

AN INTRODUCTION TO SEPARATION LOGIC

6. Iterated Separating Conjunction

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Iterated Separating Conjunction

$$\langle \text{assert} \rangle ::= \dots | \odot_{\langle \text{var} \rangle = \langle \text{exp} \rangle}^{\langle \text{exp} \rangle} \langle \text{assert} \rangle$$
$$\odot_{v=e}^{e'} p \stackrel{\text{def}}{=} (p/v \rightarrow e) * (p/v \rightarrow e+1) * \dots * (p/v \rightarrow e').$$

More precisely,

$s, h \models \odot_{v=e}^{e'} p$ iff

let $m = \llbracket e \rrbracket_{\text{exp}} s$, $n = \llbracket e' \rrbracket_{\text{exp}} s$, $I = \{ i \mid m \leq i \leq n \}$ in
 $\exists H \in I \rightarrow \text{Heaps}$.

$\forall i, j \in I. i \neq j$ implies $H_i \perp H_j$

and $h = \bigcup \{ H_i \mid i \in I \}$

and $\forall i \in I. [s \mid v : i], H_i \models p$.

Axiom Schemata

$$m > n \Rightarrow (\bigcirc_{i=m}^n p(i) \Leftrightarrow \text{emp})$$

$$m = n \Rightarrow (\bigcirc_{i=m}^n p(i) \Leftrightarrow p(m))$$

$$k \leq m \leq n + 1 \Rightarrow (\bigcirc_{i=k}^n p(i) \Leftrightarrow (\bigcirc_{i=k}^{m-1} p(i) * \bigcirc_{i=m}^n p(i)))$$

$$\bigcirc_{i=m}^n p(i) \Leftrightarrow \bigcirc_{i=m-k}^{n-k} p(i+k)$$

$$m \leq n \Rightarrow ((\bigcirc_{i=m}^n p(i)) * q \Leftrightarrow \bigcirc_{i=m}^n (p(i) * q))$$

when q is pure and $i \notin \text{FV}(q)$

$$m \leq n \Rightarrow ((\bigcirc_{i=m}^n p(i)) \wedge q \Leftrightarrow \bigcirc_{i=m}^n (p(i) \wedge q))$$

when q is pure and $i \notin \text{FV}(q)$

$$m \leq j \leq n \Rightarrow ((\bigcirc_{i=m}^n p(i)) \Rightarrow (p(j) * \text{true}))$$

Array Allocation

$\langle \text{comm} \rangle ::= \dots \mid \langle \text{var} \rangle := \text{allocate } \langle \text{exp} \rangle$

$x := \text{allocate } y$

Store : x: 3, y: 4
Heap : empty
↓
Store : x: 37, y: 4
Heap : 37: -, 38: -, 39: -, 40: -

Nonoverwriting Inference Rules

- The local nonoverwriting form (ALLOCNOL)

$$\{ \text{emp} \} v := \text{allocate } e \{ \bigcirc_{i=v}^{v+e-1} i \mapsto - \},$$

where $v \notin \text{FV}(e)$.

- The global nonoverwriting form (ALLOCNOG)

$$\{ r \} v := \text{allocate } e \{ (\bigcirc_{i=v}^{v+e-1} i \mapsto -) * r \},$$

where $v \notin \text{FV}(e, r)$.

General Inference Rules

- The local form (ALLOCL)

$$\{v = v' \wedge \text{emp}\} \quad v := \text{allocate } e \quad \{\bigcirc_{i=v}^{v+e'-1} i \mapsto -\},$$

where v' is distinct from v , and e' denotes $e/v \rightarrow v'$.

- The global form (ALLOCG)

$$\{r\} \quad v := \text{allocate } e \quad \{\exists v'. (\bigcirc_{i=v}^{v+e'-1} i \mapsto -) * r'\},$$

where v' is distinct from v , $v' \notin \text{FV}(e, r)$, e' denotes $e/v \rightarrow v'$, and r' denotes $r/v \rightarrow v'$.

- The backward-reasoning form (ALLOCBR)

$$\{\forall v''. (\bigcirc_{i=v''}^{v''+e-1} i \mapsto -) \multimap p''\} \quad v := \text{allocate } e \quad \{p\},$$

where v'' is distinct from v , $v'' \notin \text{FV}(e, p)$, and p'' denotes $p/v \rightarrow v''$.

Arrays that Denote Sequences

array $\alpha(a, b) \stackrel{\text{def}}{=} \#\alpha = b - a + 1 \wedge \bigcirc_{i=a}^b i \mapsto \alpha_{i-a+1}$.

(Since the length of a sequence is never negative, the assertion array $\alpha(a, b)$ implies that $a \leq b + 1$.)

Properties

array $\alpha(a, b) \Rightarrow \#\alpha = b - a + 1$

array $\alpha(a, b) \Rightarrow i \hookrightarrow \alpha_{i-a+1}$ when $a \leq i \leq b$

array $\epsilon(a, b) \Leftrightarrow b = a - 1 \wedge \text{emp}$

array $x(a, b) \Leftrightarrow b = a \wedge a \mapsto x$

array $x \cdot \alpha(a, b) \Leftrightarrow a \mapsto x * \text{array } \alpha(a + 1, b)$

array $\alpha \cdot x(a, b) \Leftrightarrow \text{array } \alpha(a, b - 1) * b \mapsto x$

array $\alpha(a, c) * \text{array } \beta(c + 1, b)$

$\Leftrightarrow \text{array } \alpha \cdot \beta(a, b) \wedge c = a + \#\alpha - 1$

$\Leftrightarrow \text{array } \alpha \cdot \beta(a, b) \wedge c = b - \#\beta$

Partition

```
{array  $\alpha(a, b)$ }

newvar d, x, y in (c := a - 1 ; d := b + 1 ;
{ $\exists \alpha_1, \alpha_2, \alpha_3.$  (array  $\alpha_1(a, c) * \text{array } \alpha_2(c + 1, d - 1)$ 
 * array  $\alpha_3(d, b)) \wedge \alpha_1 \cdot \alpha_2 \cdot \alpha_3 \sim \alpha \wedge \{\alpha_1\} \leq^* r \wedge \{\alpha_3\} >^* r$ }
while d > c + 1 do (x := [c + 1];
if x  $\leq r$  then
{ $\exists \alpha_1, \alpha_2, \alpha_3.$  (array  $\alpha_1(a, c) * c + 1 \mapsto x * \text{array } \alpha_2(c + 2, d - 1)$ 
 * array  $\alpha_3(d, b)) \wedge \alpha_1 \cdot x \cdot \alpha_2 \cdot \alpha_3 \sim \alpha \wedge \{\alpha_1 \cdot x\} \leq^* r \wedge \{\alpha_3\} >^* r$ }
c := c + 1
else (y := [d - 1];
if y > r then
{ $\exists \alpha_1, \alpha_2, \alpha_3.$  (array  $\alpha_1(a, c) * \text{array } \alpha_2(c + 1, d - 2) * d - 1 \mapsto y$ 
 * array  $\alpha_3(d, b)) \wedge \alpha_1 \cdot \alpha_2 \cdot y \cdot \alpha_3 \sim \alpha \wedge \{\alpha_1\} \leq^* r \wedge \{y \cdot \alpha_3\} >^* r$ }
d := d - 1
else
{ $\exists \alpha_1, \alpha_2, \alpha_3.$  (array  $\alpha_1(a, c) * c + 1 \mapsto x$  (*)  

 * array  $\alpha_2(c + 2, d - 2) * d - 1 \mapsto y * \text{array } \alpha_3(d, b))$ 
 $\wedge \alpha_1 \cdot x \cdot \alpha_2 \cdot y \cdot \alpha_3 \sim \alpha \wedge \{\alpha_1\} \leq^* r \wedge \{\alpha_3\} >^* r \wedge x > r \wedge y \leq r$ 
([c + 1] := y ; [d - 1] := x ; c := c + 1 ; d := d - 1)))))
{ $\exists \alpha_1, \alpha_2.$  (array  $\alpha_1(a, c) * \text{array } \alpha_2(c + 1, b))$ 
 $\wedge \alpha_1 \cdot \alpha_2 \sim \alpha \wedge \{\alpha_1\} \leq^* r \wedge r <^* \{\alpha_2\}$ }
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A Subtlety

In the assertion marked (*):

$$\{ \exists \alpha_1, \alpha_2, \alpha_3. (\text{array } \alpha_1(a, c) * c + 1 \mapsto x \\ * \text{array } \alpha_2(c + 2, d - 2) * d - 1 \mapsto y * \text{array } \alpha_3(d, b)) \\ \wedge \alpha_1 \cdot x \cdot \alpha_2 \cdot y \cdot \alpha_3 \sim \alpha \wedge \{\alpha_1\} \leq^* r \wedge \{\alpha_3\} >^* r \wedge x > r \wedge y \leq r \}$$

it is the while-test $d > c + 1$, plus

$$c + 1 \hookrightarrow x \wedge d - 1 \hookrightarrow y \wedge x > r \wedge y \leq r \Rightarrow c + 1 \neq d - 1,$$

that guarantees that $c + 1 < d - 1$, so that

$$\text{array } \alpha_2(c + 2, d - 2)$$

makes sense.

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From Partition to Quicksort

If we define

```
partition(c; a, b, r) =  
  newvar d, x, y in (c := a - 1 ; d := b + 1 ;  
  while d > c + 1 do  
    (x := [c + 1] ; if x ≤ r then c := c + 1 else  
     (y := [d - 1] ; if y > r then d := d - 1 else  
      ([c + 1] := y ; [d - 1] := x ; c := c + 1 ; d := d - 1)))).,
```

then

$$\begin{aligned} &\{\text{array } \alpha(a, b)\} \\ &\text{partition}(c; a, b, r)\{\alpha\} \\ &\{\exists \alpha_1, \alpha_2. (\text{array } \alpha_1(a, c) * \text{array } \alpha_2(c + 1, b)) \\ &\quad \wedge \alpha_1 \cdot \alpha_2 \sim \alpha \wedge \{\alpha_1\} \leq^* r \wedge r <^* \{\alpha_2\}\}. \end{aligned}$$

Then we can use partition to define a procedure satisfying

$$\begin{aligned} &\{\text{array } \alpha(a, b)\} \\ &\text{quicksort}(); a, b\}\{\alpha\} \\ &\{\exists \beta. \text{array } \beta(a, b) \wedge \beta \sim \alpha \wedge \text{ord } \beta\}. \end{aligned}$$

Quicksort (continued)

$\{\text{array } \alpha(a, b)\}$

if $a < b$ **then newvar** c **in**

$(\{\exists x_1, \alpha_0, x_2. (a \mapsto x_1 * \text{array } \alpha_0(a + 1, b - 1) * b \mapsto x_2)$
 $\wedge x_1 \cdot \alpha_0 \cdot x_2 \sim \alpha\})$

newvar x_1, x_2, r **in**

$(x_1 := [a] ; x_2 := [b] ;$

if $x_1 > x_2$ **then** $([a] := x_2 ; [b] := x_1)$ **else skip** ;

$r := (x_1 + x_2) \div 2 ;$

$\{\exists x_1, \alpha_0, x_2. (a \mapsto x_1 * \text{array } \alpha_0(a + 1, b - 1) * b \mapsto x_2)$
 $\wedge x_1 \cdot \alpha_0 \cdot x_2 \sim \alpha \wedge x_1 \leq r \leq x_2\})$

$\{\text{array } \alpha_0(a + 1, b - 1)\}$

$\text{partition}(c; a + 1, b - 1, r) \{\alpha_0\}$

$\{\exists \alpha_1, \alpha_2. (\text{array } \alpha_1(a + 1, c)$
 $* \text{array } \alpha_2(c + 1, b - 1))$

$\wedge \alpha_1 \cdot \alpha_2 \sim \alpha_0$

$\wedge \{\alpha_1\} \leq^* r \wedge r <^* \{\alpha_2\}\}$

$\{\exists x_1, \alpha_1, \alpha_2, x_2.$

$(a \mapsto x_1 * \text{array } \alpha_1(a + 1, c) * \text{array } \alpha_2(c + 1, b - 1) * b \mapsto x_2)$

$\wedge x_1 \cdot \alpha_1 \cdot \alpha_2 \cdot x_2 \sim \alpha \wedge x_1 \leq r \leq x_2 \wedge \{\alpha_1\} \leq^* r \wedge r <^* \{\alpha_2\}\}) ;$

$\{\exists \alpha_1, \alpha_2. (\text{array } \alpha_1(a, c) * \text{array } \alpha_2(c + 1, b))$

$\wedge \alpha_1 \cdot \alpha_2 \sim \alpha \wedge \{\alpha_1\} \leq^* \{\alpha_2\}\}$

:

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\vdots
 $\{\exists \alpha_1, \alpha_2. (\text{array } \alpha_1(a, c) * \text{array } \alpha_2(c + 1, b))$
 $\quad \wedge \alpha_1 \cdot \alpha_2 \sim \alpha \wedge \{\alpha_1\} \leq^* \{\alpha_2\}\}$
 $\{\text{array } \alpha_1(a, c)\}$
 $\text{quicksort}(); a, c\{\alpha_1\}$
 $\{\exists \beta. \text{array } \beta(a, c)$
 $\quad \wedge \beta \sim \alpha_1 \wedge \text{ord } \beta\}$
 $\left. \right\} * \left(\begin{array}{l} \text{array } \alpha_2(c + 1, b) \\ \wedge \alpha_1 \cdot \alpha_2 \sim \alpha \\ \wedge \{\alpha_1\} \leq^* \{\alpha_2\} \end{array} \right) \left. \right\} \exists \alpha_1, \exists \alpha_2$
 $\{\exists \beta_1, \alpha_2. (\text{array } \beta_1(a, c) * \text{array } \alpha_2(c + 1, b))$
 $\quad \wedge \beta_1 \cdot \alpha_2 \sim \alpha \wedge \{\beta_1\} \leq^* \{\alpha_2\} \wedge \text{ord } \beta_1\}$
 $\{\text{array } \alpha_2(c + 1, b)\}$
 $\text{quicksort}(); c + 1, b\{\alpha_2\}$
 $\{\exists \beta. \text{array } \beta(c + 1, b)$
 $\quad \wedge \beta \sim \alpha_2 \wedge \text{ord } \beta\}$
 $\left. \right\} * \left(\begin{array}{l} \text{array } \beta_1(a, c) \\ \wedge \beta_1 \cdot \alpha_2 \sim \alpha \\ \wedge \{\beta_1\} \leq^* \{\alpha_2\} \\ \wedge \text{ord } \beta_1 \end{array} \right) \left. \right\} \exists \beta_1, \exists \alpha_2$
 $\{\exists \beta_1, \beta_2. (\text{array } \beta_1(a, c) * \text{array } \beta_2(c + 1, b))$
 $\quad \wedge \beta_1 \cdot \beta_2 \sim \alpha \wedge \{\beta_1\} \leq^* \{\beta_2\} \wedge \text{ord } \beta_1 \wedge \text{ord } \beta_2\})$
else skip
 $\{\exists \beta. \text{array } \beta(a, b) \wedge \beta \sim \alpha \wedge \text{ord } \beta\}$

Thus we may define:

```

quicksort(a, b) =
  if a < b then newvar c in
    (newvar x1, x2, r in
      (x1 := [a] ; x2 := [b] ;
       if x1 > x2 then ([a] := x2 ; [b] := x1) else skip ;
       r := (x1 + x2) ÷ 2 ; partition(a + 1, b - 1, r; c)) ;
      quicksort(a, c) ; quicksort(c + 1, b))
    else skip.
  
```

A Cyclic Buffer Using an Array

We assume that an n -element array has been allocated at location l , and we write $x \oplus y$ for the integer such that

$$x \oplus y = x + y \text{ modulo } n \quad \text{and} \quad l \leq j < l + n.$$

We will use the variables

- m number of active elements
- i pointer to first active element
- j pointer to first inactive element

Let R abbreviate the assertion

$$R \stackrel{\text{def}}{=} 0 \leq m \leq n \wedge l \leq i < l + n \wedge l \leq j < l + n \wedge j = i \oplus m$$

It is easy to show that

$$\begin{aligned} &\{R \wedge m < n\} \\ &m := m + 1 ; \text{if } j = l + n - 1 \text{ then } j := l \text{ else } j := j + 1 \\ &\{R\} \end{aligned}$$

and

$$\begin{aligned} &\{R \wedge m > 0\} \\ &m := m - 1 ; \text{if } i = l + n - 1 \text{ then } i := l \text{ else } i := i + 1 \\ &\{R\} \end{aligned}$$

When the buffer contains a sequence α , it should satisfy

$$((\bigodot_{k=0}^{m-1} i \oplus k \mapsto \alpha_{k+1}) * (\bigodot_{k=0}^{n-m-1} j \oplus k \mapsto -)) \wedge m = \#\alpha \wedge R.$$

—

Inserting an Element

$$\{((\bigodot_{k=0}^{m-1} i \oplus k \mapsto \alpha_{k+1}) * (\bigodot_{k=0}^{n-m-1} j \oplus k \mapsto -)) \\ \wedge m = \#\alpha \wedge R \wedge m < n\}$$

$$\{((\bigodot_{k=0}^{m-1} i \oplus k \mapsto \alpha_{k+1}) * (\bigodot_{k=0}^0 j \oplus k \mapsto -) * \\ (\bigodot_{k=1}^{n-m-1} j \oplus k \mapsto -)) \wedge m = \#\alpha \wedge R \wedge m < n\}$$

$$\{((\bigodot_{k=0}^{m-1} i \oplus k \mapsto \alpha_{k+1}) * j \oplus 0 \mapsto - * \\ (\bigodot_{k=1}^{n-m-1} j \oplus k \mapsto -)) \wedge m = \#\alpha \wedge R \wedge m < n\}$$

[j] := x ;

$$\{((\bigodot_{k=0}^{m-1} i \oplus k \mapsto \alpha_{k+1}) * j \oplus 0 \mapsto x * \\ (\bigodot_{k=1}^{n-m-1} j \oplus k \mapsto -)) \wedge m = \#\alpha \wedge R \wedge m < n\}$$

$$\{((\bigodot_{k=0}^{m-1} i \oplus k \mapsto \alpha_{k+1}) * i \oplus m \mapsto x * \\ (\bigodot_{k=1}^{n-m-1} j \oplus k \mapsto -)) \wedge m = \#\alpha \wedge R \wedge m < n\}$$

$$\{((\bigodot_{k=0}^{m-1} i \oplus k \mapsto (\alpha \cdot x)_{k+1}) * i \oplus m \mapsto (\alpha \cdot x)_{m+1} * \\ (\bigodot_{k=1}^{n-m-1} j \oplus k \mapsto -)) \wedge m = \#\alpha \wedge R \wedge m < n\}$$

$$\{((\bigodot_{k=0}^{m-1} i \oplus k \mapsto (\alpha \cdot x)_{k+1}) * (\bigodot_{k=m}^m i \oplus k \mapsto (\alpha \cdot x)_{k+1}) * \\ (\bigodot_{k=1}^{n-m-1} j \oplus k \mapsto -)) \wedge m = \#\alpha \wedge R \wedge m < n\}$$

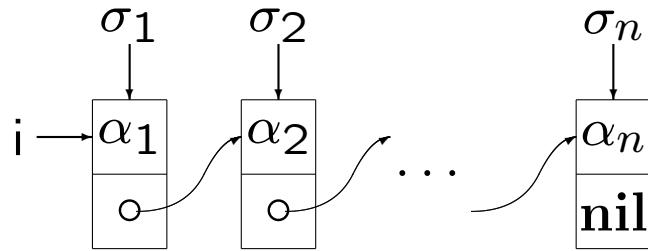
$$\{((\bigodot_{k=0}^m i \oplus k \mapsto (\alpha \cdot x)_{k+1}) * (\bigodot_{k=1}^{n-m-1} j \oplus k \mapsto -)) \\ \wedge m + 1 = \#(\alpha \cdot x) \wedge R \wedge m < n\}$$

$$\{((\bigodot_{k=0}^m i \oplus k \mapsto (\alpha \cdot x)_{k+1}) * (\bigodot_{k=0}^{n-m-2} j \oplus k \oplus 1 \mapsto -)) \\ \wedge m + 1 = \#(\alpha \cdot x) \wedge R \wedge m < n\}$$

m := m + 1 ; if j = l + n - 1 then j := l else j := j + 1

$$\{((\bigodot_{k=0}^{m-1} i \oplus k \mapsto (\alpha \cdot x)_{k+1}) * (\bigodot_{k=0}^{n-m-1} j \oplus k \mapsto -)) \\ \wedge m = \#(\alpha \cdot x) \wedge R\}$$

Connecting Two Views of Lists



If

$$\text{list } \epsilon \text{ i} \stackrel{\text{def}}{=} \text{emp} \wedge \text{i} = \text{nil}$$

$$\text{list } (\text{a} \cdot \alpha) \text{ i} \stackrel{\text{def}}{=} \exists j. \text{i} \mapsto \text{a}, j * \text{list } \alpha j$$

and

$$\text{listN } \epsilon \text{ i} \stackrel{\text{def}}{=} \text{emp} \wedge \text{i} = \text{nil}$$

$$\text{listN } (\text{b} \cdot \sigma) \text{ i} \stackrel{\text{def}}{=} \text{b} = \text{i} \wedge \exists j. \text{i} + 1 \mapsto j * \text{listN } \sigma j,$$

then

$$\text{list } \alpha \text{ i} \Leftrightarrow \exists \sigma. \# \sigma = \# \alpha \wedge (\text{listN } \sigma \text{ i} * \bigodot_{k=1}^{\# \alpha} \sigma_k \mapsto \alpha_k).$$

The proof is by induction on α .

Specifying Subset Lists

We use the following variables to denote various kinds of sequences:

α : sequences of integers

β, γ : nonempty sequences of addresses

σ : nonempty sequences of sequences of integers.

Our goal is to write a procedure subsets satisfying

$$\begin{aligned} H_{\text{subsets}} &\stackrel{\text{def}}{=} \\ &\{\text{list } \alpha i\} \\ &\text{subsets}(j; i)\{\alpha\} \\ &\{\exists \sigma, \beta. \text{ ss}(\alpha, \sigma) \wedge (\text{list } \alpha i * \text{list } \beta j * (Q(\sigma, \beta) \wedge R(\beta)))\}, \end{aligned}$$

where

$$\begin{aligned} \#\text{ext}_a \sigma &\stackrel{\text{def}}{=} \#\sigma \\ (\text{ext}_a \sigma)_i &\stackrel{\text{def}}{=} a \cdot \sigma_i \\ \text{ss}(\epsilon, \sigma) &\stackrel{\text{def}}{=} \sigma = [\epsilon] \\ \text{ss}(a \cdot \alpha, \sigma) &\stackrel{\text{def}}{=} \exists \sigma'. \text{ ss}(\alpha, \sigma') \wedge \sigma = (\text{ext}_a \sigma') \cdot \sigma' \\ Q(\sigma, \beta) &\stackrel{\text{def}}{=} \#\beta = \#\sigma \wedge \forall_{i=1}^{\#\beta} (\text{list } \sigma_i \beta_i * \text{true}) \\ R(\beta) &\stackrel{\text{def}}{=} (\beta_{\#\beta} = \text{nil} \wedge \text{emp}) * \\ &\quad \odot_{i=1}^{\#\beta-1} (\exists a, k. i < k \leq \#\beta \wedge \beta_i \mapsto a, \beta_k). \end{aligned}$$

The Storage Used by subsets

By induction on the definition of ss ,

$$\begin{aligned}\text{ss}(\epsilon, \sigma) &\stackrel{\text{def}}{=} \sigma = [\epsilon] \\ \text{ss}(a \cdot \alpha, \sigma) &\stackrel{\text{def}}{=} \exists \sigma'. \text{ss}(\alpha, \sigma') \wedge \sigma = (\text{ext}_a \sigma') \cdot \sigma',\end{aligned}$$

using $\#\text{ext}_a \sigma = \#\sigma$:

$$\text{ss}(\alpha, \sigma) \Rightarrow \#\sigma = 2^{\#\alpha}.$$

By the definition of Q ,

$$Q(\sigma, \beta) \stackrel{\text{def}}{=} \#\beta = \#\sigma \wedge \forall_{i=1}^{\#\beta} (\text{list } \sigma_i \beta_i * \text{true}),$$

we have

$$\#\beta = \#\sigma.$$

By induction on the definition of list:

list α describes a heap containing $\#\alpha$ two-cells,

list β describes a heap containing $\#\beta$ two-cells.

By the definition of $R(\beta)$:

$$\begin{aligned}R(\beta) &\stackrel{\text{def}}{=} (\beta_{\#\beta} = \text{nil} \wedge \text{emp}) * \\ &\quad \odot_{i=1}^{\#\beta-1} (\exists a, k. \ i < k \leq \#\beta \wedge \beta_i \mapsto a, \beta_k),\end{aligned}$$

and of \odot :

$R(\beta)$ describes a heap containing $\#\beta - 1$ two-cells.

The Storage Used by subsets (continued)

Thus the postcondition of the specification of subsets:

$$\{\exists \sigma, \beta. \text{ ss}(\alpha, \sigma) \wedge (\underline{\text{list } \alpha i} * \underline{\text{list } \beta j} * (Q(\sigma, \beta) \wedge \underline{R(\beta)}))\}$$

describes a heap containing three disjoint parts:

- a list containing $\#\alpha$ two-cells (the input list),
 - a list containing $2^{\#\alpha}$ two-cells,
 - a list containing $2^{\#\alpha} - 1$ two-cells.
-

Some Properties

The predicates

$$\begin{aligned}
 Q(\sigma, \beta) &\stackrel{\text{def}}{=} \#\beta = \#\sigma \wedge \forall_{i=1}^{\#\beta} (\text{list } \sigma_i \beta_i * \text{true}) \\
 R(\beta) &\stackrel{\text{def}}{=} (\beta_{\# \beta} = \text{nil} \wedge \text{emp}) * \\
 &\quad \bigcirc_{i=1}^{\#\beta - 1} (\exists a, k. \ i < k \leq \#\beta \wedge \beta_i \mapsto a, \beta_k) \\
 W(\beta, \gamma, a) &\stackrel{\text{def}}{=} \#\gamma = \#\beta \wedge \bigcirc_{i=1}^{\#\gamma} \gamma_i \mapsto a, \beta_i
 \end{aligned}$$

satisfy

$$Q([\epsilon], [\text{nil}]) \Leftrightarrow \text{true}$$

$$R([\text{nil}]) \Leftrightarrow \text{emp}$$

$$W(\beta, \gamma, a) * g \mapsto a, b \Leftrightarrow W(b \cdot \beta, g \cdot \gamma, a) \tag{1}$$

$$Q(\sigma, \beta) * W(\beta, \gamma, a) \Rightarrow Q((\text{ext}_a \sigma) \cdot \sigma, \gamma \cdot \beta) \tag{2}$$

$$R(\beta) * W(\beta, \gamma, a) \Rightarrow R(\gamma \cdot \beta) \tag{3}$$

$$(Q(\sigma, \beta) \wedge R(\beta)) * W(\beta, \gamma, a) \Rightarrow Q((\text{ext}_a \sigma) \cdot \sigma, \gamma \cdot \beta) \wedge R(\gamma \cdot \beta).$$

Proofs (1)

$$\begin{aligned} W(\beta, \gamma, a) * g \mapsto a, b \\ \Leftrightarrow \#\gamma = \#\beta \wedge (g \mapsto a, b * \odot_{i=1}^{\#\gamma} \gamma_i \mapsto a, \beta_i) \\ \Leftrightarrow \#g \cdot \gamma = \#b \cdot \beta \wedge ((\odot_{i=1}^1 (g \cdot \gamma)_i \mapsto a, (b \cdot \beta)_i) * \\ (\odot_{i=1}^{\#g \cdot \gamma - 1} (g \cdot \gamma)_{i+1} \mapsto a, (b \cdot \beta)_{i+1})) \\ \Leftrightarrow \#g \cdot \gamma = \#b \cdot \beta \wedge \odot_{i=1}^{\#g \cdot \gamma} (g \cdot \gamma)_i \mapsto a, (b \cdot \beta)_i \\ \Leftrightarrow W(b \cdot \beta, g \cdot \gamma, a). \end{aligned}$$

Proofs (2)

Let

$$p(i) \stackrel{\text{def}}{=} \text{list } \sigma_i \beta_i \quad q(i) \stackrel{\text{def}}{=} \gamma_i \mapsto a, \beta_i$$

$$O \stackrel{\text{def}}{=} \bigcirc_{i=1}^n q(i) \quad n \stackrel{\text{def}}{=} \#\sigma.$$

Then

$$\begin{aligned}
& Q(\sigma, \beta) * W(\beta, \gamma, a) \\
& \Rightarrow (\#\beta = n \wedge \forall_{i=1}^{\#\beta} p(i) * \text{true}) * (\#\gamma = \#\beta \wedge \bigcirc_{i=1}^{\#\gamma} q(i)) \\
& \Rightarrow \#\beta = n \wedge \#\gamma = n \wedge ((\forall_{i=1}^n p(i) * \text{true}) * O) \\
& \Rightarrow \#\beta = n \wedge \#\gamma = n \wedge ((\forall i. 1 \leq i \leq n \Rightarrow p(i) * \text{true}) * O) \\
& \Rightarrow \#\beta = n \wedge \#\gamma = n \wedge (\forall i. ((1 \leq i \leq n \Rightarrow p(i) * \text{true}) * O)) \\
& \Rightarrow \#\beta = n \wedge \#\gamma = n \wedge (\forall i. (1 \leq i \leq n \Rightarrow (p(i) * \text{true} * O))) \\
& \Rightarrow \#\beta = n \wedge \#\gamma = n \wedge \forall_{i=1}^n (p(i) * \text{true} * O) \\
& \Rightarrow \#\beta = n \wedge \#\gamma = n \wedge \forall_{i=1}^n (p(i) * \text{true}) \wedge \\
& \quad \forall_{i=1}^n (p(i) * \text{true} * O) \\
& \Rightarrow \#\beta = n \wedge \#\gamma = n \wedge \forall_{i=1}^n (p(i) * \text{true}) \wedge \\
& \quad \forall_{i=1}^n (p(i) * \text{true} * q(i)) \\
& \Rightarrow \#\beta = n \wedge \#\gamma = n \wedge \forall_{i=1}^n (p(i) * \text{true}) \wedge \\
& \quad \forall_{i=1}^n (\text{list } \sigma_i \beta_i * \text{true} * \gamma_i \mapsto a, \beta_i) \\
& \Rightarrow \#\gamma = n \wedge \#\beta = n \wedge \forall_{i=1}^n (\text{list } \sigma_i \beta_i * \text{true}) \wedge \\
& \quad \forall_{i=1}^n (\text{list } (\text{ext}_a \sigma)_i \gamma_i * \text{true}) \\
& \Rightarrow \#\gamma \cdot \beta = \#(\text{ext}_a \sigma) \cdot \sigma \wedge \\
& \quad \forall_{i=1}^{\#\gamma \cdot \beta} (\text{list } ((\text{ext}_a \sigma) \cdot \sigma)_i (\gamma \cdot \beta)_i * \text{true}) \\
& \Rightarrow Q((\text{ext}_a \sigma) \cdot \sigma, \gamma \cdot \beta).
\end{aligned}$$

—

Some Details

$$\begin{aligned} \#\beta=n \wedge \#\gamma=n \wedge ((\forall i. 1 \leq i \leq n \Rightarrow p(i) * \text{true}) * O) \\ \Rightarrow \#\beta=n \wedge \#\gamma=n \wedge (\forall i. ((1 \leq i \leq n \Rightarrow p(i) * \text{true}) * O)) \end{aligned}$$

by the semidistributive law for $*$ and \forall .

$$\begin{aligned} \#\beta=n \wedge \#\gamma=n \wedge (\forall i. ((1 \leq i \leq n \Rightarrow p(i) * \text{true}) * O)) \\ \Rightarrow \#\beta=n \wedge \#\gamma=n \wedge (\forall i. (1 \leq i \leq n \Rightarrow (p(i) * \text{true} * O))) \end{aligned}$$

since $((p \Rightarrow q) * r) \Rightarrow (p \Rightarrow (q * r))$ when p is pure.

—

Proofs (3)

$$\begin{aligned}
& R(\beta) * W(\beta, \gamma, a) \\
\Rightarrow & (\beta_{\# \beta} = \text{nil} \wedge \text{emp}) * \\
& \odot_{i=1}^{\#\gamma} \gamma_i \mapsto a, \beta_i * \\
& \odot_{i=1}^{\#\beta-1} (\exists a, k. i < k \leq \#\beta \wedge \beta_i \mapsto a, \beta_k) \\
\Rightarrow & ((\gamma \cdot \beta)_{\#\gamma \cdot \beta} = \text{nil} \wedge \text{emp}) * \\
& \odot_{i=1}^{\#\gamma} (\exists a, k. i \leq \#\gamma < k \leq \#\gamma \cdot \beta \wedge (\gamma \cdot \beta)_i \mapsto a, (\gamma \cdot \beta)_k) * \\
& \odot_{i=\#\gamma+1}^{\#\gamma \cdot \beta-1} (\exists a, k. i < k \leq \#\gamma \cdot \beta \wedge (\gamma \cdot \beta)_i \mapsto a, (\gamma \cdot \beta)_k) \\
\Rightarrow & ((\gamma \cdot \beta)_{\#\gamma \cdot \beta} = \text{nil} \wedge \text{emp}) * \\
& \odot_{i=1}^{\#\gamma \cdot \beta-1} (\exists a, k. i < k \leq \#\gamma \cdot \beta \wedge (\gamma \cdot \beta)_i \mapsto a, (\gamma \cdot \beta)_k) \\
\Rightarrow & R(\gamma \cdot \beta).
\end{aligned}$$

From (2) and (3), we have

$$\begin{aligned}
& (Q(\sigma, \beta) \wedge R(\beta)) * W(\beta, \gamma, a) \\
\Rightarrow & (Q(\sigma, \beta) * W(\beta, \gamma, a)) \wedge (R(\beta) * W(\beta, \gamma, a)) \\
\Rightarrow & Q((\text{ext}_a \sigma) \cdot \sigma, \gamma \cdot \beta) \wedge R(\gamma \cdot \beta).
\end{aligned}$$

A Subsidiary Recursive Procedure

```
extapp(k; a, i, j) =  
  if i = nil then k := j else  
    newvar b, i', g in  
      ( b := [i] ; i' := [i + 1] ;  
        extapp(k; a, i', j) ;  
        g := cons(a, b) ; k := cons(g, k) )
```

satisfies

$$\begin{aligned} & \{\text{list } \beta \text{ i}\} \\ & \text{extapp}(k; a, i, j) \{\beta\} \\ & \{\exists \gamma. \text{ list } \beta \text{ i} * \text{ lseg } \gamma(k, j) * W(\beta, \gamma, a)\} \end{aligned}$$

since

{list β i}

if $i = \text{nil}$ then $k := j$ else

{ $\exists b, i', \beta'. \beta = b \cdot \beta' \wedge (i \mapsto b, i' * \text{list } \beta' i')$ }

newvar b, i', g in

($b := [i] ; i' := [i + 1] ;$

{ $\exists \beta'. \beta = b \cdot \beta' \wedge (i \mapsto b, i' * \text{list } \beta' i')$ }

{list $\beta' i'$ }

extapp($k; a, i', j$) { β' }

{ $\exists \gamma. \text{list } \beta' i' * \text{lseg } \gamma(k, j) * W(\beta', \gamma, a)$ }

{ $\exists \gamma'. \text{list } \beta' i' * \text{lseg } \gamma'(k, j) * W(\beta', \gamma', a)$ }

{ $\exists \beta', \gamma'. \beta = b \cdot \beta' \wedge$

(list $(b \cdot \beta') i * \text{lseg } \gamma'(k, j) * W(\beta', \gamma', a)$)}

$g := \text{cons}(a, b);$

{ $\exists \beta', \gamma'. \beta = b \cdot \beta' \wedge$

(list $(b \cdot \beta') i * \text{lseg } \gamma'(k, j) * W(b \cdot \beta', g \cdot \gamma', a)$)}

$k := \text{cons}(g, k)$

{ $\exists \beta', \gamma'. \beta = b \cdot \beta' \wedge$

(list $(b \cdot \beta') i * \text{lseg } g \cdot \gamma'(k, j) * W(b \cdot \beta', g \cdot \gamma', a)$)}

)

{ $\exists \gamma. \text{list } \beta i * \text{lseg } \gamma(k, j) * W(\beta, \gamma, a)$ }

—

The Main Recursive Procedure

```
subsets(j; i) =  
  if i = nil then j := cons(nil, nil) else  
    newvar a, i', j' in  
      (a := [i] ; i' := [i + 1] ;  
       subsets(j'; i') ;  
       extapp(j; a, j', j'))
```

satisfies

$$\begin{aligned} & \{ \text{list } \alpha \text{ i} \} \\ & \text{subsets}(j; i) \{ \alpha \} \\ & \{ \exists \sigma, \beta. \text{ ss}(\alpha, \sigma) \wedge (\text{list } \alpha \text{ i} * \text{ list } \beta \text{ j} * (Q(\sigma, \beta) \wedge R(\beta))) \} \end{aligned}$$

since

$$\begin{aligned} & \{ \text{list } \alpha \text{ i} \} \\ & \text{if i = nil then j := cons(nil, nil) else} \\ & \quad : \end{aligned}$$

$$\begin{aligned}
& \vdots \\
& \{\exists a, i', \alpha'. \quad \alpha = a \cdot \alpha' \wedge (i \mapsto a, i' * \text{list } \alpha' i')\} \\
& \text{newvar } a, i', j' \text{ in } \left(a := [i] ; i' := [i + 1] ; \right. \\
& \quad \{\exists \alpha'. \quad \alpha = a \cdot \alpha' \wedge (i \mapsto a, i' * \text{list } \alpha' i')\} \\
& \quad \{\text{list } \alpha' i'\} \\
& \quad \text{subsets}(j'; i') \{\alpha'\} \\
& \quad \{\exists \sigma, \beta. \quad \text{ss}(\alpha', \sigma) \wedge \\
& \quad (\text{list } \alpha' i' * \text{list } \beta j' * (Q(\sigma, \beta) \wedge R(\beta)))\} \\
& \quad \{\exists \sigma', \beta'. \quad \text{ss}(\alpha', \sigma') \wedge \\
& \quad (\text{list } \alpha' i' * \text{list } \beta' j' * (Q(\sigma', \beta') \wedge R(\beta')))\} \\
& \quad \left. \quad \quad \quad * \quad (\alpha = a \cdot \alpha' \wedge i \mapsto a, i') \right\} \Bigg\} \exists \alpha' \\
& \{\exists \alpha', \sigma', \beta'. \quad \left(\alpha = a \cdot \alpha' \wedge \text{ss}(\alpha', \sigma') \wedge \right. \\
& \quad \left. (\text{list } (a \cdot \alpha') i * (Q(\sigma', \beta') \wedge R(\beta'))) \right) * \text{list } \beta' j' \} \\
& \{\text{list } \beta' j'\} \\
& \text{extapp}(j; a, j', j') \{\beta'\} \\
& \{\exists \gamma. \quad \text{list } \beta' j' * \text{lseg } \gamma(j, j') * W(\beta', \gamma, a)\} \\
& \quad * \left(\alpha = a \cdot \alpha' \wedge \text{ss}(\alpha', \sigma') \wedge \right. \\
& \quad \left. (\text{list } (a \cdot \alpha') i * (Q(\sigma', \beta') \wedge R(\beta'))) \right) \Bigg\} \exists \alpha', \sigma', \beta' \\
& \{\exists \alpha', \sigma', \beta'. \quad \left(\alpha = a \cdot \alpha' \wedge \text{ss}(\alpha', \sigma') \wedge \right. \\
& \quad \left. (\text{list } (a \cdot \alpha') i * (Q(\sigma', \beta') \wedge R(\beta'))) \right) * \\
& \quad (\exists \gamma. \quad \text{list } \beta' j' * \text{lseg } \gamma(j, j') * W(\beta', \gamma, a))\} \\
& \{\exists \alpha', \sigma', \beta', \gamma. \quad \alpha = a \cdot \alpha' \wedge \text{ss}(a \cdot \alpha', (\text{ext}_a \sigma') \cdot \sigma') \wedge \\
& \quad \left(\text{list } (a \cdot \alpha') i * \text{list } (\gamma \cdot \beta') j * \right. \\
& \quad \left. (Q((\text{ext}_a \sigma') \cdot \sigma', \gamma \cdot \beta') \wedge R(\gamma \cdot \beta')) \right)\} \\
& \{\exists \sigma, \beta. \quad \text{ss}(\alpha, \sigma) \wedge \left(\text{list } \alpha i * \text{list } \beta j * (Q(\sigma, \beta) \wedge R(\beta)) \right)\}
\end{aligned}$$

Exercise 1

Derive the axiom scheme

$$m \leq j \leq n \Rightarrow ((\bigcirc_{i=m}^n p(i)) \Rightarrow (p(j) * \text{true}))$$

from the other axiom schemata for iterating separating conjunction.

Exercise 2

The following is an alternative global rule for allocation that uses a ghost variable (v'):

- The ghost-variable global form (ALLOCGG)

$$\{v = v' \wedge r\} \quad v := \text{allocate } e \left\{ (\bigodot_{i=v}^{v+e'-1} i \mapsto -) * r' \right\},$$

where v' is distinct from v , e' denotes $e/v \rightarrow v'$, and r' denotes $r/v \rightarrow v'$.

Derive (ALLOCGG) from (ALLOCG) and (ALLOCL) from (ALLOCGG).

Exercise 3

Write an iterative version (in which recursion or, for that matter, procedures are not used) of the program for subset lists in the class notes. Since it is natural for efficient iterative programs to reverse lists, your program will not give exactly the same results as the one in the notes.

Specifically, you will need to replace the predicates ss and W by

$$\begin{aligned} ss'(\epsilon, \sigma) &\stackrel{\text{def}}{=} \sigma = [\epsilon] \\ ss'(a \cdot \alpha, \sigma) &\stackrel{\text{def}}{=} \exists \sigma'. (ss'(\alpha, \sigma') \wedge \sigma = (\text{ext}_a \sigma')^\dagger \cdot \sigma') \end{aligned}$$

and

$$W'(\beta, \gamma, a) \stackrel{\text{def}}{=} \#\gamma = \#\beta \wedge \bigcirc_{i=1}^{\#\gamma} \gamma_i \mapsto a, (\beta^\dagger)_i.$$

Then your program should contain a nest of two while commands. It should satisfy

$\{\text{list } \alpha \ i\}$

“Set j to list of lists of subsets of i ”

$\{\exists \sigma, \beta. \ ss'(\alpha^\dagger, \sigma) \wedge (\text{list } \beta \ j * (Q(\sigma, \beta) \wedge R(\beta)))\}.$

The invariant of the outer while should be

$$\begin{aligned} \exists \alpha', \alpha'', \sigma, \beta. \ \alpha'^\dagger \cdot \alpha'' = \alpha \wedge ss'(\alpha', \sigma) \wedge \\ (\text{list } \alpha'' \ i * \text{list } \beta \ j * (Q(\sigma, \beta) \wedge R(\beta))), \end{aligned}$$

and the invariant of the inner while should be

$$\begin{aligned} \exists \alpha', \alpha'', \sigma, \beta', \beta'', \gamma. \ \alpha'^\dagger \cdot a \cdot \alpha'' = \alpha \wedge ss'(\alpha', \sigma) \wedge \\ (\text{list } \alpha'' \ i * \text{lseg } \gamma(l, j) * \text{lseg } \beta'(j, m) * \text{list } \beta'' \ m * \\ (Q(\sigma, \beta' \cdot \beta'') \wedge R(\beta' \cdot \beta'')) * W'(\beta', \gamma, a)). \end{aligned}$$

At the completion of the inner while, the assertion

$$\begin{aligned} \exists \alpha', \alpha'', \sigma, \beta', \gamma. \ \alpha'^\dagger \cdot a \cdot \alpha'' = \alpha \wedge ss'(\alpha', \sigma) \wedge \\ (\text{list } \alpha'' \ i * \text{lseg } \gamma(l, j) * \text{list } \beta' \ j * \\ (Q(\sigma, \beta') \wedge R(\beta')) * W'(\beta', \gamma, a)) \end{aligned}$$

should hold.
