

## 15-853: Algorithms in the Real World

### Cryptography 1 and 2

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## Cryptography Outline

**Introduction:** terminology, cryptanalysis, security

**Primitives:** one-way functions, trapdoors, ...

**Protocols:** digital signatures, key exchange, ..

**Number Theory:** groups, fields, ...

**Private-Key Algorithms:** Rijndael, DES

**Public-Key Algorithms:** Knapsack, RSA, El-Gamal, ...

**Case Studies:** Kerberos, SSL

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## Cryptography Outline

### ➔ Introduction:

- terminology
- cryptanalytic attacks
- security

**Primitives:** one-way functions, trapdoors, ...

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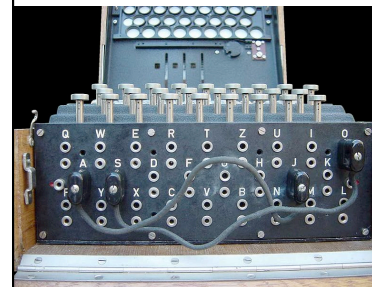
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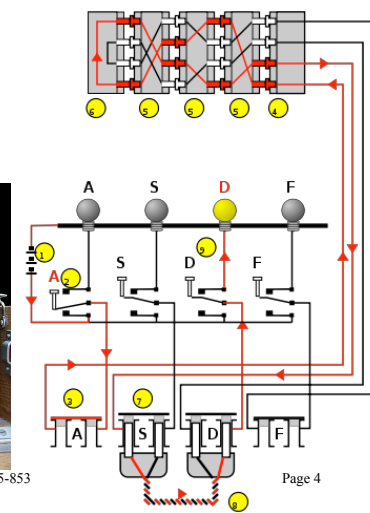
## Enigma Machine

"It was thanks to Ultra  
that we won the war."

- Winston Churchill



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## Some Terminology

**Cryptography** - the general term

**Cryptology** - the mathematics

**Encryption** - encoding but sometimes used as general term)

**Cryptanalysis** - breaking codes

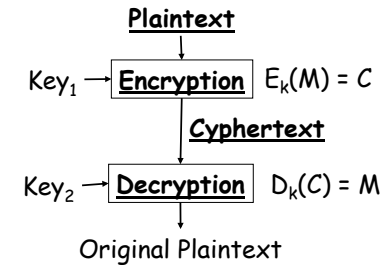
**Steganography** - hiding message

**Cipher** - a method or algorithm for encrypting or decrypting

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## More Definitions



**Private Key** or **Symmetric**:  $Key_1 = Key_2$

**Public Key** or **Asymmetric**:  $Key_1 \neq Key_2$   
 $Key_1$  or  $Key_2$  is public depending on the protocol

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## Cryptanalytic Attacks

**C** = ciphertext messages

**M** = plaintext messages

**Ciphertext Only**: Attacker has multiple **Cs** but does not know the corresponding **Ms**

**Known Plaintext**: Attacker knows some number of (**C**, **M**) pairs.

**Chosen Plaintext**: Attacker gets to choose **M** and generate **C**.

**Chosen Ciphertext**: Attacker gets to choose **C** and generate **M**.

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## What does it mean to be secure?

**Unconditionally Secure**: Encrypted message cannot be decoded without the key

Shannon showed in 1943 that key must be as long as the message to be unconditionally secure - this is based on information theory

A **one time pad** - xor a random key with a message (Used in 2<sup>nd</sup> world war)

**Security based on computational cost**: it is computationally "infeasible" to decode a message without the key.

No (probabilistic) polynomial time algorithm can decode the message.

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## The Cast

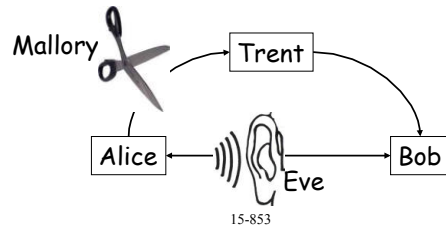
**Alice** - initiates a message or protocol

**Bob** - second participant

**Trent** - trusted middleman

**Eve** - eavesdropper

**Mallory** - malicious active attacker



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## Cryptography Outline

**Introduction:** terminology, cryptanalysis, security

### ➔ **Primitives:**

- one-way functions
- one-way trapdoor functions
- one-way hash functions

**Protocols:** digital signatures, key exchange, ..

**Number Theory:** groups, fields, ...

**Private-Key Algorithms:** Rijndael, DES

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## Primitives: One-Way Functions

*(Informally): A function*

$$y = f(x)$$

is **one-way** if it is easy to compute  $y$  from  $x$  but "hard" to compute  $x$  from  $y$

Building block of most cryptographic protocols  
And, the security of most protocols rely on their existence.

**Unfortunately**, not known to exist. This is true even if we assume  $P \neq NP$ .

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## One-way functions: possible definition

1.  $F(x)$  is polynomial time
2.  $F^{-1}(x)$  is NP-hard

What is wrong with this definition?

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## One-way functions: better definition

For most  $y$  no single PPT (probabilistic polynomial time) algorithm can compute  $x$

**Roughly:** at most a fraction  $1/|x|^k$  instances  $x$  are easy for any  $k$  and as  $|x| \rightarrow \infty$

This definition can be used to make the probability of hitting an easy instance arbitrarily small.

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## Some examples (conjectures)

### **Factoring:**

$$x = (u, v)$$

$$y = f(u, v) = u \cdot v$$

If  $u$  and  $v$  are prime it is hard to generate them from  $y$ .

### **Discrete Log:** $y = g^x \bmod p$

where  $p$  is prime and  $g$  is a "generator" (i.e.,  $g^1, g^2, g^3, \dots$  generates all values  $< p$ ).

### **DES with fixed message:** $y = \text{DES}_x(m)$

This would assume a family of DES functions of increasing key size (for asymptotics)

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## One-way functions in public-key protocols

$y$  = ciphertext    $m$  = plaintext    $k$  = public key

Consider:  $y = E_k(m)$  (i.e.,  $f = E_k$ )

We know  $k$  and thus  $f$

$E_k(m)$  needs to be easy

$E_k^{-1}(y)$  should be hard

Otherwise we could decrypt  $y$ .

But what about the intended recipient, who should be able to decrypt  $y$ ?

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## One-way functions in private-key protocols

$y$  = ciphertext    $m$  = plaintext    $k$  = key

Is

$$y = E_k(m) \quad (\text{i.e. } f = E_k)$$

a one-way function with respect to  $y$  and  $m$ ?

What do one-way functions have to do with private-key protocols?

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## One-way functions in private-key protocols

$y = \text{ciphertext}$     $m = \text{plaintext}$     $k = \text{key}$   
How about

$y = E_k(m) = E(k,m) = E_m(k)$    (i.e.  $f = E_m$ )  
should this be a one-way function?

In a **known-plaintext attack** we know a  $(y,m)$  pair.

The  $m$  along with  $E$  defines  $f$

$E_m(k)$  needs to be easy

$E_m^{-1}(y)$  should be hard

Otherwise we could extract the key  $k$ .

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## One-Way Trapdoor Functions

A **one-way** function with a "trapdoor"

The **trapdoor** is a key that makes it easy to invert the function  $y = f(x)$

Example: **RSA** (conjecture)

$y = x^e \bmod n$

Where  $n = pq$  ( $p, q, e$  are prime)

$p$  or  $q$  or  $d$  (where  $ed = (p-1)(q-1) \bmod n$ ) can be used as trapdoors

In public-key algorithms

$f(x) = \text{public key}$  (e.g.,  $e$  and  $n$  in RSA)

Trapdoor = private key (e.g.,  $d$  in RSA)

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## One-way Hash Functions

$Y = h(x)$  where

- $y$  is a fixed length independent of the size of  $x$ .  
In general this means  $h$  is not invertible since it is many to one.
- Calculating  $y$  from  $x$  is easy
- Calculating any  $x$  such that  $y = h(x)$  give  $y$  is hard

Used in digital signatures and other protocols.

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## Cryptography Outline

**Introduction:** terminology, cryptanalysis, security

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**Protocols:**

- digital signatures
- key exchange

**Number Theory:** groups, fields, ...

**Private-Key Algorithms:** Rijndael, DES

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## Protocols

Other protocols:

- Authentication
- Secret sharing
- Timestamping services
- Zero-knowledge proofs
- Blind-signatures
- Key-escrow
- Secure elections
- Digital cash

Implementation of the protocol is often the weakest point in a security system.

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## Protocols: Digital Signatures

Goals:

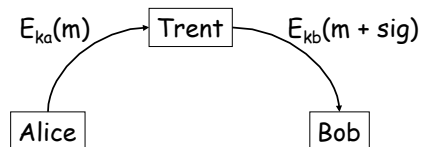
1. Convince recipient that message was actually sent by a trusted source
2. Do not allow repudiation, *i.e.*, that's not my signature.
3. Do not allow tampering with the message without invalidating the signature

Item 2 turns out to be really hard to do

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## Using private keys

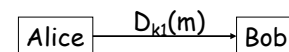


- **ka** is a secret key shared by Alice and Trent
  - **kb** is a secret key shared by Bob and Trent
- sig** is a note from Trent saying that Alice "signed" it.  
To prevent repudiation Trent needs to keep m or at least h(m) in a database

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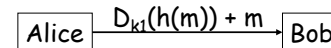
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## Using Public Keys



$K_1$  = Alice's private key  
Bob decrypts it with her public key

### More Efficiently



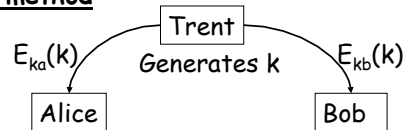
$h(m)$  is a one-way hash of  $m$

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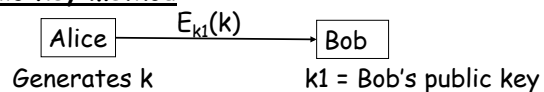
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## Key Exchange

### Private Key method



### Public Key method



Or we can use a direct protocol, such as Diffie-Hellman (discussed later)

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## Cryptography Outline

**Introduction:** terminology, cryptanalysis, security

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➔ **Number Theory Review: (Mostly covered last week)**

- Groups
- Fields
- Polynomials and Galois fields

**Private-Key Algorithms:** Rijndael, DES

**Public-Key Algorithms:** Knapsack, RSA, El-Gamal, ...

**Case Studies:** Kerberos, Digital Cash

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## Number Theory Outline

### Groups

- Definitions, Examples, Properties
- Multiplicative group modulo n
- The Euler-phi function

### Fields

- Definition, Examples
- Polynomials
- Galois Fields

Why does number theory play such an important role?

It is **the** mathematics of finite sets of values.

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## Groups

A **Group**  $(G, *, I)$  is a set  $G$  with operator  $*$  such that:

1. **Closure.** For all  $a, b \in G$ ,  $a * b \in G$
2. **Associativity.** For all  $a, b, c \in G$ ,  $a * (b * c) = (a * b) * c$
3. **Identity.** There exists  $I \in G$ , such that for all  $a \in G$ ,  $a * I = I * a = a$
4. **Inverse.** For every  $a \in G$ , there exist a unique element  $b \in G$ , such that  $a * b = b * a = I$

An **Abelian or Commutative Group** is a Group with the additional condition

5. **Commutativity.** For all  $a, b \in G$ ,  $a * b = b * a$

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## Examples of groups

- Integers, Reals or Rationals with Addition
- The nonzero Reals or Rationals with Multiplication
- Non-singular  $n \times n$  real matrices with Matrix Multiplication
- Permutations over  $n$  elements with composition  
 $[0 \rightarrow 1, 1 \rightarrow 2, 2 \rightarrow 0] \circ [0 \rightarrow 1, 1 \rightarrow 0, 2 \rightarrow 2] = [0 \rightarrow 0, 1 \rightarrow 2, 2 \rightarrow 1]$

We will only be concerned with **finite groups**, I.e., ones with a finite number of elements.

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## Key properties of finite groups

**Notation:**  $a^j \equiv a * a * a * \dots * a$   $j$  times

**Theorem (Fermat's little):** for any finite group  $(G, *, I)$  and  $g \in G$ ,  $g^{|G|} = I$

**Definition:** the **order** of  $g \in G$  is the smallest positive integer  $m$  such that  $g^m = I$

**Definition:** a group  $G$  is **cyclic** if there is a  $g \in G$  such that  $\text{order}(g) = |G|$

**Definition:** an element  $g \in G$  of order  $|G|$  is called a **generator** or **primitive element** of  $G$ .

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## Groups based on modular arithmetic

The group of positive integers modulo a prime  $p$

$$\mathbb{Z}_p^* \equiv \{1, 2, 3, \dots, p-1\}$$

$*$  <sub>$p$</sub>   $\equiv$  multiplication modulo  $p$

Denoted as:  $(\mathbb{Z}_p^*, *_{\mathbb{Z}_p})$

### Required properties

1. Closure. Yes.
2. Associativity. Yes.
3. Identity. 1.
4. Inverse. Yes.

**Example:**  $\mathbb{Z}_7^* = \{1, 2, 3, 4, 5, 6\}$

$$1^{-1} = 1, 2^{-1} = 4, 3^{-1} = 5, 6^{-1} = 6$$

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## Other properties

$$|\mathbb{Z}_p^*| = (p-1)$$

By Fermat's little theorem:  $a^{(p-1)} \equiv 1 \pmod{p}$

Example of  $\mathbb{Z}_7^*$

$x$	$x^2$	$x^3$	$x^4$	$x^5$	$x^6$
1	1	1	1	1	1
2	4	1	2	4	1
<u>3</u>	<u>2</u>	<u>6</u>	<u>4</u>	<u>5</u>	<u>1</u>
4	2	1	4	2	1
<u>5</u>	<u>4</u>	<u>6</u>	<u>2</u>	<u>3</u>	<u>1</u>
6	1	6	1	6	1

Generators  $\rightarrow$

For all  $p$  the group is cyclic.

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## What if n is not a prime?

The group of positive integers modulo a non-prime n

$$Z_n = \{1, 2, 3, \dots, n-1\}, n \text{ not prime}$$

$\ast_p$  = multiplication modulo n

**Required properties?**

1. Closure. ?
2. Associativity. ?
3. Identity. ?
4. Inverse. ?

How do we fix this?

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## Groups based on modular arithmetic

The **multiplicative group modulo n**

$$Z_n^\ast = \{m : 1 \leq m < n, \gcd(n, m) = 1\}$$

$\ast$  = multiplication modulo n

Denoted as  $(Z_n^\ast, \ast_n)$

**Required properties:**

- Closure. Yes.
- Associativity. Yes.
- Identity. 1.
- Inverse. Yes.

**Example:**  $Z_{15}^\ast = \{1, 2, 4, 7, 8, 11, 13, 14\}$

$$1^{-1} = 1, 2^{-1} = 8, 4^{-1} = 4, 7^{-1} = 13, 11^{-1} = 11, 14^{-1} = 14$$

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## The Euler Phi Function

$$\phi(n) = |Z_n^\ast| = n \prod_{p|n} (1 - 1/p)$$

If n is a product of two primes p and q, then

$$\phi(n) = pq(1 - 1/p)(1 - 1/q) = (p-1)(q-1)$$

Note that by Fermat's Little Theorem:

$$a^{\phi(n)} = 1 \pmod{n} \text{ for } a \in Z_n^\ast$$

Or for  $n = pq$

$$a^{(p-1)(q-1)} = 1 \pmod{n} \text{ for } a \in Z_{pq}^\ast$$

This will be very important in RSA!

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## Generators

Example of  $Z_{10}^\ast: \{1, 3, 7, 9\}$

	x	x <sup>2</sup>	x <sup>3</sup>	x <sup>4</sup>
	1	1	1	1
Generators	<u>3</u>	9	7	1
	<u>7</u>	9	3	1
	9	1	9	1

For  $n = (2, 4, p^e, 2p^e)$ , p an odd prime,  $Z_n$  is cyclic

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## Operations we will need

**Multiplication:**  $a \cdot b \pmod n$

- Can be done in  $O(\log^2 n)$  bit operations, or better

**Power:**  $a^k \pmod n$

- The power method  $O(\log n)$  steps,  $O(\log^3 n)$  bit ops

```
fun pow(a,k) =
  if (k = 0) then 1
  else if (k mod 2 = 1)
    then a * (pow(a,k/2))2
    else (pow(a, k/2))2
```

**Inverse:**  $a^{-1} \pmod n$

- Euclid's algorithm  $O(\log n)$  steps,  $O(\log^3 n)$  bit ops

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## Euclid's Algorithm

**Euclid's Algorithm:**

$\gcd(a,b) = \gcd(b, a \bmod b)$

$\gcd(a,0) = a$

**"Extended" Euclid's algorithm:**

- Find  $x$  and  $y$  such that  $ax + by = \gcd(a,b)$
- Can be calculated as a side-effect of Euclid's algorithm.
- Note that  $x$  and  $y$  can be zero or negative.

This allows us to find  $a^{-1} \bmod n$ , for  $a \in \mathbb{Z}_n^*$

In particular return  $x$  in  $ax + ny = 1$ .

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## Euclid's Algorithm

```
fun euclid(a,b) =
  if (b = 0) then a
  else euclid(b, a mod b)

fun ext_euclid(a,b) =
  if (b = 0) then (a, 1, 0)
  else
    let (d, x, y) = ext_euclid(b, a mod b)
    in (d, y, x - (a/b) y)
    end
```

*Diagram: An arrow labeled 'gcd' points from the first parameter 'a' of 'ext\_euclid' to the first element of the tuple '(a, 1, 0)'. Another arrow labeled 'x' points from the second element '1' of the same tuple to the 'x' in the recursive call 'ext\_euclid(b, a mod b)'.*

The code is in the form of an inductive proof.

**Exercise:** prove the inductive step

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## Discrete Logarithms

If  $g$  is a generator of  $\mathbb{Z}_n^*$ , then for all  $y$  there is a unique  $x \pmod{\phi(n)}$  such that

- $y = g^x \bmod n$

This is called the **discrete logarithm** of  $y$  and we use the notation

- $x = \log_g(y)$

In general finding the discrete logarithm is conjectured to be hard...as hard as factoring.

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## Fields

A **Field** is a set of elements  $F$  with binary operators  $*$  and  $+$  such that

1.  $(F, +)$  is an **abelian group**
2.  $(F \setminus I_+, *)$  is an **abelian group** the "multiplicative group"
3. **Distribution:**  $a*(b+c) = a*b + a*c$
4. **Cancellation:**  $a*I_+ = I_+$

The **order** of a field is the number of elements.  
A field of finite order is a **finite field**.

The reals and rationals with  $+$  and  $*$  are fields.

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## Finite Fields

$Z_p$  ( $p$  prime) with  $+$  and  $*$  mod  $p$ , is a **finite field**.

1.  $(Z_p, +)$  is an **abelian group** (0 is identity)
2.  $(Z_p \setminus 0, *)$  is an **abelian group** (1 is identity)
3. **Distribution:**  $a*(b+c) = a*b + a*c$
4. **Cancellation:**  $a*0 = 0$

Are there other finite fields?

What about ones that fit nicely into bits, bytes and words (i.e with  $2^k$  elements)?

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## Polynomials over $Z_p$

$Z_p[x]$  = polynomials on  $x$  with coefficients in  $Z_p$ .

- Example of  $Z_5[x]$ :  $f(x) = 3x^4 + 1x^3 + 4x^2 + 3$
- $\deg(f(x)) = 4$  (the **degree** of the polynomial)

Operations: (examples over  $Z_5[x]$ )

- Addition:  $(x^3 + 4x^2 + 3) + (3x^2 + 1) = (x^3 + 2x^2 + 4)$
- Multiplication:  $(x^3 + 3) * (3x^2 + 1) = 3x^5 + x^3 + 4x^2 + 3$
- $I_+ = 0$ ,  $I_* = 1$

- $+$  and  $*$  are associative and commutative
- Multiplication distributes and 0 cancels

Do these polynomials form a field?

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## Division and Modulus

Long division on polynomials ( $Z_5[x]$ ):

$$\begin{array}{r}
 \boxed{1x + 4} \\
 x^2 + 1 \overline{) x^3 + 4x^2 + 0x + 3} \\
 \underline{x^3 + 0x^2 + 1x + 0} \\
 4x^2 + 4x + 3 \\
 \underline{4x^2 + 0x + 4} \\
 4x + 4
 \end{array}$$

$$(x^3 + 4x^2 + 3)/(x^2 + 1) = (x + 4)$$

$$(x^3 + 4x^2 + 3) \bmod (x^2 + 1) = (4x + 4)$$

$$(x^2 + 1)(x + 4) + (4x + 4) = (x^3 + 4x^2 + 3)$$

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## Polynomials modulo Polynomials

How about making a field of polynomials modulo another polynomial? This is analogous to  $\mathbb{Z}_p$  (i.e., integers modulo another integer).

e.g.  $\mathbb{Z}_5[x] \bmod (x^2+2x+1)$

Does this work?

Does  $(x + 1)$  have an inverse?

**Definition:** An **irreducible polynomial** is one that is not a product of two other polynomials both of degree greater than 0.

e.g.  $(x^2 + 2)$  for  $\mathbb{Z}_5[x]$

Analogous to a prime number.

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## Galois Fields

The polynomials

$$\mathbb{Z}_p[x] \bmod p(x)$$

where

$$p(x) \in \mathbb{Z}_p[x],$$

$p(x)$  is irreducible,

and  $\deg(p(x)) = n$  (i.e.  $n+1$  coefficients)

form a finite field. Such a field has  $p^n$  elements.

These fields are called **Galois Fields** or **GF( $p^n$ )**.

The special case  $n = 1$  reduces to the fields  $\mathbb{Z}_p$

The multiplicative group of  $\text{GF}(p^n)/\{0\}$  is cyclic (this will be important later).

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## GF( $2^n$ )

**Hugely practical!**

The coefficients are **bits**  $\{0,1\}$ .

For example, the elements of  $\text{GF}(2^8)$  can be represented as a **byte**, one bit for each term, and  $\text{GF}(2^{64})$  as a **64-bit word**.

- e.g.,  $x^6 + x^4 + x + 1 = 01010011$

How do we do addition?

**Addition** over  $\mathbb{Z}_2$  corresponds to xor.

- Just take the xor of the bit-strings (bytes or words in practice). This is dirt cheap

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## Multiplication over GF( $2^n$ )

If  $n$  is small enough can use a table of all combinations.

The size will be  $2^n \times 2^n$  (e.g. 64K for  $\text{GF}(2^8)$ ).

Otherwise, use standard shift and add (xor)

**Note:** dividing through by the irreducible polynomial on an overflow by 1 term is simply a test and an xor.

e.g.  $0111 / 1001 = 0111$

$1011 / 1001 = 1011 \text{ xor } 1001 = 0010$

^ just look at this bit for  $\text{GF}(2^3)$

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## Multiplication over $GF(2^n)$

```
typedef unsigned char uc;

uc mult(uc a, uc b) {
    int p = a;
    uc r = 0;
    while(b) {
        if (b & 1) r = r ^ p;
        b = b >> 1;
        p = p << 1;
        if (p & 0x100) p = p ^ 0x11B;
    }
    return r;
}
```

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## Finding inverses over $GF(2^n)$

Again, if  $n$  is small just store in a table.

- Table size is just  $2^n$ .

For larger  $n$ , use Euclid's algorithm.

- This is again easy to do with shift and xors.

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## Polynomials with coefficients in $GF(p^n)$

Recall that  $GF(p^n)$  were defined in terms of coefficients that were themselves fields (i.e.,  $Z_p$ ).

We can apply this recursively and define:

$GF(p^n)[x]$  = polynomials on  $x$  with coefficients in  $GF(p^n)$ .

- Example of  $GF(2^3)[x]$ :  $f(x) = 001x^2 + 101x + 010$   
Where 101 is shorthand for  $x^2+1$ .

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## Polynomials with coefficients in $GF(p^n)$

We can make a finite field by using an irreducible polynomial  $M(x)$  selected from  $GF(p^n)[x]$ .

For an order  $m$  polynomial and by abuse of notation we write:  $GF(GF(p^n)^m)$ , which has  $p^{nm}$  elements.

Used in Reed-Solomon codes and Rijndael.

- In Rijndael  $p=2$ ,  $n=8$ ,  $m=4$ , i.e. each coefficient is a byte, and each element is a 4 byte word (32 bits).

**Note:** all finite fields are isomorphic to  $GF(p^n)$ , so this is really just another representation of  $GF(2^{32})$ .  
This representation, however, has practical advantages.

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## Cryptography Outline

**Introduction:** terminology, cryptanalysis, security

**Primitives:** one-way functions, trapdoors, ...

**Protocols:** digital signatures, key exchange, ..

**Number Theory:** groups, fields, ...

### ➡ **Private-Key Algorithms:**

- Block ciphers and product ciphers
- Rijndael, DES
- Cryptanalysis

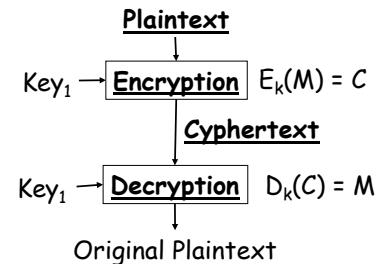
**Public-Key Algorithms:** Knapsack, RSA, El-Gamal, ...

**Case Studies:** Kerberos, Digital Cash

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## Private Key Algorithms



What granularity of the message does  $E_k$  encrypt?

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## Private Key Algorithms

**Block Ciphers:** blocks of bits at a time

- DES (Data Encryption Standard)  
Banks, linux passwords (almost), SSL, kerberos, ...
- Blowfish (SSL as option)
- IDEA (used in PGP, SSL as option)
- Rijndael (AES) - **the new standard**

**Stream Ciphers:** one bit (or a few bits) at a time

- RC4 (SSL as option)
- PKZip
- Sober, Leviathan, Panama, ...

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## Private Key: Block Ciphers

Encrypt one block at a time (e.g. 64 bits)

$$c_i = f(k, m_i) \quad m_i = f'(k, c_i)$$

Keys and blocks are often about the same size.

Equal message blocks will encrypt to equal codeblocks

- Why is this a problem?

Various ways to avoid this:

- E.g.  $c_i = f(k, c_{i-1} \text{ xor } m_i)$   
**"Cipher block chaining" (CBC)**

Why could this still be a problem?

**Solution:** attach random block to the front of the message

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## Security of block ciphers

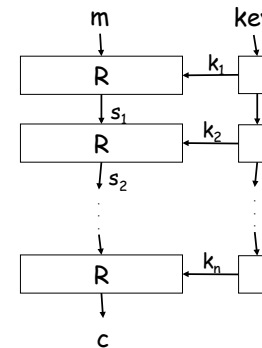
### Ideal:

- $k$ -bit  $\rightarrow$   $k$ -bit key-dependent substitution (i.e. "random permutation")
- If keys and blocks are  $k$ -bits, can be implemented with  $2^{2k}$  entry table.

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## Iterated Block Ciphers



Consists of  $n$  **rounds**

$R$  = the "round" function

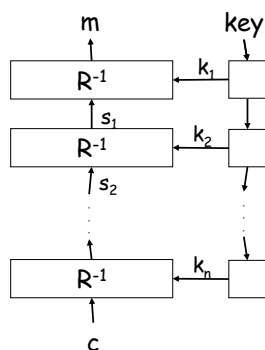
$s_i$  = state after round  $i$

$k_i$  = the  $i^{\text{th}}$  round key

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## Iterated Block Ciphers: Decryption



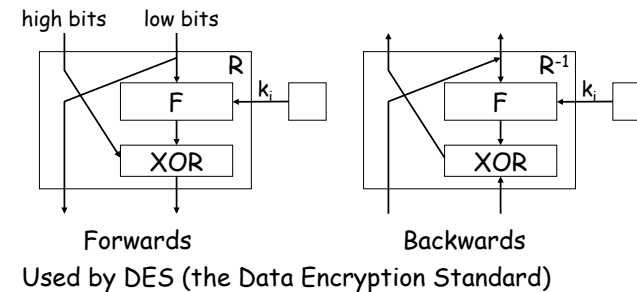
Run the rounds in reverse.  
Requires that  $R$  has an inverse.

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## Feistel Networks

If function is not invertible rounds can still be made invertible. Requires 2 rounds to mix all bits.



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## Product Ciphers

Each round has two components:

- **Substitution** on smaller blocks  
Decorrelate input and output: "confusion"
- **Permutation** across the smaller blocks  
Mix the bits: "diffusion"

### **Substitution-Permutation Product Cipher**

**Avalanche Effect**: 1 bit of input should affect all output bits, ideally evenly, and for all settings of other in bits

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## Rijndael

Selected by AES (Advanced Encryption Standard, part of NIST) as the new private-key encryption standard.

Based on an open "competition".

- Competition started Sept. 1997.
- Narrowed to 5 Sept. 1999
  - MARS by IBM, RC6 by RSA, Twofish by Counterpane, Serpent, and Rijndael
- Rijndael selected Oct. 2000.
- Official Oct. 2001? ([AES page on Rijndael](#))

Designed by Rijmen and Daemen (Dutch)

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## Goals of Rijndael

**Resistance against known attacks:**

- Differential cryptanalysis
- Linear cryptanalysis
- Truncated differentials
- Square attacks
- Interpolation attacks
- Weak and related keys

**Speed + Memory efficiency across platforms**

- 32-bit processors
- 8-bit processors (e.g smart cards)
- Dedicated hardware

**Design simplicity and clearly stated security goals**

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## High-level overview

An iterated block cipher with

- 10-14 rounds,
- 128-256 bit blocks, and
- 128-256 bit keys

Mathematically reasonably sophisticated

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## Blocks and Keys

The blocks and keys are organized as matrices of bytes. For the 128-bit case, it is a 4x4 matrix.

$$\begin{pmatrix} b_0 & b_4 & b_8 & b_{12} \\ b_1 & b_5 & b_9 & b_{13} \\ b_2 & b_6 & b_{10} & b_{14} \\ b_3 & b_7 & b_{11} & b_{15} \end{pmatrix} \quad \begin{pmatrix} k_0 & k_4 & k_8 & k_{12} \\ k_1 & k_5 & k_9 & k_{13} \\ k_2 & k_6 & k_{10} & k_{14} \\ k_3 & k_7 & k_{11} & k_{15} \end{pmatrix}$$

Data block                      Key

$b_0, b_1, \dots, b_{15}$  is the order of the bytes in the stream.

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## Galois Fields in Rijndael

**Uses  $GF(2^8)$  over bytes.**

The irreducible polynomial is:

$$M(x) = x^8 + x^4 + x^3 + x + 1 \text{ or } 100011011 \text{ or } 0x11B$$

**Also uses degree 3 polynomials with coefficients from  $GF(2^8)$ .**

These are kept as 4 bytes (used for the columns)

The polynomial used as a modulus is:

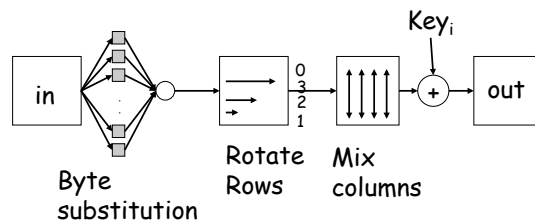
$$M(x) = 00000001x^4 + 00000001 \text{ or } x^4 + 1$$

Not irreducible, but we only need to find inverses of polynomials that are relatively prime to it.

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## Each round



The inverse runs the steps and rounds backwards.  
Each step must be reversible!

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## Byte Substitution

**Non linear:**  $y = b^{-1}$  (done over  $GF(2^8)$ )

**Linear:**  $z = Ay + B$  (done over  $GF(2)$ , i.e., binary)

$$A = \begin{pmatrix} 1 & 0 & 0 & 0 & 1 & 1 & 1 & 1 \\ 1 & 1 & 0 & 0 & 0 & 1 & 1 & 1 \\ 1 & 1 & 1 & 0 & 0 & 0 & 1 & 1 \\ 1 & 1 & 1 & 1 & 0 & 0 & 0 & 1 \\ \vdots & & & & & & & \end{pmatrix} \quad B = \begin{pmatrix} 1 \\ 1 \\ 0 \\ 0 \\ 0 \\ 0 \\ 1 \\ 1 \\ 0 \end{pmatrix}$$

**To invert the substitution:**

$$y = A^{-1}(z - B) \quad (\text{the matrix } A \text{ is nonsingular})$$

$$b = y^{-1} \quad (\text{over } GF(2^8))$$

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## Mix Columns

For each column  $a$  in data block

$a_0$   
 $a_1$   
 $a_2$   
 $a_3$

compute  $b(x) = (a_3x^3 + a_2x^2 + a_1x + a_0)(3x^3 + x^2 + x + 2) \bmod x^4 + 1$

where coefficients are taken over  $GF(2^8)$ .

New column  $b$  is  $\begin{matrix} b_0 \\ b_1 \\ b_2 \\ b_3 \end{matrix}$  where  $b(x) = b_3x^3 + b_2x^2 + b_1x + b_0$

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## Implementation

Using  $x^j \bmod (x^4 + 1) = x^{(j \bmod 4)}$

$(a_3x^3 + a_2x^2 + a_1x + a_0)(3x^3 + x^2 + x + 2) \bmod x^4 + 1$

$= (2a_0 + 3a_1 + a_2 + a_3) +$   
 $(a_0 + 2a_1 + 3a_2 + a_3)x +$   
 $(a_0 + a_1 + 2a_2 + 3a_3)x^2 +$   
 $(3a_0 + a_1 + a_2 + 2a_3)x^3$

Therefore,  $b = C \cdot a$

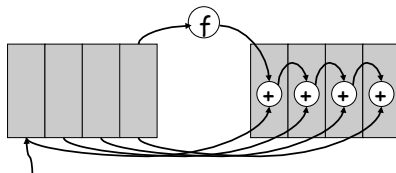
$$C = \begin{pmatrix} 2 & 3 & 1 & 1 \\ 1 & 2 & 3 & 1 \\ 1 & 1 & 2 & 3 \\ 3 & 1 & 1 & 2 \end{pmatrix}$$

$M(x)$  is not irreducible, but the rows of  $C$  and  $M(x)$  are coprime, so the transform can be inverted.

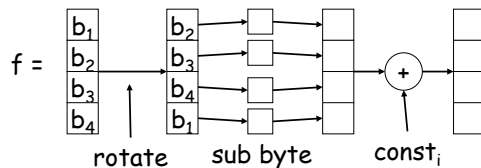
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## Generating the round keys



Words corresponding to columns of the key



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## Performance

Performance: (64-bit AMD Athlon 2.2Ghz, 2005, Open SSL):

Algorithm	Bits/key	Mbits/sec
DES-cbc	56	399
Blowfish-cbc	128	703
Rijndael-cbc	128	917

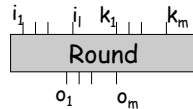
Hardware implementations go up to 32 Gbits/sec

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## Linear Cryptanalysis

A known plaintext attack used to extract the key



Consider a linear equality involving  $i$ ,  $o$ , and  $k$

- e.g.:  $k_1 + k_6 = i_2 + i_4 + i_5 + o_4$

To be secure this should be true with  $p = .5$   
(probability over all inputs and keys)

If true with  $p = 1$ , then linear and easy to break

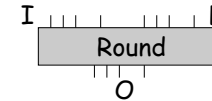
If true with  $p = .5 + \epsilon$  then you might be able to use  
this to help break the system

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## Differential Cryptanalysis

A chosen plaintext attack used to extract the key



Considers fixed "differences" between inputs,

$\Delta_I = I_1 - I_2$ , and sees how they propagate into  
differences in the outputs,  $\Delta_O = O_1 - O_2$ .

"difference" is often exclusive OR

Assigns probabilities to different keys based on  
these differences. With enough and appropriate  
samples ( $I_1, I_2, O_1, O_2$ ), the probability of a  
particular key will converge to 1.

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