

Substructural Operational Semantics and Linear Destination-Passing Style

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Motivation

- Programming languages and new features are still designed and implemented, for example:
 - Spatial computation
 - Information flow
 - Probabilistic computation
 - Typed assembly language
 - Many more ...
- Need effective means to specify languages
 - Type systems
 - Operational semantics

Goals

- Specification framework for operational semantics
 - Permit varying levels of abstraction
 - Support modularity
 - Rest on logical foundation
- Framework roles
 - Conceptual clarity and simplicity
 - Prototyping
 - Reasoning about languages

Dimensions of Operational Semantics

- Language features
 - Functions, pairs, recursion, references, exceptions, callcc, memoization, concurrency, parallelism, etc.
- Levels of abstraction
 - Substitution vs. environments
 - Large values vs. heaps
 - Term structure vs. stacks vs. continuations
 - Mobile terms vs. marshalling

Modularity in Operational Semantics

- Modularity for language features
 - Simple specification for simple features
 - Extend without rewriting earlier specification
- Some standard formalisms are non-modular
 - Structural operational semantics [Plotkin'81]
 - Natural semantics [Kahn'87]
- Some recent approaches
 - Modular structural operational semantics [Mosses'98,'04]
 - Modular rewriting semantics [Meseguer & Braga'03]

Outline

- Call-by-value λ -calculus
- Linear destination passing
- Call-with-current-continuation
- Call-by-need λ -calculus
- Synchronous π -calculus
- Substructural lax logic
- Related and future work
- Summary

Call-by-Value λ -Calculus

- Pure call-by-value λ -calculus
- Types are integral part of language definition
 - Ignored here to concentrate on operational aspects
- Variables x
- Expressions $e ::= x \mid \text{lam}(x.e) \mid \text{app}(e_1, e_2)$
- Values $v ::= \text{lam}(x.e)$
- Binding $x.e$ and substitution $[e_1/x]e_2$
- Tacit renaming of bound variables

Computation as Proof Construction

- Atomic judgments
 - $\text{eval}(e)$ evaluate e *active*
 - $\text{ret}(v)$ return v *passive*
- Computation as bottom-up proof construction

$\text{ret}(v)$
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 $\text{eval}(e)$

- Partial proofs as intermediate states
- Consider only single-premise rules (for now)

Rules of Computation

- Introduce frames as intermediate objects
 - $\text{comp}(f)$ compute frame f *suspended*
- State is *ordered* $J_1 \cdots J_n$ (for now)
- Apply rules anywhere in state

$$\frac{\text{ret}(\text{lam}(x.e))}{\text{eval}(\text{lam}(x.e))}$$

$$\frac{\text{eval}(e_1) \cdot \text{comp}(\text{app}(\square, e_2))}{\text{eval}(\text{app}(e_1, e_2))}$$

$$\frac{\text{eval}(e_2) \cdot \text{comp}(\text{app}(v_1, \square))}{\text{ret}(v_1) \cdot \text{comp}(\text{app}(\square, e_2))}$$

$$\frac{\text{eval}([v_2/x]e'_1)}{\text{ret}(v_2) \cdot \text{comp}(\text{app}(\text{lam}(x.e'_1), \square))}$$

Sample Computation

- Will always work on left end (by invariant)
- Example computation

$$\begin{array}{c} \text{ret}(\text{lam}(y.y)) \\ \hline \text{eval}(\text{lam}(y.y)) \\ \hline \text{ret}(\text{lam}(y.y)) \cdot \text{comp}(\text{app}(\text{lam}(x.x), \square)) \\ \hline \text{eval}(\text{lam}(y.y)) \cdot \text{comp}(\text{app}(\text{lam}(x.x), \square)) \\ \hline \text{ret}(\text{lam}(x.x)) \cdot \text{comp}(\text{app}(\square, \text{lam}(y.y))) \\ \hline \text{eval}(\text{lam}(x.x)) \cdot \text{comp}(\text{app}(\square, \text{lam}(y.y))) \\ \hline \text{eval}(\text{app}(\text{lam}(x.x), \text{lam}(y.y))) \end{array}$$

Parallel Application

- Evaluate function and argument in “parallel”
- Judgment order guarantees proper interaction

$$\frac{\text{ret}(\text{lam}(x.e))}{\text{eval}(\text{lam}(x.e))}$$

$$\frac{\text{eval}(e_1) \cdot \text{eval}(e_2) \cdot \text{comp}(\text{app}(\square, \square))}{\text{eval}(\text{app}(e_1, e_2))}$$

$$\frac{\text{eval}([v_2/x]e'_1)}{\text{ret}(\text{lam}(x.e'_1)) \cdot \text{ret}(v_2) \cdot \text{comp}(\text{app}(\square, \square))}$$

- Any interleaving of steps is valid

Assessment

- Sequential languages and simple control constructs are easy
 - Pairs, sums, recursion, polymorphism, exceptions, etc.
 - Modular for these features
- Complex control and semantic objects are difficult
 - Callcc, communication
 - Mutable store, heap, multiple hosts
- Currently still under investigation

Generalization to Linear Judgments

- Permit exchange among judgments in state
 - Atomic judgments eval, ret, and comp are now linear
- Write J_1, \dots, J_n
- Now a value could be returned to the wrong frame!
- Introduce destinations d (names)
 - $e \mapsto d$ evaluate e with destination d *active*
 - $d = v$ return v to destination d *passive*
 - $f \rightsquigarrow d$ compute f with destination d *suspended*

Linear Destination-Passing Style

- Functions

$$\frac{d = \text{lam}(x.e)}{\text{lam}(x.e) \mapsto d}$$

$$\frac{e_1 \mapsto d_1, \text{app}(d_1, e_2) \rightsquigarrow d}{\text{app}(e_1, e_2) \mapsto d} [d_1]$$

$$\frac{e_2 \mapsto d_2, \text{app}(v_1, d_2) \rightsquigarrow d}{d_1 = v_1, \text{app}(d_1, e_2) \rightsquigarrow d} [d_2]$$

$$\frac{[v_2/x]e'_1 \mapsto d}{d_2 = v_2, \text{app}(\text{lam}(x.e'_1), d_2) \rightsquigarrow d}$$

- New destinations indicated by $[d]$

Overall Computation

- Overall computation now has form

$$\begin{array}{c} d_0 = v \\ \vdots \\ e \mapsto d_0 \end{array}$$

- All evaluation ($e \mapsto d$), return ($d = v$) and computation ($f \rightsquigarrow d$) judgments are linear
 - Remove matching linear judgments from the state
 - None (except $d_0 = v$) may be left at the end

Call-With-Current-Continuation

- $\text{callcc}(x.e_1)$ binds x to the current continuation then evaluates e_1
- $\text{throw}(e_1, e_2)$ evaluates e_1 to a continuation and throws the value of e_2 to it
- Use destination d to represent continuation
- May return to a destination more than once

Unrestricted Judgments

- To model callcc, either
 - Explicitly copy and delete frames (more modular)
 - Make all frames **unrestricted** (more direct)
- Mirrors real implementation choices
- **Unrestricted** judgments
 - Not consumed when used (may be reused)
 - May be left at the end

Callcc, Ctd.

- All frames are **unrestricted**

$$\frac{[\text{cont}(d)/x]e_1 \mapsto d}{\text{callcc}(x.e_1) \mapsto d} \qquad \frac{d = \text{cont}(d_2)}{\text{cont}(d_2) \mapsto d}$$

$$\frac{e_1 \mapsto d_1, \text{throw}(d_1, e_2) \rightsquigarrow d}{\text{throw}(e_1, e_2) \mapsto d} [d_1]$$

$$\frac{e_2 \mapsto d_2}{d_1 = \text{cont}(d_2), \text{throw}(d_1, e_2) \rightsquigarrow d}$$

- $e \mapsto d$ and $d = v$ remain linear

Overall Computation

- Frames remain at end of computation

$$\begin{array}{c} d_0 = v, f_i \rightsquigarrow d_i \\ \vdots \\ e \mapsto d_0 \end{array}$$

- $f_i \rightsquigarrow d_i$ represents all frames created during computation
- It is possible to model garbage collection

Call-by-Need

- Alternative semantics for λ -calculus
 - Delay argument evaluation in *thunk*
 - Force evaluation of thunk when value is needed
 - Memoize value to avoid future computation
- Model thunks as destinations t with delay frame
- Thunk is **affine** (it may never be forced)
 - May be left at the end
- Memoized value is **unrestricted**
 - May be referenced many times, left at the end

Call-by-Need, Ctd.

- Thunks as destinations t (eliding one rule)

$$\frac{e_1 \mapsto d_1, \text{app}(d_1, e_2) \rightsquigarrow d}{\text{app}(e_1, e_2) \mapsto d} [d_1]$$

$$\frac{\text{delay}(e_2) \rightsquigarrow t_2, [\text{thunk}(t_2)/x]e'_1 \mapsto d}{d_1 = \text{lam}(x.e'_1), \text{app}(d_1, e_2) \rightsquigarrow d} [t_2]$$

$$\frac{e_2 \mapsto t_2, \text{thunk}(t_2) \rightsquigarrow d}{\text{delay}(e_2) \rightsquigarrow t_2, \text{thunk}(t_2) \mapsto d} \qquad \frac{t_2 = v_2, d = v_2}{t_2 = v_2, \text{thunk}(t_2) \rightsquigarrow d}$$

- $\text{delay}(e_2) \rightsquigarrow t_2$ is affine, $t_2 = v_2$ unrestricted

Overall Computation

- Overall computation now has form

$$\begin{array}{c} d_0 = v, \text{ delay}(e_i) \rightsquigarrow t_i, t_j = v_j \\ \vdots \\ e \mapsto d_0 \end{array}$$

- $\text{delay}(e_i) \rightsquigarrow t_i$ denote unforced thunks
- $t_j = v_j$ denotes evaluated thunks

Assessment

- Computation as proof construction
 - Logical interpretation later in this talk
- Ordered, linear, **affine**, **unrestricted** judgments
- Rules are applied to parts of state
 - Modular extension by new constructs
 - Can model varying levels of abstraction

Concurrency

- Exemplify with synchronous π -calculus
- Structural congruences as properties of state
 - Linearity for ordinary processes
 - **Unrestricted** judgment for process replication
- Asynchronous π -calculus is simpler
- Concurrency can be added modularly (à la CML or JO'Cam1)

π -Calculus

- Names a, b , bound variables x, y
- Syntax

Procs $P ::= (P_1|P_2) \mid 0 \mid \text{new } x.P \mid !P \mid M$

Sums $M ::= \bar{a}\langle b \rangle.P \mid a(x).P \mid M_1 + M_2$

- Elide silent action for brevity

Judgments

- $\text{proc}(P)$ computing process P
- $\text{sync}(M, N, P, Q)$ synch M and N yields P and Q
 - M and N are sums from which communicating processes are selected non-deterministically
 - Auxiliary judgment has separate proof system

Synchronization

- Separate logical rules for synchronization

$$\frac{\text{sync}(M_1, N, P, Q)}{\text{sync}(M_1 + M_2, N, P, Q)} \quad \frac{\text{sync}(M_2, N, P, Q)}{\text{sync}(M_1 + M_2, N, P, Q)}$$

$$\frac{}{\text{sync}(\bar{a}\langle b \rangle.P, a(x).Q, P, [b/x]Q)}$$

- Elide three symmetric rules
- These rules are *don't-know non-deterministic*

Process Expressions

- Process evolution is *don't-care non-deterministic*

$$\frac{\text{proc}(P) , \text{proc}(Q)}{\text{proc}(P|Q)} \qquad \frac{\cdot}{\text{proc}(0)}$$

$$\frac{\text{proc}([a/x]P)}{\text{proc}(\text{new } x.P)} [a] \qquad \frac{\text{proc}(P)}{\text{proc}(!P)}$$

- Form $\text{proc}(P)$ is unrestricted form of $\text{proc}(P)$
- No explicit structural congruences required

Communication

- Communication is trickiest part of specification

$$\frac{[\text{sync}(M, N, P, Q)] \quad \text{proc}(P) , \text{proc}(Q)}{\text{proc}(M) , \text{proc}(N)}$$

- Left premise appears “special” (don’t-know ndt)
- Right premise is main branch (don’t-care ndt)

Overall Computation

- Computation may not terminate
- Model state evolution, not value-oriented computation

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proc(P)

- State is terminal if there is no possible transition
 - Either has no linear processes (“terminated”)
 - Or has linear processes (“deadlocked”)

A Logical Reading: Challenges

- Ordered, linear, **affine**, **unrestricted** judgments
- Introduction of new destinations (parameters)
- Main branch vs. auxiliary judgments
- Don't-care vs. don't-know interpretation of rules
- Bijection between computations and proofs
- Tractable proof theory

Substructural Logics

- Hypotheses subject to usage constraints
 - Exchange (x), weakening (w), contraction (c)
- Forms of substructural hypothetical judgments
 - Ordered: $J_1 \cdots J_n \vdash J$
 - Linear (x): $J_1 , \dots , J_n \vdash J$
 - Affine (x, w): $J_1 , \dots , J_n \vdash J$
 - Unrestricted (x, w, c): $J_1 , \dots , J_n \vdash J$
- Different forms of hypotheses may be mixed
- Modal operators promote

Representation of State

- State is translated to *hypotheses*
- Conclusion provable in final state, for example:

$$\begin{array}{ccc} \begin{array}{c} d=v \\ \vdots \\ e \mapsto d \end{array} & \text{to} & \frac{\frac{\overline{d=v \vdash d=v} \text{ hyp}}{d=v \vdash \exists x. d=x} \exists\text{I}}{\begin{array}{c} \vdots \\ e \mapsto d \vdash \exists x. d=x \end{array}} \end{array}$$

- Proof must respect structural properties

Logical Expression of Rules, 1st Try

- Use linear logic, for example:

$$\frac{d = \text{lam}(x.e)}{\text{lam}(x.e) \mapsto d}$$

$$\frac{e_1 \mapsto d_1, \text{app}(d_1, e_2) \mapsto d}{\text{app}(e_1, e_2) \mapsto d} [d_1]$$

$\text{eval}(\text{lam}(\lambda x. e x)) d$

$\multimap \text{ret}(\text{lam}(\lambda x. e x)) d$

$\text{eval}(\text{app } e_1 e_2) d$

$\multimap \exists d_1. \text{eval } e_1 d_1 \otimes$

$\text{comp}(\text{app } d_1 e_2) d$

- Free variables implicitly universally quantified
- Existential quantification generates new names

Assessment

- First try satisfies:
 - Ordered, linear, **affine**, **unrestricted** judgments
 - Introduction of new destinations (parameters)
- First try does not satisfy:
 - Main branch vs. auxiliary judgments
 - Don't-care vs. don't-know interpretation of rules
 - Bijection between computations and proofs
 - Tractable proof theory

Lax Substructural Logic

- Solution: introduce monad $\{A\}$
- Logical foundation is lax logic
[Fairtlough & Mendler'95] [Pf. & Davies'01]
- New judgment form $\Delta \vdash A \text{ lax}$
- Hypotheses Δ here substructural
- Rules

$$\frac{\Delta \vdash A \text{ true}}{\Delta \vdash A \text{ lax}} \qquad \frac{\Delta \vdash A \text{ lax}}{\Delta \vdash \{A\} \text{ true}}$$

$$\frac{\Delta \vdash \{A\} \text{ true} \quad \Delta_L \cdot A \text{ true} \cdot \Delta_R \vdash C \text{ lax}}{\Delta_L \cdot \Delta \cdot \Delta_R \vdash C \text{ lax}}$$

Logical Expression of Rules, 2nd Try

- Transitions take place in monad

$$\frac{d = \text{lam}(x.e)}{\text{lam}(x.e) \mapsto d}$$

$$\frac{e_1 \mapsto d_1, \text{app}(d_1, e_2) \mapsto d}{\text{app}(e_1, e_2) \mapsto d} [d_1]$$

$$\begin{aligned} & \text{eval} (\text{lam} (\lambda x. e x)) d \\ & \multimap \{ \text{ret} (\text{lam} (\lambda x. e x)) d \} \end{aligned}$$

$$\begin{aligned} & \text{eval} (\text{app} e_1 e_2) d \\ & \multimap \{ \exists d_1. \text{eval} e_1 d_1 \otimes \\ & \quad \text{comp} (\text{app} d_1 e_2) d \} \end{aligned}$$

Logical Expression of Rules, Ctd.

- Rule for argument evaluation

$$\frac{e_2 \mapsto d_2, \text{app}(v_1, d_2) \rightsquigarrow d}{d_1 = v_1, \text{app}(d_1, e_2) \rightsquigarrow d} [d_2]$$

$\text{ret } v_1 \ d_1 \otimes \text{comp } (\text{app } d_1 \ e_2) \ d$

$\multimap \{ \exists d_2. \text{eval } e_2 \ d_2 \otimes \text{comp } (\text{app } v_1 \ d_2) \ d \}$

- Prove left-hand side from current state
- Assume right-hand side into current state

Logical Expression of Rules, Ctd.

- Rule for function invocation

$$\frac{[v_2/x]e'_1 \mapsto d}{d_2=v_2, \text{app}(\text{lam}(x.e'_1), d_2) \mapsto d}$$

$$\text{ret } v_2 \ d_2 \otimes \text{comp} (\text{app} (\text{lam} (\lambda x. e'_1 \ x)) \ d_2) \ d \\ \mapsto \{\text{eval} (e'_1 \ v_2) \ d\}$$

- Use higher-order abstract syntax for binding
- Use meta-level application for substitution

Representation Summary

- Computation inside the monad
- Laws of lax logic linearize proof
- Example: complete encoding of cbv λ -calculus

$$\text{eval } (\text{lam } (\lambda x. e x)) d \multimap \{\text{ret } (\text{lam } (\lambda x. e x)) d\}$$

$$\text{eval } (\text{app } e_1 e_2) d \multimap \{\exists d_1. \text{eval } e_1 d_1 \otimes \text{comp } (\text{app } d_1 e_2) d\}$$

$$\text{ret } v_1 d_1 \otimes \text{comp } (\text{app } d_1 e_2) d$$

$$\multimap \{\exists d_2. \text{eval } e_2 d_2 \otimes \text{comp } (\text{app } v_1 d_2) d\}$$

$$\text{ret } v_2 d_2 \otimes \text{comp } (\text{app } (\text{lam } (\lambda x. e'_1 x)) d_2) d \multimap \{\text{eval } (e'_1 v_2) d\}$$

Lax Ordered Logic

- Lambek calculus for grammars/parsing [Lambek'58]
- Extended to ordered logic [Polakow&Pf'99]
- $A \bullet B$ for ordered conjunction (fuse)
- $A \multimap B$ for ordered implication
 - Choice of left or right implication not important here
- Add monad $\{A\}$
 - Achieves bijection between proofs and computations

Lax Ordered Logic, Example

- Sample rules for parallel application

$$\frac{\text{eval}(e_1) \cdot \text{eval}(e_2) \cdot \text{comp}(\text{app}(\square, \square))}{\text{eval}(\text{app}(e_1, e_2))}$$

$$\text{eval}(\text{app } e_1 e_2) \multimap \{\text{eval } e_1 \bullet \text{eval } e_2 \bullet \text{comp } \text{app}_2\}$$

$$\frac{\text{eval}([v_2/x]e'_1)}{\text{ret}(\text{lam}(x.e'_1)) \cdot \text{ret}(v_2) \cdot \text{comp}(\text{app}(\square, \square))}$$

$$\text{ret}(\text{lam}(\lambda x. e'_1 x)) \bullet \text{ret } v_2 \bullet \text{comp } \text{app}_2 \multimap \{\text{eval}(e'_1 v_2)\}$$

π -Calculus Revisited

- Represent rules for process expressions

$$\frac{\text{proc}(P) , \text{proc}(Q)}{\text{proc}(P|Q)}$$

$$\text{proc}(P|Q) \multimap \{\text{proc } P \otimes \text{proc } Q\}$$

$$\frac{\cdot}{\text{proc}(0)}$$

$$\text{proc } 0 \multimap \{1\}$$

$$\frac{\text{proc}([a/x]P)}{\text{proc}(\text{new } x.P)} [a]$$

$$\begin{aligned} \text{proc}(\text{new } (\lambda x. P x)) \\ \multimap \{\exists a. \text{proc}(P a)\} \end{aligned}$$

$$\frac{\text{proc}(P)}{\text{proc}(!P)}$$

$$\text{proc}(!P) \multimap \{! \text{proc } P\}$$

Inside and Outside the Monad

- Auxiliary judgments remain outside the monad

$$\frac{[\text{sync}(M, N, P, Q)] \quad \text{proc}(P) , \text{proc}(Q)}{\text{proc}(M) , \text{proc}(N)}$$

$$\text{proc } M \otimes \text{proc } N \otimes \text{sync } M \ N \ P \ Q \\ \multimap \{ \text{proc } P \otimes \text{proc } Q \}$$

- Monad forces main branch
- $\text{sync}(M, N, P, Q)$ will be resource-neutral

Auxiliary Judgments

- Auxiliary judgments defined outside the monad
- For example

$$\frac{\text{sync}(M_1, N, P, Q)}{\text{sync}(M_1 + M_2, N, P, Q)}$$

$$\text{sync } M_1 \ N \ P \ Q \multimap \text{sync } (M_1 + M_2) \ N \ P \ Q$$

$$\frac{}{\text{sync}(\bar{a}\langle b \rangle.P, a(x).Q, P, [b/x]Q)}$$

$$\text{sync } (\text{out } a \ b \ P) \ (\text{in } a \ (\lambda x. Q \ x)) \ P \ (Q \ b)$$

Aside: Multi-Port π -Calculus

- Speculative, following [Abe'04]
- New form $a(x):P$ may synchronize with prefix of P that does not depend on x
- New rule (plus symmetric rule)

$$\frac{\text{sync}([b/x]M, N, [b/x]P, Q)}{\text{sync}(a(x):M, N, a(x):P, Q)} [b]$$

$(\forall b. \text{sync} (M b) N (P b) Q)$

$\rightarrow \circ \{ \text{sync} (\text{multi } a (\lambda x.M x)) N (\text{multi } a (\lambda x.P x)) Q \}$

- Correct?

Operational Interpretation

- Goal-directed backward chaining outside monad
- Committed choice forward chaining inside monad
- Applicable in wide variety of examples
- Realized in CLF [Watkins,Cervesato,Pfenning,Walker'03]
 - Only linear and **unrestricted** hypotheses so far
 - Reifies proofs as objects
 - True concurrency
 - Examples have been run with prototype implementation [Polakow]

Further Examples

- Exceptions
- Mutable store
- Heap semantics, garbage collection
- Concurrent ML
- Mobile calculi based on S4, S5
- Meta-interpreter for CLF
- Proof-carrying authorization

Some Related Work

- Structural operational semantics (SOS) [Plotkin'81]
- Natural semantics [Kahn'87]
- Modular SOS [Mosses'98]
- Contextual semantics [Wright & Felleisen'94]
- Abstract machines [Danvy et al.'03]
- Rewriting logic [Meseguer & Braga'03]
- Classical linear logic [Andreoli'90][Chirimar'95][Miller'96]
- Multiset rewriting [Cervesato'01]

Future Work

- More examples
- General concurrent systems
- Complete implementation of CLF
- Reasoning about specifications
 - Definitional reflection, (co-)induction [Tiu'04]
 - ∇ quantifier [Tiu & Miller'03]
 - Theorem proving [Chaudhuri]
 - Model checking

Summary

- Substructural operational semantics (SSOS)
 - Ordered, linear, **affine**, **unrestricted** judgments
 - Modular specifications
 - Structural properties as taxonomic device
 - Logical account of operational semantics
 - Widely applicable, realized in CLF
- Linear Destination-Passing (LDP)
 - Specification of functional and imperative computation
 - Destinations can embody return values, mutable references, heap locations, thunks, channels, hosts, etc.