## Recitation 9

## Graph Search: BFS and DFS

### 9.1 Announcements

- BridgeLab has been released, and is worth 140 points. The due dates are a bit wonky because of Spring Break: the written section is due at Friday at 5pm, while the programming portion is due Sunday night.
- ShortLab will be released on Friday.


### 9.2 DFS Trees and Numberings

Task 9.1. Starting at vertex 1, execute DFS on the following graph, visiting vertices in increasing order. Trace the process by doing each of the following.

1. Draw the resulting DFS tree. Draw tree edges as solid lines, and include non tree edges in your drawing as dashed lines.
2. Classify each non tree edge as one of forward, back, or cross.
3. Label each vertex with its discovery and finish times.


In the following diagram, back edges are red, forward edges are blue, and cross edges are green.


Task 9.2. Suppose DFS is run on a directed graph, and consider some edge ( $x, y$ ). Using the discovery and finish times of $x$ and $y$, attempt to classify this edge as one of tree, forward, back, or cross.

Write $d[v]$ and $f[v]$ for the discovery and finish time of $v$, respectively.

| Numbering | Possible Edge Type |
| :---: | :---: |
| $d[x]<d[y]<f[y]<f[x]$ | tree, forward |
| $d[y]<d[x]<f[x]<f[y]$ | back |
| $d[y]<f[y]<d[x]<f[x]$ | cross |

### 9.2.1 Higher-Order DFS

Recall the following code from the textbook:

## Algorithm 9.3. Directed, generalized DFS.



```
    let
        DFS p ((X,\Sigma),v) =
            if (v\inX) then (X,revisit ( }\Sigma,v,p))\mathrm{ else
            let
                \Sigma' = discover ( }\Sigma,v,p
            X'}=X\cup{v
            ( }\mp@subsup{X}{}{\prime\prime},\mp@subsup{\Sigma}{}{\prime\prime})=\mathrm{ iterate (DFS v) (X', 法) (N
            \Sigma'\prime\prime}=\mathrm{ finish ( }\mp@subsup{\Sigma}{}{\prime},\mp@subsup{\Sigma}{}{\prime\prime},v,p
            in
                ( (X', \Sigma'\prime\prime}
            end
    in
        DFS s (({}, \Sigma , ),s)
    end
```

Task 9.4. Define $\Sigma_{0}$, revisit, discover, and finish to calculate DFS numberings.

## Algorithm 9.5. Time-stamping with generalized directed DFS.

```
\(1 \Sigma_{0}=(\{ \},\{ \}, 0)\)
2 revisit \((\Sigma, \ldots,-)=\Sigma\)
3 discover \(((D, F, c), v,-)=(D \cup\{v \mapsto c\}, F, c+1)\)
4 finish ( \(\left.,(D, F, c), v,)^{\prime}\right)=(D, F \cup\{v \mapsto c\}, c+1)\)
```

Task 9．6．Modify the given generalized DFS code to work with undirected graphs．
（Hint：We only want to traverse each edge once！Try implementing undirected cycle detection with the above algorithm and see where it fails．）

The problem with running the above code on an undirected graph is that every every child will revisit its parent in the DFS tree，creating $m$ back edges．Hence，when attempting undirected cycle detection，every edge will be considered a cycle．We can fix this problem by omitting the parent from the neighbors of each child．

```
Algorithm 9.7. Undirected, generalized DFS.
    undirectedDFS (revisit,discover, finish) (G, 汭,s) =
    let
        DFS p ((X,\Sigma),v) =
            if (v\inX) then (X,revisit ( }\Sigma,v,p))\mathrm{ else
            let
            \Sigma'= discover ( }\Sigma,v,p
            X'}=X\cup{v
            ( }\mp@subsup{X}{}{\prime\prime},\mp@subsup{\Sigma}{}{\prime\prime})= iterate (DFS v) ( (X', 沙)(\underline{NG
            \Sigma'\prime\prime}=\mathrm{ finish ( }\mp@subsup{\Sigma}{}{\prime},\mp@subsup{\Sigma}{}{\prime\prime},v,p
            in
            (X',}\mp@subsup{\Sigma}{}{\prime\prime\prime}
            end
    in
        DFS s (({}, 汭),s)
    end
```


### 9.3 BFS

### 9.3.1 An Example



Task 9.8. Run BFS on the example graph above, starting at vertex 1. Draw the resulting BFS tree. Draw tree edges as solid lines and non-tree edges as dashed lines.


Note that we could have chosen $(5,3)$ as a tree edge instead of $(2,3)$. Either edge is valid; as long as we don't choose both as tree edges, we're golden!

### 9.3.2 Implementation

Consider the following code, which computes the BFS tree of an enumerated graph represented by an adjacency sequence. For brevity, we'll write NONE as $\square$ and (SOME $x$ ) as $x$.

```
Algorithm 9.9. Computing BFS trees on adjacency sequences.
fun \(\operatorname{BFS}(G, s)=\)
    let
            fun \(B F S^{\prime}\left(X_{i}, F_{i}\right)=\)
                if \(\left|F_{i}\right|=0\) then STSeq.toSeq \(X_{i}\) else
            let
                val \(N_{i}=\)
                    Seq.flatten \(\left\langle\left\langle(u, v): u \in G[v] \mid X_{i}[u]=\square\right\rangle: v \in F_{i}\right\rangle\)
                val \(X_{i+1}=\) STSeq.inject \(\left(X_{i}, N_{i}\right)\)
                val \(F_{i+1}=\left\langle u:(u, v) \in N_{i} \mid X_{i+1}[u]=v\right\rangle\)
                in
                    \(B F S^{\prime}\left(X_{i+1}, F_{i+1}\right)\)
            end
        val init \(=\) STSeq.fromSeq \(\langle\square: 0 \leq i<| G\rangle\)
        val \(X_{0}=\) STSeq.update (init, \((s, s)\) )
        val \(F_{0}=\langle s\rangle\)
        in
        BFS \(^{\prime}\left(X_{0}, F_{0}\right)\)
    end
```

Task 9.10. Execute this code on the example graph given in the first section, starting with vertex 1 as the source. Trace the process by writing down the values $X_{i}, F_{i}$, and $N_{i}$ for $i=0,1,2,3$.

| $i$ | $X_{i}$ | $F_{i}$ | $N_{i}$ |
| :---: | :---: | :---: | :---: |
| 0 | $\langle\square, 1, \square, \square, \square, \square\rangle$ | $\langle 1\rangle$ | $\langle(2, \boxed{1}),(5, \boxed{1})\rangle$ |
| 1 | $\langle\square, 1, \square 1, \square, \square, \square\rangle$ | $\langle 2,5\rangle$ | $\langle(0, \boxed{2}),(4, \boxed{2}),(3, \boxed{2}),(3, \boxed{5})\rangle$ |
| 2 | $\langle\boxed{2}, 1, \sqrt{1}, \boxed{5}, 2, \sqrt{1}\rangle$ | $\langle 0,4,3\rangle$ | $\rangle$ |
| 3 | $\langle\boxed{2}, 1, \boxed{1}, 5,52,1]$ | $\rangle$ | (nonexistent) |

Task 9.11. Analyze the work and span of this implementation in terms of $n$ (the number of vertices), $m$ (the number of edges), and $d$ (the diameter of the graph).

Let's break down the code, line-by-line. We write $\|F\|=\sum_{v \in F}\left(1+d_{G}^{+}(v)\right)$.

- Line 7: $O\left(\left\|F_{i}\right\|\right)$ work, $O(\log n)$ span.
- Line 8: $O\left(\left\|F_{i}\right\|\right)$ work, $O(1)$ span.
- Line 9: $O\left(\left\|F_{i}\right\|\right)$ work, $O(\log n)$ span.
- Line 14: $O(n)$ work, $O(1)$ span.
- Lines 15,16: $O(1)$ work, $O(1)$ span.

There are two important observations to make here:

1. no vertex is ever in a frontier more than once, and
2. the number of rounds of BFS is upper bounded by $d+1$. (There could be a vertex $d$ hops away from the source, and each round progresses by exactly one hop. The " +1 " comes from the final round which verifies that the frontier is empty, then exits).

We can now show that

$$
\sum_{i=0}^{d}\left\|F_{i}\right\| \leq \sum_{v}\left(1+d_{G}^{+}(v)\right)=n+m .
$$

Therefore the total work is

$$
O\left(n+\sum_{i=0}^{d-1}\left\|F_{i}\right\|\right)=O(n+m)
$$

and the span is $O(d \log n)$.

