

# 15–150: Principles of Functional Programming

## *List Reversal*

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This note proves an extensional equivalence for list reversal as discussed in lecture.

**SUGGESTION:** Read the first page of this note, then try to prove the Theorem. Then look at the second page for a solution. That is a good way to prepare yourself for homework and midterm.

Here is the relevant code:

```
(* rev : int list -> int list
   REQUIRES: true
   ENSURES:  rev(L) evaluates to the list L in reverse order.
*)
fun rev ([] : int list) : int list = []
  | rev (x::xs) = (rev xs) @ [x]

(* trev : int list * int list -> int list
   REQUIRES: true
   ENSURES:  trev(L, acc) ≅ (rev L) @ acc
*)
fun trev ([] : int list, acc : int list) : int list = acc
  | trev(x::xs, acc) = trev(xs, x::acc)

(* reverse : int list -> int list
   REQUIRES: true
   ENSURES:  reverse(L) evaluates to the list L in reverse order.
*)
fun reverse(L : int list) : int list = trev(L, [])
```

**Theorem:** For all values  $L$  and  $acc$  of type `int list`,  $trev(L, acc) \cong rev(L) @ acc$ .

In order to prove the theorem, we will use two lemmas, stated next. The first lemma says that  $@$  is an associative operator. The second lemma says that appending a list consisting of one element onto a second list reduces to the process of consing that one element onto the second list.

**Lemma 1:** For all expressions  $e_1, e_2, e_3$  of type `int list`,  $e_1 @ (e_2 @ e_3) \cong (e_1 @ e_2) @ e_3$ .

Lemma 1 is proved in the structural induction notes accompanying this lecture.

**Lemma 2:** For all values  $x : int$  and  $acc : int list$ ,  $[x] @ acc \implies x::acc$ .

Exercise: Prove Lemma 2 from the code for  $@$  given here:

```
fun @ ([] : int list, R : int list) : int list = R
  | @ (x::xs, R) = x :: @(xs, R)
infixr @
```

**Proof:** By structural induction on  $L$ .

**BASE CASE:**  $L = []$ .

NEED TO SHOW:  $\text{trev}([], \text{acc}) \cong \text{rev}([]) @ \text{acc}$  for all values  $\text{acc} : \text{int list}$ .

SHOWING: Let us evaluate the left and right sides of this “NEED TO SHOW” separately:

$$\begin{aligned} & \text{trev}([], \text{acc}) \\ \implies & \text{acc} && \text{[first clause of trev]} \\ \\ & \text{rev}([]) @ \text{acc} \\ \implies & [] @ \text{acc} && \text{[first clause of rev]} \\ \implies & \text{acc} && \text{[first clause of @]} \end{aligned}$$

Since both expressions reduce to the same value, they are extensionally equivalent. That establishes the base case.

**INDUCTIVE CASE:**  $L = x::xs$ , for some values  $x : \text{int}$  and  $xs : \text{int list}$ .

INDUCTION HYPOTHESIS:

For all values  $\text{acc}' : \text{int list}$ ,  $\text{trev}(xs, \text{acc}') \cong \text{rev}(xs) @ \text{acc}'$ .

(We wrote  $\text{acc}'$  rather than  $\text{acc}$  merely to distinguish it from the  $\text{acc}$  used below. We emphasize that the quantification in the IH is *for all* accumulator arguments.)

NEED TO SHOW: For all values  $\text{acc} : \text{int list}$ ,  $\text{trev}(x::xs, \text{acc}) \cong \text{rev}(x::xs) @ \text{acc}$ .

SHOWING: We could again evaluate the left and right sides of the “NEED TO SHOW” separately. However, to illustrate a different proof approach, we will use explicit equivalences at each step:

$$\begin{aligned} & \text{trev}(x::xs, \text{acc}) \\ \cong & \text{trev}(xs, x::\text{acc}) && \text{[second clause of trev]} \\ \cong & \text{rev}(xs) @ (x::\text{acc}) && \text{[IH, with value acc' = x::acc]} \\ \cong & \text{rev}(xs) @ ([x] @ \text{acc}) && \text{[Lemma 2]} \\ \cong & (\text{rev}(xs) @ [x]) @ \text{acc} && \text{[Lemma 1]} \\ \cong & \text{rev}(x::xs) @ \text{acc} && \text{[second clause of rev]} \end{aligned}$$

That establishes the inductive case.

The base case and the inductive case together establish the Theorem, by a principle of structural induction for  $\text{int list}$ .

**Thought Questions:**

- Lemma 2 says  $[x] @ \text{acc} \implies x::\text{acc}$ , but above we use  $x::\text{acc} \cong [x] @ \text{acc}$ . Why is that valid?
- Why is the last step above, which cites the second clause of  $\text{rev}$ , a valid equivalence?