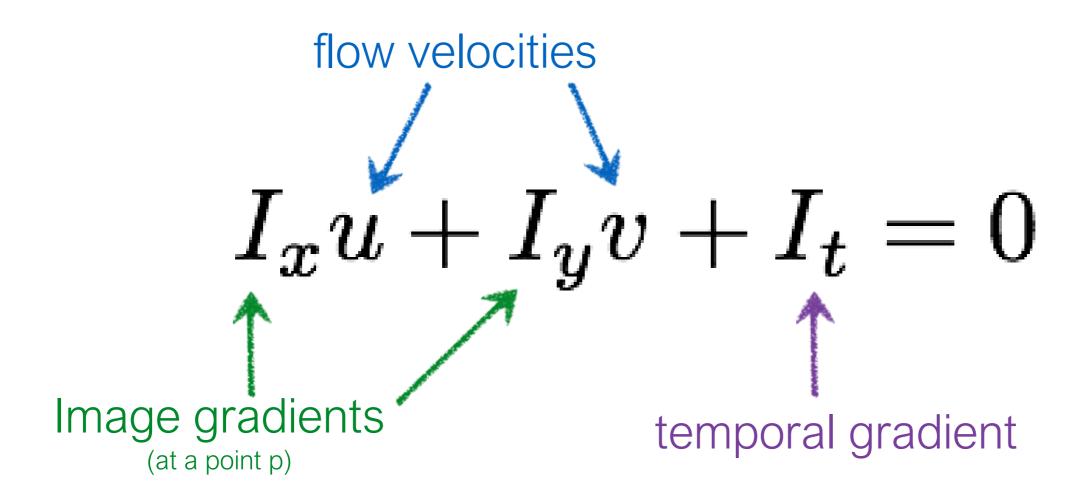
(putting the math aside for a second...)

What do the term of the brightness constancy equation represent?



(putting the math aside for a second...)

What do the term of the brightness constancy equation represent?



How do you compute these terms?

$$I_x u + I_y v + I_t = 0$$

$$I_x = rac{\partial I}{\partial x} \ \ I_y = rac{\partial I}{\partial y}$$
 spatial derivative

$$I_x u + I_y v + I_t = 0$$

$$I_x = \frac{\partial I}{\partial x} \quad I_y = \frac{\partial I}{\partial y}$$

#### spatial derivative

Forward difference
Sobel filter
Scharr filter

. . .

$$I_x u + I_y v + I_t = 0$$

$$I_x = \frac{\partial I}{\partial x} \quad I_y = \frac{\partial I}{\partial y}$$

spatial derivative

Forward difference Sobel filter Scharr filter

. . .

$$I_t = \frac{\partial I}{\partial t}$$

temporal derivative

$$I_x u + I_y v + I_t = 0$$

$$I_x = \frac{\partial I}{\partial x} \quad I_y = \frac{\partial I}{\partial y}$$

spatial derivative

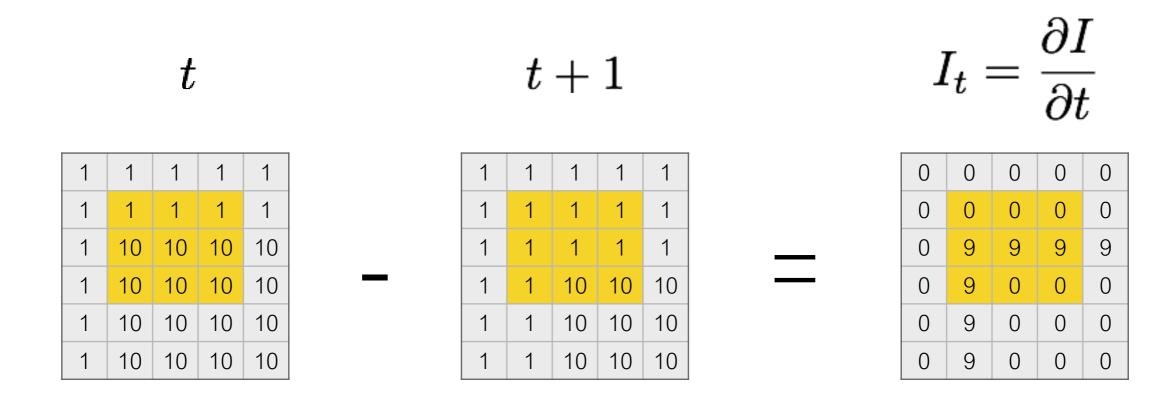
Forward difference Sobel filter Scharr filter

. . .

$$I_t = rac{\partial I}{\partial t}$$

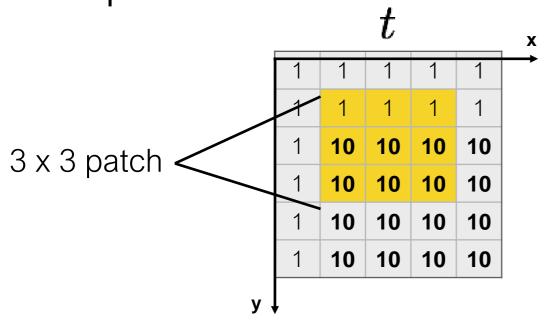
temporal derivative

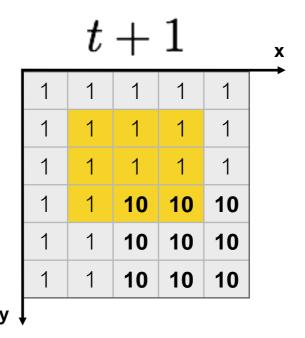
## Frame differencing

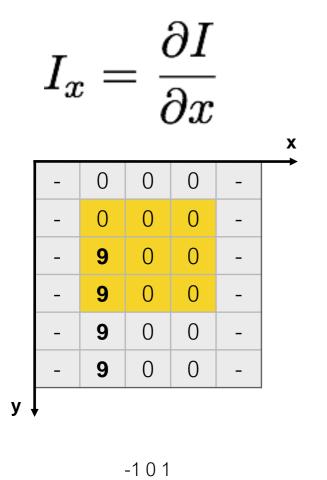


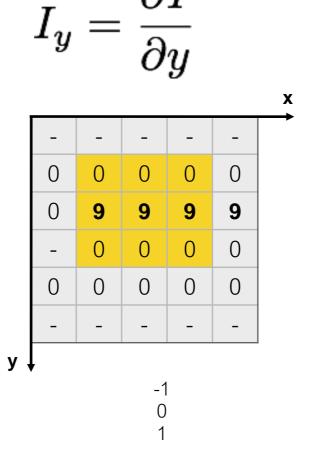
(example of a forward difference)

### Example:









$I_t = \frac{\partial I}{\partial t}$					
C	0	0	0	0	
C	0	0	0	0	
C	9	9	9	9	
Э	9	0	0	0	
Э	9	0	0	0	
C	9	0	0	0	

$$I_x u + I_y v + I_t = 0$$

$$I_x = \frac{\partial I}{\partial x} \quad I_y = \frac{\partial I}{\partial y}$$

spatial derivative

$$u=rac{dx}{dt} \quad v=rac{dy}{dt}$$
 optical flow

$$I_t = \frac{\partial I}{\partial t}$$
 temporal derivative

Forward difference Sobel filter Scharr filter

. . .

How do you compute this?

$$I_x u + I_y v + I_t = 0$$

$$I_x = \frac{\partial I}{\partial x} \quad I_y = \frac{\partial I}{\partial y}$$

spatial derivative

$$u=rac{dx}{dt} \quad v=rac{dy}{dt}$$
 optical flow

Forward difference We
Sobel filter (this
Scharr filter

. . .

We need to solve for this! (this is the unknown in the optical flow problem)

 $I_t = \frac{\partial I}{\partial t}$  temporal derivative

$$I_x u + I_y v + I_t = 0$$

$$I_x = \frac{\partial I}{\partial x} \quad I_y = \frac{\partial I}{\partial y}$$

spatial derivative

$$u = \frac{dx}{dt} \quad v = \frac{dy}{dt}$$
 optical flow

$$I_t = \frac{\partial I}{\partial t}$$
 temporal derivative

Forward difference Sobel filter Scharr filter

. . .

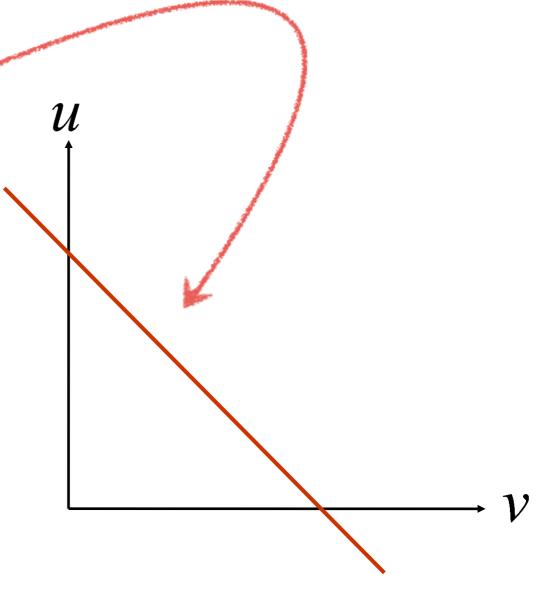
(u,v)Solution lies on a line

Cannot be found uniquely with a single constraint

Solution lies on a straight line

$$I_x u + I_y v + I_t = 0$$

many combinations of u and v will satisfy the equality



The solution cannot be determined uniquely with a single constraint (a single pixel)

$$I_x u + I_y v + I_t = 0$$

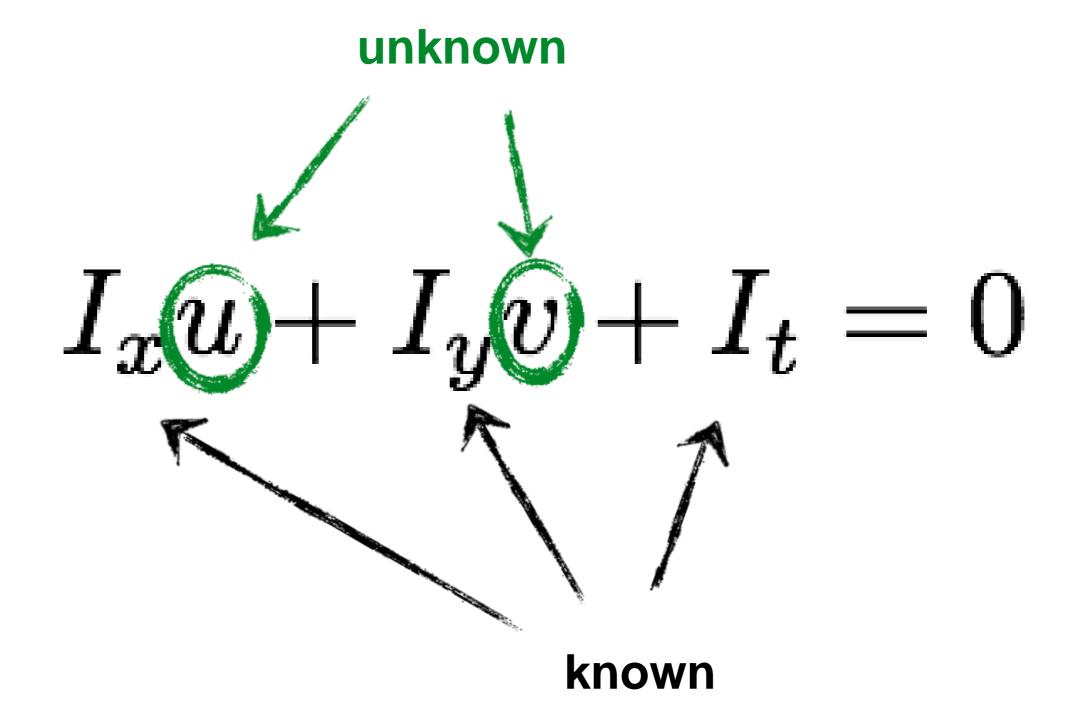
$$I_x = \frac{\partial I}{\partial x} \quad I_y = \frac{\partial I}{\partial y}$$

spatial derivative

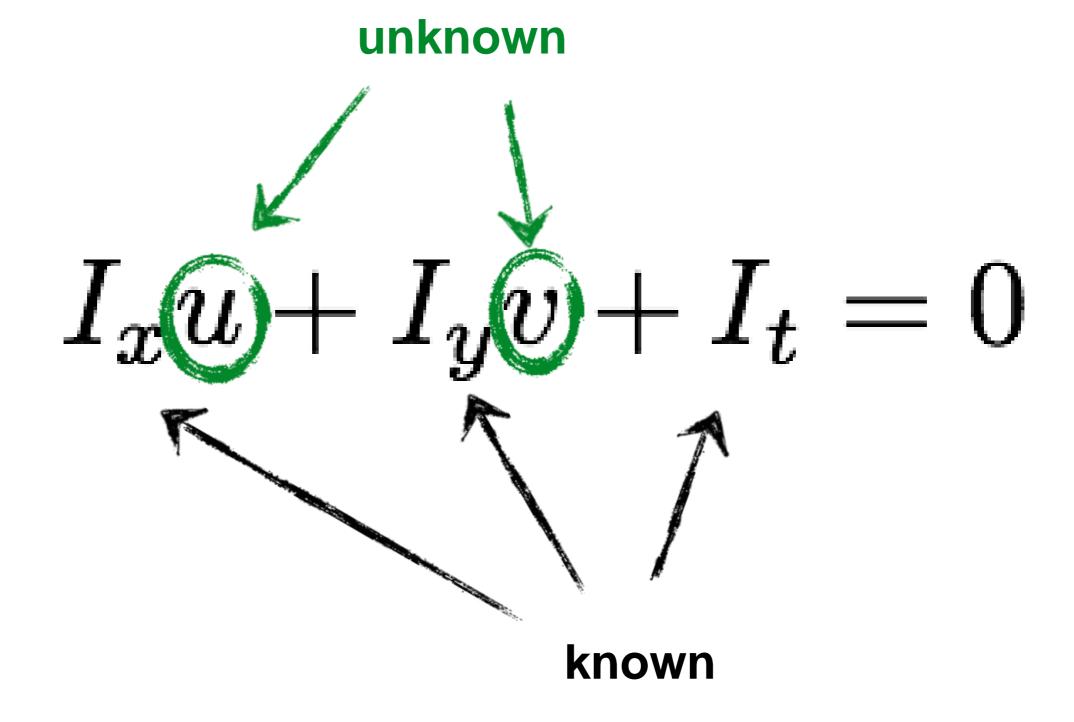
$$u=rac{dx}{dt} \quad v=rac{dy}{dt}$$
 optical flow

$$I_t = \frac{\partial I}{\partial t}$$
 temporal derivative

How can we use the brightness constancy equation to estimate the optical flow?



We need at least \_\_\_\_\_ equations to solve for 2 unknowns.



Where do we get more equations (constraints)?

## Horn-Schunck Optical Flow (1981)

Lucas-Kanade Optical Flow (1981)

brightness constancy

small motion

method of differences

#### 'smooth' flow

(flow can vary from pixel to pixel)

'constant' flow

(flow is constant for all pixels)

global method (dense)

local method (sparse)

## Constant flow

Where do we get more equations (constraints)?

$$I_x u + I_y v + I_t = 0$$

Assume that the surrounding patch (say 5x5) has 'constant flow'

Flow is locally smooth

Neighboring pixels have same displacement

Using a 5 x 5 image patch, gives us equations

Flow is locally smooth

Neighboring pixels have same displacement

Using a 5 x 5 image patch, gives us 25 equations

$$I_x(\mathbf{p}_1)u + I_y(\mathbf{p}_1)v = -I_t(\mathbf{p}_1)$$
  
 $I_x(\mathbf{p}_2)u + I_y(\mathbf{p}_2)v = -I_t(\mathbf{p}_2)$ 

:

$$I_x(\mathbf{p}_{25})u + I_y(\mathbf{p}_{25})v = -I_t(\mathbf{p}_{25})$$

Flow is locally smooth

Neighboring pixels have same displacement

Using a 5 x 5 image patch, gives us 25 equations

$$\left[egin{array}{ccc} I_x(oldsymbol{p}_1) & I_y(oldsymbol{p}_1) \ I_x(oldsymbol{p}_2) & I_y(oldsymbol{p}_2) \ dots & dots \ I_x(oldsymbol{p}_{25}) & I_y(oldsymbol{p}_{25}) \end{array}
ight] \left[egin{array}{ccc} u \ v \end{array}
ight] = - \left[egin{array}{ccc} I_t(oldsymbol{p}_1) \ I_t(oldsymbol{p}_2) \ dots \ I_t(oldsymbol{p}_{25}) \end{array}
ight]$$

Matrix form

Flow is locally smooth

Neighboring pixels have same displacement

Using a 5 x 5 image patch, gives us 25 equations

$$\left[egin{array}{ccc} I_x(oldsymbol{p}_1) & I_y(oldsymbol{p}_1) \ I_x(oldsymbol{p}_2) & I_y(oldsymbol{p}_2) \ dots & dots \ I_x(oldsymbol{p}_{25}) & I_y(oldsymbol{p}_{25}) \end{array}
ight] \left[egin{array}{ccc} u \ v \end{array}
ight] = - \left[egin{array}{ccc} I_t(oldsymbol{p}_1) \ I_t(oldsymbol{p}_2) \ dots \ I_t(oldsymbol{p}_{25}) \end{array}
ight]$$

$$oldsymbol{A}_{25 imes 2} \qquad oldsymbol{x}_{2 imes 1} \qquad oldsymbol{b}_{25 imes 1}$$

How many equations? How many unknowns? How do we solve this?

#### Least squares approximation

$$\hat{x} = rg \min_x ||Ax - b||^2$$
 is equivalent to solving  $A^ op A \hat{x} = A^ op b$ 

#### Least squares approximation

$$\hat{x} = rg \min_{x} ||Ax - b||^2$$
 is equivalent to solving  $A^{ op} A \hat{x} = A^{ op} b$ 

To obtain the least squares solution solve:

$$A^{ op}A$$
  $\hat{x}$   $A^{ op}b$   $egin{bmatrix} \sum\limits_{p\in P}I_xI_x & \sum\limits_{p\in P}I_xI_y \ \sum\limits_{n\in P}I_yI_x & \sum\limits_{p\in P}I_yI_y \end{bmatrix} \begin{bmatrix} u \ v \end{bmatrix} = - \begin{bmatrix} \sum\limits_{p\in P}I_xI_t \ \sum\limits_{n\in P}I_yI_t \end{bmatrix}$ 

where the summation is over each pixel p in patch P

$$x = (A^{\mathsf{T}}A)^{-1}A^{\mathsf{T}}b$$

#### Least squares approximation

$$\hat{x} = rg \min_x ||Ax - b||^2$$
 is equivalent to solving  $A^ op A \hat{x} = A^ op b$ 

To obtain the least squares solution solve:

$$A^{ op}A$$
  $\hat{x}$   $A^{ op}b$   $egin{bmatrix} \sum\limits_{p\in P}I_xI_x & \sum\limits_{p\in P}I_xI_y \ \sum\limits_{p\in P}I_yI_x & \sum\limits_{p\in P}I_yI_y \end{bmatrix} \begin{bmatrix} u \ v \end{bmatrix} = - \begin{bmatrix} \sum\limits_{p\in P}I_xI_t \ \sum\limits_{p\in P}I_yI_t \end{bmatrix}$ 

where the summation is over each pixel p in patch P

Sometimes called 'Lucas-Kanade Optical Flow' (can be interpreted to be a special case of the LK method with a translational warp model)

When is this solvable?

$$A^{\mathsf{T}}A\hat{x} = A^{\mathsf{T}}b$$

 $A^{\mathsf{T}}A$  should be invertible

 $A^{\mathsf{T}}A$  should not be too small

 $\lambda_1$  and  $\lambda_2$  should not be too small

 $A^{\mathsf{T}}A$  should be well conditioned

 $\lambda_1/\lambda_2$  should not be too large ( $\lambda_1$ =larger eigenvalue)

### Where have you seen this before?

$$A^{\top}A = \left[ egin{array}{c} \sum\limits_{p \in P} I_x I_x & \sum\limits_{p \in P} I_x I_y \ \sum\limits_{p \in P} I_y I_x & \sum\limits_{p \in P} I_y I_y \end{array} 
ight]$$

### Where have you seen this before?

$$\left[\begin{array}{ccc} \sum\limits_{p\in P}I_xI_x & \sum\limits_{p\in P}I_xI_y \\ \sum\limits_{p\in P}I_yI_x & \sum\limits_{p\in P}I_yI_y \end{array}\right]$$

Harris Corner Detector!

## Implications

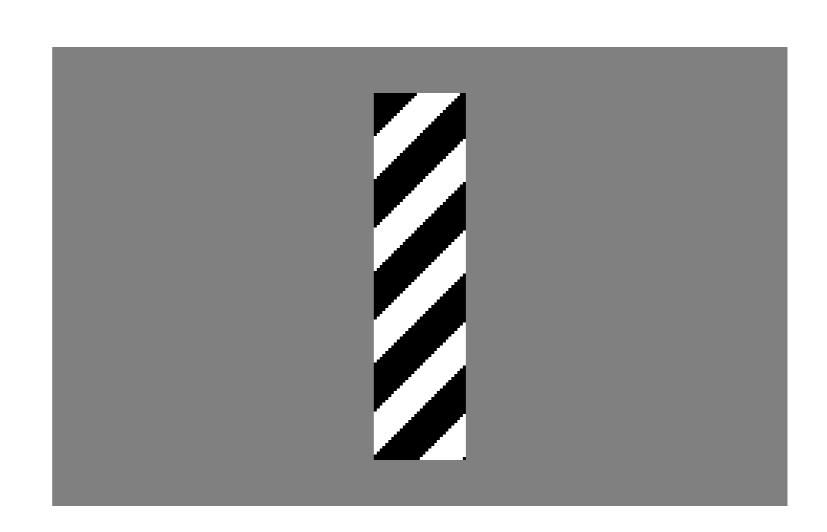
- Corners are when λ1, λ2 are big; this is also when Lucas-Kanade optical flow works best
- Corners are regions with two different directions of gradient (at least)
- Corners are good places to compute flow!

What happens when you have no 'corners'?

You want to compute optical flow.
What happens if the image patch contains only a line?

## Barber's pole illusion





# Barber's pole illusion

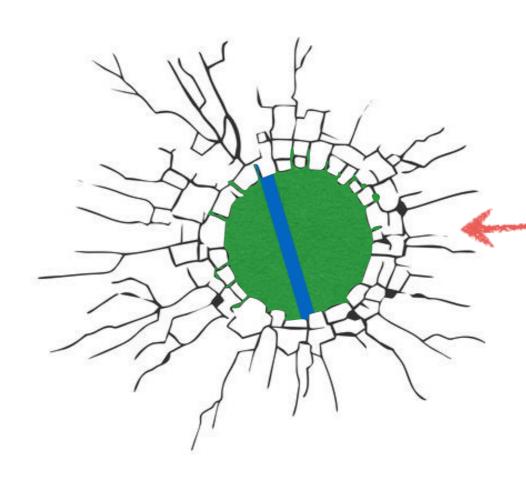




## Barber's pole illusion



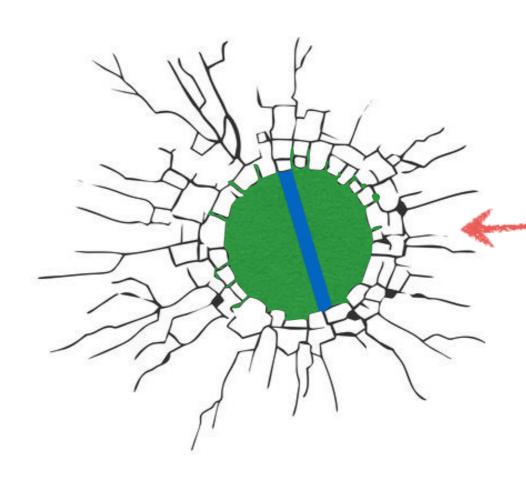
## Aperture Problem



small visible image patch

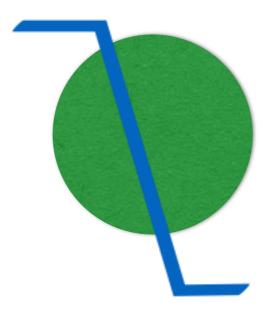
In which direction is the line moving?

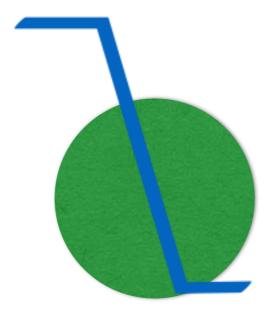
## Aperture Problem

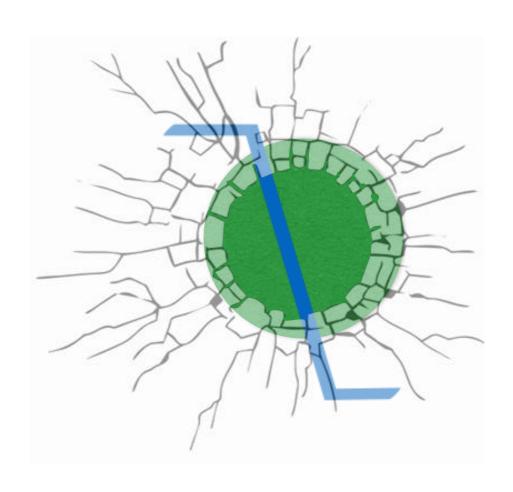


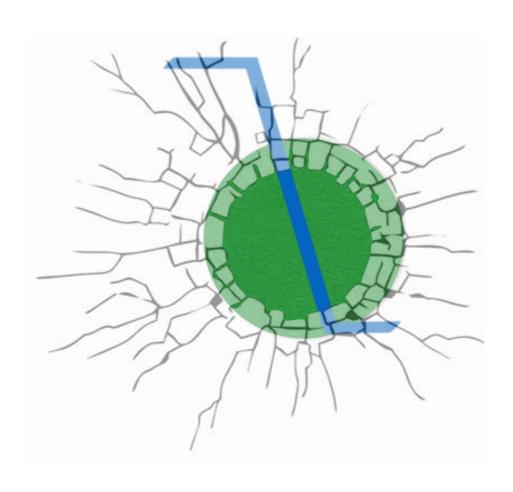
small visible image patch

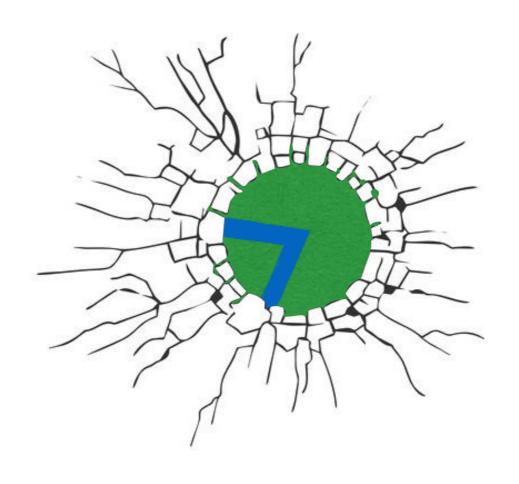
In which direction is the line moving?



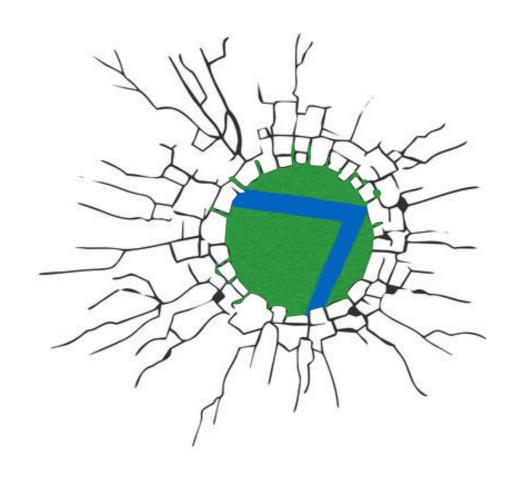




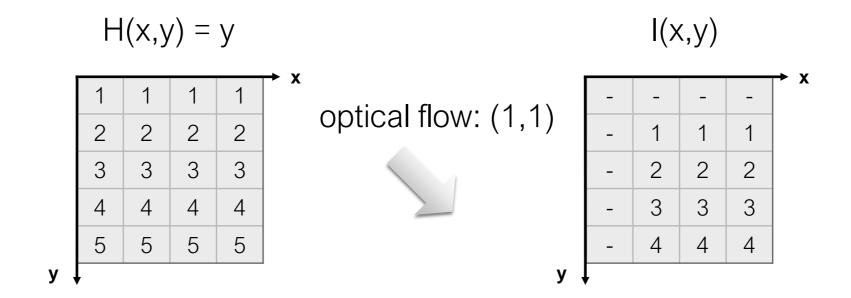




Want patches with different gradients to the avoid aperture problem



Want patches with different gradients to the avoid aperture problem



$$I_x u + I_y v + I_t = 0$$

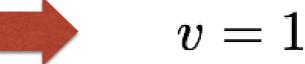
#### **Compute gradients**

$$I_x(3,3) = 0$$

$$I_y(3,3) = 1$$

$$I_t(3,3) = I(3,3) - H(3,3) = -1$$

#### **Solution:**



We recover the v of the optical flow but not the u. *This is the aperture problem.* 

## Horn-Schunck optical flow

### Horn-Schunck Optical Flow (1981)

Lucas-Kanade Optical Flow (1981)

brightness constancy

small motion

method of differences

### 'smooth' flow

(flow can vary from pixel to pixel)

'constant' flow

(flow is constant for all pixels)

global method (dense)

local method (sparse)

### Smoothness

most objects in the world are rigid or deform elastically moving together coherently

we expect optical flow fields to be smooth



Enforce smooth flow field

to compute optical flow



Enforce smooth flow field

to compute optical flow

$$I_x u + I_y v + I_t = 0$$

For every pixel,

$$\min_{u,v} \left[ I_x u_{ij} + I_y v_{ij} + I_t \right]^2$$

$$I_x u + I_y v + I_t = 0$$

For every pixel,

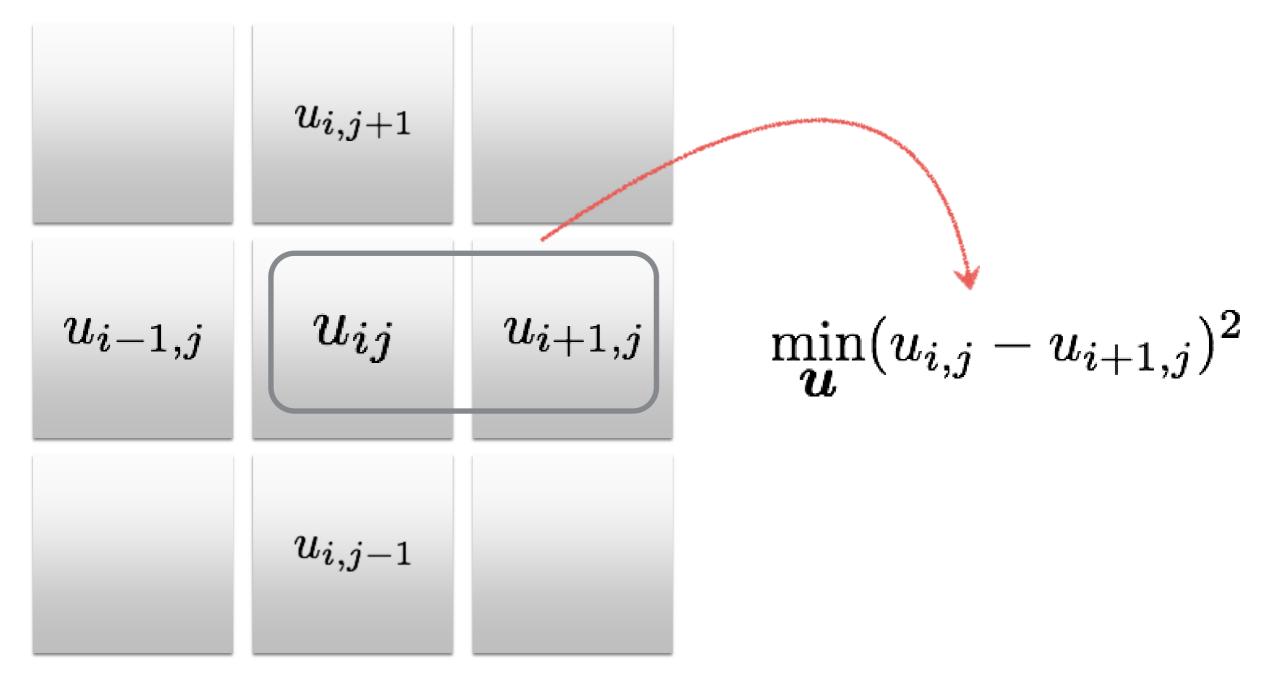
$$\min_{oldsymbol{u},oldsymbol{v}} \left[I_{oldsymbol{x}} u_{ij} + I_{oldsymbol{y}} v_{ij} + I_{oldsymbol{t}}
ight]^2$$



Enforce smooth flow field

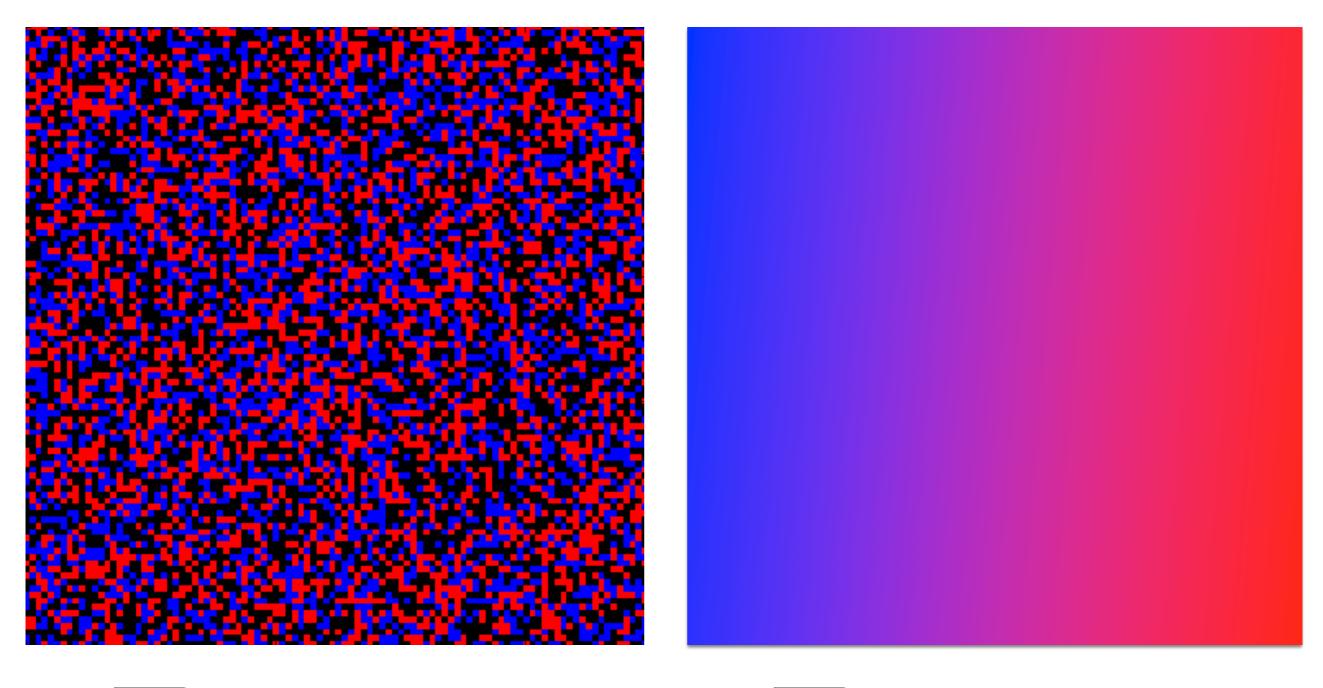
to compute optical flow

### Enforce smooth flow field



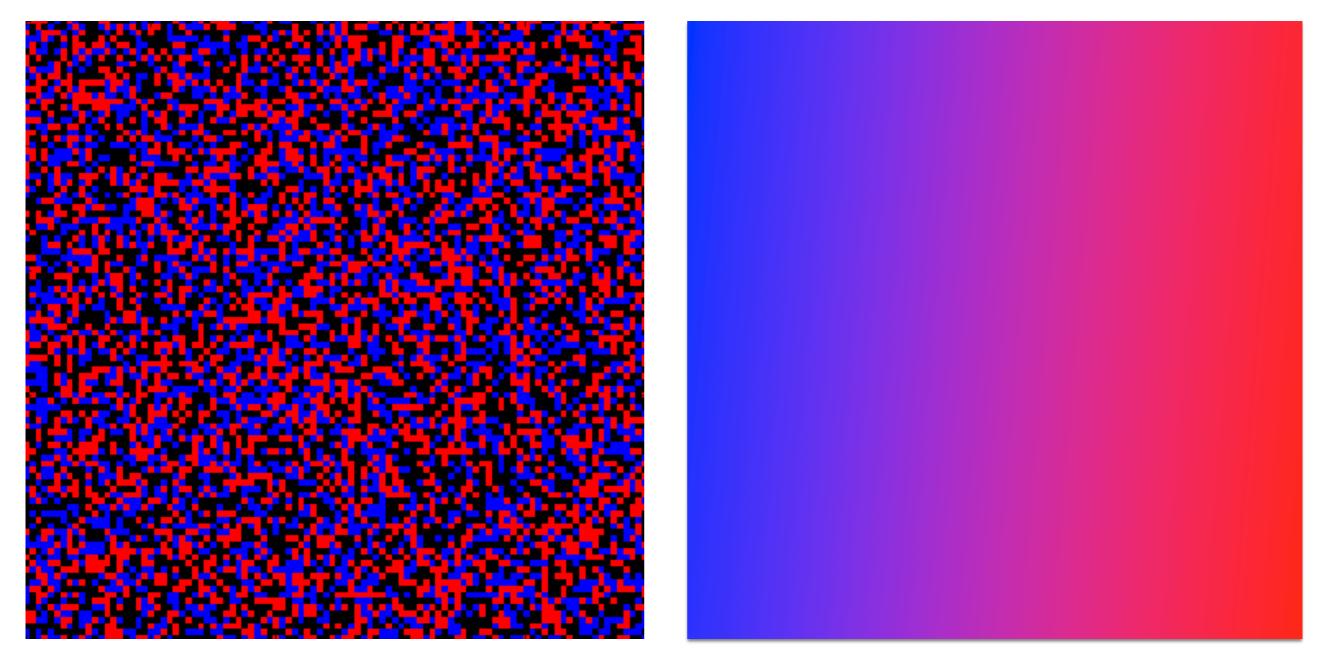
u-component of flow

### Which flow field optimizes the objective? $\min_{m{u}}(u_{i,j}-u_{i+1,j})^2$



$$\sum_{ij} (u_{ij} - u_{i+1,j})^2 ? \sum_{ij} (u_{ij} - u_{i+1,j})^2$$

### Which flow field optimizes the objective? $\min_{m{u}}(u_{i,j}-u_{i+1,j})^2$



big small



Enforce smooth flow field

to compute optical flow

bringing it all together...

## Horn-Schunck optical flow

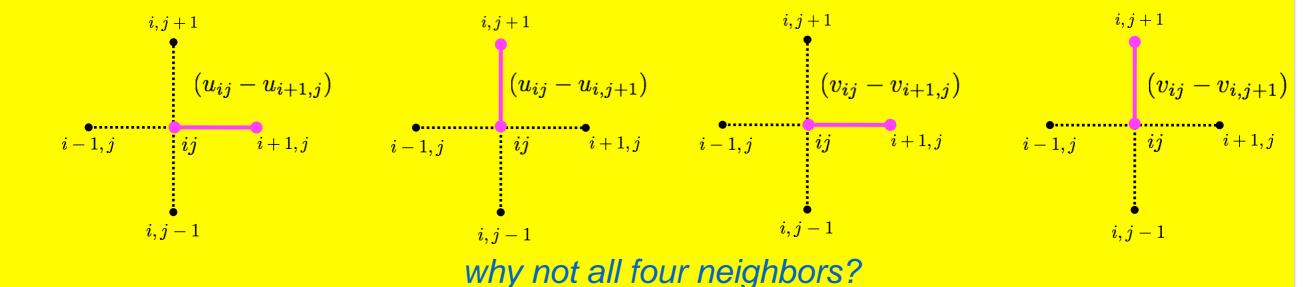
$$\min_{m{u},m{v}} \sum_{i,j} \left\{ E_s(i,j) + \lambda E_d(i,j) 
ight\}$$

### HS optical flow objective function

Brightness constancy 
$$E_d(i,j) = \left[I_x u_{ij} + I_y v_{ij} + I_t
ight]^2$$

### **Smoothness**

$$E_s(i,j) = \frac{1}{4} \left[ (u_{ij} - u_{i+1,j})^2 + (u_{ij} - u_{i,j+1})^2 + (v_{ij} - v_{i+1,j})^2 + (v_{ij} - v_{i,j+1})^2 \right]$$



# How do we solve this minimization problem?

$$\min_{oldsymbol{u},oldsymbol{v}} \sum_{i,j} \left\{ E_s(i,j) + \lambda E_d(i,j) \right\}$$

# How do we solve this minimization problem?

$$\min_{\boldsymbol{u},\boldsymbol{v}} \sum_{i,j} \left\{ E_s(i,j) + \lambda E_d(i,j) \right\}$$

Compute partial derivative, derive update equations (gradient decent!)

$$\sum_{ij} \left\{ \frac{1}{4} \left[ (u_{ij} - u_{i+1,j})^2 + (u_{ij} - u_{i,j+1})^2 + (v_{ij} - v_{i+1,j})^2 + (v_{ij} - v_{i,j+1})^2 \right] + \lambda \left[ I_x u_{ij} + I_y v_{ij} + I_t \right]^2 \right\}$$
smoothness term brightness constancy

$$\sum_{ij} \left\{ \frac{1}{4} \left[ (u_{ij} - u_{i+1,j})^2 + (u_{ij} - u_{i,j+1})^2 + (v_{ij} - v_{i+1,j})^2 + (v_{ij} - v_{i,j+1})^2 \right] + \lambda \left[ I_x u_{ij} + I_y v_{ij} + I_t \right]^2 \right\}$$

it's not so bad...

$$\frac{\partial E}{\partial u_{kl}} =$$

how many u terms depend on k and l?

$$\sum_{ij} \left\{ \frac{1}{4} \left[ (u_{ij} - u_{i+1,j})^2 + (u_{ij} - u_{i,j+1})^2 + (v_{ij} - v_{i+1,j})^2 + (v_{ij} - v_{i,j+1})^2 \right] + \lambda \left[ I_x u_{ij} + I_y v_{ij} + I_t \right]^2 \right\}$$

it's not so bad...

$$\frac{\partial E}{\partial u_{kl}} =$$

### how many u terms depend on k and l?

**FOUR** from smoothness

**ONE** from brightness constancy

$$\sum_{ij} \left\{ \frac{1}{4} \left[ (u_{ij} - u_{i+1,j})^2 + (u_{ij} - u_{i,j+1})^2 + (v_{ij} - v_{i+1,j})^2 + (v_{ij} - v_{i,j+1})^2 \right] + \lambda \left[ I_x u_{ij} + I_y v_{ij} + I_t \right]^2 \right\}$$

it's not so bad...

$$\frac{\partial E}{\partial u_{kl}} = 2(u_{kl} - \bar{u}_{kl}) + 2\lambda(I_x u_{kl} + I_y v_{kl} + I_t)I_x$$

### how many u terms depend on k and l?

**FOUR** from smoothness

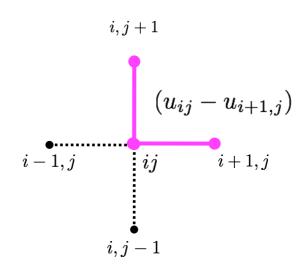
**ONE** from brightness constancy

$$\sum_{ij} \left\{ \frac{1}{4} \left[ (u_{ij} - u_{i+1,j})^2 + (u_{ij} - u_{i,j+1})^2 + (v_{ij} - v_{i+1,j})^2 + (v_{ij} - v_{i,j+1})^2 \right] + \lambda \left[ I_x u_{ij} + I_y v_{ij} + I_t \right]^2 \right\}$$



$$(u_{ij}^2 - 2u_{ij}u_{i+1,j} + u_{i+1,j}^2)$$
  $(u_{ij}^2 - 2u_{ij}u_{i,j+1} + u_{i,j+1}^2)$ 

(variable will appear four times in sum)

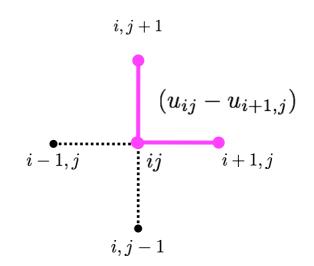


$$\sum_{ij} \left\{ \frac{1}{4} \left[ (u_{ij} - u_{i+1,j})^2 + (u_{ij} - u_{i,j+1})^2 + (v_{ij} - v_{i+1,j})^2 + (v_{ij} - v_{i,j+1})^2 \right] + \lambda \left[ I_x u_{ij} + I_y v_{ij} + I_t \right]^2 \right\}$$



$$(u_{ij}^2 - 2u_{ij}u_{i+1,j} + u_{i+1,j}^2)$$
  $(u_{ij}^2 - 2u_{ij}u_{i,j+1} + u_{i,j+1}^2)$ 

(variable will appear four times in sum)



$$\frac{\partial E}{\partial u_{kl}} = 2(u_{kl} - \bar{u}_{kl}) + 2\lambda(I_x u_{kl} + I_y v_{kl} + I_t)I_x$$

$$\frac{\partial E}{\partial v_{kl}} = 2(v_{kl} - \bar{v}_{kl}) + 2\lambda(I_x u_{kl} + I_y v_{kl} + I_t)I_y$$

short hand for local average 
$$ar{u}_{ij}=rac{1}{4}igg\{u_{i+1,j}+u_{i-1,j}+u_{i,j+1}+u_{i,j-1}igg\}$$

$$\frac{\partial E}{\partial u_{kl}} = 2(u_{kl} - \bar{u}_{kl}) + 2\lambda(I_x u_{kl} + I_y v_{kl} + I_t)I_x$$
$$\frac{\partial E}{\partial v_{kl}} = 2(v_{kl} - \bar{v}_{kl}) + 2\lambda(I_x u_{kl} + I_y v_{kl} + I_t)I_y$$

Where are the extrema of E?

$$\frac{\partial E}{\partial u_{kl}} = 2(u_{kl} - \bar{u}_{kl}) + 2\lambda(I_x u_{kl} + I_y v_{kl} + I_t)I_x$$
$$\frac{\partial E}{\partial v_{kl}} = 2(v_{kl} - \bar{v}_{kl}) + 2\lambda(I_x u_{kl} + I_y v_{kl} + I_t)I_y$$

### Where are the extrema of E?

(set derivatives to zero and solve for unknowns u and v)

$$(1 + \lambda I_x^2)u_{kl} + \lambda I_x I_y v_{kl} = \bar{u}_{kl} - \lambda I_x I_t$$

$$\lambda I_x I_y u_{kl} + (1 + \lambda I_y^2) v_{kl} = \bar{v}_{kl} - \lambda I_y I_t$$

$$\frac{\partial E}{\partial u_{kl}} = 2(u_{kl} - \bar{u}_{kl}) + 2\lambda(I_x u_{kl} + I_y v_{kl} + I_t)I_x$$

$$\frac{\partial E}{\partial v_{kl}} = 2(v_{kl} - \bar{v}_{kl}) + 2\lambda(I_x u_{kl} + I_y v_{kl} + I_t)I_y$$

#### Where are the extrema of E?

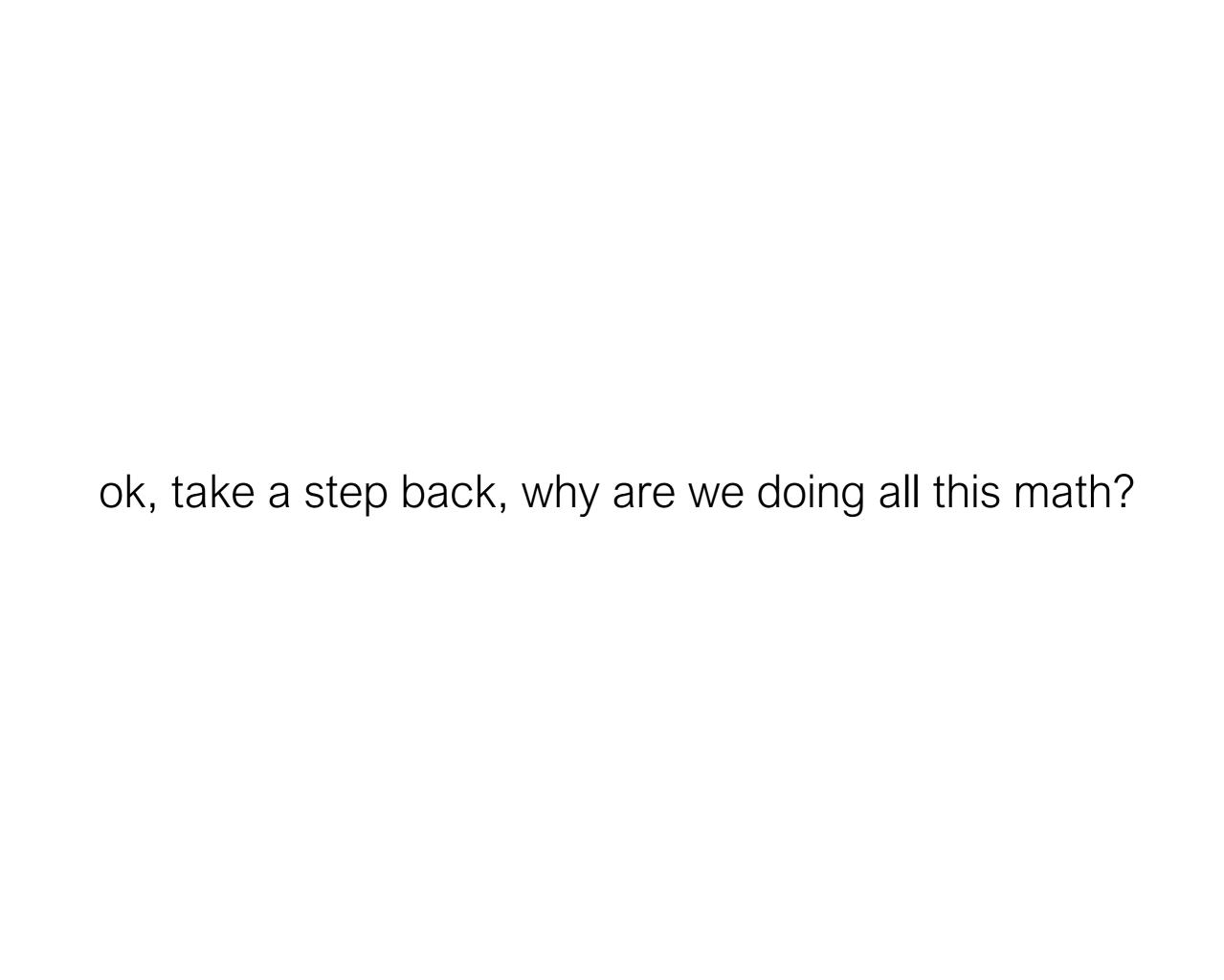
(set derivatives to zero and solve for unknowns u and v)

$$(1 + \lambda I_x^2)u_{kl} + \lambda I_x I_y v_{kl} = \bar{u}_{kl} - \lambda I_x I_t$$

$$\lambda I_x I_y u_{kl} + (1 + \lambda I_y^2) v_{kl} = \bar{v}_{kl} - \lambda I_y I_t$$

this is a linear system

 $\mathbf{A} \boldsymbol{x} = \mathbf{b}$  how do you solve this?



## We are solving for the optical flow (u,v) given two constraints

$$\sum_{ij} \left\{ \frac{1}{4} \left[ (u_{ij} - u_{i+1,j})^2 + (u_{ij} - u_{i,j+1})^2 + (v_{ij} - v_{i+1,j})^2 + (v_{ij} - v_{i,j+1})^2 \right] + \lambda \left[ I_x u_{ij} + I_y v_{ij} + I_t \right]^2 \right\}$$

smoothness

brightness constancy

We need the math to minimize this (back to the math)

Partial derivatives of Horn-Schunck objective function E:

$$\frac{\partial E}{\partial u_{kl}} = 2(u_{kl} - \bar{u}_{kl}) + 2\lambda(I_x u_{kl} + I_y v_{kl} + I_t)I_x$$

$$\frac{\partial E}{\partial v_{kl}} = 2(v_{kl} - \bar{v}_{kl}) + 2\lambda(I_x u_{kl} + I_y v_{kl} + I_t)I_y$$

### Where are the extrema of E?

(set derivatives to zero and solve for unknowns u and v)

$$(1 + \lambda I_x^2)u_{kl} + \lambda I_x I_y v_{kl} = \bar{u}_{kl} - \lambda I_x I_t$$

$$\lambda I_x I_y u_{kl} + (1 + \lambda I_y^2) v_{kl} = \bar{v}_{kl} - \lambda I_y I_t$$

 $\mathbf{A} oldsymbol{x} = oldsymbol{b}$  how do you solve this?

$$(1 + \lambda I_x^2)u_{kl} + \lambda I_x I_y v_{kl} = \bar{u}_{kl} - \lambda I_x I_t$$
$$\lambda I_x I_y u_{kl} + (1 + \lambda I_y^2)v_{kl} = \bar{v}_{kl} - \lambda I_y I_t$$

Recall 
$$oldsymbol{x} = \mathbf{A}^{-1} oldsymbol{b} = rac{\mathrm{adj} \mathbf{A}}{\det \mathbf{A}} oldsymbol{b}$$

$$(1 + \lambda I_x^2)u_{kl} + \lambda I_x I_y v_{kl} = \bar{u}_{kl} - \lambda I_x I_t$$
$$\lambda I_x I_y u_{kl} + (1 + \lambda I_y^2)v_{kl} = \bar{v}_{kl} - \lambda I_y I_t$$

Recall 
$$oldsymbol{x} = \mathbf{A}^{-1} oldsymbol{b} = rac{\mathrm{adj} \mathbf{A}}{\det \mathbf{A}} oldsymbol{b}$$

Same as the linear system:

$$\{1 + \lambda (I_x^2 + I_y^2)\}u_{kl} = (1 + \lambda I_x^2)\bar{u}_{kl} - \lambda I_xI_y\bar{v}_{kl} - \lambda I_xI_t$$
 (det A)

$$\{1 + \lambda(I_x^2 + I_y^2)\}v_{kl} = (1 + \lambda I_y^2)\bar{v}_{kl} - \lambda I_xI_y\bar{u}_{kl} - \lambda I_yI_t$$
 (det A)

$$\{1 + \lambda(I_x^2 + I_y^2)\}u_{kl} = (1 + \lambda I_x^2)\bar{u}_{kl} - \lambda I_x I_y \bar{v}_{kl} - \lambda I_x I_t$$

$$\{1 + \lambda(I_x^2 + I_y^2)\}v_{kl} = (1 + \lambda I_y^2)\bar{v}_{kl} - \lambda I_x I_y \bar{u}_{kl} - \lambda I_y I_t$$

Rearrange to get update equations:

$$\hat{u}_{kl} = ar{u}_{kl} - rac{I_x ar{u}_{kl} + I_y ar{v}_{kl} + I_t}{\lambda^{-1} + I_x^2 + I_y^2} I_x \ \hat{v}_{kl} = ar{v}_{kl} - rac{I_x ar{u}_{kl} + I_y ar{v}_{kl} + I_t}{\lambda^{-1} + I_x^2 + I_y^2} I_y$$

Recall: 
$$\min_{\boldsymbol{u},\boldsymbol{v}} \sum_{i,j} \left\{ E_s(i,j) + \lambda E_d(i,j) \right\}$$

When lambda is small (lambda inverse is big)...

$$\hat{u}_{kl} = ar{u}_{kl} - rac{I_x ar{u}_{kl} + I_y ar{v}_{kl} + I_t}{\lambda^{-1} + I_x^2 + I_y^2} I_x \ \hat{v}_{kl} = ar{v}_{kl} - rac{I_x ar{u}_{kl} + I_y ar{v}_{kl} + I_t}{\lambda^{-1} + I_x^2 + I_y^2} I_y$$

Recall: 
$$\min_{\boldsymbol{u},\boldsymbol{v}} \sum_{i,j} \left\{ E_s(i,j) + \lambda E_d(i,j) \right\}$$

When lambda is small (lambda inverse is big)...

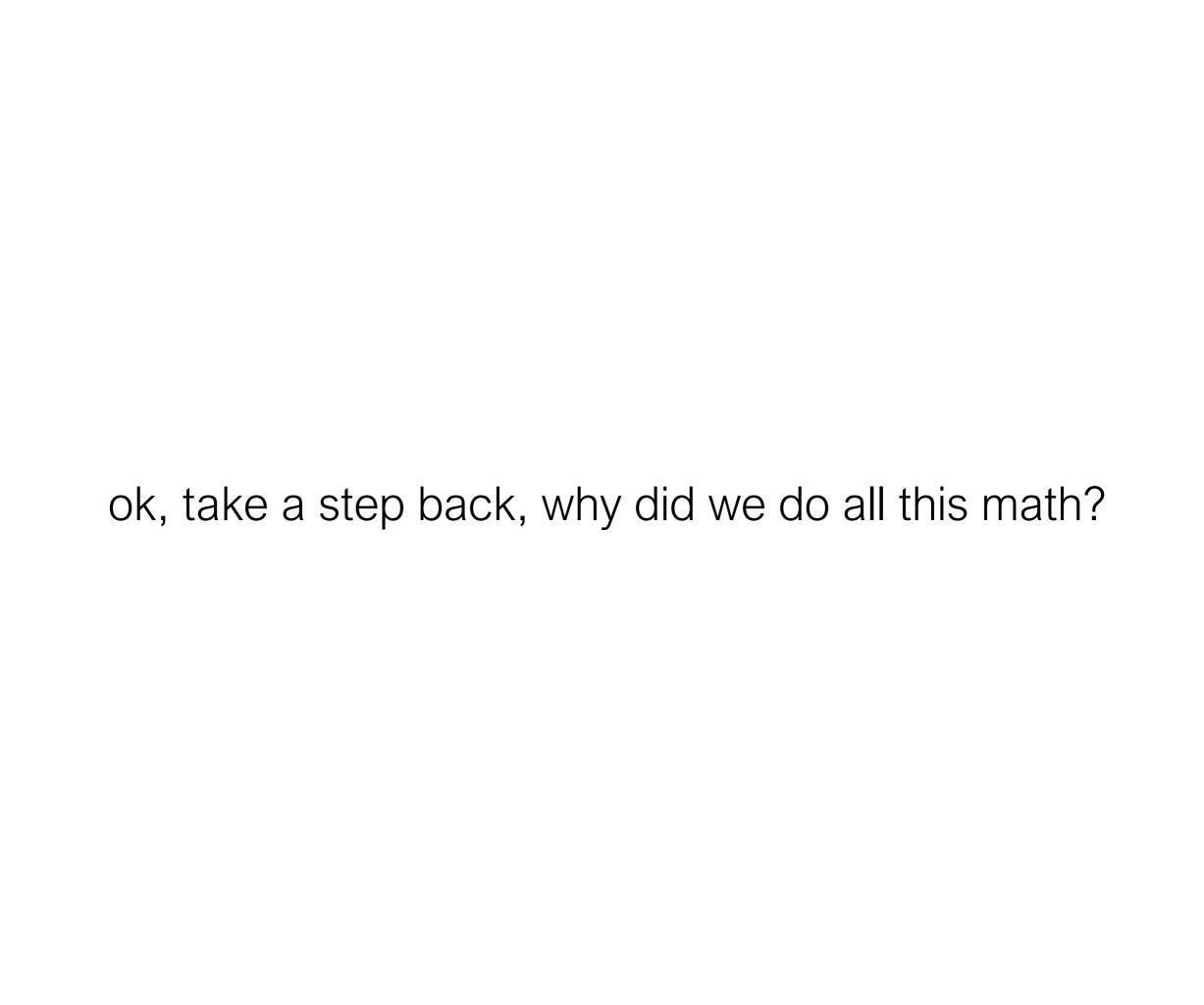
$$\hat{u}_{kl}=ar{u}_{kl}-rac{I_xar{u}_{kl}+I_yar{v}_{kl}+I_t}{\lambda^{-1}+I_x^2+I_y^2}ar{I}_x^{ ext{goes to}}$$
 rew old average  $\hat{v}_{kl}=ar{v}_{kl}-rac{I_xar{u}_{kl}+I_yar{v}_{kl}+I_t}{\lambda^{-1}+I_x^2+I_y^2}ar{I}_y^{ ext{goes to}}$  represents  $\hat{v}_{kl}=ar{v}_{kl}-rac{I_xar{u}_{kl}+I_yar{v}_{kl}+I_t}{\lambda^{-1}+I_x^2+I_y^2}ar{I}_y^{ ext{goes to}}$ 

Recall: 
$$\min_{m{u},m{v}}\sum_{i,j}\left\{E_s(i,j)+\lambda E_d(i,j)\right\}$$

When lambda is small (lambda inverse is big)...

$$\hat{u}_{kl} = ar{u}_{kl} - rac{I_x ar{u}_{kl} + I_y ar{v}_{kl} + I_t}{\lambda^{-1} + I_x^2 + I_y^2} ar{I}_x^{ ext{goes to}}$$
  $\hat{v}_{kl} = ar{v}_{kl} - rac{I_x ar{u}_{kl} + I_y ar{v}_{kl} + I_t}{\lambda^{-1} + I_x^2 + I_y^2} ar{I}_y^{ ext{goes to}}$ 

...we only care about smoothness.



## We are solving for the optical flow (u,v) given two constraints

$$\sum_{ij} \left\{ \frac{1}{4} \left[ (u_{ij} - u_{i+1,j})^2 + (u_{ij} - u_{i,j+1})^2 + (v_{ij} - v_{i+1,j})^2 + (v_{ij} - v_{i,j+1})^2 \right] + \lambda \left[ I_x u_{ij} + I_y v_{ij} + I_t \right]^2 \right\}$$

smoothness

brightness constancy

We needed the math to minimize this (now to the algorithm)

# Horn-Schunck Optical Flow Algorithm

1. Precompute image gradients

- $I_y I_x$
- 2. Precompute temporal gradients
  - $I_t$

3. Initialize flow field

- u = 0
- v = 0

4. While not converged

Compute flow field updates for each pixel:

$$\hat{u}_{kl} = \bar{u}_{kl} - \frac{I_x \bar{u}_{kl} + I_y \bar{v}_{kl} + I_t}{\lambda^{-1} + I_x^2 + I_y^2} I_x \qquad \hat{v}_{kl} = \bar{v}_{kl} - \frac{I_x \bar{u}_{kl} + I_y \bar{v}_{kl} + I_t}{\lambda^{-1} + I_x^2 + I_y^2} I_y$$

#### **Just 8 lines of code!**

## References

#### Basic reading:

• Szeliski, Section 8.4.