# Multiple Sequence Alignment

02-25 I Carl Kingsford

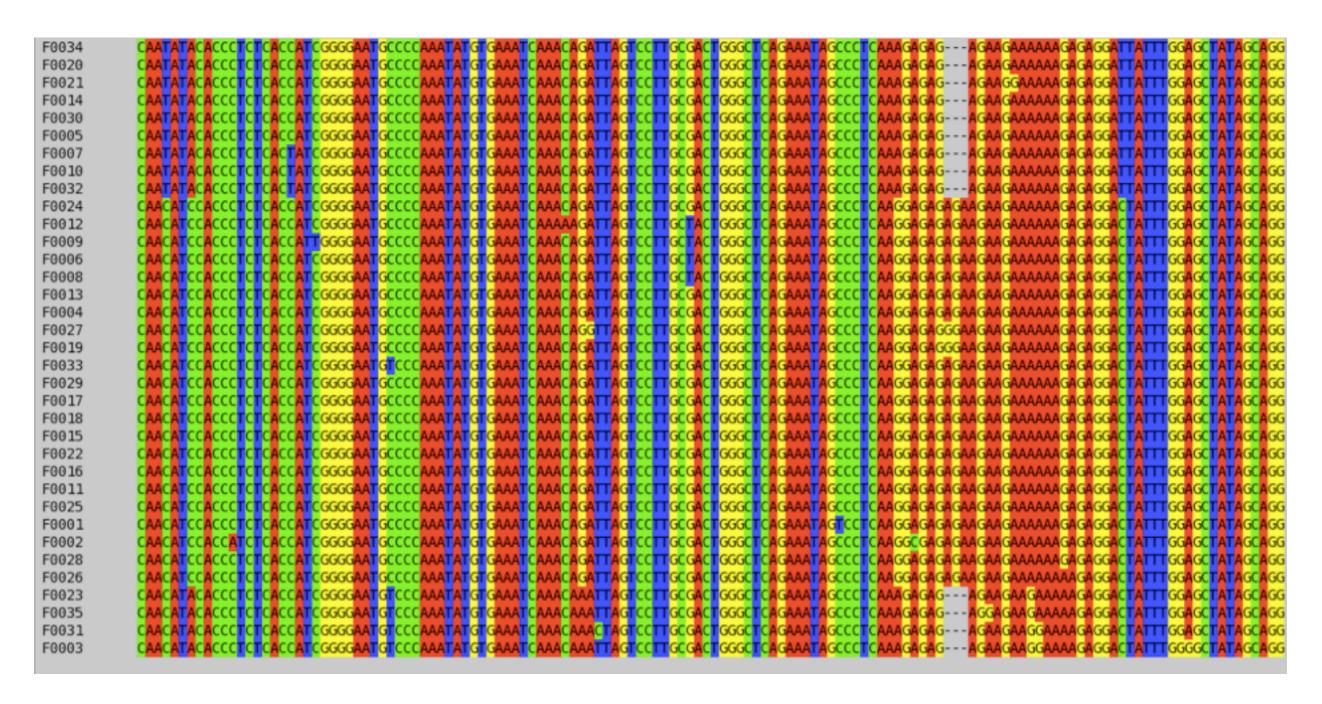
```
jazz_timeline.js (~/Subversion/prj/jazzmap/prototype3-protovis/js) - VIM
       lines: Foreword here
labelColor: "white",
       labelFont: "14px sans-serif",
       backgroundColor: "black",
       dotBarStyle: 'green',//'rgba(255, 128, 128,
dotFillStyle: 'rgba(150,150,150,0.7)',
       dotStrokeStyle: "rgb(100, 100, 100)",
       dotHighlightFill: "rab(255, 237, 160)'
       dotHighlightStroke: "rgba(100,100,100,0.3)"
       dotSelectFill: "coral",
       dotSelectStroke: "rgba(100,100,100,0.3)",
       dotBorderWidth: 1,
       dotBarPaddingLeft: 0, // 10
       dotBarPaddingRight: 0, // 10
       height: 50,
       topIndent: 16,
       dotSize: 50,
   var ticks = blah.d;
   var getTimelineLabel = function (d, ticks) {
    var months = new Array('Jan', 'Feb', 'Mar', 'Apr'
    var index = Math.round((d.getTime() - selected
    var y = d.getFullYear();
    if (smallStep >= MILLIS_PER_YEAR ) {
        if (smallStep == 10 * MILLIS_PER_YEAR) ret
        if (smallStep == 5 * MILLIS_PER_YEAR)
            if (ticks.length > 25) return y%10==0
            else return y%5==0 ? y : '';
+-- 10 lines: ticks per
            else return '';
          else
            if (index%4 == 0) return months[d.getM
            else return '';
    else if (smallStep >= MILLIS_PER_WEEK) {
        if (ticks.length < 20)
          if (index == ticks.length-1 || index ==
              return months[d.getMonth()] + ' ' +
          else
            return months[d.getMonth()] + ' ' + d.
        else
          if (index%2 == 0)
            if (index >= ticks.length-2 || index =
              return months[d.getMonth()] + ' '
             return months[d.getMonth()] + ' ' + d
          else return '';
    else if (smallStep >= MILLIS_PER_DAY) return d
    else return d.getDate();
     * custom zoom behavior when using the scroll
    var myzoom = function (e, w) {
        speed = 1/7; // 1/48
        var obj = e;
        width = w;
        function mousewheel() {
          var m = this.mouse();
```

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      labelFont: "14px sans-serif",
      backgroundColor: "black",
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      dotStrokeStyle: "rgb(100, 100, 100)",
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# Multiple Sequence Alignment (MSA)



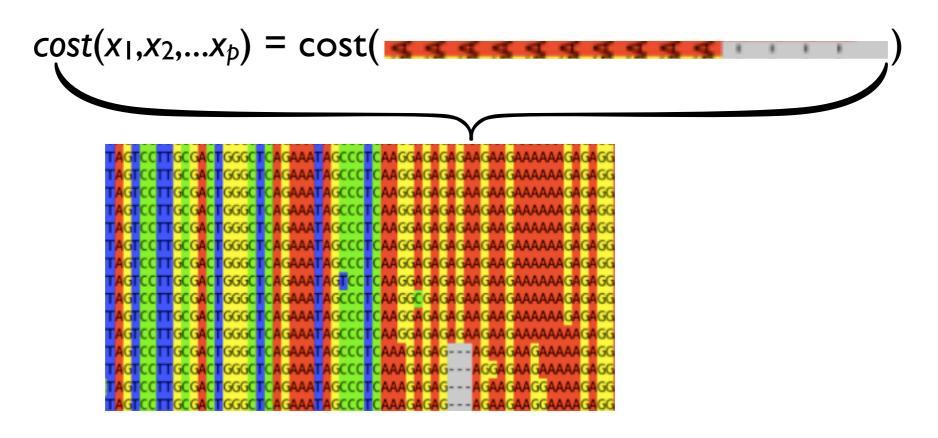
Multiple sequence alignment: find more subtle patterns & find common patterns between all sequence.

## Generalizing Alignment to > 2 Strings

Input: Sequences  $S_1$ ,  $S_2$ , ...,  $S_p$ 

Let  $cost(x_1,x_2,...x_p)$  be a user-supplied function that computes the quality of a column: an alignment between characters  $x_1, x_2, ... x_p$ .

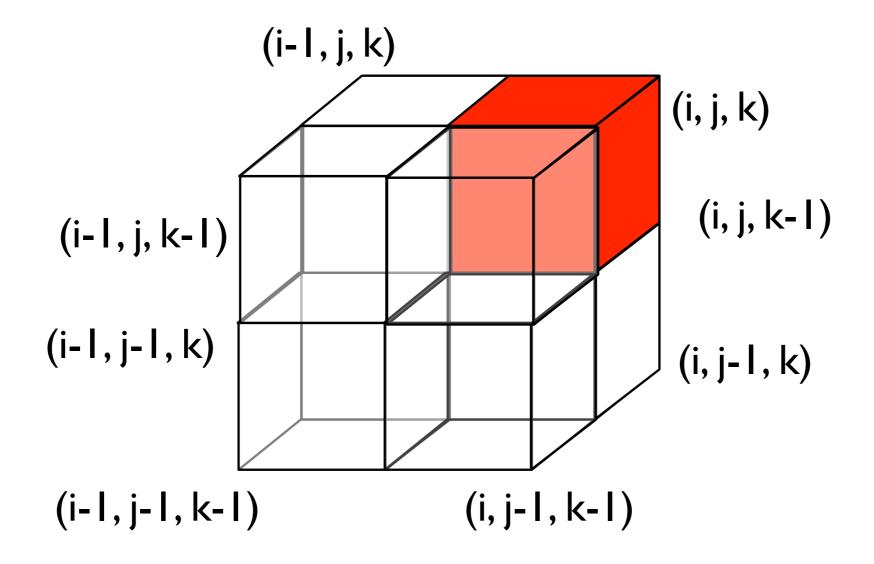
• **Goal**: find alignment M to minimize  $\sum$  cost of the columns:



## Slow Dynamic Programming

Suppose you had just 3 sequences.

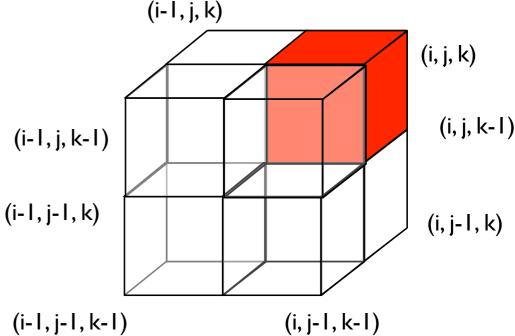
Apply the same DP idea as sequence alignment for 2 sequences, but now with a 3-dimensional matrix



## DP Recurrence for 3 sequences

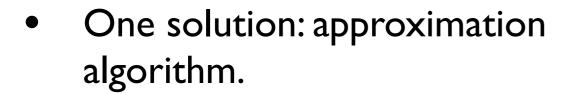
$$A[i, j, k] = \min \begin{cases} \cot(x_i, y_j, z_k) + A[i - 1, j - 1, k - 1] \\ \cot(x_i, -, -) + A[i - 1, j, k] \\ \cot(x_i, y_j, -) + A[i - 1, j - 1, k] \\ \cot(-, y_j, z_k) + A[i, j - 1, k - 1] \\ \cot(-, y_j, -) + A[i, j - 1, k] \\ \cot(x_i, -, z_k) + A[i - 1, j, k - 1] \\ \cot(-, -, z_k) + A[i, j, k - 1] \end{cases}$$

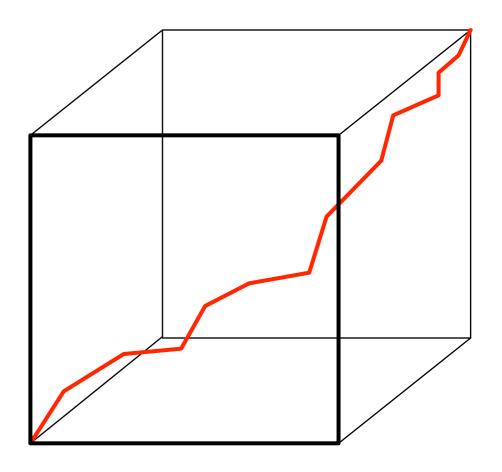
Every possible pattern for the gaps.



## Running time

- $n^3$  subproblems, each takes  $2^3$  time  $\Rightarrow O(n^3)$  time.
- For p sequences:  $n^p$  subproblems, each takes  $2^p$  time for the max and  $p^2$  to compute cost()  $\Rightarrow$  O( $p^2n^p2^p$ )
- Even  $O(n^3)$  is often too slow for the length of sequences encountered in practice.





## SP-Score

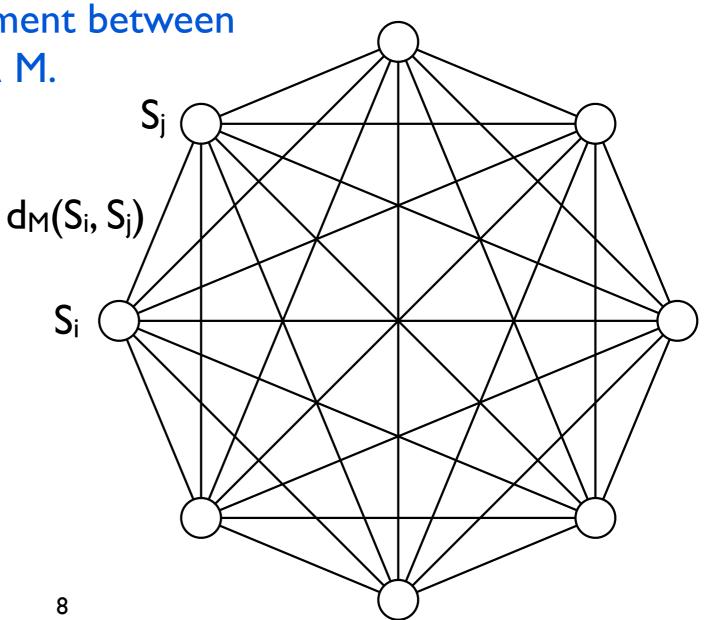
A particular cost() function, the SP-Score, is commonly used and allows us to design an approximation algorithm for the MSA problem.

 $d_M(S_i, S_i)$  = the cost of the alignment between

S<sub>i</sub> and S<sub>i</sub> as implied by MSA M.

 $SP-Score(M) = \sum_{i \le i} d_M(S_i, S_i)$ 

= sum of all the scores of the pairwise alignments implied by M.

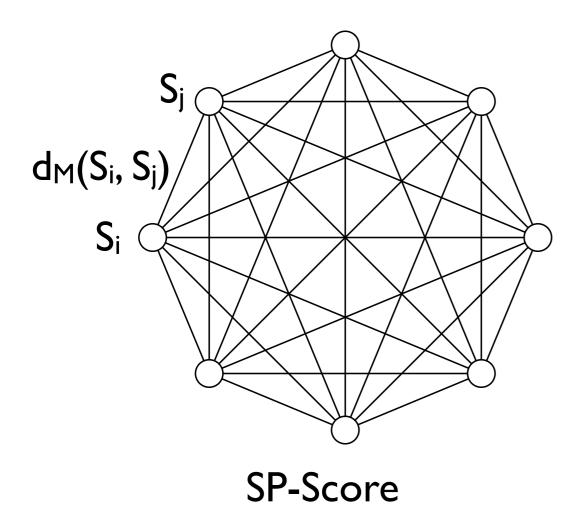


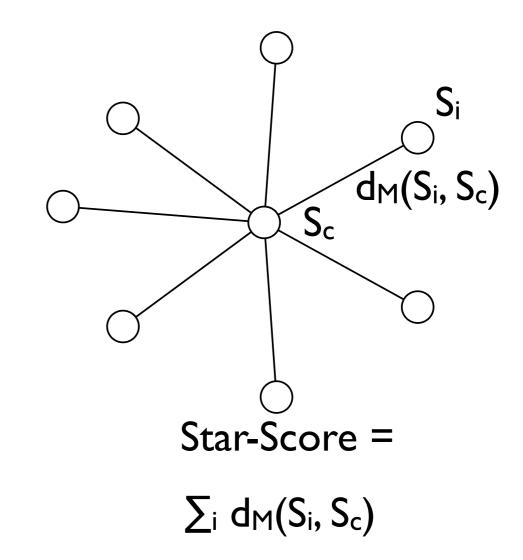
### **MSA**

- A multiple sequence alignment (MSA) implies a pairwise alignment between every pair of sequences.
- This implied alignment need not be optimal, however:

```
match = -1, a mismatch = 1, gap = 2
Sequences: AT, A, T, AT, AT
```

## Star Alignment Approximation





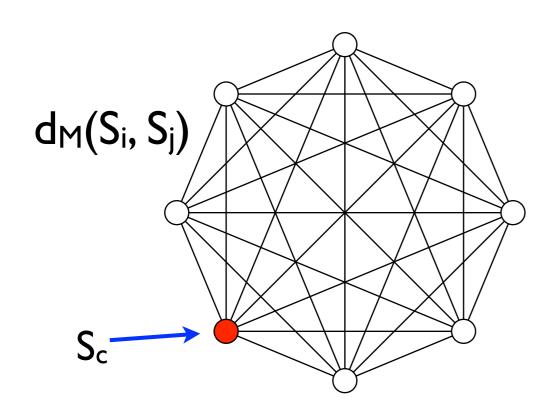
## Star Alignment Algorithm

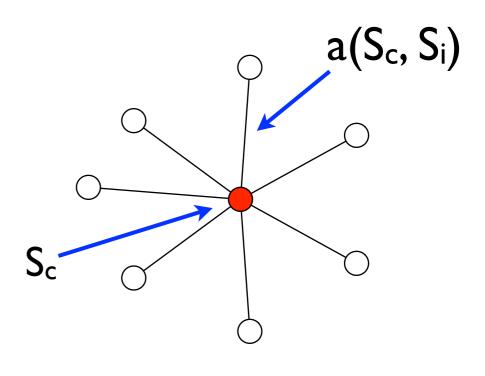
**Input**: sequences  $S_1, S_2, ..., S_p$ 

- Build all  $O(p^2)$  pairwise alignments.
- Let  $S_c$  = the sequence in  $S_1$ ,  $S_2$ , ...,  $S_p$  that is closest to the others. That is, choose  $S_c$  to minimize:

$$\sum_{i\neq c} a(S_c, S_i)$$

Progressively align all other sequences to S<sub>c</sub>.





# Progressive Alignment

Build a multiple sequence alignment up from pairwise alignments.

Start with an alignment between  $S_c$  and some other sequence:

- SC YFPHFDLSHGSAQVKAHGKKVGDALTLAVGHLDDLPGAL
- S1 YFPHFDLSHG-AQVKG--KKVADALTNAVAHVDDMPNAL

Add 3rd sequence, say S2, and use the SC - S2 alignment as a guide, adding spaces into the MSA as needed.

#### SC - S2 alignment:

- SC YFPHF-DLS----HGSAQVKAHGKKVGDALTLAVGHL----DDLPGAL
- S2 FFPKFKGLTTADQLKKSADVRWHAERII----NAVNDAVASMDDTEKMS

#### New {SC, S1, S2} alignment (red gaps added in S1):

- SC YFPHF-DLS----HGSAQVKAHGKKVGDALTLAVGHL----DDLPGAL
- S1 YFPHF-DLS-----HG-AQVKG--KKVADALTNAVAHV----DDMPNAL
- S2 FFPKFKGLTTADQLKKSADVRWHAERII----NAVNDAVASMDDTEKMS

#### Continue with S3, S4, ...

## Performance

Assume the cost function satisfies the triangle inequality:

$$cost(x,y) \leq cost(x,z) + cost(z,y)$$
Example:  $cost(A,C) \leq cost(A,T) + cost(T,C)$ 

$$cost of a$$

$$mutation from$$

$$A \rightarrow C$$

$$cost of a mutation$$

$$from A \rightarrow T and$$

$$then from T \rightarrow C$$

STAR = cost of result of star algorithm under SP-score

OPT = cost of optimal multiple sequence alignment (under SP-score)

**Theorem**. If cost satisfies the triangle inequality, then STAR  $\leq 2 \times OPT$ .

Example: if optimal alignment has cost 10, the star alignment will have cost  $\leq 20$ .

## Proof (1)

**Theorem**. If cost satisfies the triangle inequality, then STAR  $\leq$  2OPT.

$$\frac{\text{STAR}}{\text{OPT}} \le 2$$

For some *B* we will prove the 2 statements:

$$\begin{array}{c|c} \text{STAR} \le 2B \\ \text{OPT} \ge B \end{array}$$

This will imply:

$$\implies \frac{\text{STAR}}{\text{OPT}} \le \frac{2B}{B} = 2$$

## Proof (2)

**Theorem**. If cost satisfies the triangle inequality, then STAR  $\leq$  2OPT.

$$\begin{aligned} 2 \cdot \mathrm{STAR} &=& \sum_{ij} d_{\mathrm{STAR}}(S_i, S_j) \text{ defn of SP-score} \\ & \text{by triangle inequality} &\leq & \sum_{ij} (d_{\mathrm{STAR}}(S_i, S_c) + d_{\mathrm{STAR}}(S_c, S_j)) \\ & \text{because STAR alignment is optimal for pairs involving Sc} &=& \sum_{ij} \mathbf{a}(S_i, S_c) + \mathbf{a}(S_c, S_j) \\ & \text{distribute } \boldsymbol{\Sigma} &= & \sum_{ij} \mathbf{a}(S_i, S_c) + \sum_{ij} \mathbf{a}(S_c, S_j) \\ &\leq & 2 \boldsymbol{p} \sum_{i} \mathbf{a}(S_i, S_c) \\ &\leq & 2 \boldsymbol{p} \sum_{i} \mathbf{a}(S_i, S_c) \end{aligned} \quad \text{sums are the same and each term appears} \\ &\leq \mathbf{p} \left( \boldsymbol{\#} \text{ of sequences} \right) \end{aligned}$$

15

times.

## Proof (3)

**Theorem**. If cost satisfies the triangle inequality, then STAR  $\leq$  2OPT.

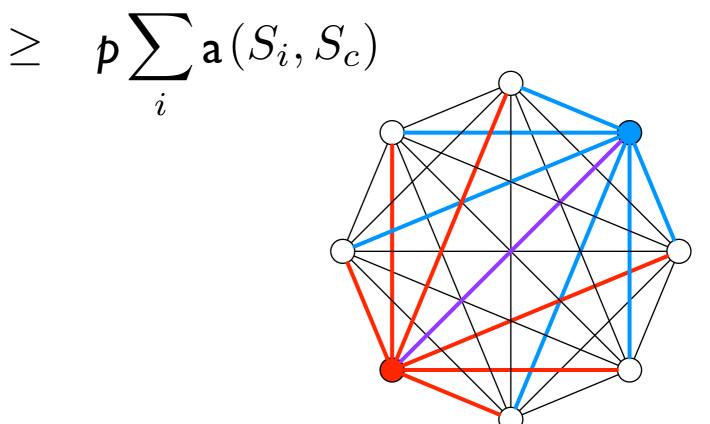
$$2 \cdot \text{OPT} = \sum_{ij} d_{\text{OPT}}(S_i, S_j)$$
 defin of SP-score

optimal pairwise alignment is  $\leq$  pairwise alignment induced by any MSA induced  $\geq \sum_{ij} \mathbf{a}(S_i, S_j)$ 

$$\geq \sum_{ij} \mathbf{a}(S_i, S_j)$$

sum of cost of all pairwise alignments is = the sum of p different stars.

We chose S<sub>c</sub> because it was the lowest-cost star.



## End of Proof

For some *B* we will prove the 2 statements:

$$\begin{array}{l} \mathrm{STAR} \leq 2B \\ \mathrm{OPT} \geq B \end{array}$$

This will imply:

$$\implies \frac{\text{STAR}}{\text{OPT}} \le \frac{2B}{B} = 2$$

$$2 \cdot STAR \leq 2p \sum_{i} \mathbf{a}(S_i, S_c)$$
  $2 \cdot OPT \geq p \sum_{i} \mathbf{a}(S_i, S_c)$ 

$$\implies \frac{\text{STAR}}{\text{OPT}} \le \frac{2 \not p \sum_{i} \mathbf{a}(S_i, S_c)}{\not p \sum_{i} \mathbf{a}(S_i, S_c)} = 2$$

## Consensus Sequence

```
For every column j, choose c ∈ ∑ that most common letter)

minimizes ∑<sub>i</sub> cost(c, S<sub>i</sub>[j]).

$1 YFPHF-DLS----HGSAQVKAHGKKVG----DALTLAVAHLDDLPGAL
$2 YFPHF-DLS----HG-AQVKG-GKKVA----DALTNAVAHVDDMPNAL
$3 FFPKFKGLTTADQLKKSADVRWHAERII----NAVNDAVASMDDTEKMS
$4 LFSFLKGTSEVP--QNNPELQAHAGKVFKLVYEAAIQLQVTGVVVTDATL

CO YFPHFKDLS----HGSAQVKAHGKKVG----DALTLAVAHVDDTPGAL
```

- Consensus is a summarization of the whole alignment.
- Consensus sequence is sometimes used as an estimate for the ancestral sequence.
- Sometimes the MSA problem is formulated as: find MSA M that minimizes:  $\sum_i d_M(CO, S_i)$

# Profiles Another way to summarize an MSA:

S1 ACG-TT-GA

S2 ATC-GTCGA

S3 ACGCGA-CC

S4 ACGCGT-TA

#### Column in the alignment

		2	3	4	5	6	7	80	9
A	I	0	0	0	0	0.25	0	0	0.75
C	0	0.75	0.25	0.5	0	0	0.25	0.25	0.25
G	0	0	0.75	0	0.75	0	0	0.5	0
Ī	0	0.25	0	0	0.25	0.75	0	0.25	0
-	0	0	0	0.5	0	0	0.75	0	0

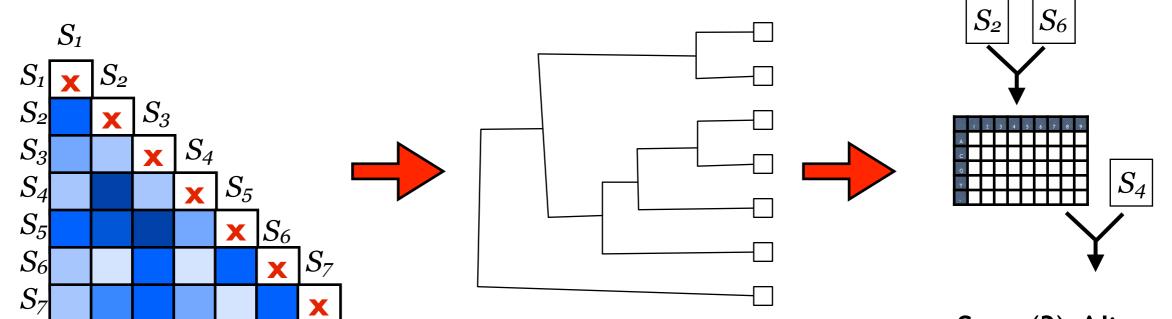
Call this profile matrix R

Fraction of time given column had the given character

Character

### **CLUSTLW**

- CLUSTLW is a widely used, "classical" heuristic multiple aligner.
- Not the fastest, not the most accurate, but pretty good.
- Large # of heuristic tricks included in the software, but basic idea is straightforward:



Step (1): Build pairwise distance matrix

Step (2): Build guide tree

Step (3):Align sequences / sets of sequences from the most similar to least similar

# Profile-based Alignment

gap in profile introduced to tetre fit sequence

		_	2	3	4
	Α	I	0	0	0
D —	С	0	0.75	0.25	0.5
R =	G	0	0	0.75	0
	T	0	0.25	0	0
		0	0	0	0.5

5	6	7	8	9
0	0.25	0	0	0.75
0	0	0.25	0.25	0.25
0.75	0	0	0.5	0
0.25	0.75	0	0.25	0
0	0	0.75	0	0

ACC-AGACGA

Score of matching character x with column j of the profile:

$$P(x,j) = \sum_{c \in \Sigma} sim(x,c) \times R[c,j]$$

sim(x,c) = how similar character x is to character c.

$$A[i,j] = \max \begin{cases} A[i-1,j-1] + P(x_i,j) & \text{align } x_i \\ A[i-1,j] + \text{gap} & \text{introduct} \\ A[i,j-1] + P(\text{``\_''},j) & \text{introduct} \end{cases}$$

align  $x_i$  to column jintroduce gap into profile introduce gap into x

## Recap

- Multiple sequence alignments (MSAs) are a fundamental tool. They
  help reveal subtle patterns, compute consistent distances between
  sequences, etc.
- Quality of MSAs often measured using the SP-score: sum of the scores of the pairwise alignments implied by the MSA.
- Same DP idea as pairwise alignment leads to exponentially slow algorithm for MSA for general p.
- 2-approximation obtainable via star alignments.
- MSAs often used to create profiles summarizing a family of sequences.