# Processes, Signals, I/O, Shell Lab

15-213: Introduction to Computer Systems

# **Agenda**

- News
- Processes
  - Overview
  - Important functions
- Signals
  - Overview
  - Important functions
  - Race conditions
- I/O Intro
- Shell Lab Tips

#### **Processes**

- An instance of an executing program
- Abstraction provided by the operating system
- Properties
  - Have a process ID(pid) and process group ID(pgid)
  - Private state memory, registers, etc.
  - Shared state such as open file table
  - Become zombies when finished running(why?)

## Process: fork()



- Prototype:
  - pid\_t fork(void);
- Clones the current process. The new process gets a new pid, but the same pgid.
- The new process is an exact duplicate of the parent's state. It has its own stack, own registers, etc.
- It has its own file descriptors (but the files themselves are shared).
- Called once, returns twice (once in the parent, once in the child).
- Return value in child is 0, child's pid in parent. (This is how the parent can keep track of who its child is.)
- Returns -1 in case of failure.
- After the fork, we do not know which process will run first, the parent or the child.

## Process: execve()

- Prototype: int execve(const char \*filename, char \*const argv[], char \*const envp[]);
- Replaces the current process with a new one. The binary corresponding to 'filename' will be run by current process.
- Called once; does not return (or returns -1 on failure).
- fork() + execve() creates a new process and runs a new binary on it.
   This is the usual way of running a new process.

## Process: exit()

- Prototype: void exit(int status);
- Immediately terminates the process that called it. The process goes to Zombie state.
- status is normally the return value of main().
- The OS frees the resources (heap, file descriptors, etc.) but not its exit status. It remains in the process table to await its reaping.
- Zombies are reaped when their parents read their exit status. (If the parent is dead, this is done by init.) Then its pid can be reused.

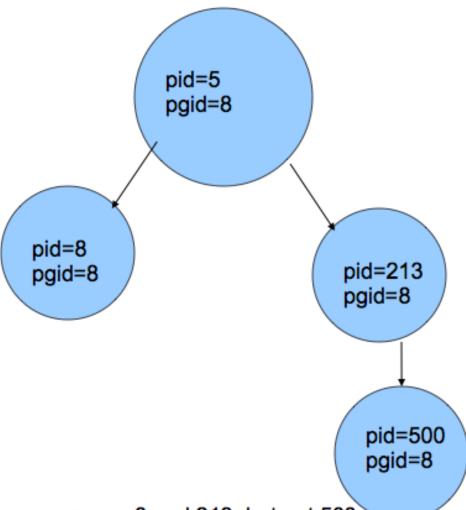
## Process: waitpid()

- Prototype: pid\_t waitpid(pid\_t pid, int \*status, int options);
- The wait family of functions allows a parent to know when a child has changed state (e.g., terminated).
- waitpid returns when the process specified by pid terminates.
- pid must be a direct child of the invoking process.
- If pid = -1, it will wait for any child of the current process.
- Return value: the pid of the child it reaped.
- Writes to status: information about the child's status.
- options variable: used to modify waitpid's behavior.
  - WNOHANG: keep executing caller until a child terminates.
  - WUNTRACED: report stopped children too.
  - WCONTINUED: report continued children too

## Processes – setpgid()

- Prototype: setpgid(pid\_t pid, pit\_t pgid)
  - Sets the process group id(pgid) of the given pid
  - If pid=0, setpgid is applied to the calling process
  - If pgid=0, setpgid uses pgid=pid of the calling process
  - Children inherit the pgid of their parents by default

# **Process Group Diagram**



process 5 can reap processes 8 and 213, but not 500. Only process 213 can reap process 500.

# Concurrency!

```
pid_t child_pid = fork();
if (child_pid == 0) {
    printf("Child!\n");
    exit(0);
}
else {
    printf("Parent!\n");
}
```

Output?

## Concurrency!

```
pid_t child_pid = fork();
if (child_pid == 0) {
    printf("Child!\n");
    exit(0);
}
else {
    printf("Parent!\n");
}
```

#### Two possible Outcomes:

- Child!
   Parent!
- Parent!
   Child

### Concurrency!

```
pid_t child_pid = fork();
if (child_pid == 0) {
    printf("Child!\n");
    exit(0);
}
else {
    printf("Parent!\n");
}
```

#### Two possible Outcomes:

- Child!
   Parent!
- Parent!
   Child

```
int status;
pid_t child_pid = fork();
if (child_pid == 0) {
    printf("Child!\n");
    exit(0);
}
else {
    waitpid(child_pid,&status, 0);
    printf("Parent!\n");
}
```

#### Only one possible Outcome:

Child! Parent!

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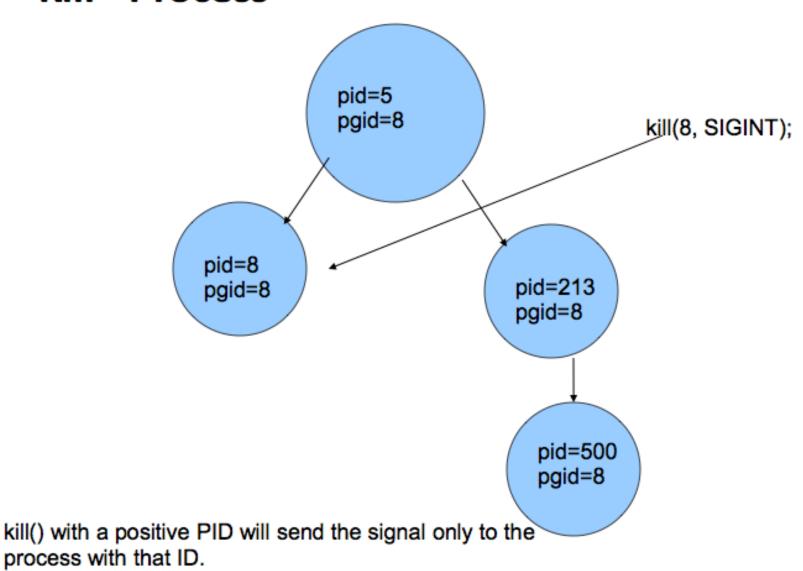
## **Signals**

- Signals are the basic way processes communicate with each other. They
  notify a process that an event has occurred (for example, that its child
  has terminated).
- They are sent several ways: Ctrl-C, Ctrl-Z, kill()
- Signals are asynchronous. They aren't necessary received immediately;
   they're received right after a context switch.
- They are non-queuing.
  - There is only one bit in the context per signal
  - If 100 child processes die and send a SIGCHLD, the parent may still only receive one SIGCHLD
- Three possible ways to react when a signal is received:
  - Ignore the signal (do nothing)
  - Terminate the process (with op7onal core dump)
  - Catch the signal by execu7ng a user-level func7on called signal handler

## Sending a signal

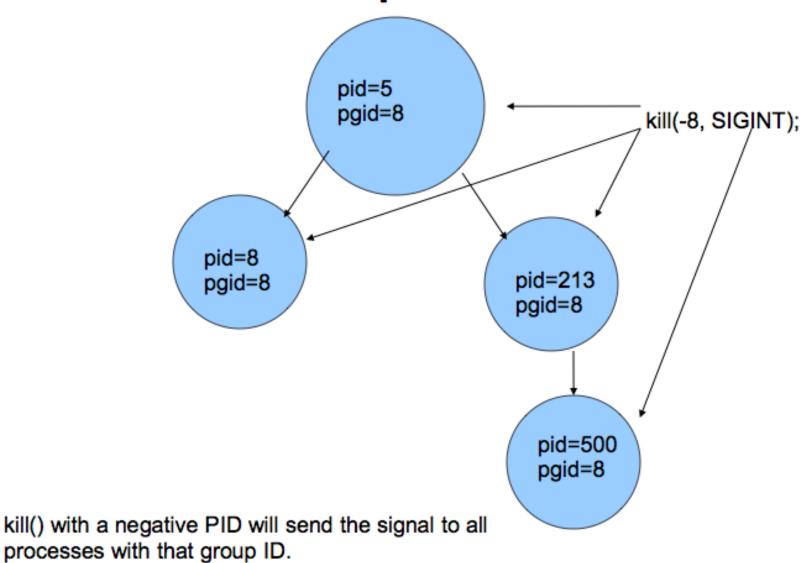
- kill(pid\_t id, int sig)
  - If id positive, sends signal sig to process with pid=id
  - · If id negative, sends signal sig to all processes with with pgid=-id

### **Kill - Process**



18

## Kill – Process Group



19

## **Handling signals**

- signal(int signum, sighandler\_t handler)
  - Specifies a handler function to run when signum is received
  - sighandler\_t means a function which takes in one int argument and is void (returns nothing)
  - When a signal is caught using the handler, its default behavior is ignored
  - The handler can interrupt the process at any time, even while either it or another signal handler is running
  - Control flow of the main program is restored once it's finished running
  - SIGKILL, SIGSTOP cannot be caught

#### **Caveat**

- Remember Signals are received asynchronously.
- Signal handlers can be called anytime when the program is running.
- Concurrency bug?
  - What if main() and signal\_handler() access a common data?
  - A typical scenario in your shell lab
- Solution: Block Signals

## Signals (contd..)

- Blocking Signals
  - Processes can choose to block signals using a signal mask
  - While a signal is blocked, the signal will be still delivered to the process but keep it pending
  - No action will be taken until the signal is unblocked
  - Implemented using sigprocmask()
- Waiting for Signals
  - Sometimes, a process needs to wait for a signal to be received.
  - Implemented using sigsuspend()

## Blocking Signals – sigprocmask()

- sigprocmask(int option, const sigset\_t\* set, sigset\_t\*oldSet)
  - Updates the mask of blocked/unblocked signals using the handler signal set
  - Blocked signals are ignored until unblocked
    - Process only tracks whether it has received a blocked signal, not the count
    - Getting SIGCHILD 20 times while blocked then unblocking will only run its handler once
  - option: SIG\_BLOCK,SIG\_UNBLOCK,SIG\_SETMASK
  - Signal mask's old value is written into oldSet

## Waiting for Signals – sigsuspend()

- sigsuspend(sigset\_t \*tempMask)
  - Temporarily replaces the signal mask of the process with tempMask
  - Sigsuspend will return once it receives an unblocked signal (and after its handler has run)
  - Good to stop code execution until receiving a signal
  - Once sigsuspend returns, it automatically reverts the process signal mask to its old value

## Signals – sigsetops

- A family of functions used to create and modify sets of signals. E.g.,
  - int sigemptyset(sigset\_t \*set);
  - int sigfillset(sigset\_t \*set)
  - int sigaddset(sigset\_t \*set, int signum);
  - int sigdelset(sigset\_t \*set, int signum);
- These sets can then be used in other functions.
- http://linux.die.net/man/3/sigsetops
- Remember to pass in the address of the sets, not the sets themselves

#### **Race Conditions**

- Race conditions occur when sequence or timing of events are random or unknown
- Signal handlers will interrupt currently running code
- When forking, child or parent may run in different order
- If something can go wrong, it will
  - Must reason carefully about the possible sequence of events in concurrent programs

#### Race Conditions

```
// sigchld handler installed
                                        void sigchld_handler(int signum)
pid_t child_pid = fork();
if (child_pid == 0){
                                          int status;
 /* child comes here */
                                          pid_t child_pid =
                                           waitpid(-1, &status, WNOHANG);
 execve(.....);
                                          if (WIFEXITED(status))
else{
                                            remove_job(child_pid);
 add_job(child_pid);
```

- Does add\_job() or remove\_job() come first?
- Where can signals be blocked to ensure correctness?

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## Unix I/O

- All Unix I/O, from network sockets to text files, are based on one interface.
- A file descriptor is what's returned by open(). int fd = open("/path/to/file", O\_RDONLY);
- It's just an int, but you can think of it as a pointer into the file descriptor table.
- Every process starts with three file descriptors by default:
  - 0: STDIN
  - 1: STDOUT
  - 2: STDERR.
- Every process gets its own file descriptor table, but processes share the open file table and v-node table.

# **Unix I/O – dup2()**

- Prototype: int dup2(int oldfd, int newfd);
- newfd becomes a copy of oldfd;
- Read/write on newfd will access the file corresponding to oldfd.
- This is handy for implementing I/O redirection in shelllab.

## **Unix I/O – Practice Problem**

```
int main()
{
   int fd = open("ab.txt", O_RDONLY);
   char c;
   fork();
   read(fd,&c,1); //Read one character from the file
   printf("%c\n",c); //Print the character
}
```

- Assume the file ab.txt contains "ab"
- What do the file tables look like?
- What's the output?
- What if the process forked before opening the file?

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## **Shell Lab Tips**

- There's a lot of starter code
  - Look over it so you don't needlessly repeat work
- Use the reference shell to figure out the shell's behavior
  - For instance, the format of the output when a job is stopped
- Use sigsuspend, not waitpid, to wait for foreground jobs
  - You will lose points for using tight loops (while(1) {}), sleeps to wait for the foreground

## **Shell Lab Tips**

- Shell requires SIGINT and SIGSTP to be fowarded to the foreground job (and all its descendants) of the shell
  - How could process groups be useful?
- dup2 is a handy function for the last section, I/O redirection
- SIGCHILD handler may have to reap multiple children per call
- Try actually using your shell and seeing if/where it fails
  - Can be easier than looking at the driver output

