

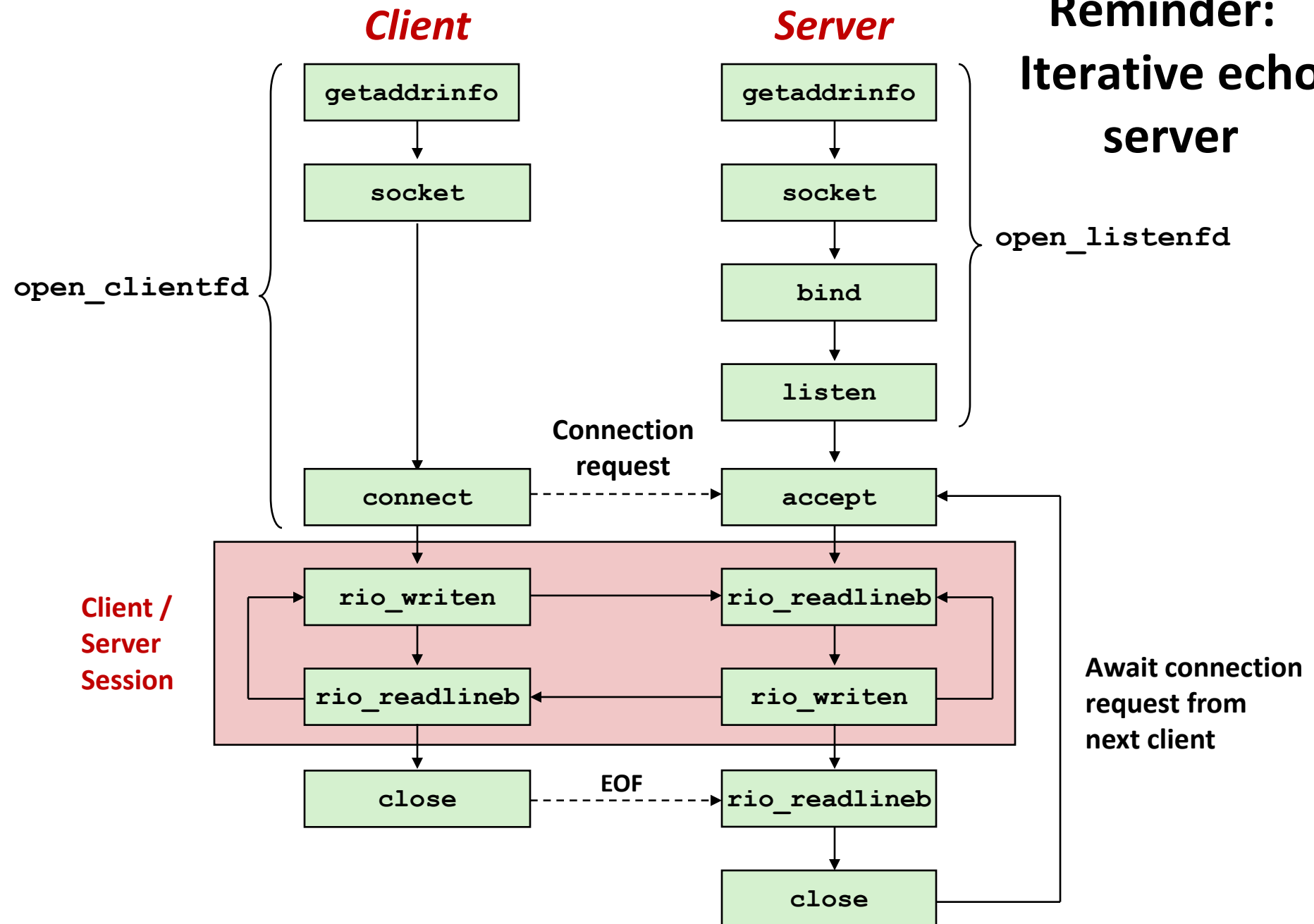
# Concurrent Programming

15-213 / 18-213: Introduction to Computer Systems  
22<sup>nd</sup> Lecture, Jul 16, 2015

## **Instructors:**

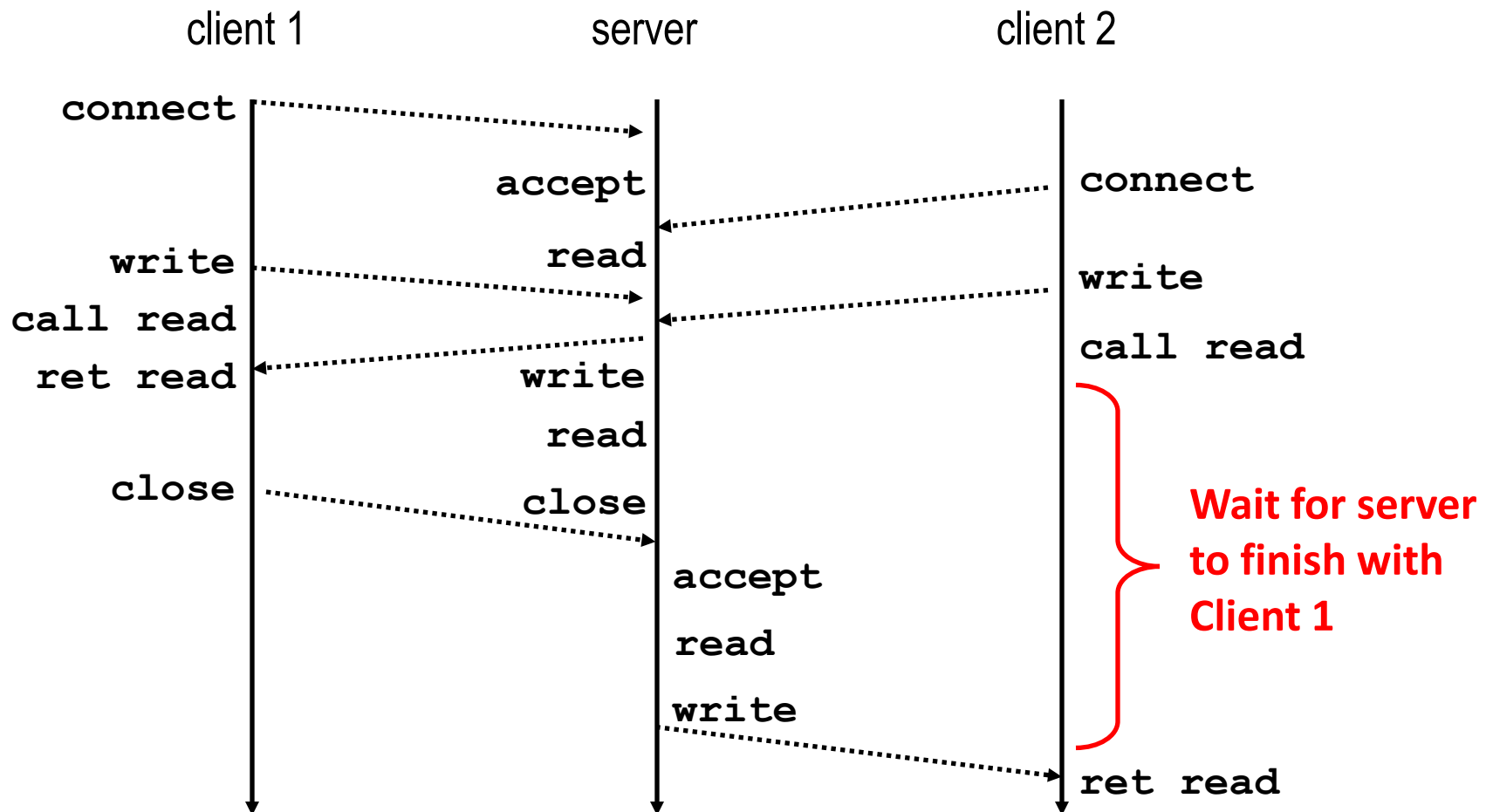
nwf and Greg Kesden

# Reminder: Iterative echo server

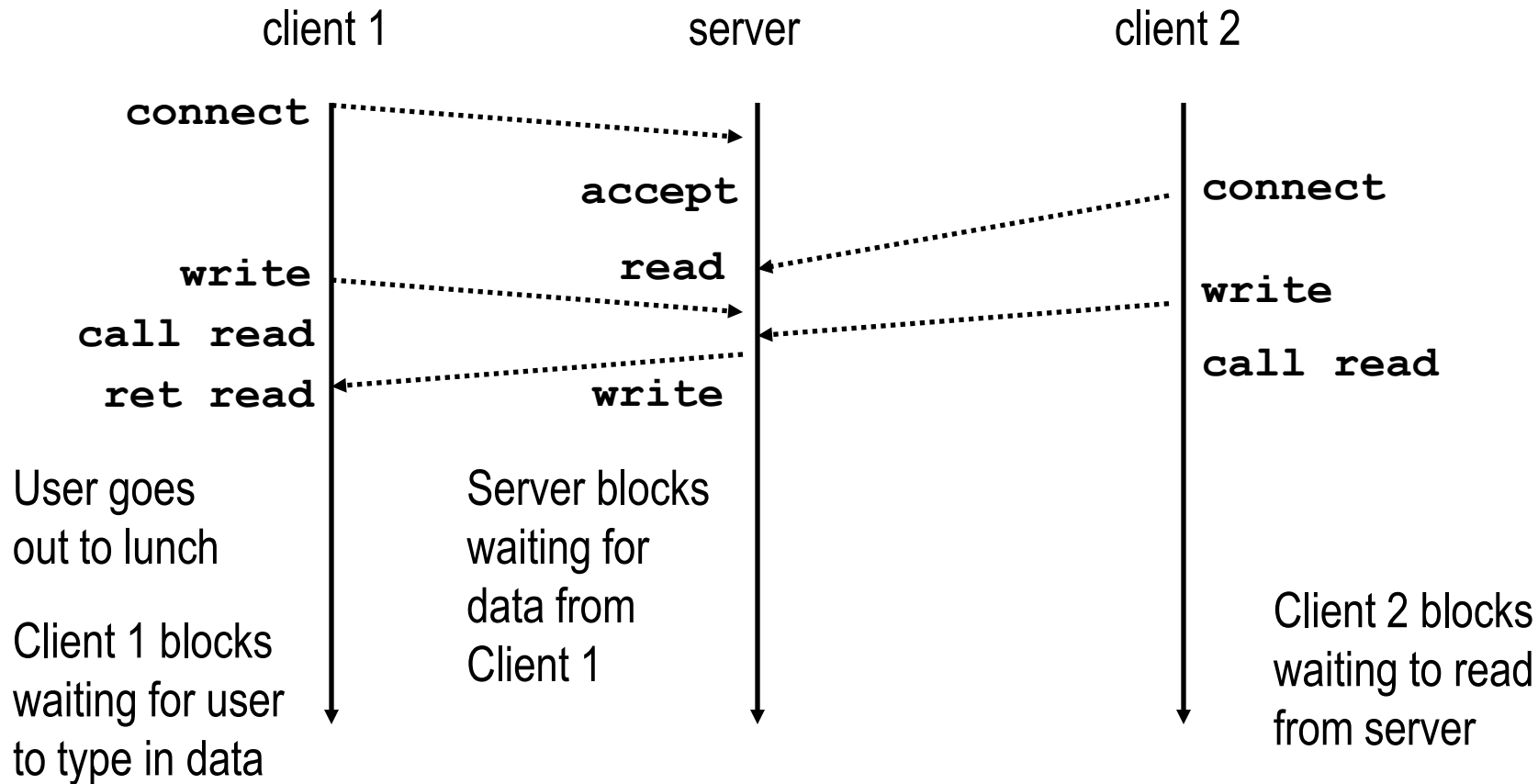


# Iterative Servers

- Iterative servers process one request at a time



# Fundamental Flaw of Iterative Servers



## ■ Solution: use *concurrent servers* instead

- Concurrent servers use multiple concurrent flows to serve multiple clients at the same time

# Approaches for Writing Concurrent Servers

## 1. Process-based

- Kernel automatically interleaves multiple logical flows
- Each flow has its own private address space

## 2. Event-based

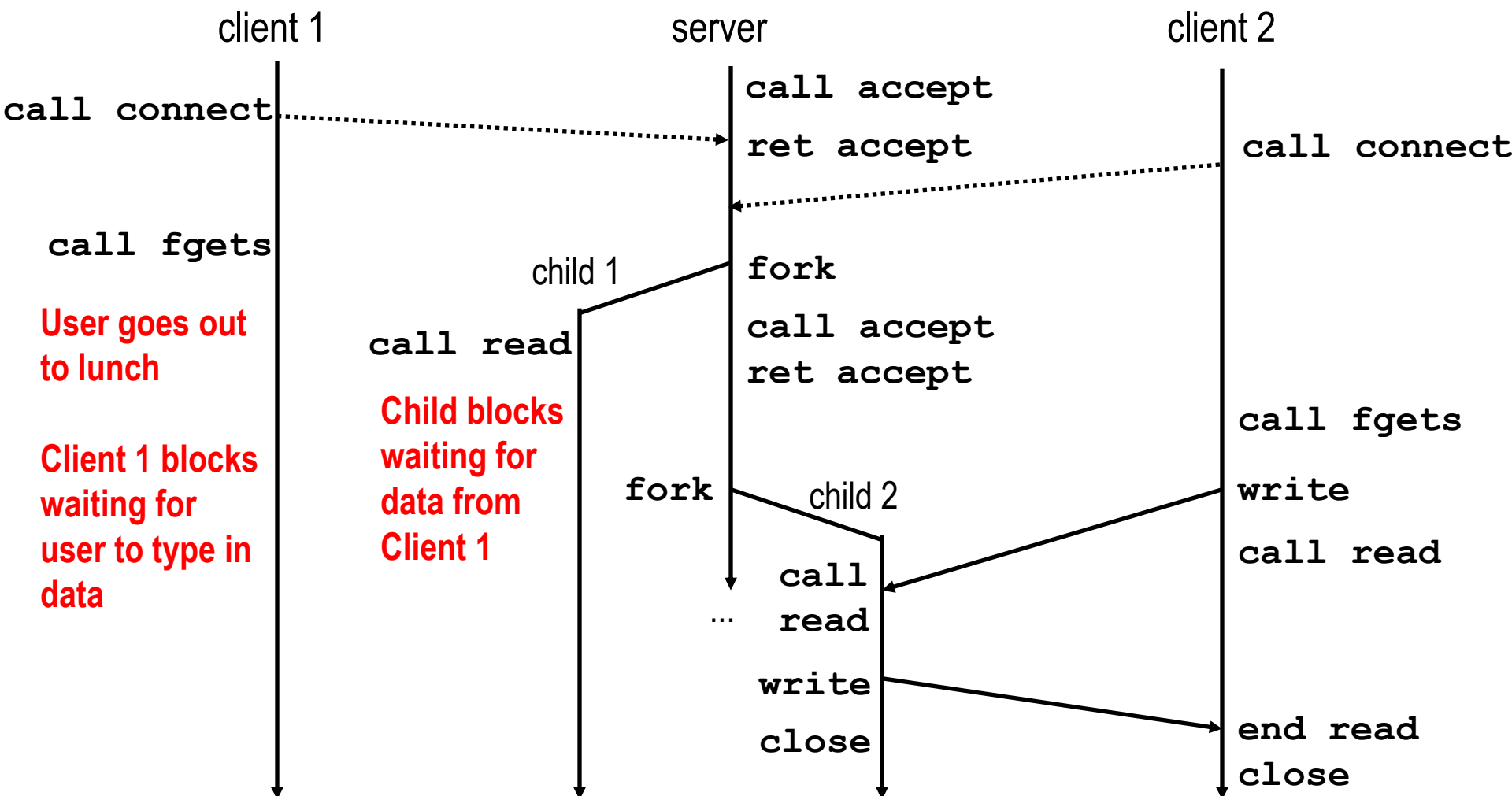
- Programmer manually interleaves multiple logical flows
- All flows share the same address space
- Also referred to as *I/O multiplexing*.
- Not covered in lecture (see your textbook)

## 3. Thread-based

- Kernel automatically interleaves multiple logical flows
- Each flow shares the same address space
- Hybrid of of process-based and event-based.

# Approach #1: Process-based Servers

- Spawn separate process for each client



# Process-Based Concurrent Echo Server

```
int main(int argc, char **argv)
{
    int listenfd, connfd;
    socklen_t clientlen;
    struct sockaddr_storage clientaddr;

    Signal(SIGCHLD, sigchld_handler);
    listenfd = Open_listenfd(argv[1]);
    while (1) {
        clientlen = sizeof(struct sockaddr_storage);
        connfd = Accept(listenfd, (SA *) &clientaddr, &clientlen);
        if (Fork() == 0) {
            Close(listenfd); /* Child closes its listening socket */
            echo(connfd); /* Child services client */
            Close(connfd); /* Child closes connection with client */
            exit(0); /* Child exits */
        }
        Close(connfd); /* Parent closes connected socket (important!) */
    }
}
```

echoserverp.c

# Process-Based Concurrent Echo Server (cont)

```
void sigchld_handler(int sig)
{
    while (waitpid(-1, 0, WNOHANG) > 0)
        ;
    return;
}
```

echoserverp.c

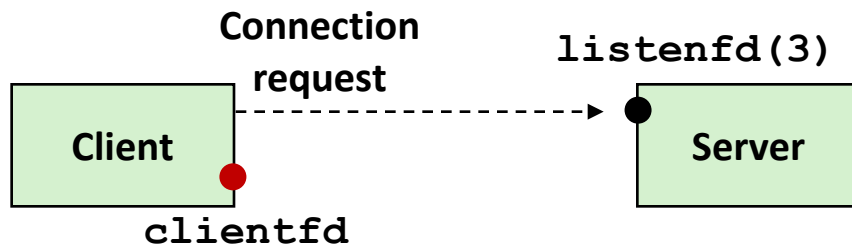
- Reap all zombie children



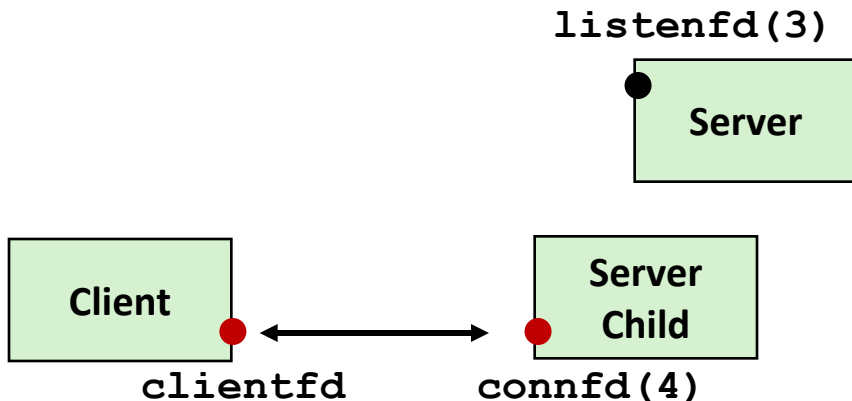
# Concurrent Server: `accept` Illustrated



*1. Server blocks in `accept`, waiting for connection request on listening descriptor `listenfd`*

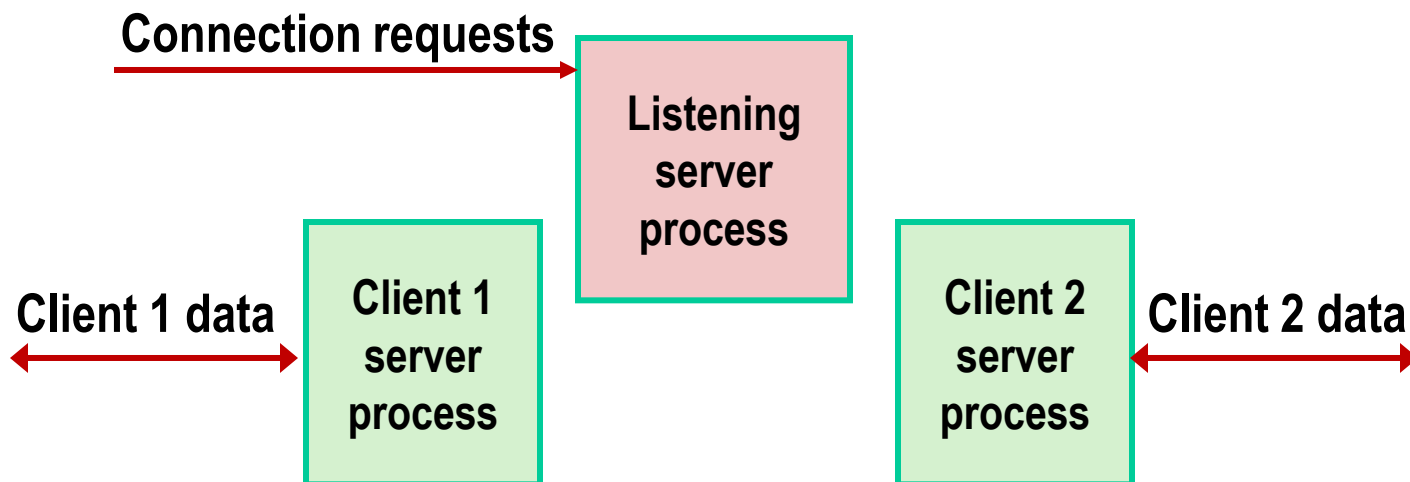


*2. Client makes connection request by calling `connect`*



*3. Server returns `connfd` from `accept`. Forks child to handle client. Connection is now established between `clientfd` and `connfd`*

# Process-based Server Execution Model



- Each client handled by independent child process
- No shared state between them
- Both parent & child have copies of `listenfd` and `connfd`
  - Parent must close `connfd`
  - Child should close `listenfd`

# Issues with Process-based Servers

- **Listening server process must reap zombie children**
  - to avoid fatal memory leak
- **Listening server process must close its copy of `connfd`**
  - Kernel keeps reference for each socket/open file
  - After fork, `refcnt(connfd) = 2`
  - Connection will not be closed until `refcnt(connfd) == 0`

# Pros and Cons of Process-based Servers

- **+ Handle multiple connections concurrently**
- **+ Clean sharing model**
  - descriptors (no)
  - file tables (yes)
  - global variables (no)
- **+ Simple and straightforward**
- **– Additional overhead for process control**
- **– Nontrivial to share data between processes**
  - Requires IPC (interprocess communication) mechanisms
    - FIFO's (named pipes), System V shared memory and semaphores

# Approach #2: Event-based Servers

- **Popular approach for modern high-performance servers**
  - E.g., Node.js, nginx, Tornado.
- **Not covered here. See your textbook.**

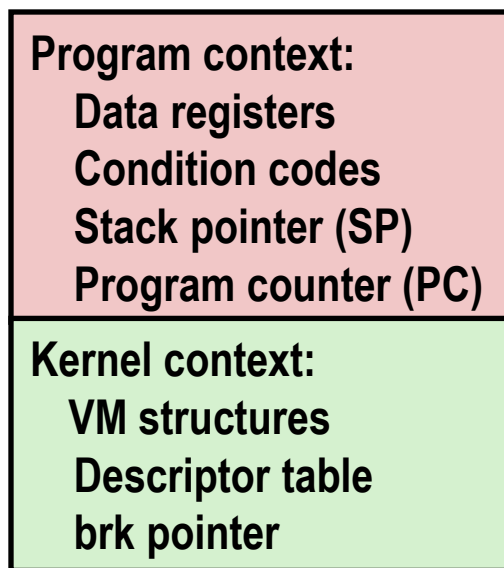
# Approach #3: Thread-based Servers

- **Very similar to approach #1 (process-based)**
  - ...but using threads instead of processes

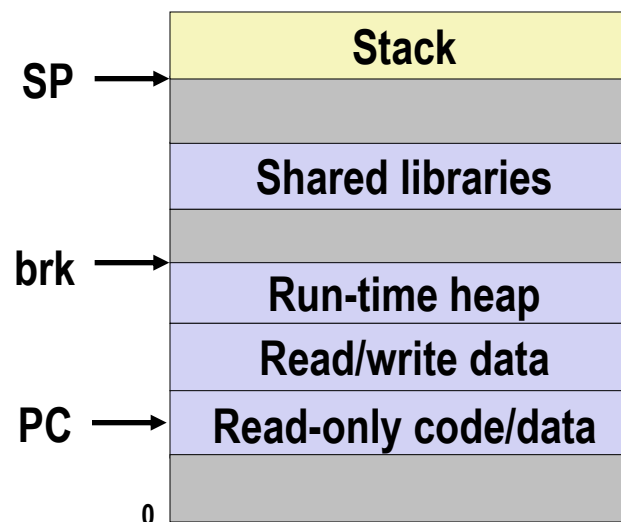
# Traditional View of a Process

- Process = process context + code, data, and stack

## Process context



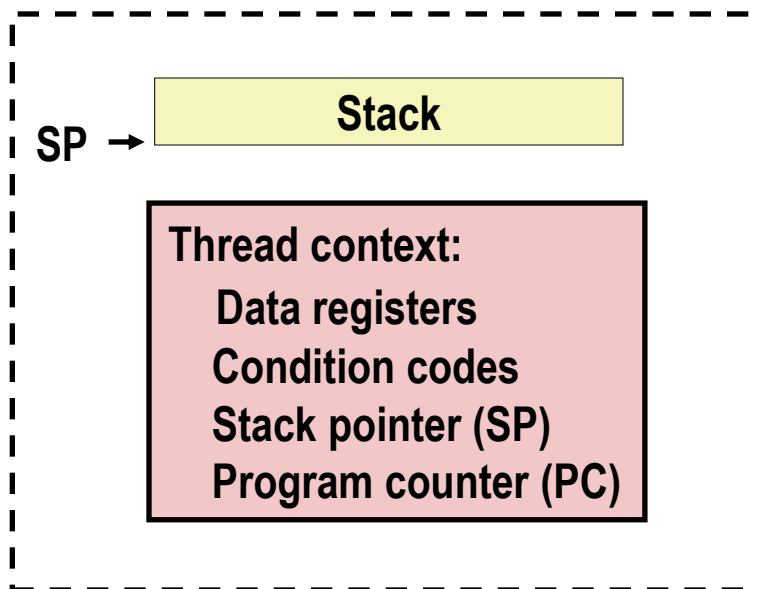
## Code, data, and stack



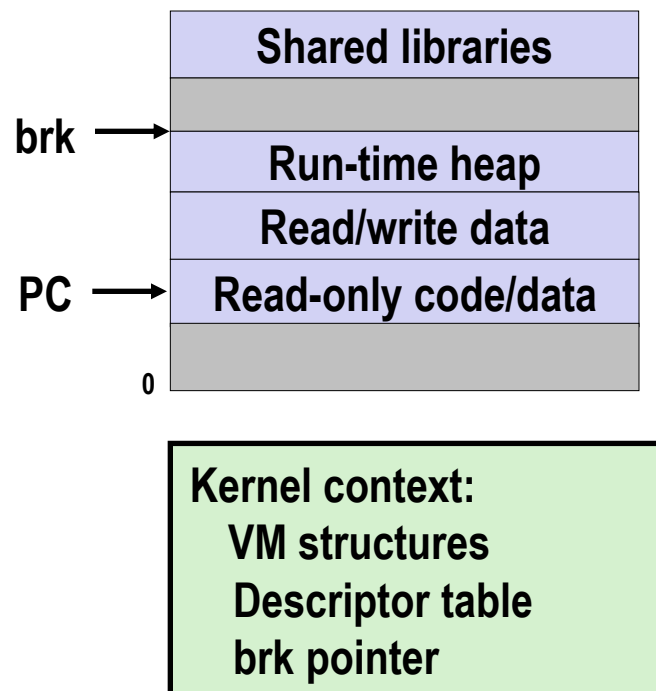
# Alternate View of a Process

- Process = thread + code, data, and kernel context

## Thread (main thread)



## Code, data, and kernel context





# A Process With Multiple Threads

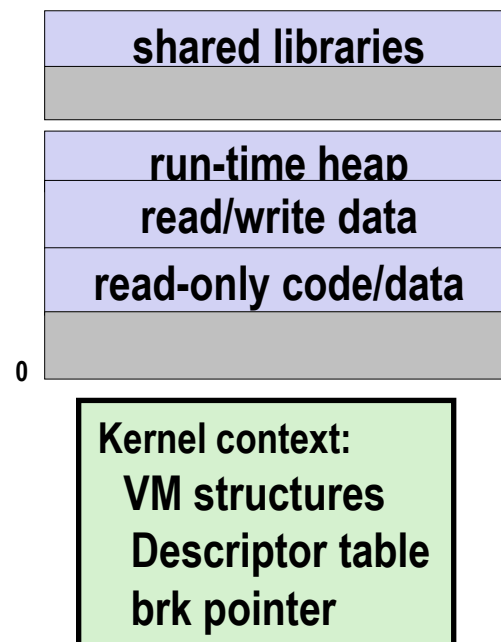
- **Multiple threads can be associated with a process**
  - Each thread has its own logical control flow
  - Each thread shares the same code, data, and kernel context
  - Each thread has its own stack for local variables
    - but not protected from other threads
  - Each thread has its own thread id (TID)

## Thread 1 (main thread)

stack 1

Thread 1 context:  
 Data registers  
 Condition codes  
 SP1  
 PC1

## Shared code and data



## Thread 2 (peer thread)

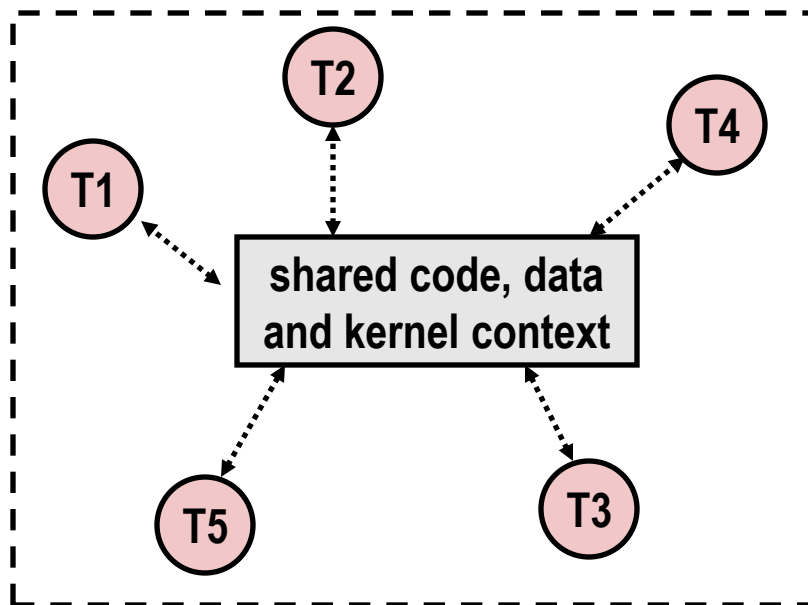
stack 2

Thread 2 context:  
 Data registers  
 Condition codes  
 SP2  
 PC2

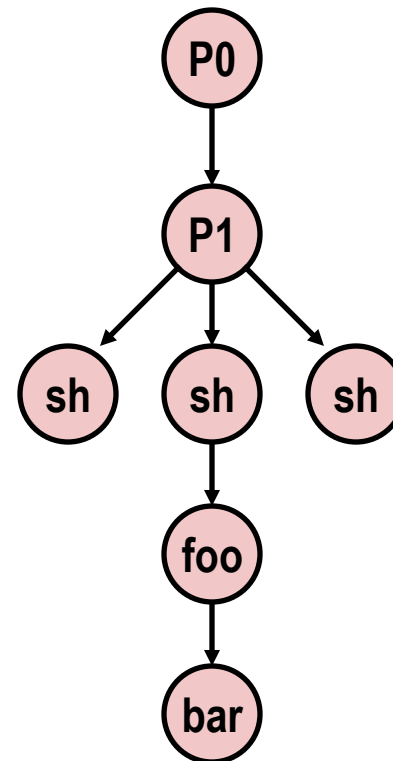
# Logical View of Threads

- **Threads associated with process form a pool of peers**
  - Unlike processes which form a tree hierarchy

Threads associated with process foo



Process hierarchy

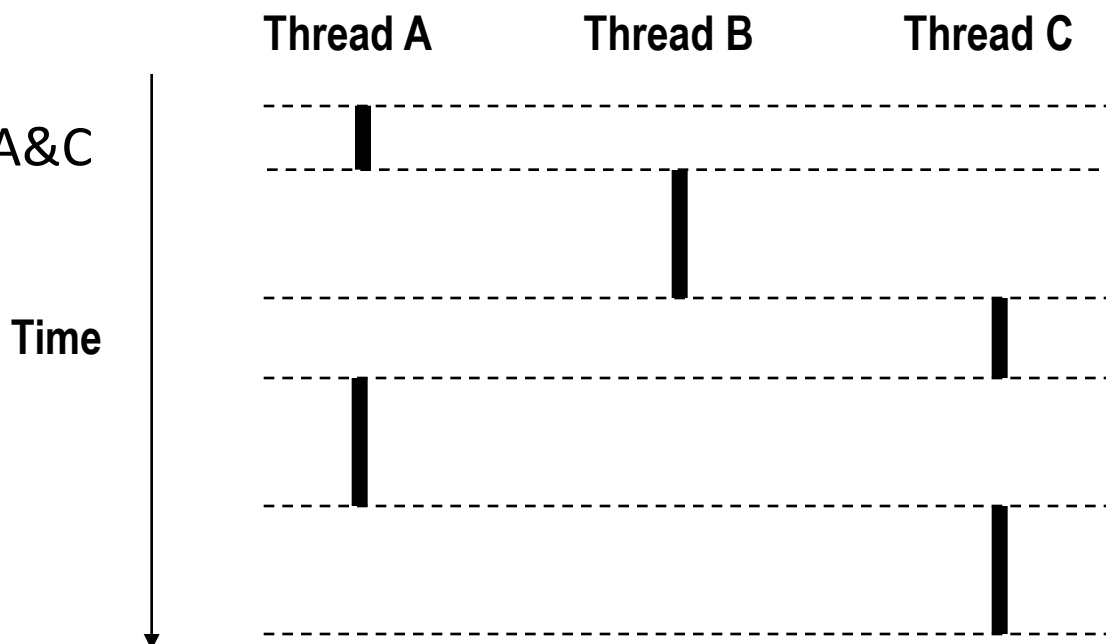


# Concurrent Threads

- Two threads are *concurrent* if their flows overlap in time
- Otherwise, they are sequential

- **Examples:**

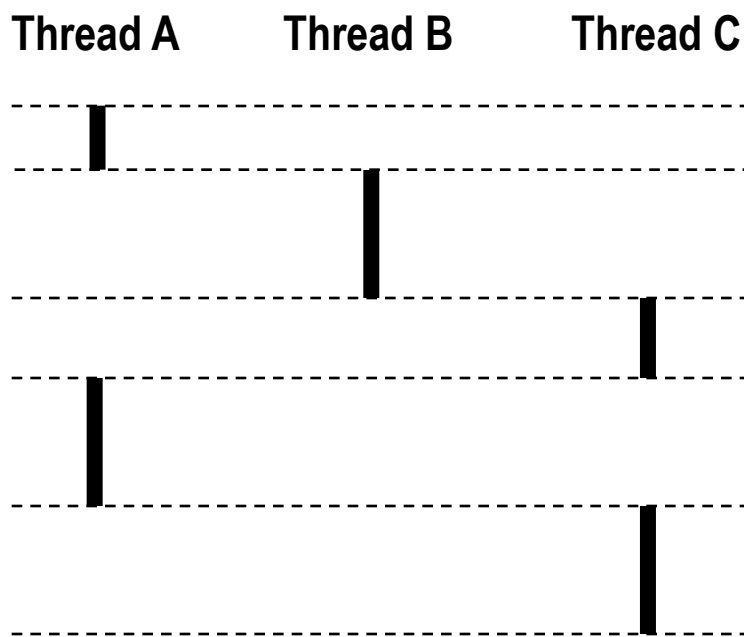
- Concurrent: A & B, A&C
- Sequential: B & C



# Concurrent Thread Execution

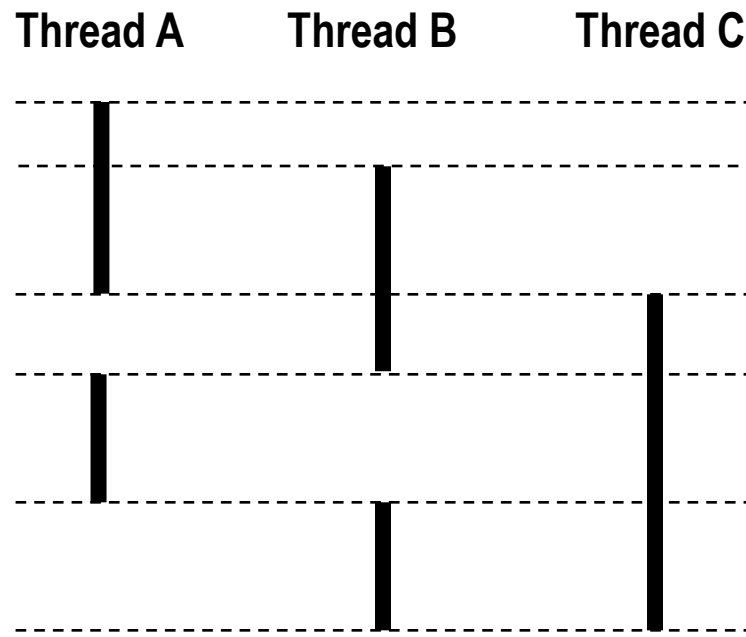
## ■ Single Core Processor

- Simulate parallelism by time slicing



## ■ Multi-Core Processor

- Can have true parallelism



Run 3 threads on 2 cores

# Threads vs. Processes

## ■ How threads and processes are similar

- Each has its own logical control flow
- Each can run concurrently with others (possibly on different cores)
- Each is context switched

## ■ How threads and processes are different

- Threads share all code and data (except local stacks usually)
  - Processes (typically) do not
- Threads are somewhat less expensive than processes
  - Process control (creating and reaping) twice as expensive as thread control
  - Linux numbers:
    - ~20K cycles to create and reap a process
    - ~10K cycles (or less) to create and reap a thread

# Posix Threads (Pthreads) Interface

- ***Pthreads*: Standard interface for ~60 functions that manipulate threads from C programs**
  - Creating and reaping threads
    - `pthread_create()`
    - `pthread_join()`
  - Determining your thread ID
    - `pthread_self()`
  - Terminating threads
    - `pthread_cancel()`
    - `pthread_exit()`
    - `exit()` [terminates all threads], `RET` [terminates current thread]
  - Synchronizing access to shared variables
    - `pthread_mutex_init`
    - `pthread_mutex_[un]lock`

# The Pthreads "hello, world" Program

```

/*
 * hello.c - Pthreads "hello, world" program
 */
#include "csapp.h"
void *thread(void *vargp);

int main()
{
    pthread_t tid;
    Pthread_create(&tid, NULL, thread, NULL);
    Pthread_join(tid, NULL);
    exit(0);
}

```

Thread ID

Thread attributes  
(usually NULL)

Thread routine

Thread arguments  
(void \*p)

Return value  
(void \*\*p)

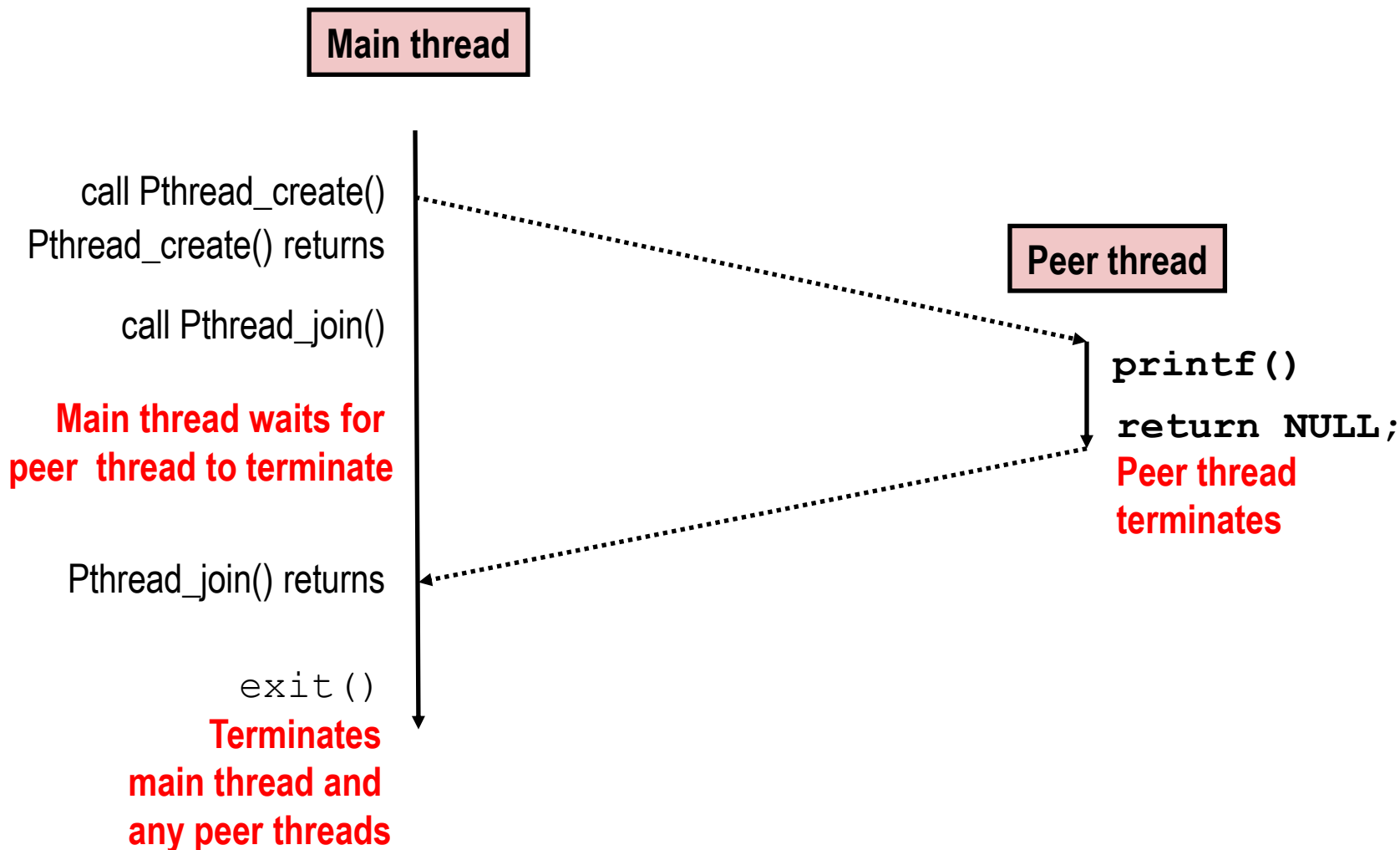
```

void *thread(void *vargp) /* thread routine */
{
    printf("Hello, world!\n");
    return NULL;
}

```

hello.c

# Execution of Threaded “hello, world”





# Thread-Based Concurrent Echo Server

```
int main(int argc, char **argv)
{
    int listenfd, *connfdp;
    socklen_t clientlen;
    struct sockaddr_storage clientaddr;
    pthread_t tid;

    listenfd = Open_listenfd(argv[1]);
    while (1) {
        clientlen=sizeof(struct sockaddr_storage);
        connfdp = Malloc(sizeof(int));
        *connfdp = Accept(listenfd,
            (SA *) &clientaddr, &clientlen);
        Pthread_create(&tid, NULL, thread, connfdp);
    }
}
```

echoserv.c

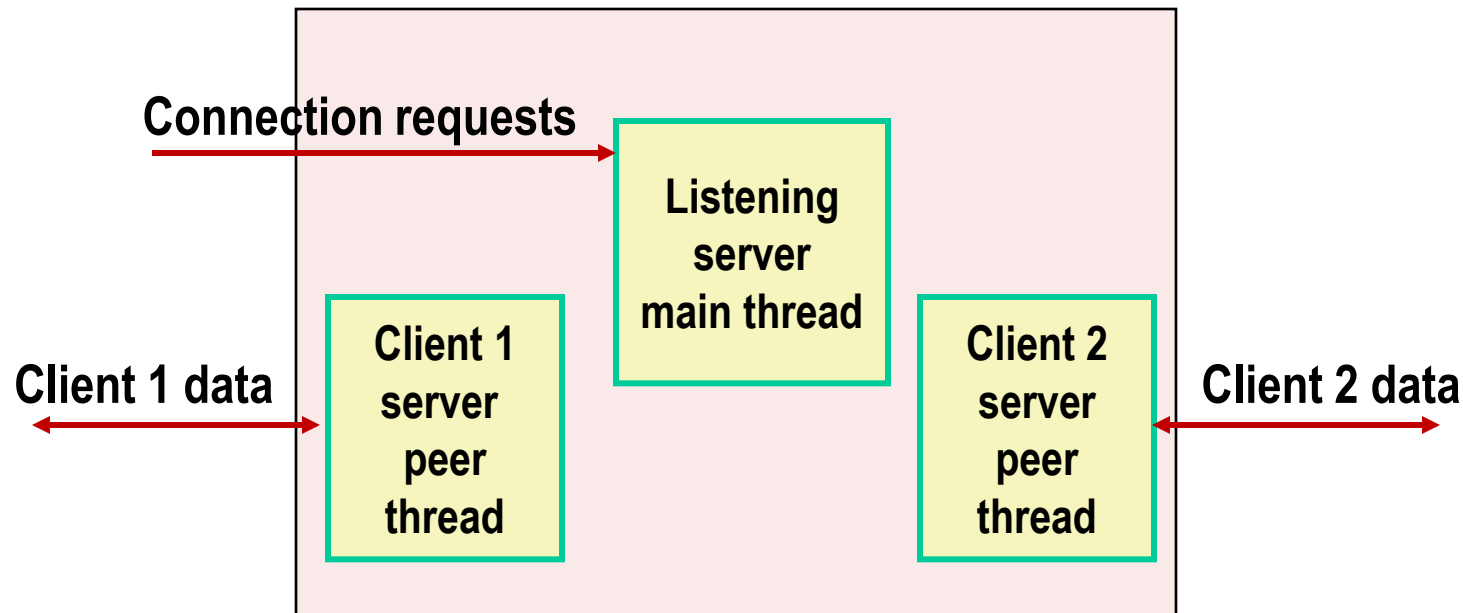
- `malloc` of connected descriptor necessary to avoid race

# Thread-Based Concurrent Server (cont)

```
/* Thread routine */  
void *thread(void *vargp)  
{  
    int connfd = *((int *)vargp);  
    Pthread_detach(pthread_self());  
    Free(vargp);  
    echo(connfd);  
    Close(connfd);  
    return NULL;  
}                                     echoserv.c
```

- Run thread in “detached” mode.
  - Runs independently of other threads
  - Reaped automatically (by kernel) when it terminates
- Free storage allocated to hold `connfd`.
- Close `connfd` (important!)

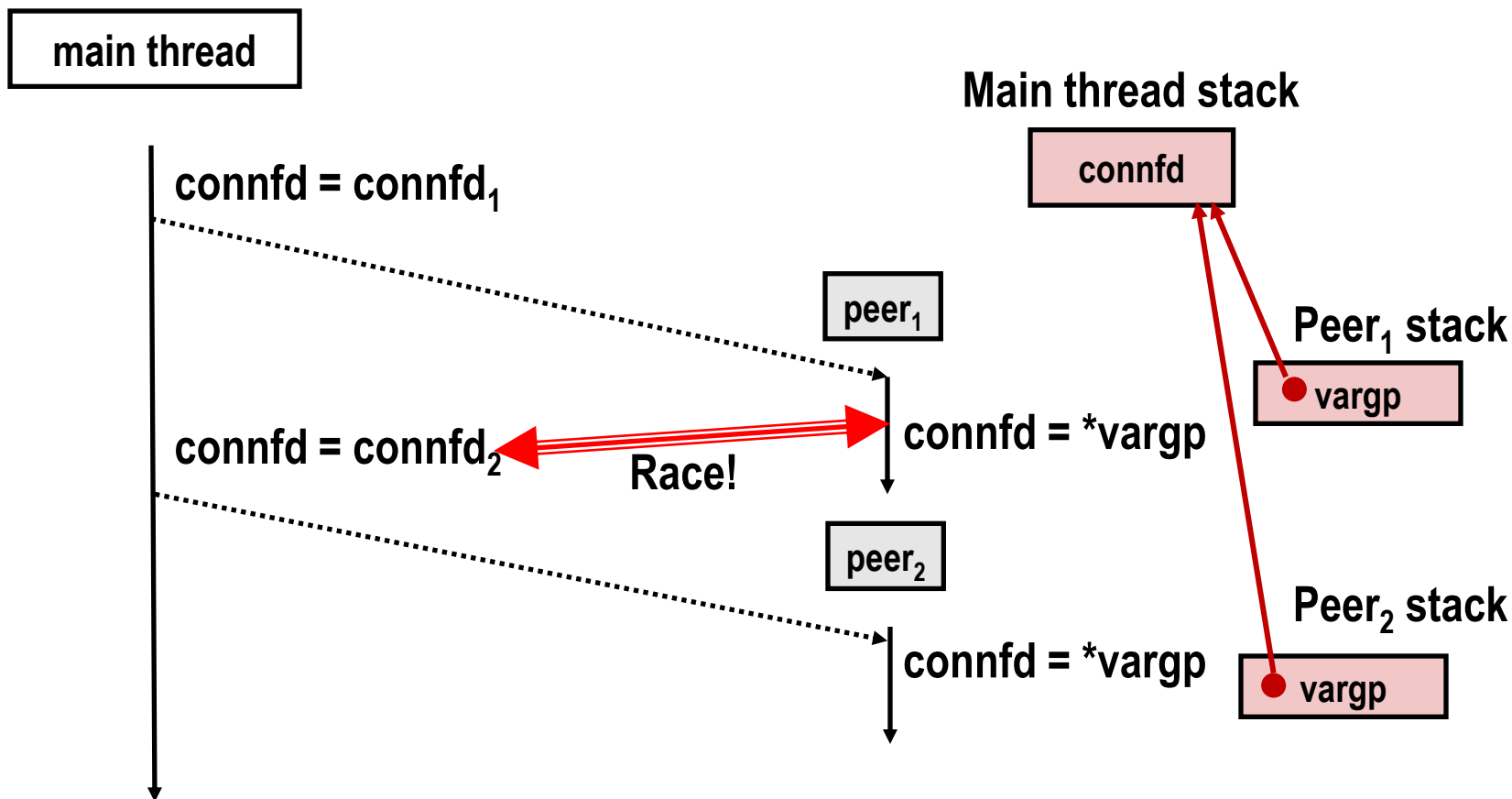
# Thread-based Server Execution Model



- Each client handled by individual peer thread
- Threads share all process state except TID
- Each thread has a separate stack for local variables

# Potential Form of Unintended Sharing

```
while (1) {
    int connfd = Accept(listenfd, (SA *) &clientaddr, &clientlen);
    Pthread_create(&tid, NULL, echo_thread, (void *) &connfd);
}
```



# Could this race occur?

## Main

```
int i;
for (i = 0; i < 100; i++) {
    Pthread_create(&tid, NULL,
                  thread, &i);
}
```

## Thread

```
void *thread(void *vargp)
{
    int i = *((int *)vargp);
    Pthread_detach(pthread_self());
    save_value(i);
    return NULL;
}
```

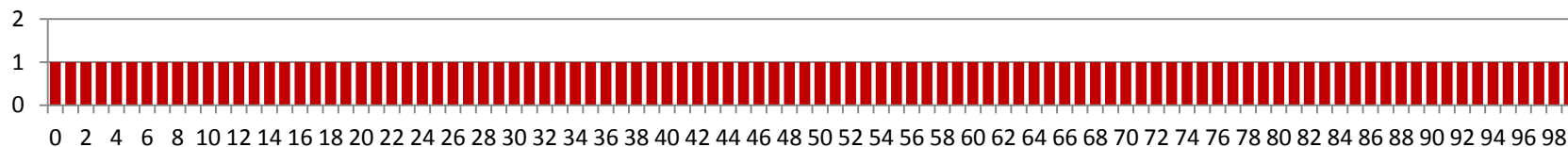
race.c

## ■ Race Test

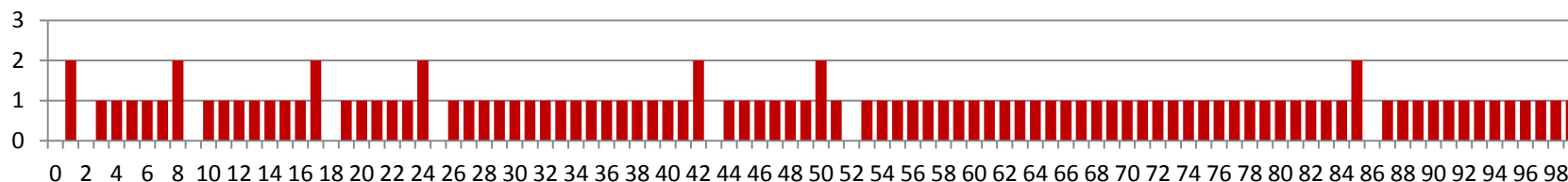
- If no race, then each thread would get different value of *i*
- Set of saved values would consist of one copy each of 0 through 99

# Experimental Results

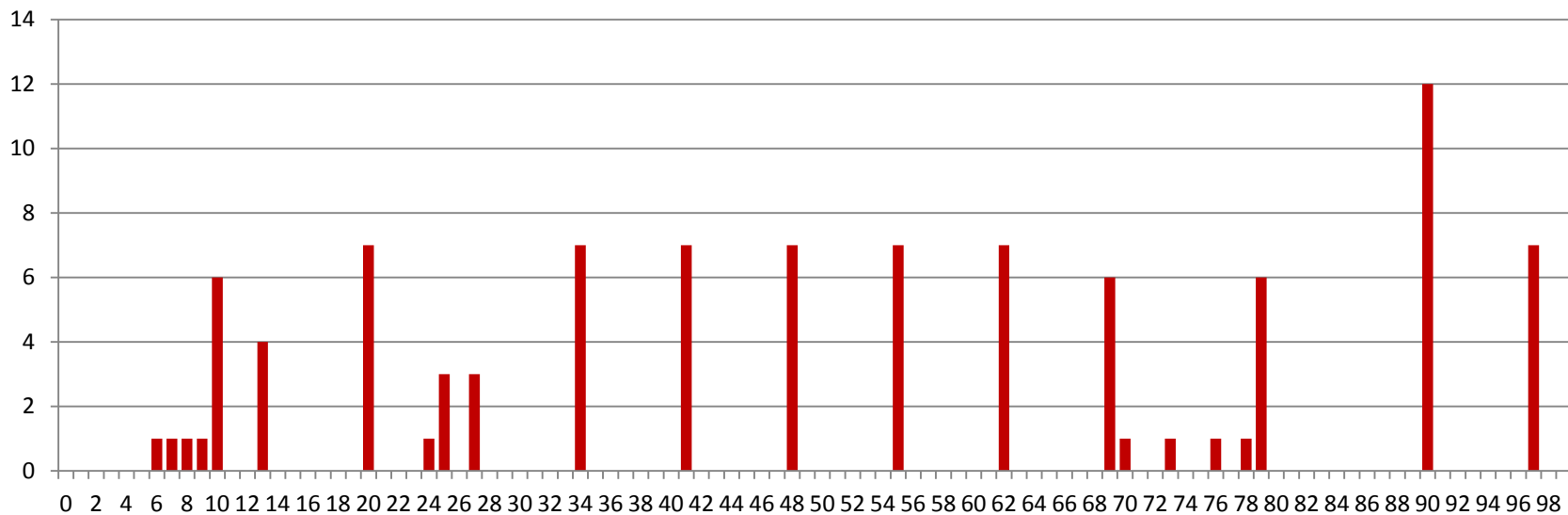
## No Race



## Single core laptop



## Multicore server



■ **The race can really happen!**

# Issues With Thread-Based Servers

## ■ Must run “detached” to avoid memory leak

- At any point in time, a thread is either *joinable* or *detached*
- *Joinable* thread can be reaped and killed by other threads
  - must be reaped (with `pthread_join`) to free memory resources
- *Detached* thread cannot be reaped or killed by other threads
  - resources are automatically reaped on termination
- Default state is joinable
  - use `pthread_detach(pthread_self())` to make detached

## ■ Must be careful to avoid unintended sharing

- For example, passing pointer to main thread's stack
  - `pthread_create(&tid, NULL, thread, (void *)&connfd);`

## ■ All functions called by a thread must be *thread-safe*

- (next lecture)

# Pros and Cons of Thread-Based Designs

- **+ Easy to share data structures between threads**
  - e.g., logging information, file cache
- **+ Threads are more efficient than processes**
- **– Unintentional sharing can introduce subtle and hard-to-reproduce errors!**
  - The ease with which data can be shared is both the greatest strength and the greatest weakness of threads
  - Hard to know which data shared & which private
  - Hard to detect by testing
    - Probability of bad race outcome very low
    - But nonzero!
  - Future lectures



# Summary: Approaches to Concurrency

## ■ Processes

- Hard to share resources: Easy to avoid unintended sharing
- High overhead in adding/removing clients

## ■ Threads

- Easy to share resources: Perhaps too easy
- Medium overhead
- Not much control over scheduling policies
- Difficult to debug
  - Event orderings not repeatable

## ■ I/O Multiplexing (covered in textbook)

- Tedious and low level
- Total control over scheduling
- Very low overhead
- Cannot create as fine grained a level of concurrency
- Does not make use of multi-core

# Additional slides

# Concurrent Programming is Hard!

- **The human mind tends to be sequential**
- **The notion of time is often misleading**
- **Thinking about all possible sequences of events in a computer system is at least error prone and frequently impossible**

# Concurrent Programming is Hard!

- **Classical problem classes of concurrent programs:**
  - **Races:** outcome depends on arbitrary scheduling decisions elsewhere in the system
    - Example: who gets the last seat on the airplane?
  - **Deadlock:** improper resource allocation prevents forward progress
    - Example: traffic gridlock
  - **Livelock / Starvation / Fairness:** external events and/or system scheduling decisions can prevent sub-task progress
    - Example: people always jump in front of you in line
- **Many aspects of concurrent programming are beyond the scope of 15-213**
  - but, not all 😊

# Review: Iterative Echo Server

```
#include "csapp.h"
void echo(int connfd);

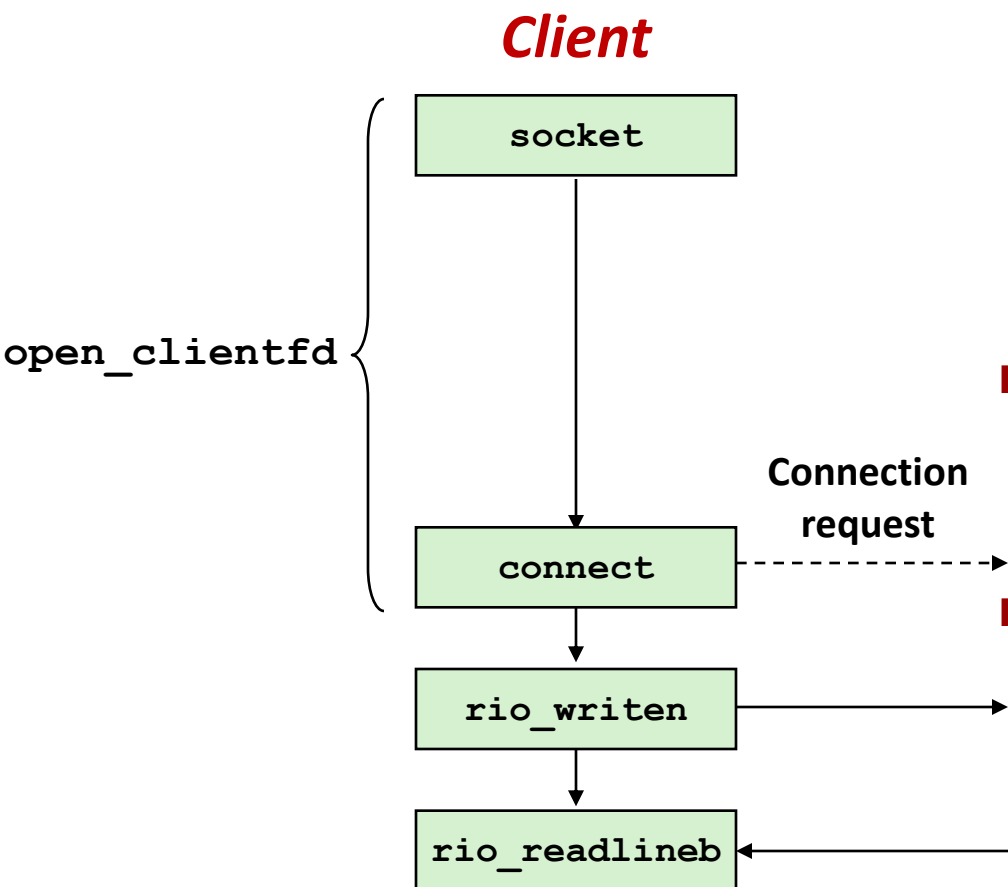
int main(int argc, char **argv)
{
    int listenfd, connfd;
    socklen_t clientlen;
    struct sockaddr_storage clientaddr; /* Enough room for any addr */
    char client_hostname[MAXLINE], client_port[MAXLINE];

    listenfd = Open_listenfd(argv[1]);
    while (1) {
        clientlen = sizeof(struct sockaddr_storage); /* Important! */
        connfd = Accept(listenfd, (SA *)&clientaddr, &clientlen);
        echo(connfd);
        Close(connfd);
    }
    exit(0);
}
```

echoserveri.c

# Where Does Second Client Block?

- Second client attempts to connect to iterative server



- Call to connect returns

- Even though connection not yet accepted
- Server side TCP manager queues request
- Feature known as “TCP listen backlog”

- Call to rio\_writen returns

- Server side TCP manager buffers input data

- Call to rio\_readlineb blocks

- Server hasn't written anything for it to read yet.