

15-150

# Principles of Functional Programming

## Lecture 4

January 25, 2024

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## **Tail Recursion**

**More about**

**Lists**

**Structural Induction**

(\* length : int list  $\rightarrow$  int

REQUIRES: true

ENSURES: length(L) returns the  
number of elements in L.

\*)

fun length ([]: int list): int = 0

| length (x::xs) = 1 + length(xs)

(\* length : int list  $\rightarrow$  int

REQUIRES: true

ENSURES: length(L) returns the  
number of elements in L.

\*)

fun length ([]: int list): int = 0

| length (x::xs) = 1 + length(xs)

$\Rightarrow$  length [4, 7, 9, 2]  
1 + length [7, 9, 2]

why?

(\* length : int list  $\rightarrow$  int

REQUIRES: true

ENSURES: length(L) returns the number of elements in L.

\*)

fun length ([]: int list): int = 0

| length (x::xs) = 1 + length(xs)

$\Rightarrow$  length [4, 7, 9, 2]  
 $\Rightarrow$  1 + length [7, 9, 2]

Why?

Because [4, 7, 9, 2] means 4::[7, 9, 2]

and so

length [4, 7, 9, 2]

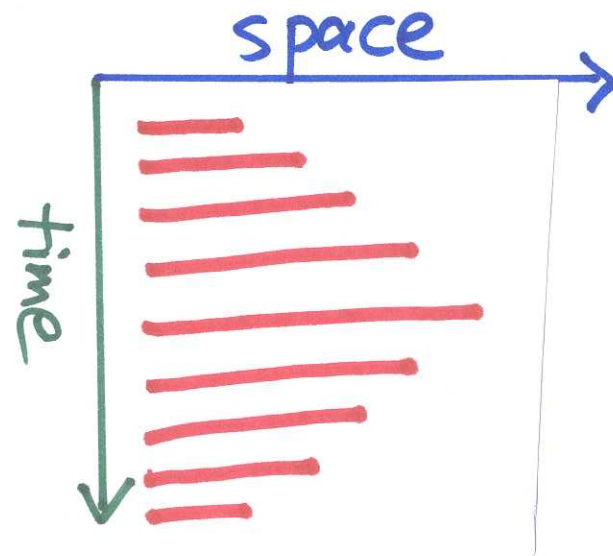
$\Rightarrow$  [..., 4/x, [7, 9, 2]/xs] 1 + length(xs)

$\Rightarrow$  1 + length [7, 9, 2]

( ... means the environment when length was defined )

length [4, 7, 9, 2]

- ⇒ 1 + length [7, 9, 2]
- ⇒ 1 + (1 + length [9, 2])
- ⇒ 1 + (1 + (1 + length [2]))
- ⇒ 1 + (1 + (1 + (1 + length [])))
- ⇒ 1 + (1 + (1 + (1 + 0)))
- ⇒ 1 + (1 + (1 + 1))
- ⇒ 1 + (1 + 2)
- ⇒ 1 + 3
- ⇒ 4



↙ accumulator

(\* tlength: int list \* int → int

REQUIRES: true

ENSURES:  $tlength(L, acc)$

$\approx$   
 $\equiv$

$(length\ L) + acc$

\*)

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$(length\ L) + acc$

fun tlength ([ ]: int list, acc: int): int = ?

(\* tlength: int list \* int  $\rightarrow$  int

REQUIRES: true

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$(length\ L) + acc$

fun tlength ([ ]: int list, acc: int): int = acc

| tlength (x::xs, acc) =

?

(\* tlength: int list \* int → int

REQUIRES: true

ENSURES:  $tlength(L, acc)$   
 $\approx$   
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fun tlength ([ ]: int list, acc: int): int = acc

| tlength (x::xs, acc) =

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REQUIRES: true

ENSURES:  $tlength(L, acc)$   
 $\approx$   
 $\equiv$

\*)

$(length\ L) + acc$

fun tlength ([ ]: int list, acc: int): int = acc

| tlength (x::xs, acc) =

tlength (xs, 1+acc)

↗ tail call

---

tlength is tail recursive

---

# Definition

A function is **tail recursive** if it is recursive and if it performs no computations after calling itself recursively.

Such recursive calls are said to be **tail calls**

(as in: "tail" meaning "at the end").

If the body of a function contains multiple locations at which a recursive call occurs, then **every recursive call must be a tail call** for the function to be **tail recursive**.

Now implement a length function based on `length`:

(\* `length` : `int list`  $\rightarrow$  `int`

REQUIRES & ENSURES as for `length`

\*)

`fun length (L: int list) : int =`

???

Now implement a length function based on  $\text{tlength}$ :

(\*  $\text{length} : \text{int list} \rightarrow \text{int}$

REQUIRES & ENSURES as for  $\text{length}$

\*)

$\text{fun length } (L : \text{int list}) : \text{int} =$

$\text{tlength } (L, 0)$

$\text{tlength } (L, \text{acc}) \cong (\text{length } L) + \text{acc}$

---

Now implement a length function based on `tlength`:

(\* `leng` : `int list`  $\rightarrow$  `int`

REQUIRES & ENSURES as for `length`

\*)

```
fun leng (L: int list) : int =
```

```
  tlength (L, 0)
```

```
  leng [4, 7, 9, 2]  
 $\Rightarrow$  tlength ([4, 7, 9, 2], 0)
```

fun tlength ([]:int list, acc:int):int = acc

| tlength (x::xs, acc) =  
tlength (xs, 1+acc)

leng [4, 7, 9, 2]

⇒ tlength ([4, 7, 9, 2], 0)

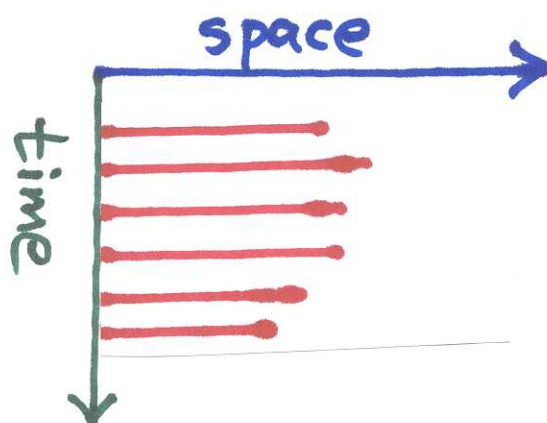
⇒ tlength ([7, 9, 2], 1)

⇒ tlength ([9, 2], 2)

⇒ tlength ([2], 3)

⇒ tlength ([], 4)

⇒ 4





## Theorem

For all values  $L$ : int list and  $acc$ : int,

$$+length(L, acc) \cong (length L) + acc.$$

During lecture:

We proved the theorem using structural induction.  
See online code file for details.

(\* append : int list \* int list  $\rightarrow$  int list

REQUIRES : true

ENSURES :

append (X, Y) returns a list consisting of the elements of X followed by the elements of Y, preserving order.

Example: append ([3,4], [1,3,10])  
 $\Rightarrow$  [3,4,1,3,10]

\*)

fun append (X: int list, Y: int list): int list = Y  
| append (x::xs, Y) = x::append (xs, Y)

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Example: append ([3, 4], [1, 3, 10])  
 $\Rightarrow$  [3, 4, 1, 3, 10]

\*)

fun append (X: int list, Y: int list): int list = Y

| append (x::xs, Y) = x::append (xs, Y)

append (X, Y) has time complexity  $O(|X|)$ .

fun append (L: int list, Y: int list): int list = Y  
| append (x::xs, Y) = x::append(xs, Y)

---

append ([1,2], [5,~6,7])

⇒ 1::append ([2], [5,~6,7])

⇒ 1:: (2::append ([ ], [5,~6,7]))

⇒ 1:: (2:: [5,~6,7])

⇒ 1:: [2,5,~6,7]

⇒ [1,2,5,~6,7]

append is predefined in SML  
as the right-associative  
infix operator @.

So  $[1,2] @ [3,4] @ [6,9,10]$

means

$[1,2] @ ([3,4] @ [6,9,10])$

$\Rightarrow [1,2] @ [3,4,6,9,10]$

$\Rightarrow [1,2,3,4,6,9,10]$

(\* rev : int list  $\rightarrow$  int list

REQUIRES: true

ENSURES: rev L returns a list  
consisting of the elements of L  
in reverse order.

**Example:** rev [7, 9, 2]  $\Rightarrow$  [2, 9, 7].

\* )

(\* rev : int list → int list

REQUIRES: true

ENSURES: rev L returns a list  
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**Example:** rev [7, 9, 2] ⇒ [2, 9, 7].

\*)

fun rev ([ ]: int list): int list = ?



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**Example:** rev [7, 9, 2]  $\Rightarrow$  [2, 9, 7].

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fun rev ([]: int list): int list = []

(\* rev : int list  $\rightarrow$  int list

REQUIRES: true

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**Example:** rev [7, 9, 2]  $\Rightarrow$  [2, 9, 7].

\*)

fun rev ([]: int list): int list = []  
| rev (x::xs) =           ?

(\* rev : int list  $\rightarrow$  int list

REQUIRES: true

ENSURES: rev L returns a list  
consisting of the elements of L  
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**Example:** rev [7, 9, 2]  $\Rightarrow$  [2, 9, 7].

\*)

```
fun rev ([]: int list): int list = []  
  | rev (x::xs) = (rev xs) @ [x]
```

(\* rev : int list  $\rightarrow$  int list

REQUIRES: true

ENSURES: rev L returns a list  
consisting of the elements of L  
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**Example:** rev [7, 9, 2]  $\Rightarrow$  [2, 9, 7].

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What is the time complexity?

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```
fun rev ([]: int list): int list = []  
  | rev (x::xs) = (rev xs) @ [x]
```

What is the time complexity?

$O(n^2)$ ,

with  $n$  the number of elements  
in the list.

rev [1,2,3,4]

⇒ (rev [2,3,4]) @ [1]

⇒ ((rev [3,4]) @ [2]) @ [1]

⇒ (((rev [4]) @ [3]) @ [2]) @ [1]

⇒ ((((rev []) @ [4]) @ [3]) @ [2]) @ [1]

⇒ ((( [ ] @ [4]) @ [3]) @ [2]) @ [1]

⇒ (( [4] @ [3]) @ [2]) @ [1]

⇒ (4 :: [ ] @ [3]) @ [2] @ [1]

⇒ (4 :: [3]) @ [2] @ [1]

⇒ ([4,3] @ [2]) @ [1]

⇒ (4 :: [3] @ [2]) @ [1]

⇒ (4 :: (3 :: [ ] @ [2])) @ [1]

⇒ (4 :: (3 :: [2])) @ [1]

⇒ (4 :: [3,2]) @ [1]

⇒ [4,3,2] @ [1]

⇒ 4 :: [3,2] @ [1]

⇒ 4 :: (3 :: [2] @ [1])

⇒ 4 :: (3 :: (2 :: [ ] @ [1]))

⇒ 4 :: (3 :: (2 :: [1]))

⇒ 4 :: (3 :: [2,1])

⇒ 4 :: [3,2,1]

Finally,  
[4,3,2,1]

(\*  $trev : \text{int list} * \text{int list} \rightarrow \text{int list}$ )

REQUIRES: true

ENSURES:  $trev(L, acc)$

$\cong$

$(rev L) @ acc$

\*)

(\*  $\text{trev} : \text{int list} * \text{int list} \rightarrow \text{int list}$ )

REQUIRES: true

ENSURES:  $\text{trev}(L, \text{acc})$

$\cong$

$(\text{rev } L) @ \text{acc}$

\*)

fun trev ([ ]: int list, acc: int list): int list =

?



(\*  $\text{trev} : \text{int list} * \text{int list} \rightarrow \text{int list}$ )

REQUIRES: true

ENSURES:  $\text{trev}(L, \text{acc})$

$\cong$

$(\text{rev } L) @ \text{acc}$

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fun trev ([ ]: int list, acc : int list) : int list =  
acc

(\*  $\text{trev} : \text{int list} * \text{int list} \rightarrow \text{int list}$

REQUIRES: true

ENSURES:  $\text{trev}(L, \text{acc})$   
 $\cong$

$(\text{rev } L) @ \text{acc}$

\*)

fun trev ([]:int list, acc : int list) : int list =  
acc

| trev (x::xs, acc) = ?

(\*  $\text{trev} : \text{int list} * \text{int list} \rightarrow \text{int list}$ )

REQUIRES: true

ENSURES:  $\text{trev}(L, \text{acc})$

$\cong$

$(\text{rev } L) @ \text{acc}$

\*)

fun  $\text{trev} ([ ] : \text{int list}, \text{acc} : \text{int list}) : \text{int list} =$   
 $\text{acc}$

|  $\text{trev} (x :: xs, \text{acc}) = \text{trev} (xs, x :: \text{acc})$

(\*  $\text{trev} : \text{int list} * \text{int list} \rightarrow \text{int list}$ )

REQUIRES: true

ENSURES:  $\text{trev}(L, \text{acc})$

$\cong$

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fun  $\text{trev} ([]: \text{int list}, \text{acc} : \text{int list}) : \text{int list} =$   
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$|\text{trev}(x :: xs, \text{acc}) = \text{trev}(xs, x :: \text{acc})$

What is the time complexity?

(\*  $\text{trev} : \text{int list} * \text{int list} \rightarrow \text{int list}$

REQUIRES: true

ENSURES:  $\text{trev}(L, \text{acc})$   
 $\cong$

$(\text{rev } L) @ \text{acc}$

\*)

fun trev ([ ]: int list, acc: int list): int list =  
acc

| trev (x::xs, acc) = trev (xs, x::acc)

What is the time complexity?

$O(n)$ ,

with  $n$  the number of elements  
in the first list.

trav ([1,2,3,4], [])

⇒ trav ([2,3,4], [1])

⇒ trav ([3,4], [2,1])

⇒ trav ([4], [3,2,1])

⇒ trav ([], [4,3,2,1])

⇒ [4,3,2,1]

Can now implement list reversal  
more efficiently:

(\* reverse : int list  $\rightarrow$  int list \*)

fun reverse (L: int list): int list =

? ? ?

treverse (L, acc)  $\approx$  (reverse L) @ acc

Can now implement list reversal  
more efficiently:

(\* reverse : int list  $\rightarrow$  int list \*)

fun reverse (L: int list): int list =

  trev (L, [])

trev (L, acc)  $\cong$  (rev L) @ acc



## Theorem

For all values  $L$ : int list and  $acc$ : int list,

$$trev(L, acc) \hat{=} (rev L) @ acc.$$

During lecture:

We proved the theorem using structural induction.  
See online notes for details.

That is all.

Have a good weekend.

See you Tuesday.