

## Distributed Ray Tracing

Robert L. Cook  
Thomas Porter  
Loren Carpenter

Computer Division  
Lucasfilm Ltd.

### Abstract

Ray tracing is one of the most elegant techniques in computer graphics. Many phenomena that are difficult or impossible with other techniques are simple with ray tracing, including shadows, reflections, and refracted light. Ray directions, however, have been determined precisely, and this has limited the capabilities of ray tracing. By distributing the directions of the rays according to the analytic function they sample, ray tracing can incorporate fuzzy phenomena. This provides correct and easy solutions to some previously unsolved or partially solved problems, including motion blur, depth of field, penumbras, translucency, and fuzzy reflections. Motion blur and depth of field calculations can be integrated with the visible surface calculations, avoiding the problems found in previous methods.

### CR CATEGORIES AND SUBJECT DESCRIPTORS:

I.3.7 [Computer Graphics]: Three-Dimensional Graphics and Realism;

ADDITIONAL KEY WORDS AND PHRASES: camera, constructive solid geometry, depth of field, focus, gloss, motion blur, penumbras, ray tracing, shadows, translucency, transparency

### 1. Introduction

Ray tracing algorithms are elegant, simple, and powerful. They can render shadows, reflections, and refracted light, phenomena that are difficult or impossible with other techniques[11]. But ray tracing is currently limited to sharp shadows, sharp reflections, and sharp refraction.

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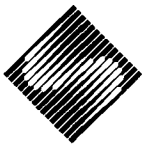
Ray traced images are sharp because ray directions are determined precisely from geometry. Fuzzy phenomenon would seem to require large numbers of additional samples per ray. By distributing the rays rather than adding more of them, however, fuzzy phenomena can be rendered with no additional rays beyond those required for spatially oversampled ray tracing. This approach provides correct and easy solutions to some previously unsolved problems.

This approach has not been possible before because of aliasing. Ray tracing is a form of point sampling and, as such, has been subject to aliasing artifacts. This aliasing is not inherent, however, and ray tracing can be filtered as effectively as any analytic method[4]. The filtering does incur the expense of additional rays, but it is not merely oversampling or adaptive oversampling, which in themselves cannot solve the aliasing problem. This antialiasing is based on an approach proposed by Rodney Stock. It is the subject of a forthcoming paper.

Antialiasing opens up new possibilities for ray tracing. Ray tracing need not be restricted to spatial sampling. If done with proper antialiasing, the rays can sample motion, the camera lens, and the entire shading function. This is called *distributed ray tracing*.

Distributed ray tracing is a new approach to image synthesis. The key is that no extra rays are needed beyond those used for oversampling in space. For example, rather than taking multiple time samples at every spatial location, the rays are distributed in time so that rays at different spatial locations are traced at different instants of time. Once we accept the expense of oversampling in space, distributing the rays offers substantial benefits at little additional cost.

- Sampling the reflected ray according to the specular distribution function produces gloss (blurred reflection).
- Sampling the transmitted ray produces translucency (blurred transparency).
- Sampling the solid angle of the light sources produces penumbras.



- Sampling the camera lens area produces depth of field.
- Sampling in time produces motion blur.

## 2. Shading

The intensity  $I$  of the reflected light at a point on a surface is an integral over the hemisphere above the surface of an illumination function  $L$  and a reflection function  $R$ [1].

$$I(\phi_r, \theta_r) = \int_{\phi_i, \theta_i} L(\phi_i, \theta_i) R(\phi_i, \theta_i, \phi_r, \theta_r) d\phi_i d\theta_i$$

where

- $(\phi_i, \theta_i)$  is the angle of incidence, and
- $(\phi_r, \theta_r)$  is the angle of reflection.

The complexity of performing this integration has been avoided by making some simplifying assumptions. The following are some of these simplifications:

- Assume that  $L$  is a  $\delta$  function, i.e., that  $L$  is zero except for light source directions and that the light sources can be treated as points. The integral is now replaced by a sum over certain discrete directions. This assumption causes sharp shadows.
- Assume that all of the directions that are not light source directions can be grouped together into an ambient light source. This ambient light is the same in all directions, so that  $L$  is independent of  $\phi_i$  and  $\theta_i$  and may be removed from the integral. The integral of  $R$  may then be replaced by an average, or ambient, reflectance.
- Assume that the reflectance function  $R$  is a  $\delta$  function, i.e., that the surface is a mirror and reflects light only from the mirror direction. This assumption causes sharp reflections. A corresponding assumption for transmitted light causes sharp refraction.

The shading function may be too complex to compute analytically, but we can point sample its value by distributing the rays, thus avoiding these simplifying assumptions. Illumination rays are not traced toward a single light direction, but are distributed according to the illumination function  $L$ . Reflected rays are not traced in a single mirror direction but are distributed according to the reflectance function  $R$ .

### 2.1. Gloss

Reflections are mirror-like in computer graphics, but in real life reflections are often blurred or hazy. The distinctness with which a surface reflects its environment is called *gloss*[5]. Blurred reflections have been discussed by Whitted[11] and by Cook[2]. Any analytic simulation of these reflections must be based on the integral of the reflectance over some solid angle.

Mirror reflections are determined by tracing rays from the surface in the mirror direction. Gloss can be calculated by distributing these secondary rays about the mirror direction. The distribution is weighted according to the same distribution function that determines the highlights.

This method was originally suggested by Whitted[11], and it replaces the usual specular component. Rays that reflect light sources produce highlights.

### 2.2. Translucency

Light transmitted through an object is described by an equation similar to that for reflected light, except that the reflectance function  $R$  is replaced by a transmittance function  $T$  and the integral is performed over the hemisphere behind the surface. The transmitted light can have ambient, diffuse, and specular components[5].

Computer graphics has included transparency, in which  $T$  is assumed to be a  $\delta$  function and the images seen through transparent objects are sharp. Translucency differs from transparency in that the images seen through translucent objects are not distinct. The problem of translucency is analogous to the problem of gloss. Gloss requires an integral of the reflected light, and translucency requires a corresponding integral of the transmitted light.

Translucency is calculated by distributing the secondary rays about the main direction of the transmitted light. Just as the distribution of the reflected rays is defined by the specular reflectance function, the distribution of the transmitted rays is defined by a specular transmittance function.

### 2.3. Penumbrae

Penumbrae occur where a light source is partially obscured. The reflected intensity due to such a light is proportional to the solid angle of the visible portion of the light. The solid angle has been explicitly included in a shading model[3], but no algorithms have been suggested for determining this solid angle because of the complexity of the computation involved. The only attempt at penumbrae known to the authors seems to solve only a very special case[7].

Shadows can be calculated by tracing rays from the surface to the light sources, and penumbrae can be calculated by distributing these secondary rays. The shadow ray can be traced to any point on the light source, not just not to a single light source location. The distribution of the shadow rays must be weighted according to the projected area and brightness of different parts of the light source. The number of rays traced to each region should be proportional to the amount of the light's energy that would come from that region if the light was

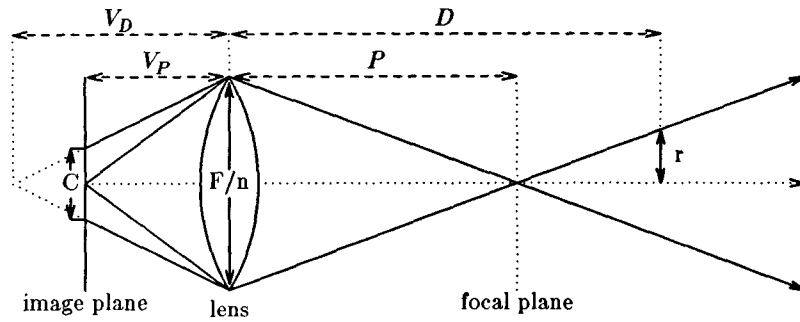


Figure 1. Circle of Confusion.

completely unobscured. The proportion of lighted sample points in a region of the surface is then equal to the proportion of that light's intensity that is visible in that region.

### 3. Depth of Field

Cameras and the eye have a finite lens aperture, and hence their images have a finite depth of field. Each point in the scene appears as a circle on the image plane. This circle is called the circle of confusion, and its size depends on the distance to the point and on the lens optics. Depth of field can be an unwanted artifact, but it can also be a desirable effect.

Most computer graphics has been based on a pinhole camera model with every object in sharp focus. Potmesil simulated depth of field with a postprocessing technique. Each object is first rendered in sharp focus (i.e., with a pinhole camera model), and later each sharply rendered object is convolved with a filter the size of the circle of confusion[8]. The program spends most of its time in the focus postprocessor, and this time increases dramatically as the aperture decreases.

Such a postprocessing approach can never be completely correct. This is because visibility is calculated from a single point, the center of the lens. The view of the environment is different from different parts of the lens, and the differences include changes in visibility and shading that cannot be accounted for by a postprocessing approach.

For example, consider an object that is extremely out of focus in front of an object that is in focus. Visible surface calculations done with the pinhole model determine the visibility from the center of the lens. Because the front object is not in focus, parts of the focused object that are not visible from the center of the lens will be visible from other parts of the lens. Information about those parts will not be available for the postprocessor, so the postprocessor cannot possibly get the correct result.

There is another way to approach the depth of field problem. Depth of field occurs because the lens is a finite size. Each point on the lens "looks" at the same point on the focal plane. The visible surfaces and the shading may be different as seen from different parts of the lens. The depth of field calculations should account for this and be an integral part of the visible surface and shading calculations.

Depth of field can be calculated by starting with the traditional ray from the center of the lens through point  $p$  on the focal plane. A point on the surface of the lens is selected and the ray from that point to  $p$  is traced. The camera specifications required for this calculation are the focal distance and the diameter of the lens  $\frac{F}{n}$ , where  $F$  is the focal length of the lens and  $n$  is the aperture number.

This gives exactly the same circle of confusion as presented by Potmesil[8]. Because it integrates the depth of field calculations with the shading and visible surface calculations, this method gives a more accurate solution to the depth of field problem, with the exception that it does not account for diffraction effects.

Figure 1 shows why this method gives the correct circle of confusion. The lens has a diameter of  $\frac{F}{n}$  and is focused at a distance  $P$  so that the image plane is at a distance  $V_P$ , where

$$V_P = \frac{FP}{P-F} \text{ for } P > F.$$

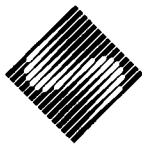
Points on the plane that is a distance  $D$  from the lens will focus at

$$V_D = \frac{FD}{D-F} \text{ for } D > F$$

and have a circle of confusion with diameter  $C$  of[8]

$$C = |V_D - V_P| \frac{F}{nV_D}$$

For a point  $I$  on the image plane, the rays we trace lie inside the cone whose radius at  $D$  is



$$r = \frac{1}{2} \frac{F}{n} \frac{|D-P|}{P}$$

The image plane distance from a point on this cone to a point on the axis of the cone is  $r$  multiplied by the magnification of the lens.

$$R = r \left( -\frac{V_P}{D} \right).$$

It is easily shown that

$$R = \frac{C}{2}.$$

Hence any points on the cone have a circle of confusion that just touches the image point  $I$ . Points outside the cone do not affect the image point and points inside the cone do.

#### 4. Motion Blur

Distributing the rays or sample points in time solves the motion blur problem. Before we discuss this method and how it works, let us first look in more detail at the motion blur problem and at previous attempts to solve it.

The motion blur method described by Potmesil[9] is not only expensive, it also separates the visible surface calculation from the motion blur calculation. This is acceptable in some situations, but in most cases we cannot just calculate a still frame and blur the result. Some object entirely hidden in the still frame might be uncovered for part of the the time sampled by the blur. If we are to blur an object across a background, we have to know what the background is.

Even if we know what the background is, there are problems. For example, consider a biplane viewed from above, so that the lower wing is completely obscured by the upper wing. Because the upper wing is moving, the scenery below it would be seen through its blur, but unfortunately the lower wing would show through too. The lower wing should be hidden completely because it moves with the the upper wing and is obscured by it over the entire time interval.

This particular problem can be solved by rendering the plane and background as separate elements, but not all pictures can easily be separated into elements. This solution also does not allow for changes in visibility within a single object. This is particularly important for rotating objects.

The situation is further complicated by the change in shading within a frame time. Consider a textured top spinning on a table. If we calculate only one shade per frame, the texture would be blurred properly, but unfortunately the highlights and shadows would be blurred too. On a real top, the highlights and shadows

are not blurred at all by the spinning. They are blurred, of course, by any lateral motion of the top along the table or by the motion of a light source or the camera. The highlights should be blurred by the motion of the light and the camera, by the travel of the top along the table, and by the precession of the top, but not by the rotation of the top.

Motion blurred shadows are also important and are not rendered correctly if we calculate only one shade per frame. Otherwise, for example, the blades of a fan could be motion blurred, but the shadows of those blades would strobe.

All of this is simply to emphasize the tremendous complexity of the motion blur problem. The prospects for an analytic solution are dim. Such a solution would require solving the visible surface problem as a function of time as well as space. It would also involve integrating the texture and shading function of the visible surfaces over time. Point sampling seems to be the only approach that offers any promise of solving the motion blur problem.

One point sampling solution was proposed by Korein and Badler[6]. Their method, however, point samples only in space, not in time. Changes in shading are not motion blurred. The method involves keeping a list of all objects that cross each sample point during the frame time, a list that could be quite long for a fast moving complex scene. They also impose the unfortunate restriction that both vertices of an edge must move at the same velocity. This creates holes in objects that change perspective severely during one frame, because the vertices move at drastically different rates. Polygons with edges that share these vertices cannot remain adjoining. The algorithm is also limited to linear motion. If the motion is curved or if the vertices are allowed to move independently, the linear intersection equation becomes a higher order equation. The resulting equation is expensive to solve and has multiple roots.

Distributing the sample points in time solves the motion blur problem. The path of motion can be arbitrarily complex. The only requirement is the ability to calculate the position of the object at a specific time. Changes in visibility and shading are correctly accounted for. Shadows (umbras and penumbras), depth of field, reflections and intersections are all correctly motion blurred. By using different distributions of rays, the motion can be blurred with a box filter or a weighted filter or can be strobed.

This distribution of the sample points in time does not involve adding any more sample points. Updating the object positions for each time is the only extra calculation needed for motion blur. Proper antialiasing is required or the picture will look strobed or have holes[4].

## 5. Other Implications of the Algorithm

Visible surface calculation is straightforward. Since each ray occurs at a single instant of time, the first step is to update the positions of the objects for that instant of time. The next is to construct a ray from the lens to the sample point and find the closest object that the ray intersects. Care must be taken in bounding moving objects. The bound should depend on time so that the number of potentially visible objects does not grow unacceptably with their speed.

Intersecting surfaces are handled trivially because we never have to calculate the line of intersection; we merely have to determine which is in front at a given location and time. At each sample point only one of the surfaces is visible. The intersections can even be motion blurred, a problem that would be terrifying with an analytic method.

The union, intersection, difference problem is easily solved with ray tracing or point sampling[10]. These calculations are also correctly motion blurred.

Transparency is easy even if the transparency is textured or varies with time. Let  $\tau$  be the transparency of a surface at the time and location it is pierced by the ray, and let  $R$  be the reflectance.  $R$  and  $\tau$  are wavelength dependent, and the color of the transparency is not necessarily the same as the color of the reflected light; for example, a red transparent plastic object may have a white highlight. If there are  $n-1$  transparent surfaces in front of the opaque surface, the light reaching the viewer is

$$R_n \prod_{i=1}^{n-1} \tau_i + R_{n-1} \prod_{i=1}^{n-2} \tau_i + \cdots + R_2 \tau_1 + R_1 = \sum_{i=1}^n R_i \prod_{j=1}^{i-1} \tau_j.$$

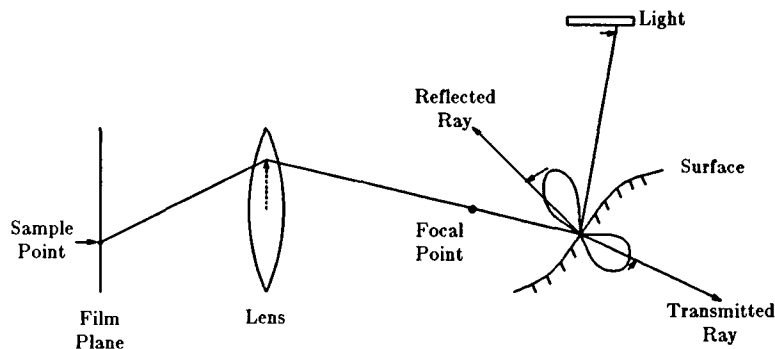


Figure 2. Typical Distributed Ray Path

If the surfaces form solid volumes, then each object has a  $\tau$ , and that  $\tau$  is scaled by the distance that the transmitted ray travels through that object. The motion blur and depth of field calculations work correctly for these transparency calculations.

The distributed approach can be adapted to a scanline algorithm as well as to ray tracing. The general motion blur and depth of field calculations have been incorporated into a scanline algorithm using distributed sampling for the visible surface calculations. Special cases of penumbras, fuzzy reflections, and translucency have been successfully incorporated for flat surfaces.

## 6. Summary of the Algorithm

The intensity of a pixel on the screen is an analytic function that involves several nested integrals: integrals over time, over the pixel region, and over the lens area, as well as an integral of reflectance times illumination over the reflected hemisphere and an integral of transmittance times illumination over the transmitted hemisphere. This integral can be tremendously complicated, but we can point sample the function regardless of how complicated it is. If the function depends on  $n$  parameters, the function is sampled in the  $n$  dimensions defined by those parameters. Rather than adding more rays for each dimension, the existing rays are distributed in each dimension according to the values of the corresponding parameter.

This summary of the distributed ray tracing algorithm is illustrated in Figure 2 for a single ray.

- Choose a time for the ray and move the objects accordingly. The number of rays at a certain time is proportional to the value of the desired temporal filter at that time.



- Construct a ray from the eye point (center of the lens) to a point on the screen. Choose a location on the lens, and trace a ray from that location to the focal point of the original ray. Determine which object is visible.
- Calculate the shadows. For each light source, choose a location on the light and trace a ray from the visible point to that location. The number of rays traced to a location on the light should be proportional to the intensity and projected area of that location as seen from the surface.
- For reflections, choose a direction around the mirror direction and trace a ray in that direction from the visible point. The number of rays traced in a specific direction should be proportional to the amount of light from that direction that is reflected toward the viewer. This can replace the specular component.
- For transmitted light, choose a direction around the direction of the transmitted light and trace a ray in that direction from the visible point. The number of rays traced in a specific direction should be proportional to the amount of light from that direction that is transmitted toward the viewer.

## 7. Examples

Figure 3 illustrates motion blurred intersections. The blue beveled cube is stationary, and the green beveled cube is moving in a straight line, perpendicular to one of its faces. Notice that the intersection of the faces is blurred except in the plane of motion, where it is sharp.

Figures 4 and 5 illustrate depth of field. In figure 4, the camera has a 35 mm lens at f2.8. Notice that the rear sphere, which is out of focus, does not blur over the spheres in front. In figure 5, the camera is focused on the center of the three wooden spheres.

Figure 6 shows a number of moving spheres, with motion blurred shadows and reflections.

Figure 7 illustrates fuzzy shadows and reflections. The paper clip is illuminated by two local light sources which cast shadows with penumbras on the table. Each light is an extended light source (i.e., not a point light source) with a finite solid angle, and the intensity of its shadow at any point on the table is proportional to the amount of light obscured by the paper clip. The table reflects the paper clip, and the reflection blurs according to the specular distribution function of the table top. Note that both the shadows and the reflection blur with distance and are sharper close to the paper clip.

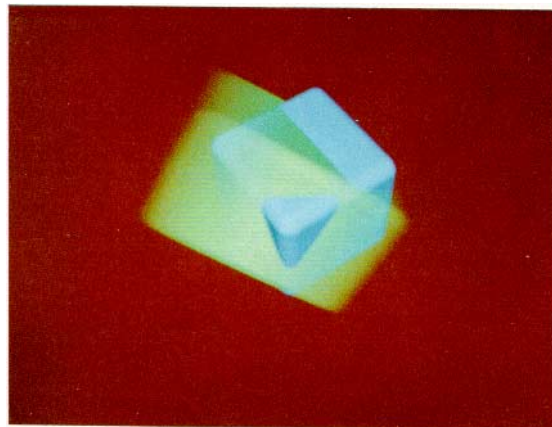


Figure 3. Motion Blurred Intersection.

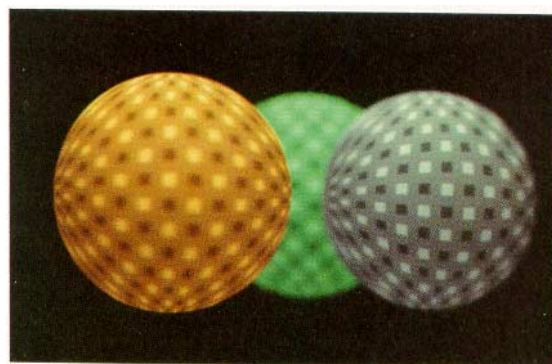


Figure 4. Depth of Field.

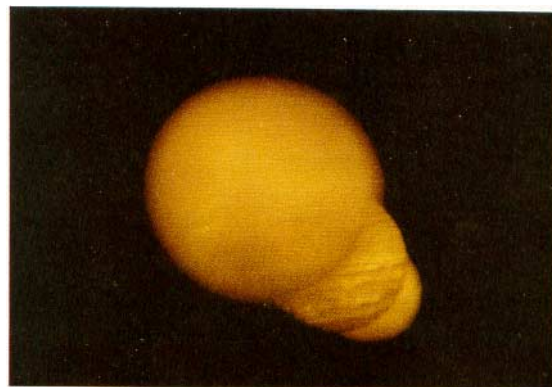


Figure 5. Depth of Field.



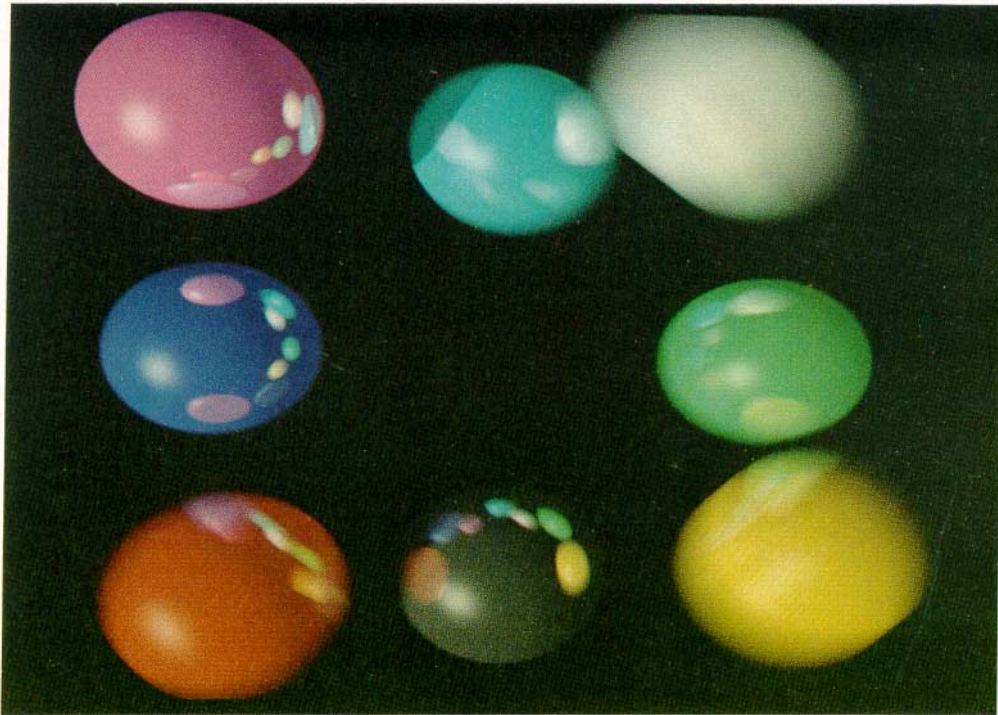


Figure 6. Balls in Motion.

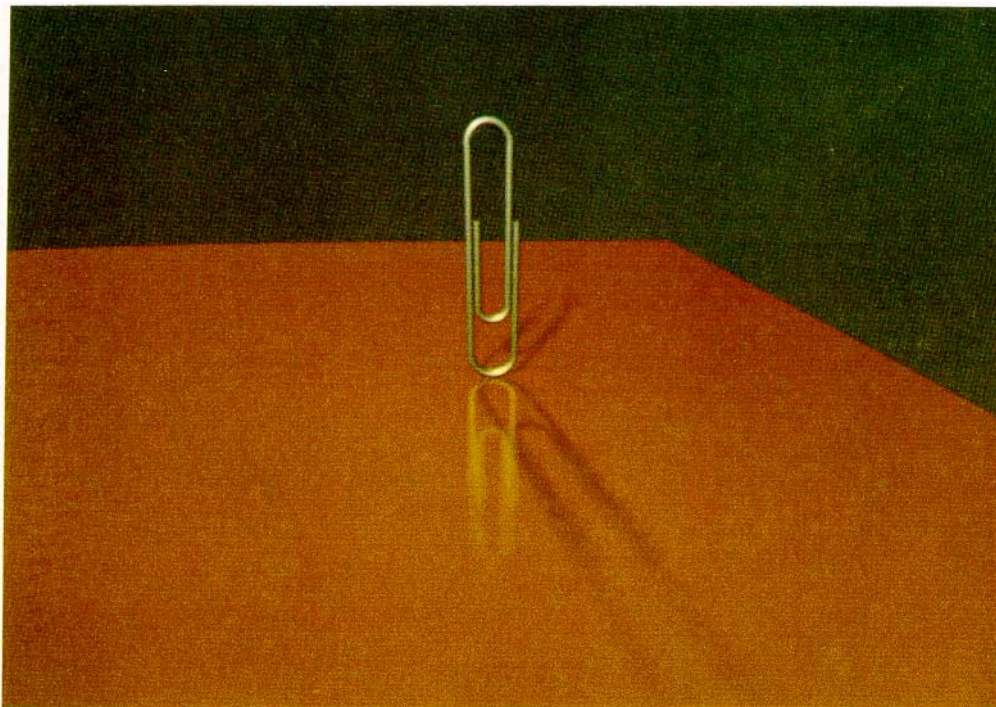


Figure 7. Paper Clip.

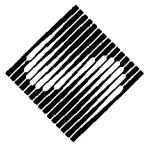


Figure 8 shows 5 billiard balls with motion blur and penumbras. Notice that the motion is not linear: the 9 ball changes direction abruptly in the middle of the frame, the 8 ball moves only during the middle of the frame, and the 4 ball only starts to move near the end of the frame. The shadows on the table are sharper where the balls are closer to the table; this most apparent in the stationary 1 ball. The reflections of the billiard balls and the room are motion blurred, as are the penumbras.

Figures 3, 5, and 7 were rendered with a scanline adaptation of this algorithm. Figures 4, 6, and 8 were rendered with ray tracing.

## 8. Conclusions

Distributed ray tracing a new paradigm for computer graphics which solves a number of hitherto unsolved or partially solved problems. The approach has also been successfully adapted to a scanline algorithm. It incorporates depth of field calculations into the visible surface calculations, eliminating problems in previous methods. It makes possible blurred phenomena such as penumbras, gloss, and translucency. All of the above can be motion blurred by distributing the rays in time.

These are not isolated solutions to isolated problems. This approach to image synthesis is practically no more expensive than standard ray tracing and solves all of these problems at once. The problems could not really be solved separately because they are all interrelated. Differences in shading, in penumbras, and in visibility are accounted for in the depth of field calculations. Changes in the depth of field and in visibility are motion blurred. The penumbra and shading calculations are motion blurred. All of these phenomena are related, and the new approach solves them all together by sampling the multidimensional space they define. The key to this is the ability to antialias point sampling.

## 9. Acknowledgements

Rodney Stock proposed the approach to antialiased point sampling that formed the basis of the paradigm explored in this paper. John Lasseter drew the environment map of the pool hall for "1984". Ed Catmull worked with us in the image synthesis working group and helped develop and refine these ideas. He and Alvy Ray Smith provided invaluable suggestions along the way. Tom Duff wrote the ray tracing program that we adapted to distributed ray tracing.

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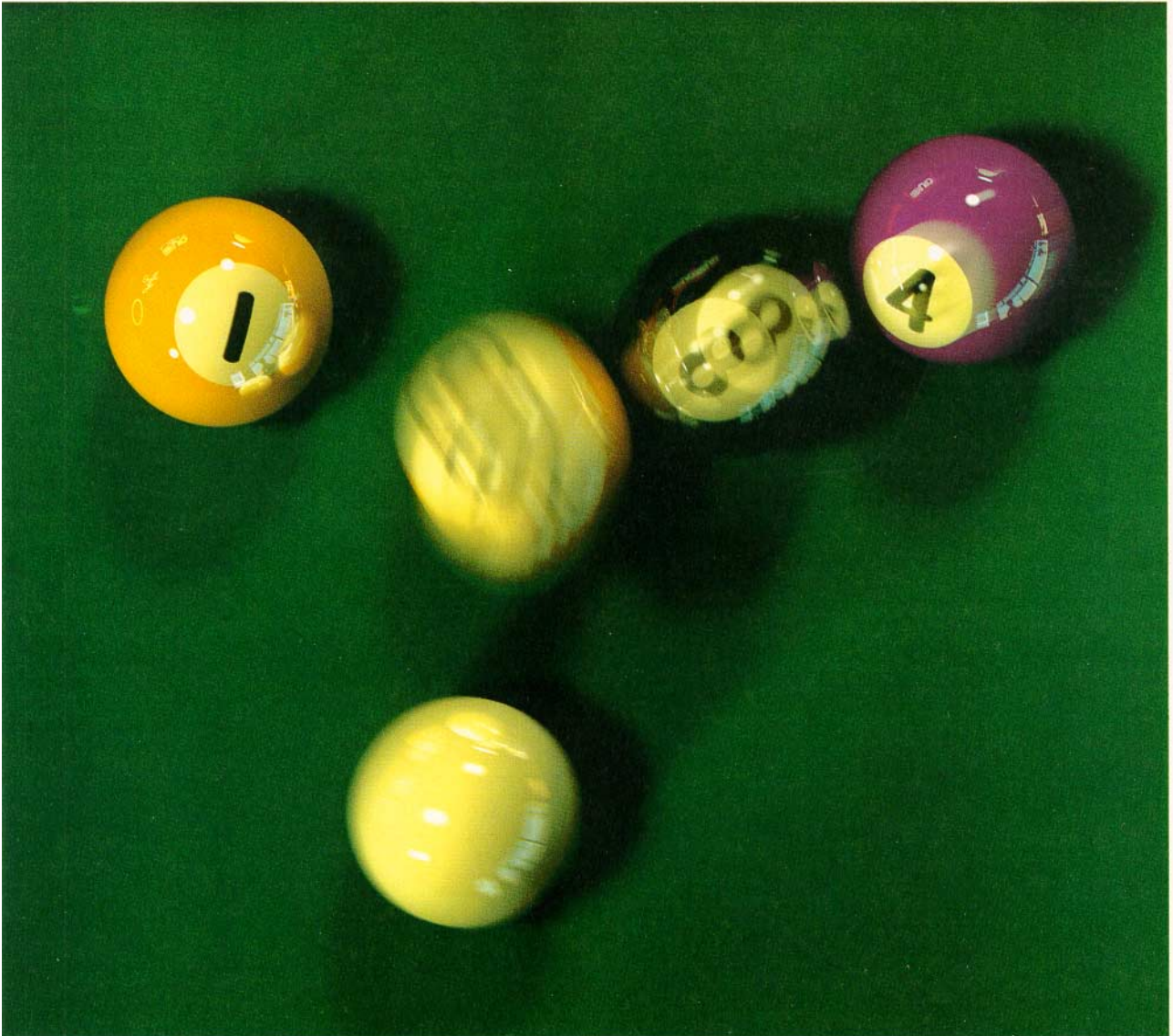


Figure 8. 1984.