

Spectral
12/1/09
v2

Spectral Partitioning of Planar Graphs

Let G be a unit weight bounded degree
planar graph.

Goal: Show G has an $O(\sqrt{n})$ quotient cut.
 $\phi(G) = O(\sqrt{n})$.

$$\text{i.e. } \exists S \subseteq V \text{ s.t. } \frac{\text{cut}(S, \bar{S})}{\min\{|S|, |\bar{S}|\}} = O(\sqrt{n})$$

By the Cheeger bds

$$\phi(G) \leq \sqrt{2\Delta\lambda_2}$$

Suffice to show $\lambda_2 = O(1/n)$.

$$\text{Today (ST)} \quad \lambda_2 \leq \frac{8\Delta}{n}$$

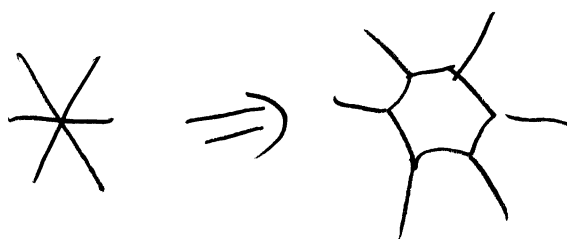
$$\text{Cor} \quad \phi(G) \leq \sqrt{\frac{16\Delta^2}{n}} = \frac{4\Delta}{\sqrt{n}}$$

Bdd degree is necessary $\lambda_2(S_n) = \Theta(1)$

For unbdd case we will need vertex sep!

For planar graphs

- 1) Embed G in the plane
- 2) replace each vertex with a cycle



- 3) Find edge separator.
 - 4) remove all original vertices common to a separating edge
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We need embedding for this construction!

Bding λ_2

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Recall $\lambda_2 = \min_{X^T \mathbf{1} = 0} \frac{\sum_{(i,j) \in E} (x_i - x_j)^2}{\sum x_i^2}$

Note $x: V \rightarrow \mathbb{R} \wedge x^T \mathbf{1} = 0 \implies \text{mean}(x) = 0$

Claim $f: V \rightarrow \mathbb{R}^d \wedge \text{mean}(f) = 0 \wedge d \geq 1$

$$\lambda_2 = \min_f \frac{\sum \|f_i - f_j\|^2}{\sum \|f_i\|^2}$$

pf Suppose $f_i = (x_1^i, \dots, x_d^i)$

numerator: $\sum (x_1^i - x_1^j)^2 + \sum (x_2^i - x_2^j)^2 + \dots + \sum (x_d^i - x_d^j)^2$

$$= x_1^T L x_1 + \dots + x_d^T L x_d$$

denominator: $x_1^T x_1 + \dots + x_d^T x_d$

$\text{mean}(f) = 0$ iff $x_1^T \mathbf{1} = 0 \wedge \dots \wedge x_d^T \mathbf{1} = 0$

LHS is just fractional aver. of d Rayleigh Quotients

Thus $\lambda_2 \leq \text{LHS}$

if f is d -copies of Fiedler vector

$\lambda_2 = \text{LHS}$

Goal: Find a "short" edge embedding of G .

We write this as $\frac{f^T L f}{f^T f}$

Koebe Embedding

Thm G planar then G has a realization as kissing disk in plane.

ie $\exists$ disks $D_1 \dots D_n$

1) $\text{int}(D_i) \cap \text{int}(D_j) = \emptyset$

2) $(i, j) \in E \iff D_i \cap D_j \neq \emptyset$

no of

Sphere preserving maps.

1) Dilation ie $V \in \mathbb{R}^d \Rightarrow \alpha \cdot V$

2) Rigid motions

3) Reflection about unit sphere

$$X \Rightarrow \frac{X}{X^T X}$$

stereo graphic map.

Claim $\exists$ conformal map of a Koebe embedding
st centroid of centers is zero.

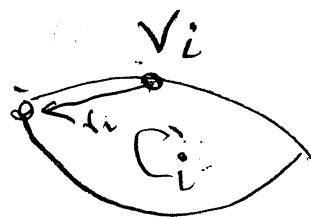
Thm Let f be as in claim then

$$\frac{f^T L f}{f^T f} \leq \frac{8\Delta}{n}.$$

pf let $C_1, \dots, C_n$ be the caps.

$r_1, \dots, r_n$ their radii

$v_1, \dots, v_n$ their centers



$$\|v_i - v_j\| \leq r_i + r_j$$

$$\|v_i - v_j\|^2 \leq (r_i + r_j)^2 \leq 2(r_i^2 + r_j^2) \quad (CS)$$

$$f^T L f \leq \sum \|v_i - v_j\|^2 \leq 2\Delta \sum r_i^2$$

Caps do not overlap $\Rightarrow$

$$\sum 9r_i^2 \leq 4\pi \quad \text{or} \quad \sum r_i^2 \leq 4$$

$$f^T L f \leq 8\Delta$$

$$f^T f = n \quad \Rightarrow \quad \frac{f^T L f}{f^T f} \leq \frac{8\Delta}{n}$$

$$\text{Thus } \lambda_2 \leq \frac{8\Delta}{n}!$$

Back to Claim

Def k -ply cap systems $\equiv$ Caps $C_1, \dots, C_n$ on S^d

$$\forall x \in S \quad |\{i \mid x \in C_i\}| \leq k.$$

Assume $k < n/2$ (well behaved)

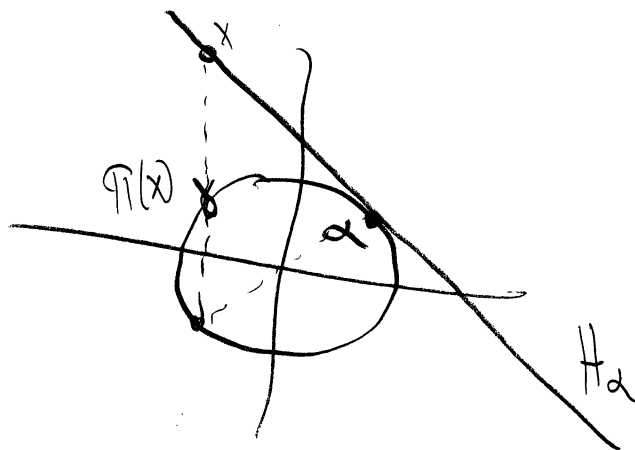
Thm $\forall$ well-behaved cap sys $\{C_1, \dots, C_n\}$ on S^d

$\exists$ a conformal map f st

centroid of centers $\{f(C_1), \dots, f(C_n)\} = \vec{0}$

$$d=2 \quad \alpha \in S^2$$

Π_α : line-tang- S at $\alpha \Rightarrow S$



$D_\alpha^a \equiv$ dilation of H_α about α by a .

$$f_\alpha^a = \Pi_\alpha^{-1} D_\alpha^a \Pi_\alpha$$

$$d=3$$

Let $\bar{c} = \text{centroid}(c(C_1), \dots, c(C_n))$

WLOG $C = (0, 0, a) \quad -1 < a \leq 0$

Set $\bar{\alpha} = (0, 0, -1)$

$$g_\epsilon = f_{\bar{\alpha}}^{1+\epsilon}$$

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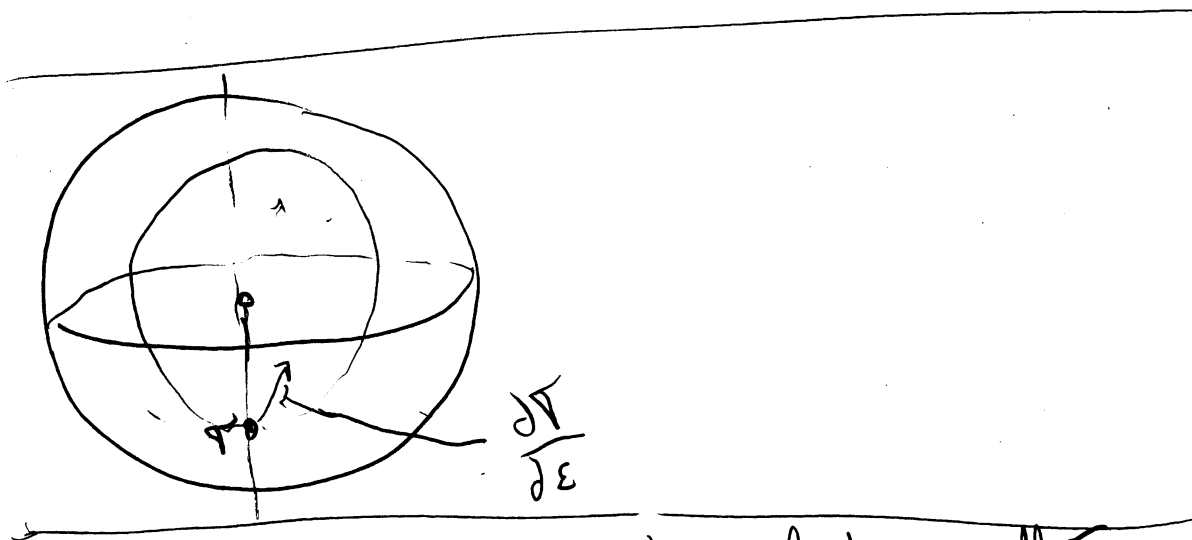
$$\tau_\varepsilon = \text{centroid}(c(g_\varepsilon(C_1)), \dots, c(g_\varepsilon(C_n)))$$

Claim for ε sufficiently small $\|\tau_\varepsilon\| < \|\tau\|$

$$\frac{\partial \tau}{\partial \varepsilon} = \text{centroid}\left(\frac{\partial c(g_\varepsilon(C_1))}{\partial \varepsilon}, \dots, \frac{\partial c(g_\varepsilon(C_n))}{\partial \varepsilon}\right)$$

note $\frac{\partial c(g_\varepsilon(C_i))}{\partial \varepsilon}$ "points up".

except north & south pole which are zero.



Thus if $\tau \neq 0$ we can always find a small τ .

Stereographic Map

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Let's consider a seemingly different map

Reflection about the unit sphere

$$\text{Reflect}(P) = \frac{P}{P^T P} = \frac{P}{|P|^2} \quad P \in \mathbb{R}^d$$

Note The unit sphere is fixed
inside goes to outside
outside " " inside
 $0 \leftrightarrow \infty$

Claim: $\text{Reflect}(\text{Reflect}(P)) = P$

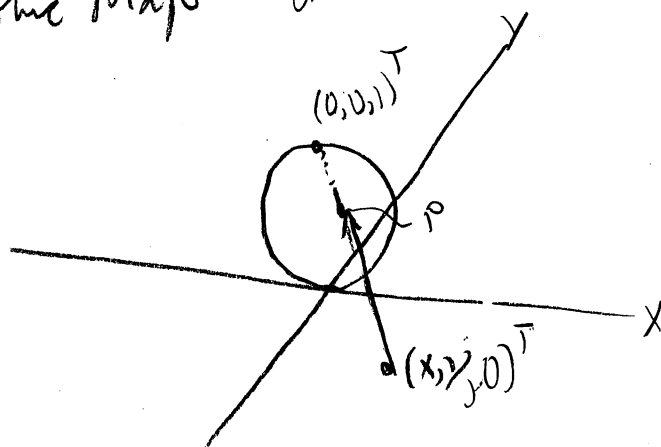
proof

$$\frac{\frac{P}{P^T P}}{\left(\frac{P}{P^T P}\right)^T \left(\frac{P}{P^T P}\right)} = \frac{\frac{P}{P^T P}}{\frac{P^T P}{(P^T P)^2}} = P$$

Stereographic Map $d=2$

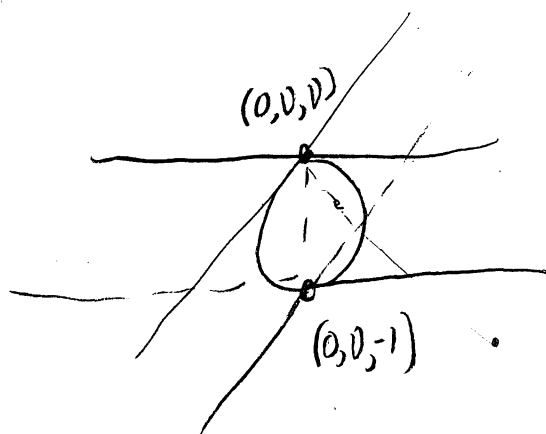
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definition 1



$$\text{Star}(x,y) \rightarrow P$$

def 2



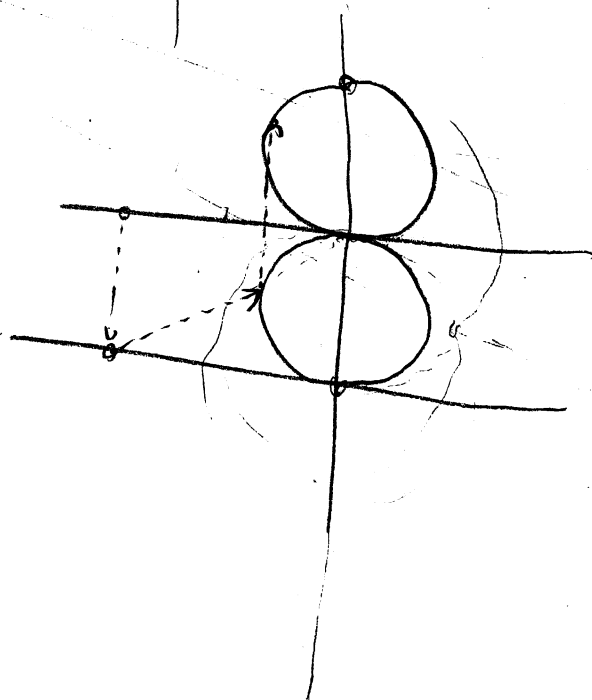
$$\text{Star}(x,y)$$

$$(x,y) \rightarrow (x,y,-1) = P^T$$

$$P \rightarrow P / P^T P = g$$

$$g \rightarrow g + \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix}$$

Claim spheres go to spheres.



What is a sphere?

Def 1 $\{x \mid (x-c)^T(x-c) = r^2\}$

center $c \in \mathbb{R}^d$

radius $r \in \mathbb{R}^+$

Def 2 $\{x \mid \alpha x^T x - 2b^T x + \beta = 0\}$ where $\alpha\beta \leq b^T b$

Claim Def 1 plus hyperplane = Def 2

1) Def 1 $\subseteq$ Def 2

$$x^T x - 2c^T x + c^T c = r^2$$

$$x^T x - 2c^T x + c^T c - r^2 = 0$$

to show $c^T c - r^2 \leq c^T c$ i.e. $r^2 \geq 0$

2) hyperplane $\subseteq$ Def 2

set $\alpha = 0$

$$\{x \mid 2b^T x = \beta\}$$

3) Def 2 $\subseteq$ Def 1 + planes

$$\alpha = 0 \text{ done}$$

$$\text{wlog } \alpha = 1$$

$$\bar{x}^T x - 2b^T x + \beta = 0 \text{ s.t. } \beta \leq b^T b$$

Just center b , radius $b^T b - \beta$

Claim γ satisfies $\alpha \gamma^T \gamma + 2b^T \gamma + \beta = 0$

where $\gamma = \frac{x}{x^T x}$ then $\beta x^T x + 2b^T x + \alpha = 0$

$$\text{pf } \alpha \left(\frac{x}{x^T x} \right)^T \left(\frac{x}{x^T x} \right) + 2b^T \left(\frac{x}{x^T x} \right) + \beta = 0$$

$$\alpha \left(\frac{1}{x^T x} \right) + 2b^T \left(\frac{x}{x^T x} \right) + \beta = 0$$

$$\alpha + 2b^T x + \beta(x^T x) = 0$$