Automatic Computation of Static Variable Permissions

John C. Reynolds Carnegie Mellon University

MFPS, May 28, 2011

Research partially supported by National Science Foundation Grant CCF-0916808

Introduction

We will describe a procedure for inferring permissions for the proof system described by Uday S. Reddy in "Syntactic Control of Interference for Concurrent Separation Logic" (presented earlier at this conference).

Given a purported proof in Reddy's formalism in which the variable permissions have been erased, our goal is to determine if there is an assignment of permissions that will give a valid proof.

Contexts

Reddy uses contexts such as

$$\underbrace{x^{p^{x}}, y^{p^{y}}, z^{p^{z}}}_{\Gamma_{1}(x^{p^{1x}}, y^{p^{1y}}): R_{1}, r_{2}(x^{p^{2x}}, z^{p^{2z}}): R_{2}}^{\Gamma} \vdash \cdots$$

(We assume that the contexts are in normal form.) We introduce the set

Owners = Resources
$$\cup \{self\},\$$

and treat Σ as a list associated with self. We also break out the resource invariants as a separate part of the context:

$$\mathbf{self}(x^{p^x}, y^{p^y}, z^{p^z}), r_1(x^{p^{1x}}, y^{p^{1y}}), r_2(x^{p^{2x}}, z^{p^{2z}}) \mid \underbrace{r_1 : R_1, r_2 : R_2} \vdash \cdots$$

Then we transpose the (Owner, Variable)-matrix to bring the variables to the outside:

$$\overbrace{x(\operatorname{self}^{p^x}, r_1^{p^{1x}}, r_2^{p^{2x}}), y(\operatorname{self}^{p^y}, r_1^{p^{1y}}), z(\operatorname{self}^{p^z}, r_2^{p^{2z}})}}_{\Upsilon_1: R_1, r_2: R_2} \vdash \dots$$

Contexts (continued)

We limit our development to fractional permissions, which are real numbers in the set

Perms =
$$\{ p \mid 0 .$$

However, rather than regarding a context Δ as a partial function into permissions, we will extend it to a total function by filling in the missing permissions with the nonpermission 0. Thus a permission context is a function

$$\Delta$$
: Vars \rightarrow Owners \rightarrow Perms \cup {0},

such that

$$\sum_{o \in \text{Owners}} \Delta v o \leq 1.$$

We assume that Vars and Owners are finite sets.

Judgements

The judgements used by Reddy:

$$\Sigma \vdash E \text{ Exp } \Sigma \vdash P \text{ Assert}$$

 $\Sigma \vdash x \text{ Var } \Sigma \mid \Gamma \vdash \{P\} \ C \ \{Q\}.$

become, with our altered view of contexts:

$$\Delta | \Upsilon \vdash E \text{ Exp} \quad \Delta | \Upsilon \vdash P \text{ Assert}$$
 (passive)
 $\Delta | \Upsilon \vdash x \text{ Var} \quad \Delta | \Upsilon \vdash \{P\} \ C \ \{Q\}.$ (active)

To deal with the Rule of Consequence, we will also need a passive judgement that an assertion is valid:

$$\Delta | \Upsilon \vdash P \text{ Valid.}$$
 (passive)

Passive judgements are those which only describe the reading of variables, while active judgements may describe writing as well. (Our presentation is simplified by using the same form of context for all judgements.)

A pre-judgement has the form

$$\Upsilon \vdash E \text{ Exp} \quad \Upsilon \vdash P \text{ Assert} \quad \Upsilon \vdash P \text{ Valid} \quad (\text{passive})$$

$$\Upsilon \vdash x \text{ Var } \Upsilon \vdash \{P\} \ C \ \{Q\}. \qquad (\text{active})$$

Rules

The *rules* of (our slight modification of) Reddy's logic are schemas of the form

$$\frac{P_1 \quad \cdots \quad P_k}{C}$$
,

where the premisses P_i and the conclusion C are schematic judgements.

(An instance of) a *pre-rule* is obtained from (an instance of) a rule by deleting the permission contexts.

Trees and Proofs

A *tree* consists of a finite set Nodes, a node root \in Nodes, and a function parents \in Nodes \rightarrow (Nodes*), satisfying conditions that insure reachability from root, and the absence of cycles and common ancestors.

A proof (pre-proof) of shape (Nodes, root, parents) is a node-indexed family $\langle J_n \rangle$ of judgements (pre-judgements) such that, for each node n with parents n_1, \ldots, n_k ,

$$\frac{J_{n_1} \quad \cdots \quad J_{n_k}}{J_n}$$

is an instance of a rule (pre-rule).

We say that a node n is passive (active) if J_n is passive (active).

Erasure and Extension

If a pre-judgement, pre-rule instance, or pre-proof X^0 is obtained from a judgement, rule instance, or proof X by deleting all permission contexts, we say that X_0 is the *erasure* of X, or that X *erases to* X^0 .

If a pre-proof P^0 is obtained from a proof P by deleting all permission contexts Δ_n , we say that P extends P_0 with the node-indexed family $\langle \Delta_n \rangle$ of contexts.

Passive Rules

Reddy's rules with passive conclusions will be replaced by two premiss-free rules with side conditions:

$$\overline{\Delta|\Upsilon \vdash E \ \text{Exp}} \quad \text{where } \forall v \in \mathsf{FV}(E). \ \Delta \ v \ \text{self} > 0$$

$$\overline{\Delta|\Upsilon \vdash P \ \text{Assert}} \quad \text{where } \forall v \in \mathsf{FV}(P). \ \Delta \ v \ \text{self} > 0$$

$$\overline{\Delta|\Upsilon \vdash P \ \text{Valid}} \quad \text{where } \forall v \in \mathsf{FV}(P). \ \Delta \ v \ \text{self} > 0$$
and $P \ \text{is a valid assertion}.$

where FV(X) denotes the set of free variables of X.

Write Proofs

A write-proof is a proof in which the side conditions $\forall v \in \mathsf{FV}(X)$. $\Delta v \; \mathsf{self} > 0$ of the passive rules are ignored, so that the permissions needed for variable reading are not checked.

The Plot (Phase I)

Given a pre-proof P^0 , our algorithm should produce a proof that extends P^0 , if such a proof exists. We assume that the pre-proof P^0 and its underlying tree are fixed.

In its first phase, the algorithm traverses the preproof from leaves to root, and computes at each node permission restrictions that must be satisfied by any write-proof.

Permission Restrictions

A permission restriction is a partial function

Φ: Vars
$$\rightharpoonup \mathcal{P}(Owners)$$
.

If a permission restriction is attached to a node n in a pre-proof, its domain will be the set of variables that may be assigned by the right side of the prejudgement at n. (If n is passive, the domain will be empty.)

We say that a context Δ satisfies a permission restriction Φ iff

$$\forall v \in \text{dom } \Phi. \ \sum_{o \in \text{Owners}} \Delta v o = 1$$

and

 $\forall v \in \text{dom } \Phi, o \in \text{Owners. } o \notin \Phi v \text{ implies } \Delta v o = 0.$

As a consequence,

$$\forall v \in \text{dom } \Phi. \ \sum_{o \in \Phi} v \Delta v o = 1.$$

Note that, if there is any $v \in \text{dom } \Phi$ such that Φv is empty, then no Δ satisfies Φ .

The Permission Ordering

We impose the following preorder on permission contexts:

$$\Delta \leq \Delta'$$
 iff $\forall v \in \mathsf{Vars}, o \in \mathsf{Owners}$.
$$\Delta v \, o > \mathsf{0} \text{ implies } \Delta' v \, o > \mathsf{0}.$$

When $\Delta \leq \Delta'$, we say that Δ' is more permissive than Δ .

The Plot (Phase II)

If any permission restriction computed in the first phase is unsatisfiable, then there is no proof extending P^0 .

Otherwise, in its second phase, the algorithm traverses the pre-proof from root to leaves, computing contexts that extend the pre-proof to a *maximally permissive* write-proof.

During the second phase, the algorithm checks the side-conditions on the instances of passive rules. Since, if any write-proof is a proof, the maximally permissive write-proof will be a proof, this suffices to decide whether a proof (extending P^0) exists.

An Example (The Problematic Program)

```
[p: self: 1] \vdash \{R_1 * R_2\}

resource r_1 in resource r_2 in

(with \ r_1 \ do \ ((with \ r_2 \ do \ p := 0); [0] := 3))

\parallel (with \ r_2 \ do \ ((with \ r_1 \ do \ p := 1); [0] := 4))

\{R_1 * R_2\}

Vars = \{p\}

Owners = \{r_1, r_2, self\}.
```

The Resource Invariants

$$R_1 = \text{if } p = 0 \text{ then } 0 \mapsto 3 \text{ else emp}$$

 $R_2 = \text{if } p = 0 \text{ then emp else } 0 \mapsto 4.$

Thus

$$R_1 * R_2$$

iff if $p = 0$ then $0 \mapsto 3 * \text{emp else emp} * 0 \mapsto 4$
iff if $p = 0$ then $0 \mapsto 3$ else $0 \mapsto 4$
implies $0 \mapsto -$

and

$$p = 0 \land R_1 \text{ iff } p = 0 \land 0 \mapsto 3$$

 $p = 0 \land R_2 \text{ iff } p = 0 \land \text{emp}$
 $p \neq 0 \land R_1 \text{ iff } p \neq 0 \land \text{emp}$
 $p \neq 0 \land R_2 \text{ iff } p \neq 0 \land 0 \mapsto 4.$

Using the Rule for (Assignable) Variables

$$\overline{p:[\operatorname{self}:1] \vdash p \operatorname{Var}} \qquad \Phi = [p:\{\operatorname{self}\}]$$

Using the Rule for Assignment

$$\Delta \vdash p \text{ Var}$$
 $\Phi_1 = [p: \{\text{self}\}]$

$$\Delta \vdash 0 \text{ Exp}$$
 $\Phi_2 = []$

$$\Delta \vdash 0 \mapsto - \land p = 0 \text{ Assert}$$
 $\Phi_3 = []$

$$\Delta \vdash \{0 \mapsto - \land 0 = 0\}$$

$$p := 0$$
 $\Phi = [p: \{\text{self}\}]$

$$\{0 \mapsto - \land p = 0\}$$

Using the Rule of Consequence

$$\Delta \vdash R_1 * R_2 \Rightarrow 0 \mapsto - \wedge 0 = 0 \text{ Valid} \qquad \Phi_1 = []$$

$$\Delta \vdash \{0 \mapsto - \wedge 0 = 0\}$$

$$p := 0 \qquad \qquad \Phi_2 = [p : \{\text{self}\}]$$

$$\{0 \mapsto - \wedge p = 0\}$$

$$\Delta \vdash 0 \mapsto - \wedge p = 0 \Rightarrow$$

$$R_2 * (0 \mapsto - \wedge p = 0) \text{ Valid}$$

$$\Delta \vdash \{R_1 * R_2\}$$

$$p := 0 \qquad \qquad \Phi_3 = []$$

$$\Delta \vdash \{R_1 * R_2\}$$

$$p := 0 \qquad \qquad \Phi = [p : \{\text{self}\}]$$

$$\{R_2 * (0 \mapsto - \wedge p = 0)\}$$

An (Unconditional) Critical Region

$$\Delta | r_2 : R_2 \vdash R_1 \text{ Assert}$$
 $\Phi_1 = []$
 $\Delta | r_2 : R_2 \vdash 0 \mapsto - \wedge p = 0 \text{ Assert}$ $\Phi_2 = []$
 $\Delta' \vdash \{R_1 * R_2\}$
 $p := 0$ $\Phi_3 = [p : \{\text{self}\}]$
 $\{R_2 * (0 \mapsto - \wedge p = 0)\}$
 $\overline{\Delta | r_2 : R_2 \vdash \{R_1\}}$
 $\text{with } r_2 \text{ do } p := 0$ $\Phi = [p : \{r_2, \text{self}\}]$
 $\{0 \mapsto - \wedge p = 0\}$

where

$$\Delta' p o = \Delta p o$$
 when $o \notin \{r_2, \text{self}\}$
 $\Delta' p \text{ self} = \Delta p \text{ self} + \Delta p r_2 \le 1$
 $\Delta' p r_2 = 0.$

From Φ_3 , we have $\Delta' p o = \text{if } o = \text{self then 1 else 0}$, so that

 $\Delta p o = \text{if } o = \text{self then } \pi_1 \text{ else if } o = r_2 \text{ then } \pi_2 \text{ else } 0,$ where $\pi_1 + \pi_2 = 1$. Thus $\Phi = [p: \{r_2, \text{self}\}].$

Mutation

$$\Delta | r_2 : R_2 \vdash 0 \text{ Exp}$$
 $\Phi_1 = []$
 $\Delta | r_2 : R_2 \vdash 3 \text{ Exp}$ $\Phi_2 = []$
 $\Delta | r_2 : R_2 \vdash \{0 \mapsto - \land p = 0\}$
 $[0] := 3$ $\Phi = []$
 $\{0 \mapsto 3 \land p = 0\}$

Sequential Composition

$$\Delta | r_2 \colon R_2 \vdash \{R_1\}$$
with r_2 do $p := 0$
 $\{0 \mapsto - \land p = 0\}$

$$\Delta | r_2 \colon R_2 \vdash \{0 \mapsto - \land p = 0\}$$
 $[0] := 3$
 $\{0 \mapsto 3 \land p = 0\}$

$$\Delta | r_2 \colon R_2 \vdash \{R_1\}$$
with r_2 do $p := 0$;
 $[0] := 3$
 $\{0 \mapsto 3 \land p = 0\}$

$$\{0 \mapsto 3 \land p = 0\}$$
 $\Phi = [p \colon \{r_2, \text{self}\}]$

Consequence

$$\Delta | r_2 : R_2 \vdash \{R_1\}$$
with r_2 do $p := 0$;
 $[0] := 3$
 $\{0 \mapsto 3 \land p = 0\}$

$$\Delta | r_2 : R_2 \vdash 0 \mapsto 3 \land p = 0 \Rightarrow R_1 \text{ Valid} \quad \Phi_2 = []$$
 $\Delta | r_2 : R_2 \vdash \{R_1\}$
with r_2 do $p := 0$;
 $[0] := 3$
 $\{R_1\}$
 $\Phi = [p : \{r_2, \text{self}\}]$

Another Critical Region

```
\Delta | r_1 : R_1, r_2 : R_2 \vdash \text{emp Assert}
                                                                \Phi_1 = []
                                                               \Phi_2 = []
       \Delta | r_1 : R_1, r_2 : R_2 \vdash \text{emp Assert}
      \Delta'|r_2: R_2 \vdash \{R_1\}
                          with r_2 do p := 0;
                                                                \Phi_3 = [p: \{r_2, \text{self}\}]
                          [0] := 3
                          \{R_1\}
\Delta | r_1 : R_1, r_2 : R_2 \vdash \{emp\}
                             with r_1 do (
                                with r_1 do p := 0; \Phi = [p:\{r_1, r_2, \text{self}\}]
```

where

$$\Delta' p o = \Delta p o$$
 when $o \notin \{r_1, \text{self}\}$
 $\Delta' p \text{ self} = \Delta p \text{ self} + \Delta p r_1 \le 1$
 $\Delta' p r_1 = 0.$

From $\Delta' p r_1 = 0$, we obtain $\Phi_3 = [p: \{r_2, \text{self}\}]$.

[0] := 3)

{emp}

Similarly

```
\Delta | r_1 : R_1, r_2 : R_2 \vdash \{ emp \}
with r_2 do (
with r_1 do p := 1;
[p : \{ r_1, r_2, self \} ]
\{ emp \}
```

Parallel Composition

$$\Delta_{1}|r_{1}:R_{1},r_{2}:R_{2}\vdash\{\text{emp}\}\qquad \Phi_{1}=[p:\{r_{1},r_{2},\text{self}\}]$$
 with r_{1} do (with r_{2} do $p:=0$; [0]:=3)
$$\{\text{emp}\}$$

$$\Delta_{2}|r_{1}:R_{1},r_{2}:R_{2}\vdash\{\text{emp}\}\qquad \Phi_{2}=[p:\{r_{1},r_{2},\text{self}\}]$$
 with r_{2} do (with r_{1} do $p:=1$; [0]:=4)
$$\{\text{emp}\}$$

$$\Delta | r_1 : R_1, r_2 : R_2 \vdash \{\text{emp} * \text{emp}\} \qquad \Phi = [p : \{r_1, r_2\}]$$
with r_1 do (with r_2 do $p := 0$; $[0] := 3$)
$$\parallel$$
with r_2 do (with r_1 do $p := 1$; $[0] := 4$)
$$\{\text{emp} * \text{emp}\}$$

where

$$\Delta_1 p = [r_1: \pi_1, r_2: \pi_2, \text{self}: \pi_s]$$

$$\Delta_2 p = [r_1: \pi_1, r_2: \pi_2, \text{self}: \pi'_s]$$

$$\Delta p = [r_1: \pi_1, r_2: \pi_2, \text{self}: \pi_s + \pi'_s],$$

so that

$$\pi_1 + \pi_2 + \pi_s = 1$$

$$\pi_1 + \pi_2 + \pi'_s = 1$$

$$\pi_1 + \pi_2 + \pi_s + \pi'_s \le 1,$$

which implies that $\pi_s = \pi'_s = \pi_s + \pi'_s = 0$. Thus $\Phi = [p: \{r_1, r_2\}].$

Resource Declaration

Thus $\Phi = [p: \{r_1, \text{self}\}].$

```
\Phi_1 = []
\Delta_1|r_1:R_1\vdash R_2 Assert
\Delta_2|r_1:R_1,r_2:R_2\vdash\{\text{emp}*\text{emp}\}
                                                          \Phi_2 = [p: \{r_1, r_2\}]
                           with r_1 do (with r_2 do p := 0; [0] := 3)
                           with r_2 do (with r_1 do p := 1; [0] := 4)
                           \{emp * emp\}
                                                           \Phi = [p: \{r_1, \text{self}\}]
\Delta|r_1:R_1\vdash\{\text{emp}*\text{emp}*R_2\}
               resource r_2 in
                  with r_1 do (with r_2 do p := 0; [0] := 3)
                  with r_2 do (with r_1 do p := 1; [0] := 4)
                \{\text{emp} * \text{emp} * R_2\}
where
               \Delta_2 p = [r_1 : \pi_1, r_2 : \pi_2, \text{self} : \pi_s]
               \Delta_1 p = [self: \pi_2]
                \Delta p = [r_1: \pi_1, r_2: 0, \text{self}: \pi_s + \pi_2].
```

Another Resource Declaration

But by Φ_2 , $\pi_2 = 0$. Thus $\Phi = [p: \{\text{self}\}]$.

```
\Delta_1 \vdash R_1 \text{ Assert}
                                                                           \Phi_1 = []
\Delta_2|r_1:R_1\vdash\{\text{emp} * \text{emp} * R_2\}
                                                           \Phi_2 = [p: \{r_1, \text{self}\}]
                  resource r_2 in
                     with r_1 do (with r_2 do p := 0; [0] := 3)
                    with r_2 do (with r_1 do p := 1; [0] := 4)
                  \{\text{emp} * \text{emp} * R_2\}
\Delta \vdash \{\text{emp} * \text{emp} * R_1 * R_2\}
                                                                  \Phi = [p: \{\text{self}\}]
      resource r_1 in resource r_2 in
         with r_1 do (with r_2 do p := 0; [0] := 3)
         with r_2 do (with r_1 do p := 1; [0] := 4)
      \{ \text{emp} * \text{emp} * R_1 * R_2 \}
where
                \Delta_2 p = [r_1 : \pi_1, r_2 : \pi_2, \text{self} : \pi_s]
                \Delta_1 p = [self: \pi_1]
                 \Delta p = [r_1: 0, r_2: \pi_2, \text{self}: \pi_s + \pi_1].
```

At the Root

We take $\Delta_{\text{root}}^{\text{max}}$ to be the maximally permissive context that satisfies $\Phi_{\text{root}} = [p: \{\text{self}\}]$:

$$\Delta_{\text{root}}^{\text{max}} p = [\text{self} : 1].$$

Then we go backwards through our proof.

Passive judgement whose side conditions hold (either because $\Delta^{\max} p \text{ self} > 0$ or because p is not a free variable) are marked with an asterisk.

Another Resource Declaration

```
*\Delta_1 \vdash R_1 \text{ Assert}
                                                                           \Phi_1 = []
\Delta_2|r_1:R_1\vdash\{\text{emp} * \text{emp} * R_2\}
                                                           \Phi_2 = [p: \{r_1, \text{self}\}]
                  resource r_2 in
                    with r_1 do (with r_2 do p := 0; [0] := 3)
                    with r_2 do (with r_1 do p := 1; [0] := 4)
                  \{\text{emp} * \text{emp} * R_2\}
\Delta \vdash \{\text{emp} * \text{emp} * R_1 * R_2\}
                                                                 \Phi = [p: \{\text{self}\}]
      resource r_1 in resource r_2 in
         with r_1 do (with r_2 do p := 0; [0] := 3)
         with r_2 do (with r_1 do p := 1; [0] := 4)
       \{\text{emp} * \text{emp} * R_1 * R_2\}
where
               \Delta_2 p = [r_1 : \pi_1, r_2 : \pi_2, \text{self} : \pi_s]
               \Delta_1 p = [self: \pi_1]
                 \Delta p = [r_1: 0, r_2: \pi_2, \text{self}: \pi_s + \pi_1].
From \Delta^{\max} p = [\text{self} : 1], we get \pi_2 = 0 and \pi_s + \pi_1 =
1. Choosing \pi_s = \pi_1 = \frac{1}{2}, we have
```

 $\Delta_1^{\text{max}} p = [\text{self}: \frac{1}{2}]$ $\Delta_2^{\text{max}} p = [r_1: \frac{1}{2}, \text{self}: \frac{1}{2}]$

Resource Declaration

```
*\Delta_1|r_1:R_1\vdash R_2 Assert
                                                                           \Phi_1 = []
\Delta_2|r_1:R_1,r_2:R_2\vdash\{\text{emp}*\text{emp}\}
                                                             \Phi_2 = [p: \{r_1, r_2\}]
                            with r_1 do (with r_2 do p := 0; [0] := 3)
                            with r_2 do (with r_1 do p := 1; [0] := 4)
                            \{emp * emp\}
                                                             \Phi = [p: \{r_1, \mathbf{self}\}]
\Delta|r_1:R_1\vdash\{\text{emp}*\text{emp}*R_2\}
                resource r_2 in
                   with r_1 do (with r_2 do p := 0; [0] := 3)
                   with r_2 do (with r_1 do p := 1; [0] := 4)
                \{ \text{emp} * \text{emp} * R_2 \}
where
                \Delta_2 p = [r_1 : \pi_1, r_2 : \pi_2, \text{self} : \pi_s]
                \Delta_1 p = [self: \pi_2]
                 \Delta p = [r_1: \pi_1, r_2: 0, \text{self}: \pi_s + \pi_2].
From \Delta^{\max} p = [r_1: \frac{1}{2}, \mathbf{self}: \frac{1}{2}], we get \pi_1 = \frac{1}{2} and \pi_s +
\pi_2 = \frac{1}{2}. But \Phi_2 p forces \pi_s = 0, so that \pi_2 = \frac{1}{2} and
```

 $\Delta_1^{\max} p = [\text{self}: \frac{1}{2}]$ $\Delta_2^{\max} p = [r_1: \frac{1}{2}, r_2: \frac{1}{2}].$

Parallel Composition

$$\Delta_{1}|r_{1}:R_{1},r_{2}:R_{2}\vdash\{\text{emp}\} \qquad \Phi_{1}=[p:\{r_{1},r_{2},\text{self}\}]$$

$$\text{with } r_{1} \text{ do (with } r_{2} \text{ do } p:=0 \text{ ; [0]}:=3)$$

$$\{\text{emp}\}$$

$$\Delta_{2}|r_{1}:R_{1},r_{2}:R_{2}\vdash\{\text{emp}\} \qquad \Phi_{2}=[p:\{r_{1},r_{2},\text{self}\}]$$

$$\text{with } r_{2} \text{ do (with } r_{1} \text{ do } p:=1 \text{ ; [0]}:=4)$$

$$\{\text{emp}\}$$

$$\Delta|r_{1}:R_{1},r_{2}:R_{2}\vdash\{\text{emp}*\text{emp}\} \qquad \Phi=[p:\{r_{1},r_{2}\}]$$

$$\text{with } r_{1} \text{ do (with } r_{2} \text{ do } p:=0 \text{ ; [0]}:=3)$$

with r_2 do (with r_1 do p := 1; [0] := 4)

where

$$\Delta_1 p = [r_1: \pi_1, r_2: \pi_2, \text{self}: \pi_s]$$

$$\Delta_2 p = [r_1: \pi_1, r_2: \pi_2, \text{self}: \pi'_s]$$

$$\Delta p = [r_1: \pi_1, r_2: \pi_2, \text{self}: \pi_s + \pi'_s].$$

 $\{emp * emp\}$

From $\Delta^{\max} p = [r_1: \frac{1}{2}, r_2: \frac{1}{2}]$, we find that $\pi_s = 0$ and $\pi_s' = 0$, and

$$\Delta_1^{\max} p = \Delta_2^{\max} p = \Delta^{\max} p.$$

Another Critical Region

```
 *\Delta|r_1: R_1, r_2: R_2 \vdash \text{emp Assert} \qquad \Phi_1 = [] \\ *\Delta|r_1: R_1, r_2: R_2 \vdash \text{emp Assert} \qquad \Phi_2 = [] \\ \Delta'|r_2: R_2 \vdash \{R_1\} \\ \text{with } r_2 \text{ do } p := 0 ; \\ [0] := 3 \\ \{R_1\} \\ \hline \Delta|r_1: R_1, r_2: R_2 \vdash \{\text{emp}\} \\ \text{with } r_1 \text{ do } (\\ \text{with } r_2 \text{ do } p := 0 ; \\ [0] := 3) \\ \{\text{emp}\}   \Phi_3 = [p: \{r_2, \text{self}\}] \\ \Phi = \\ [p: \{r_1, r_2, \text{self}\}]
```

where

$$\Delta' p \, o = \Delta \, p \, o \text{ when } o \notin \{r_1, \text{self}\}$$

$$\Delta' p \, \text{self} = \Delta \, p \, \text{self} + \Delta \, p \, r_1 \leq 1$$

$$\Delta' \, p \, r_1 = 0.$$
 From $\Delta^{\text{max}} \, p = [r_1 \colon \frac{1}{2}, r_2 \colon \frac{1}{2}]$ we get

From $\Delta^{\max} p = [r_1: \frac{1}{2}, r_2: \frac{1}{2}]$ we get $\Delta^{\max'} p = [r_2: \frac{1}{2}, \mathbf{self}: \frac{1}{2}].$

Consequence

$$\Delta | r_2 \colon R_2 \vdash \{R_1\}$$
with r_2 do $p := 0$;
 $[0] := 3$
 $\{0 \mapsto 3 \land p = 0\}$

* $\Delta | r_2 \colon R_2 \vdash 0 \mapsto 3 \land p = 0 \Rightarrow R_1 \text{ Valid}$
 $\Phi_2 = []$
 $\Delta | r_2 \colon R_2 \vdash \{R_1\}$
with r_2 do $p := 0$;
 $[0] := 3$
 $\{R_1\}$
 $\Phi = [p \colon \{r_2, \text{self}\}]$

Obviously, Δ^{max} is preserved.

Mutation

*
$$\Delta | r_2 : R_2 \vdash 0 \text{ Exp}$$
 $\Phi_1 = []$
* $\Delta | r_2 : R_2 \vdash 3 \text{ Exp}$ $\Phi_2 = []$

$$\Delta | r_2 : R_2 \vdash \{0 \mapsto - \land p = 0\}$$

$$[0] := 3 \qquad \Phi = []$$
 $\{0 \mapsto 3 \land p = 0\}$

Obviously, Δ^{max} is preserved.

Sequential Composition

$$\Delta | r_2 \colon R_2 \vdash \{R_1\}$$
with r_2 do $p := 0$
 $\{0 \mapsto - \land p = 0\}$
 $*\Delta | r_2 \colon R_2 \vdash \{0 \mapsto - \land p = 0\}$
 $[0] := 3$
 $\{0 \mapsto 3 \land p = 0\}$
 $\Delta | r_2 \colon R_2 \vdash \{R_1\}$
with r_2 do $p := 0$;
 $[0] := 3$
 $\{0 \mapsto 3 \land p = 0\}$
 $\Phi = [p \colon \{r_2, \text{self}\}]$
 $\Phi = [p \colon \{r_2, \text{self}\}]$
 $\Phi = [p \colon \{r_2, \text{self}\}]$

Obviously, Δ^{max} is preserved.

An (Unconditional) Critical Region

$$*\Delta|r_{2}: R_{2} \vdash R_{1} \text{ Assert} \qquad \Phi_{1} = []$$

$$*\Delta|r_{2}: R_{2} \vdash 0 \mapsto - \wedge p = 0 \text{ Assert} \qquad \Phi_{2} = []$$

$$\Delta' \vdash \{R_{1} * R_{2}\}$$

$$p := 0 \qquad \Phi_{3} = [p : \{self\}]$$

$$\{R_{2} * (0 \mapsto - \wedge p = 0)\}$$

$$\Delta|r_{2}: R_{2} \vdash \{R_{1}\}$$

$$\text{with } r_{2} \text{ do } p := 0$$

$$\{0 \mapsto - \wedge p = 0\}$$

$$\Phi = [p : \{r_{2}, self\}]$$

where

$$\Delta' p o = \Delta p o$$
 when $o \notin \{r_2, \text{self}\}$
 $\Delta' p \text{ self} = \Delta p \text{ self} + \Delta p r_2 \le 1$
 $\Delta' p r_2 = 0.$

From $\Delta^{\max} p = [r_2: \frac{1}{2}, \operatorname{self}: \frac{1}{2}]$, we get $\Delta^{\max\prime} p = [\operatorname{self}: 1].$

Using the Rule of Consequence

$$*\Delta \vdash R_{1} * R_{2} \Rightarrow 0 \mapsto - \wedge 0 = 0 \text{ Valid} \qquad \Phi_{1} = []$$

$$\Delta \vdash \{0 \mapsto - \wedge 0 = 0\}$$

$$p := 0$$

$$\{0 \mapsto - \wedge p = 0\}$$

$$*\Delta \vdash 0 \mapsto - \wedge p = 0 \Rightarrow$$

$$R_{2} * (0 \mapsto - \wedge p = 0) \text{ Valid}$$

$$\Delta \vdash \{R_{1} * R_{2}\}$$

$$p := 0$$

$$\{R_{2} * (0 \mapsto - \wedge p = 0)\}$$

$$\Phi_{3} = []$$

$$\Phi = [p : \{\text{self}\}]$$

Obviously, Δ^{max} is preserved.

Using the Rule for (Assignable) Variables

$$\overline{p \colon [\mathrm{self} \colon 1] \vdash p \ \mathrm{Var}} \qquad \Phi = [p \colon \{\mathrm{self}\}]$$
 which is satisfied by $\Delta^{\max} p = [\mathrm{self} \colon 1]$.

Using the Rule for Assignment

$$\Delta \vdash p \text{ Var} \qquad \Phi_1 = [p: \{\text{self}\}]$$

$$*\Delta \vdash 0 \text{ Exp} \qquad \Phi_2 = []$$

$$*\Delta \vdash 0 \mapsto - \land p = 0 \text{ Assert} \qquad \Phi_3 = []$$

$$\Delta \vdash \{0 \mapsto - \land 0 = 0\}$$

$$p := 0 \qquad \Phi = [p: \{\text{self}\}]$$

$$\{0 \mapsto - \land p = 0\}$$

Obviously, Δ^{max} is preserved.

At each Node during Phase I

Consider a node n in P^0 whose parents are n_1, \ldots, n_k . The judgements at these nodes will form an instance of a pre-rule:

$$R^0$$
: $\frac{\Upsilon_{n_1} \vdash S_{n_1} \cdots \Upsilon_{n_k} \vdash S_{n_k}}{\Upsilon_n \vdash S_n}$.

During Phase I, the algorithm will accept permission restrictions $\Phi_{n_1}, \ldots, \Phi_{n_k}$ and will produce a permission restriction Φ_n such that

(1) If

$$\frac{\Delta_{n_1}|\Upsilon_{n_1} \vdash S_{n_1} \cdots \Delta_{n_k}|\Upsilon_{n_k} \vdash S_{n_k}}{\Delta_n|\Upsilon_n \vdash S_n.}$$

is a rule instance that erases to R^0 , and if Δ_{n_i} satisfies Φ_{n_i} for $1 \le i \le k$, then Δ_n will satisfy Φ_n .

The Result of Phase I

In Phase I, the algorithm will produce a permission restriction Φ_n for each node n in P^0 .

By structural induction on P^0 , using (1):

(2) If P^w is a write-proof that extends P^0 with contexts $\langle \Delta_n \rangle$, then each Δ_n satisfies Φ_n .

At the Root

In Phase II, the algorithm will search for a proof whose root judgement contains the context $\Delta_{\text{root}}^{\text{max}}$, which must satisfy Φ_{root} . There are two cases:

Specified Root Context: We take $\Delta_{\text{root}}^{\text{max}}$ to be the specified root context, providing it satisfies Φ_{root} . Otherwise, by (2), there is no write-proof (and therefore no proof) that extends P^0 and has the specified root context.

Arbitrary Root Context: If, for every v in dom Φ_{root} , $\Phi_{\text{root}} v$ is nonempty, then we take $\Delta_{\text{root}}^{\text{max}}$ to be

$$\Delta_{\text{root}}^{\max} v \, o = \begin{cases} \text{ if } v \in \text{dom} \, \Phi_{\text{root}} \, \text{then} \\ \text{ if } o \in \Phi_{\text{root}} \, v \, \text{then} \, 1 / \# \Phi_{\text{root}} \, v \, \text{else} \, 0 \\ \text{else} \, 1 / (\# \text{Owners} + 1) \end{cases}$$

(where #S is the size of S), which is (one of) the most permissive contexts satisfying Φ_{root} .

On the other hand, if there is some variable v such that $\Phi_{\text{root}} v$ is empty, then there is no root context satsifying Φ_{root} , and by (2), no proof extends P^0 .

At each Node during Phase II

During Phase II, the algorithm will accept a context Δ_n^{\max} that satisfies Φ_n and will produce contexts $\Delta_{n_1}^{\max},\ldots,\Delta_{n_k}^{\max}$ such that

(3) Each $\Delta_{n_i}^{\mathsf{max}}$ satisfies Φ_{n_i} and

$$\frac{\Delta_{n_1}^{\max}|\Upsilon_{n_1} \vdash S_{n_1} \cdots \Delta_{n_k}^{\max}|\Upsilon_{n_k} \vdash S_{n_k}}{\Delta_n^{\max}|\Upsilon_n \vdash S_n}.$$

is a rule instance that erases to \mathbb{R}^0 . Moreover,

(4) If $\Delta_{n_1}, \ldots, \Delta_{n_k}$, and Δ_n satisfy $\Phi_{n_1}, \ldots, \Phi_{n_k}$, and Φ_n respectively,

$$\frac{\Delta_{n_1}|\Upsilon_{n_1} \vdash S_{n_1} \cdots \Delta_{n_k}|\Upsilon_{n_k} \vdash S_{n_k}}{\Delta_n|\Upsilon_n \vdash S_n}$$

is a rule instance that erases to R^0 , and $\Delta_n \leq \Delta_n^{\max}$, then $\Delta_{n_i} \leq \Delta_{n_i}^{\max}$ for $1 \leq i \leq k$.

The Result of Phase II

In Phase II, given a context $\Delta_{\rm root}^{\rm max}$ satisfying $\Phi_{\rm root}$, the algorithm will produce a context $\Delta_n^{\rm max}$ for each node n.

By induction on distance from the root, using (3):

- (5) There is a write-proof that extends P^0 with $\langle \Delta_n^{\max} \rangle$.
- Moreover, using (2), and then induction on distance from the root, using (4):
- (6) If there is a write-proof that extends P^0 with $\langle \Delta_n \rangle$, and $\Delta_{\text{root}} \leq \Delta_{\text{root}}^{\text{max}}$, then $\Delta_n \leq \Delta_n^{\text{max}}$ for each node n.

The Finale

In Phase II, while generating the Δ_n^{\max} , the algorithm can check whether, at all passive nodes, the side conditions of the rules

$$\overline{\Delta|\Upsilon \vdash E \text{ Exp}} \quad \text{where } \forall v \in \mathsf{FV}(E). \ \Delta v \text{ self} > 0$$

$$\overline{\Delta|\Upsilon \vdash P \text{ Assert}} \quad \text{where } \forall v \in \mathsf{FV}(P). \ \Delta v \text{ self} > 0$$

$$\overline{\Delta|\Upsilon \vdash P \text{ Valid}} \quad \text{where } \forall v \in \mathsf{FV}(P). \ \Delta v \text{ self} > 0$$

$$\overline{\Delta|\Upsilon \vdash P \text{ Valid}} \quad \text{where } \forall v \in \mathsf{FV}(P). \ \Delta v \text{ self} > 0$$

$$\overline{\Delta|\Upsilon \vdash P \text{ Valid}} \quad \text{and } P \text{ is a valid assertion.}$$

are satisfied. If and only if these conditions are satisfied, the write-proof that extends P^0 with $\langle \Delta_n^{\rm max} \rangle$ will be a proof.

Moreover, suppose there is some proof that extends P^0 with $\langle \Delta_n \rangle$ and that $\Delta_{\text{root}} \leq \Delta_{\text{root}}^{\text{max}}$. Then by (6), $\Delta_n \leq \Delta_n^{\text{max}}$ for all nodes n. It follows that, since the side conditions at passive n are met by Δ_n , they will be met by Δ_n^{max} , so that the write-proof that extends P^0 with Δ_n^{max} will also be a proof.

It follows that either the algorithm will find a proof that extends P^0 with $\Delta^{\max}_{\text{root}}$ at the root, or there is no proof that extends P^0 with any $\Delta_{\text{root}} \leq \Delta^{\max}_{\text{root}}$.

The Finale (continued)

It follows that either the algorithm will find a proof that extends P^0 with $\Delta^{\max}_{\text{root}}$ at the root, or there is no proof that extends P^0 with any $\Delta_{\text{root}} \leq \Delta^{\max}_{\text{root}}$.

Specified Root Context: If $\Delta_{\rm root}^{\rm max}$ is the specified root context, then either the algorithm will find a proof that extends P^0 with $\Delta_{\rm root}^{\rm max}$ at the root, or, since $\Delta_{\rm root}^{\rm max} \leq \Delta_{\rm root}^{\rm max}$, there is no proof that extends P^0 with $\Delta_{\rm root}^{\rm max}$ at the root.

Arbitrary Root Context: Here $\Delta_{\text{root}}^{\text{max}}$ is the most permissive context satisfying Φ_{root} . Either the algorithm will find a proof that extends P^0 , or there is no proof that extends P^0 with any Δ_{root} that satisfies Φ_{root} . But by (2), there is no proof that extends P_0 with any Δ_{root} that does not satisfies Φ_{root} .

The Passive Rules

 $\overline{\Delta|\Upsilon \vdash E \ \text{Exp}} \quad \text{where} \ \forall v \in \mathsf{FV}(E). \ \Delta \ v \ \text{self} > 0$ $\overline{\Delta|\Upsilon \vdash P \ \text{Assert}} \quad \text{where} \ \forall v \in \mathsf{FV}(P). \ \Delta \ v \ \text{self} > 0$ $\overline{\Delta|\Upsilon \vdash P \ \text{Valid}} \quad \text{where} \ \forall v \in \mathsf{FV}(P). \ \Delta \ v \ \text{self} > 0,$ where $\mathsf{FV}(X)$ denotes the set of free variables of X.

Φ is the empty function.

Since there are no premisses, there are no Δ_i^{max} to be computed. But the side conditions must be checked to determine if a write-proof is a proof.

The Rule for (Assignable) Variables

$$\overline{\Delta | \Upsilon \vdash v \text{ Var}}$$
,

where

$$\Delta v' o = 0$$
 when $v' \neq v$
 $\Delta v o = \text{if } o = \text{self then 1 else 0}.$

$$\operatorname{dom} \Phi = \{v\} \qquad \Phi \, v = \{\operatorname{self}\}.$$

Since there are no premisses, there are no Δ_i^{\max} to be computed. Moreover, it is clear that Δ^{\max} will meet the side condition since Δ^{\max} will satisfy Φ .

Sequential Composition (Many rules are similar.)

$$\frac{\Delta_1|\Upsilon \vdash \{P\} \ C \ \{Q\} \quad \Delta_2|\Upsilon \vdash \{Q\} \ C' \ \{R\}}{\Delta|\Upsilon \vdash \{P\} \ C \ ; \ C' \ \{R\},}$$

where

$$\Delta_1 = \Delta_2 = \Delta$$
.

 $\operatorname{dom} \Phi = \operatorname{dom} \Phi_1 \cup \operatorname{dom} \Phi_2.$

When $v \in \text{dom } \Phi$:

$$o \in \Phi v \text{ iff } \left\{ egin{array}{ll} (v \in \operatorname{dom} \Phi_1 \Rightarrow o \in \Phi_1 v) \\ & \wedge \\ (v \in \operatorname{dom} \Phi_2 \Rightarrow o \in \Phi_2 v), \end{array}
ight.$$

or equivalently

$$o \notin \Phi v \text{ iff } \left\{ egin{array}{ll} (v \in \operatorname{dom} \Phi_1 \wedge o \notin \Phi_1 v) & \lor & \lor \\ (v \in \operatorname{dom} \Phi_2 \wedge o \notin \Phi_2 v). \end{array}
ight.$$

$$\Delta_1^{\max} = \Delta_2^{\max} = \Delta^{\max}.$$

Conditionals

$$\Delta_{1}|\Upsilon \vdash B \text{ Assert}$$

$$\Delta_{2}|\Upsilon \vdash \{P \land B\} C \{Q\} \quad \Delta_{3}|\Upsilon \vdash \{P \land \neg B\} C' \{Q\}\}$$

$$\Delta|\Upsilon \vdash \{P\} \text{ if } B \text{ then } C \text{ else } C' \{Q\},$$

where

$$\Delta_1 = \Delta_2 = \Delta_3 = \Delta.$$

 $dom \Phi = dom \Phi_1 \cup dom \Phi_2 \cup dom \Phi_3.$

When $v \in \text{dom } \Phi$:

$$o \in \Phi v ext{ iff } \left\{ egin{array}{ll} (v \in \operatorname{dom} \Phi_1 \Rightarrow o \in \Phi_1 v) & \wedge & \\ (v \in \operatorname{dom} \Phi_2 \Rightarrow o \in \Phi_2 v) & \wedge & \\ (v \in \operatorname{dom} \Phi_3 \Rightarrow o \in \Phi_3 v). & \end{array}
ight.$$

$$\Delta_1^{\text{max}} = \Delta_2^{\text{max}} = \Delta_3^{\text{max}} = \Delta^{\text{max}}.$$

Note that Φ_1 will be the empty function.

Parallel Composition (Frame is similar)

$$\frac{\Delta_{1}|\Upsilon \vdash \{P\} \ C \ \{Q\} \quad \Delta_{2}|\Upsilon \vdash \{P'\} \ C' \ \{Q'\}}{\Delta|\Upsilon \vdash \{P * P'\} \ C \parallel C' \ \{Q * Q'\},}$$

where

$$\Delta v o = \Delta_1 v o = \Delta_2 v o \text{ when } o \neq \text{self}$$

$$\Delta v \text{self} = \Delta_1 v \text{self} + \Delta_2 v \text{self} \leq 1.$$
(A)

 $\operatorname{dom} \Phi = \operatorname{dom} \Phi_1 \cup \operatorname{dom} \Phi_2$.

When $v \in \text{dom } \Phi$:

Then
$$v \in \operatorname{dom} \Phi$$
:
$$\begin{cases} (v \in \operatorname{dom} \Phi_1 \Rightarrow o \in \Phi_1 \, v) \\ \land \\ (v \in \operatorname{dom} \Phi_2 \Rightarrow o \in \Phi_2 \, v) \\ \land \\ (v \in \operatorname{dom} \Phi_1 \cap \operatorname{dom} \Phi_2 \Rightarrow o \neq \operatorname{self}), \end{cases}$$
 equivalently

or equivalently,

$$o \notin \Phi v \text{ iff } \begin{cases} (v \in \text{dom } \Phi_1 \land o \notin \Phi_1 v) \\ (v \in \text{dom } \Phi_2 \land o \notin \Phi_2 v) \\ \lor \\ (v \in \text{dom } \Phi_1 \cap \text{dom } \Phi_2 \land o = \text{self}). \end{cases}$$
 (B)

Parallel Composition (continued)

$$\begin{array}{c} \Delta_{1}^{\max} v \, o = \Delta^{\max} v \, o \\ \Delta_{2}^{\max} v \, o = \Delta^{\max} v \, o \end{array} \right\} \quad \text{when} \quad o \neq \text{self} \\ \Delta_{1}^{\max} v \, \text{self} = \Delta^{\max} v \, \text{self} \\ \Delta_{2}^{\max} v \, \text{self} = 0 \end{array} \right\} \quad \text{when} \quad \left\{ \begin{array}{c} v \in \text{dom} \, \Phi_{1} \, \wedge \\ v \notin \text{dom} \, \Phi_{2} \end{array} \right. \\ \Delta_{2}^{\max} v \, \text{self} = 0 \\ \Delta_{2}^{\max} v \, \text{self} = \Delta^{\max} v \, \text{self} \end{array} \right\} \quad \text{when} \quad \left\{ \begin{array}{c} v \notin \text{dom} \, \Phi_{1} \, \wedge \\ v \in \text{dom} \, \Phi_{2} \end{array} \right. \end{aligned}$$

$$\Delta_{1}^{\max} v \, \text{self} = \frac{1}{2} \Delta^{\max} v \, \text{self} \\ \Delta_{2}^{\max} v \, \text{self} = \frac{1}{2} \Delta^{\max} v \, \text{self} \end{array} \right\} \quad \text{when} \quad \left\{ \begin{array}{c} v \notin \text{dom} \, \Phi_{1} \, \wedge \\ v \in \text{dom} \, \Phi_{2} \end{array} \right.$$

$$\Delta_{1}^{\max} v \, \text{self} = \frac{1}{2} \Delta^{\max} v \, \text{self} \right\} \quad \text{when} \quad \left\{ \begin{array}{c} v \notin \text{dom} \, \Phi_{1} \, \wedge \\ v \in \text{dom} \, \Phi_{2} \end{array} \right.$$

$$\Delta_{1}^{\max} v \, \text{self} = \frac{1}{2} \Delta^{\max} v \, \text{self} \right\} \quad \text{when} \quad \left\{ \begin{array}{c} v \notin \text{dom} \, \Phi_{1} \, \wedge \\ v \notin \text{dom} \, \Phi_{2} \end{array} \right.$$

Parallel Composition — Proof of (1)

(1) If Δ_1 satisfies Φ_1 , Δ_2 satisfies Φ_2 , and (A) and (B), then Δ satisfies Φ .

Proof Suppose, for $i \in \{1,2\}$, Δ_i satisfies Φ_i , so that

 $\forall v \in \text{dom } \Phi_i$. $\sum_{o \in \text{Owners}} \Delta_i v o = 1$

 $\forall v \in \text{dom } \Phi_i, o \in \text{Owners. } o \notin \Phi_i v \text{ implies } \Delta_i v o = 0.$

Now suppose $v \in \text{dom } \Phi = \text{dom } \Phi_1 \cup \text{dom } \Phi_2$. If $v \in \text{dom } \Phi_1$, then by (A):

$$\sum_{o \in \mathsf{Owners}} \Delta v \, o = (\sum_{o \in \mathsf{Owners}} \Delta_1 \, v \, o) + \Delta_2 \, v \, \mathsf{self}$$
$$= 1 + \Delta_2 \, v \, \mathsf{self}.$$

But $\sum_{o \in Owners} \Delta vo \leq 1$, so

 $\sum_{o \in Owners} \Delta v o = 1$ and $\Delta_2 v \operatorname{self} = 0$.

Similarly, if $v \in \text{dom } \Phi_2$, then

 $\sum_{o \in \text{Owners}} \Delta v o = 1$ and $\Delta_1 v \text{self} = 0$.

Suppose $v \in \text{dom } \Phi$ and $o \notin \Phi v$. Then, by (B), there are three possibilities, each of which implies $\Delta v o = 0$:

- $v \in \text{dom } \Phi_1 \text{ and } o \notin \Phi_1, v$, so that $\Delta_1 v o = 0$ and $\Delta_2 v \text{self} = 0$.
- $v \in \text{dom } \Phi_2 \text{ and } o \notin \Phi_2, v$, so that $\Delta_2 v o = 0$ and $\Delta_1 v \text{self} = 0$.
- $v \in \text{dom } \Phi_1$, $v \in \text{dom } \Phi_2$ and o = self, so that $\Delta_2 v \text{self} = 0$, $\Delta_1 v \text{self} = 0$, and o = self.

Thus we have

 $\forall v \in \text{dom } \Phi. \sum_{o \in \text{Owners}} \Delta v o = 1$

 $\forall v \in \text{dom } \Phi, o \in \text{Owners. } o \notin \Phi v \text{ implies } \Delta_i v o = 0,$ so that Δ satisfies Φ .

Parallel Composition — Proof of (3)

(3) If Δ^{max} satisfies Φ , then Δ_1^{max} and Δ_2^{max} , as defined by (C), satisfy Φ_1 and Φ_2 respectively, and

$$\Delta^{\max} v \, o = \Delta_1^{\max} v \, o = \Delta_2^{\max} v \, o \text{ when } o \neq \text{self}$$

$$\Delta^{\max} v \, \text{self} = \Delta_1^{\max} v \, \text{self} + \Delta_2^{\max} v \, \text{self} \leq 1.$$
 (D)

Proof It is easily seen that (C) satisfies (D).

To show that $\forall v \in \text{dom } \Phi_1$. $\sum_{o \in \text{Owners}} \Delta_1^{\text{max}} v o$, assume $v \in \text{dom } \Phi_1$. From (D) we have

$$\sum_{o \in \mathsf{Owners}} \Delta_1^{\mathsf{max}} v \, o = (\sum_{o \in \mathsf{Owners}} \Delta^{\mathsf{max}} v \, o) - \Delta_2^{\mathsf{max}} v \, \mathbf{self}.$$

When $v \notin \text{dom } \Phi_2$, (C) gives $\Delta_2^{\text{max}} v \operatorname{self} = 0$ directly. When $v \in \text{dom } \Phi_2$, (B) gives $\operatorname{self} \notin \Phi v$ and since Δ^{max} is assumed to satisfy Φ , $\Delta^{\text{max}} v \operatorname{self} = 0$, so that the penultimate case of (C) gives $\Delta_2^{\text{max}} v \operatorname{self} = 0$. Thus, in either case,

$$\sum_{o \in \text{Owners}} \Delta_1^{\text{max}} v o = \sum_{o \in \text{Owners}} \Delta^{\text{max}} v o = 1.$$

To show that

 $\forall v \in \text{dom } \Phi_1, o \in \text{Owners. } o \notin \Phi_1 v \text{ implies } \Delta_1^{\max} v \, o = 0,$ assume $v \in \text{dom } \Phi_1, o \in \text{Owners and } o \notin \Phi_1 v.$ Then (B) gives $o \notin \Phi v$, and the the assumption that Δ^{\max} satisfies Φ gives $\Delta^{\max} v \, o = 0$. Finally, (D) gives $\Delta_1^{\max} v \, o = 0$.

Thus Δ_1^{max} satisfies Φ_1 . The argument for Δ_2^{max} satisfies Φ_2 is symmetric.

Parallel Composition — Proof of (4)

If Δ_1 , Δ_2 , and Δ satisfy Φ_1 , Φ_2 , and Φ respectively, (A) holds, and $\Delta \leq \Delta^{\text{max}}$, then $\Delta_1 \leq \Delta_1^{\text{max}}$ and $\Delta_2 \leq \Delta_2^{\text{max}}$.

Proof Assume the hypotheses of the lemma, and $\Delta_1 \, v \, o > 0$. To show $\Delta_1^{\max} \, v \, o > 0$, we first note that (A) gives $\Delta_1 \, v \, o \leq \Delta \, v \, o$, which, with $\Delta \leq \Delta^{\max}$, gives

$$\Delta_1 vo > 0 \Rightarrow \Delta vo > 0 \Rightarrow \Delta^{\max} vo > 0.$$

So we need to show $\Delta^{\max} v \, o > 0 \Rightarrow \Delta_1^{\max} v \, o > 0$.

- When $o \neq \text{self}$, (D) gives $\Delta_1^{\max} v o = \Delta^{\max} v o$.
- When $o = \operatorname{self}$, (A) gives $\Delta_1 v \operatorname{self} \leq \Delta v \operatorname{self}$. When $v \notin \operatorname{dom} \Phi_1$ and $v \in \operatorname{dom} \Phi_2$, since Δ_2 satisfies Φ_2 , (A) gives

$$\sum_{o \in \mathsf{Owners}} \Delta v \, o = (\sum_{o \in \mathsf{Owners}} \Delta_2 v \, o) + \Delta_1 v \, \text{self}$$
$$= 1 + \Delta_1 v \, \text{self}.$$

so that $\sum_{o \in \text{Owners}} \Delta v o \leq 1$ gives $\Delta_1 v \text{self} = 0$, which contradicts $\Delta_1 v o > 0$.

Otherwise, (C) gives

$$\Delta^{\max} v \operatorname{self} > 0 \Rightarrow \Delta_1^{\max} v \operatorname{self} > 0.$$

The argument for $\Delta_2 \leq \Delta_2^{\text{max}}$ is symmetric.

Resource Declaration

$$\frac{\Delta_1 | \Upsilon \vdash R \text{ Assert } \Delta_2 | \Upsilon, r : R \vdash \{P\} \ C \ \{Q\}}{\Delta | \Upsilon \vdash \{P * R\} \text{ resource } r \text{ in } C \ \{Q * R\},} \ (R \text{ precise})$$

where

$$\Delta v o = \Delta_2 v o$$
 when $o \notin \{ self, r \}$

$$\Delta v self = \Delta_2 v self + \Delta_2 v r \le 1$$

$$\Delta_1 v o = 0 \text{ when } o \ne self$$

$$\Delta_1 v self = \Delta_2 v r.$$

 $dom \Phi = dom \Phi_2.$

When $v \in \text{dom } \Phi$:

 $o \in \Phi v \text{ iff } o \in \Phi_2 v \text{ when } o \notin \{ \mathbf{self}, r \}$ $r \notin \Phi v$ $\mathbf{self} \in \Phi v \text{ iff } \mathbf{self} \in \Phi_2 v \lor r \in \Phi_2 v.$

Resource Declaration (continued)

Critical Regions

$$\Delta_1 | \Upsilon, r : R \vdash P \text{ Assert}$$
 $\Delta_2 | \Upsilon, r : R \vdash Q \text{ Assert}$
 $\Delta_3 | \Upsilon \vdash B \text{ Assert}$ $\Delta_4 | \Upsilon \vdash \{(P * R) \land B\} C \{Q * R\}$
 $\Delta | \Upsilon, r : R \vdash \{P\} \text{ with } r \text{ when } B \text{ do } C \{Q\},$

where

$$\Delta_1 = \Delta_2 = \Delta$$
 $\Delta_3 = \Delta_4$,
 $\Delta_4 vo = \Delta vo \text{ when } o \notin \{\text{self}, r\}$
 $\Delta_4 v \text{self} = \Delta v \text{self} + \Delta v r \le 1$
 $\Delta_4 v r = 0$.

 $dom \Phi = dom \Phi_4$.

When $v \in \text{dom } \Phi$:

$$o \in \Phi v \text{ iff } o \in \Phi_4 v \text{ when } o \notin \{ ext{self}, r \}$$
 $ext{self} \in \Phi v \text{ iff } ext{self} \in \Phi_4 v$
 $ext{} r \in \Phi v \text{ iff } ext{self} \in \Phi_4 v.$

$$\Delta_1^{\text{max}} = \Delta_2^{\text{max}} = \Delta^{\text{max}}$$
 $\Delta_3^{\text{max}} = \Delta_4^{\text{max}}$,

$$\begin{array}{l} \Delta_4^{\max} v \, o = \Delta^{\max} v \, o \text{ when } o \notin \{ \mathrm{self}, r \} \\ \Delta_4^{\max} v \, \mathrm{self} = \Delta^{\max} v \, \mathrm{self} + \Delta^{\max} v \, r \\ \Delta_4^{\max} v \, r = 0. \end{array}$$

Variable Declaration

$$\Delta_1 | \Upsilon \vdash P \text{ Assert}$$
 $\Delta_2 | \Upsilon \vdash Q \text{ Assert}$
 $\Delta_3 | \Upsilon \vdash E \text{ Exp}$ $\Delta_4 | \Upsilon \vdash \{P\} C \{Q\}$
 $\Delta | \Upsilon \vdash \{P\} \text{ local } v := E \text{ in } C \{Q\},$

where

$$\Delta_1 = \Delta_2 = \Delta_3 = \Delta,$$

$$\Delta_4 v' o = \Delta v' o \text{ when } v' \neq v$$

$$\Delta_4 v o = \text{if } o = \text{self then 1 else 0}.$$

If $v \in \text{dom } \Phi_4$ and $\text{self} \notin \Phi_4 v$ then there is no write-proof extending P^0 . Otherwise,

$$dom \Phi = (dom \Phi_4) - \{v\}$$

When $v' \in \text{dom } Φ$:

$$\Phi v' = \Phi_4 v'$$
 when $v' \in \text{dom } \Phi$.

$$\Delta_1^{\max} = \Delta_2^{\max} = \Delta_3^{\max} = \Delta^{\max},$$

$$\Delta_4^{\max} v' o = \Delta^{\max} v' o$$
 when $v' \neq v$
 $\Delta_4^{\max} v o = \text{if } o = \text{self then 1 else 0}.$