



**Lecture 14:**

# **Memory Consistency**

**Parallel Computer Architecture and Programming**  
**CMU 15-418/15-618, Spring 2019**

# What is Correct Behavior for a Parallel Memory Hierarchy?

- Note: **side-effects of writes** are only **observable** when **reads** occur
  - so we will focus on the **values returned by reads**
- Intuitive answer:
  - **reading a location** should return the **latest value written** (by any thread)
- Hmm... **what does “latest” mean exactly?**
  - within a thread, it can be defined by program order
  - but what about **across threads?**
    - the most recent write in **physical time?**
      - hopefully not, because there is no way that the hardware can pull that off
        - » e.g., if it takes >10 cycles to communicate between processors, there is no way that processor 0 can know what processor 1 did 2 clock ticks ago
    - most recent based upon **something else?**
      - Hmm...

## Refining Our Intuition

### Thread 0

```
// write evens to X
for (i=0; i<N; i+=2) {
    X = i;
    ...
}
```

### Thread 1

```
// write odds to X
for (j=1; j<N; j+=2) {
    X = j;
    ...
}
```

### Thread 2

```
...
A = X;
...
B = X;
...
C = X;
...
```

(Assume: X=0 initially, and these are the only writes to X.)

- What would be some clearly **illegal combinations** of (A,B,C)?
- How about:  
    (4,8,1)?                      (9,12,3)?                      (7,19,31)?
- What can we generalize from this?
  - writes from any **particular thread** must be **consistent with program order**
    - in this example, observed even numbers must be increasing (ditto for odds)
  - **across threads**: writes must be consistent with **a valid interleaving of threads**
    - not physical time! (programmer cannot rely upon that)

## Visualizing Our Intuition

### Thread 0

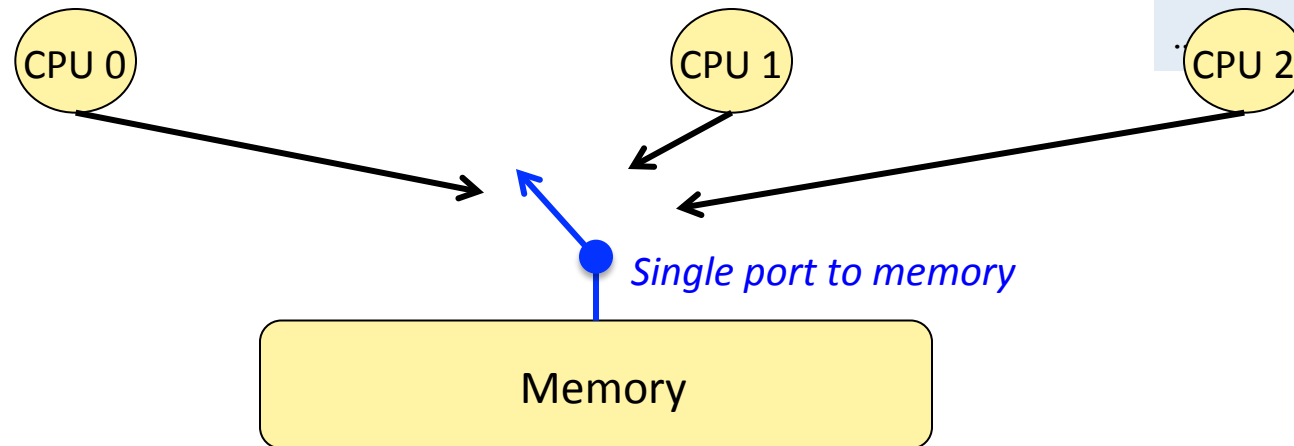
```
// write evens to X
for (i=0; i<N; i+=2) {
    X = i;
    ...
}
```

### Thread 1

```
// write odds to X
for (j=1; j<N; j+=2) {
    X = j;
    ...
}
```

### Thread 2

```
...
A = X;
...
B = X;
...
C = X;
```



- Each thread proceeds in **program order**
- **Memory accesses interleaved** (one at a time) to a **single-ported memory**
  - rate of progress of each thread is **unpredictable**

## Correctness Revisited

### Thread 0

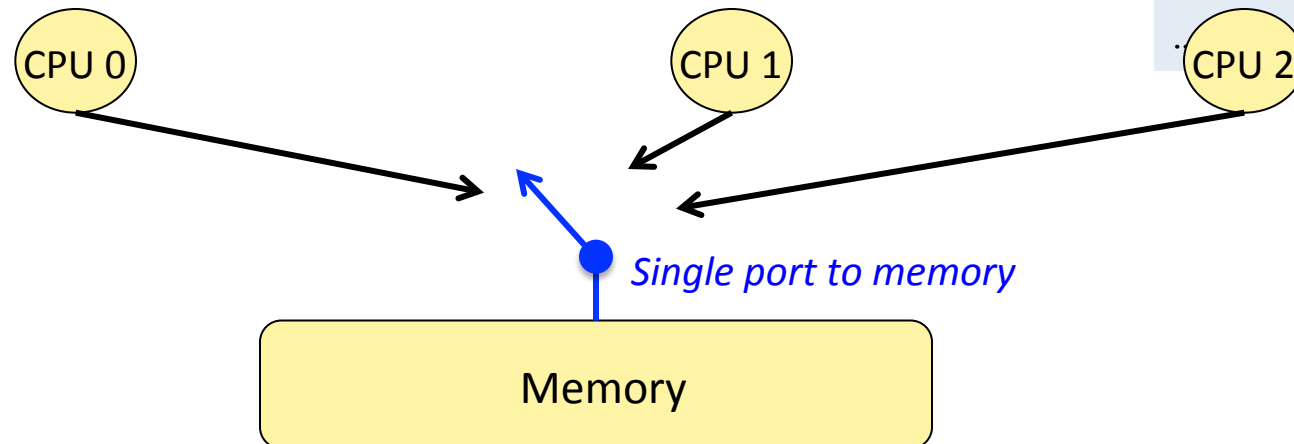
```
// write evens to X
for (i=0; i<N; i+=2) {
    X = i;
    ...
}
```

### Thread 1

```
// write odds to X
for (j=1; j<N; j+=2) {
    X = j;
    ...
}
```

### Thread 2

```
...
A = X;
...
B = X;
...
C = X;
```



Recall: “reading a location should return the **latest value written** (by any thread)”

- “**latest**” means consistent with **some interleaving that matches this model**
- this is a **hypothetical interleaving**; the machine didn’t necessarily do this!

## Part 2 of Memory Correctness: Memory Consistency Model

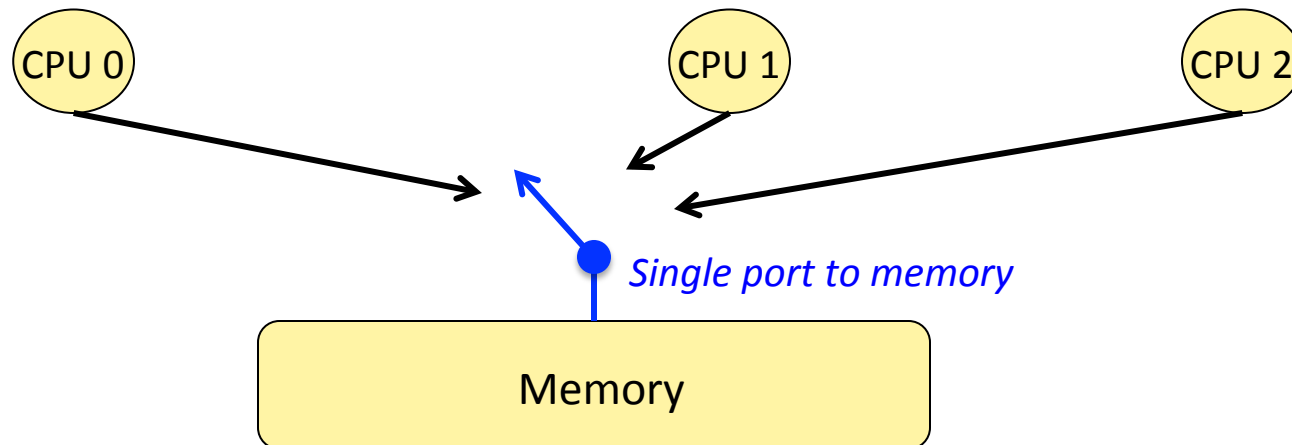
### 1. “Cache Coherence”

- do all loads and stores to a **given cache block** behave correctly?

### 2. “Memory Consistency Model” (sometimes called “Memory Ordering”)

- do all loads and stores, even to **separate cache blocks**, behave correctly?

Recall: our **intuition**

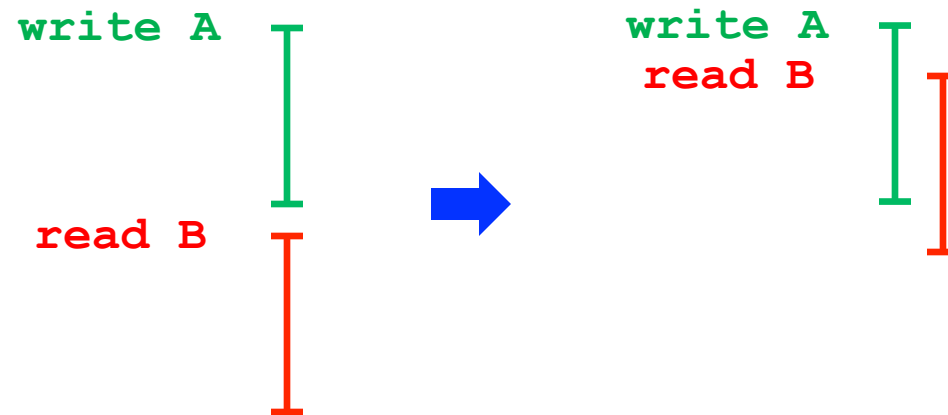


## Why is this so complicated?

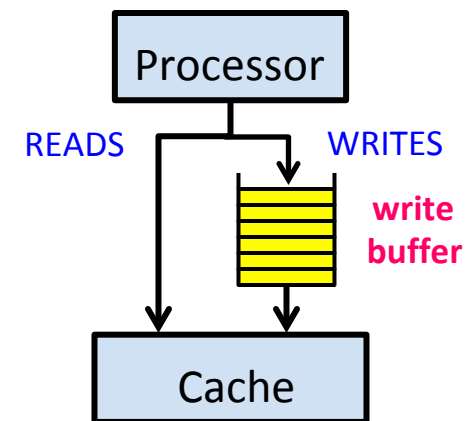
- Fundamental issue:
  - loads and stores are very expensive, even on a uniprocessor
    - can easily take 10's to 100's of cycles
- What programmers intuitively expect:
  - processor atomically performs one instruction at a time, in program order
- In reality:
  - if the processor actually operated this way, it would be painfully slow
  - instead, the processor aggressively reorders instructions to hide memory latency
- Upshot:
  - *within a given thread*, the processor preserves the program order illusion
  - but this illusion has nothing to do with what happens in physical time!
  - from the perspective of *other threads*, all bets are off!

## Hiding Memory Latency is Important for Performance

- Idea: *overlap* memory accesses with other accesses and computation



- Hiding *write* latency is simple in uniprocessors:
  - add a *write buffer*
  - (more on this later)
- (But this affects *correctness* in multiprocessors)

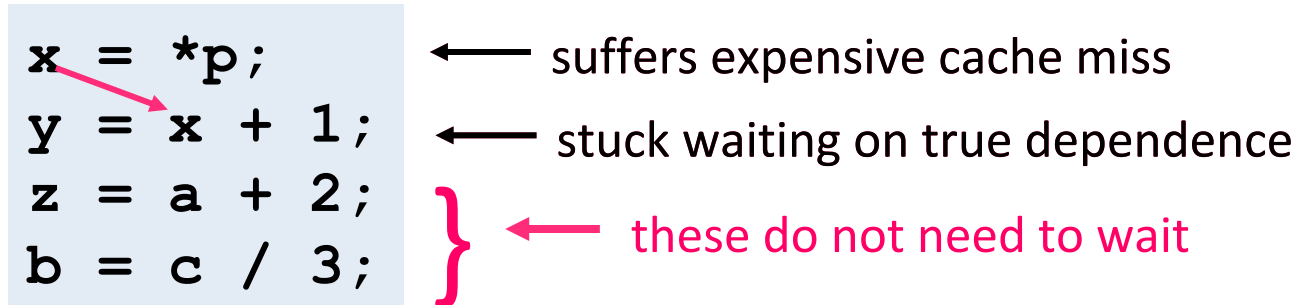




## How Can We Hide the Latency of Memory Reads?

### “Out of order” pipelining:

- when an instruction is stuck, perhaps there are subsequent instructions that can be executed



- Implication: memory accesses may be performed out-of-order!!!

## What About Conditional Branches?

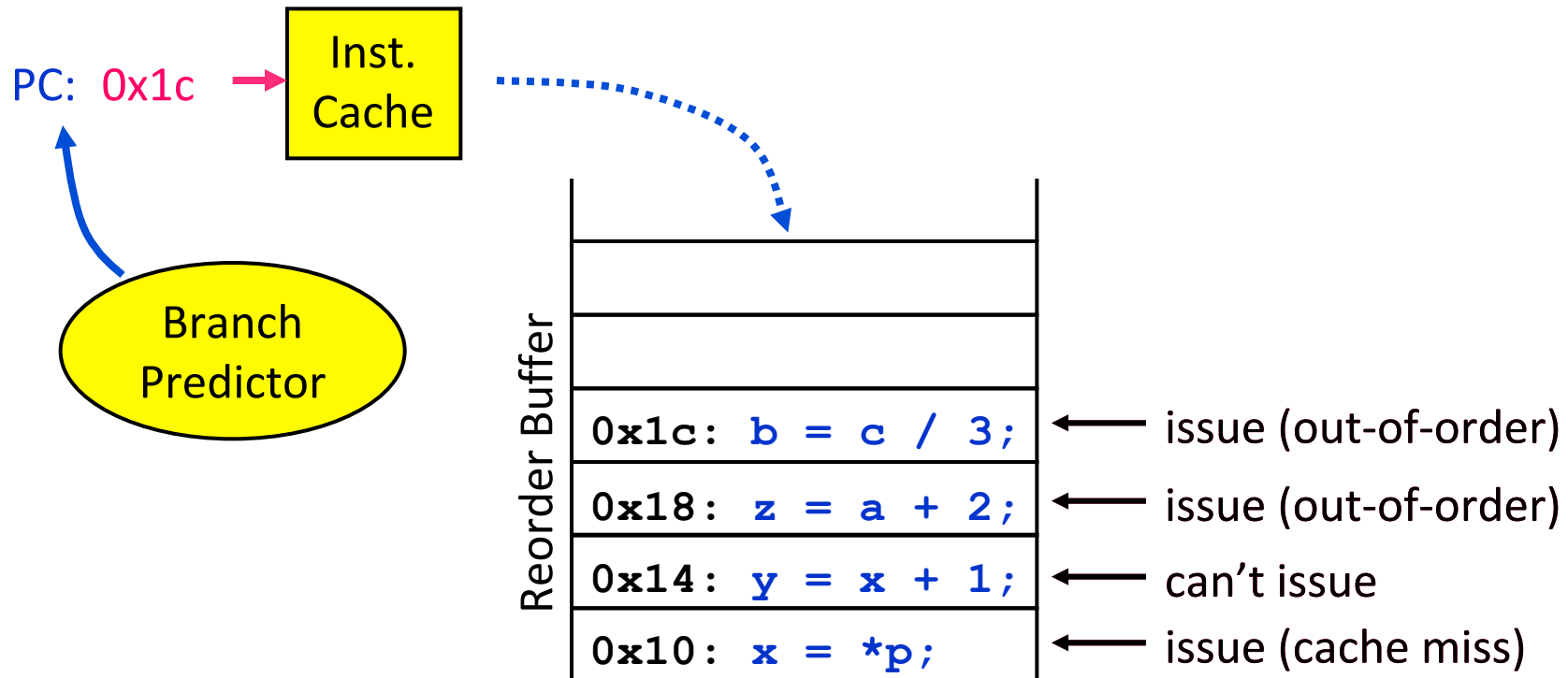
- Do we need to wait for a conditional branch to be resolved before proceeding?
  - No! Just **predict the branch outcome and continue executing speculatively**.
    - if prediction is wrong, squash any side-effects and restart down correct path

```
x = *p;  
y = x + 1;  
z = a + 2;  
b = c / 3;  
if (x != z)  
    d = e - 7;  
else d = e + 5;  
...
```

if hardware guesses that this is true  
then execute “then” part (speculatively)  
(without waiting for **x** or **z**)

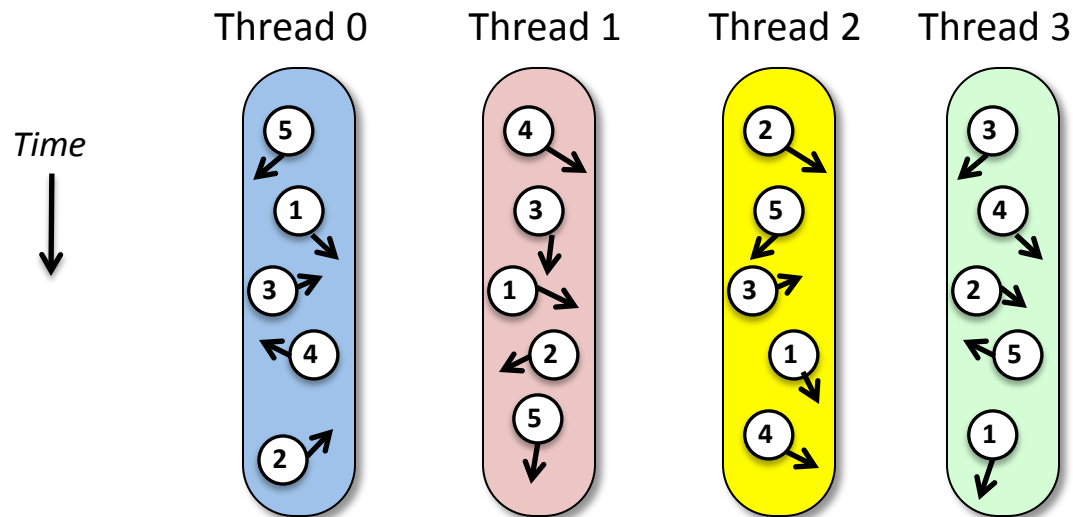
## How Out-of-Order Pipelining Works in Modern Processors

- Fetch and graduate instructions in-order, but **issue out-of-order**



- Intra-thread dependences are preserved, but **memory accesses get reordered!**

## Analogy: Gas Particles in Balloons

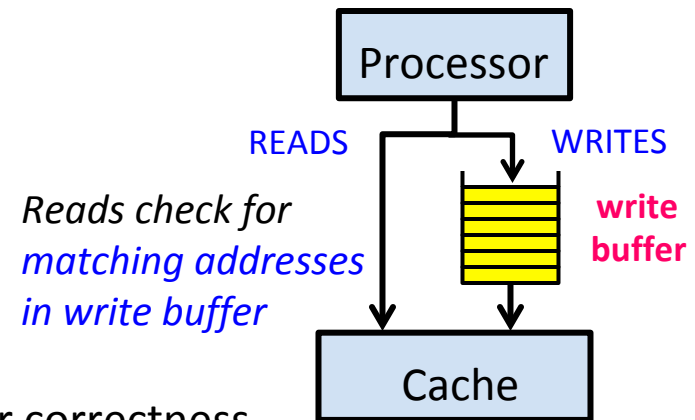
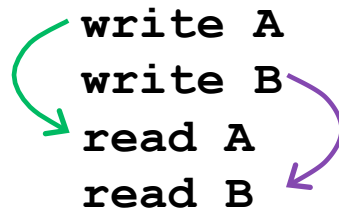


- Imagine that each instruction within a thread is a gas particle inside a twisty balloon
- They were numbered originally, but then they start to move and bounce around
- When a given thread observes memory accesses from a *different* thread:
  - those memory accesses can be (almost) **arbitrarily jumbled around**
    - like trying to locate the position of a particular gas particle in a balloon
- As we'll see later, the only thing that we can do is to put *twists* in the balloon

# Uniprocessor Memory Model

- **Memory model** specifies **ordering constraints** among accesses
- Uniprocessor model: memory accesses **atomic** and **in program order**

**write A**  
**write B**  
**read A**  
**read B**



- Not necessary to maintain sequential order for correctness
  - **hardware**: buffering, pipelining
  - **compiler**: register allocation, code motion
- **Simple** for programmers
- Allows for **high performance**

## In Parallel Machines (with a Shared Address Space)

- Order between **accesses to different locations** becomes important

*(Initially A and Ready = 0)*

P1

**A** = 1;

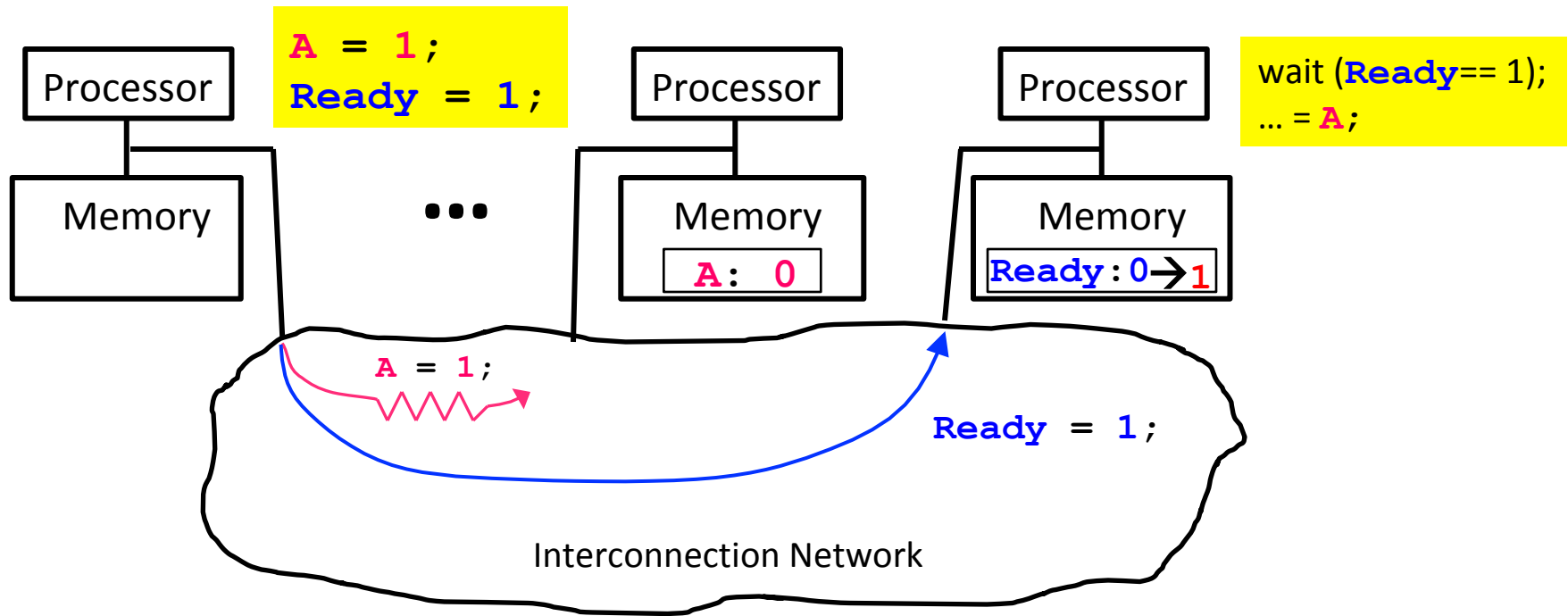
**Ready** = 1;

P2

**while** (**Ready** != 1) ;

... = **A**;

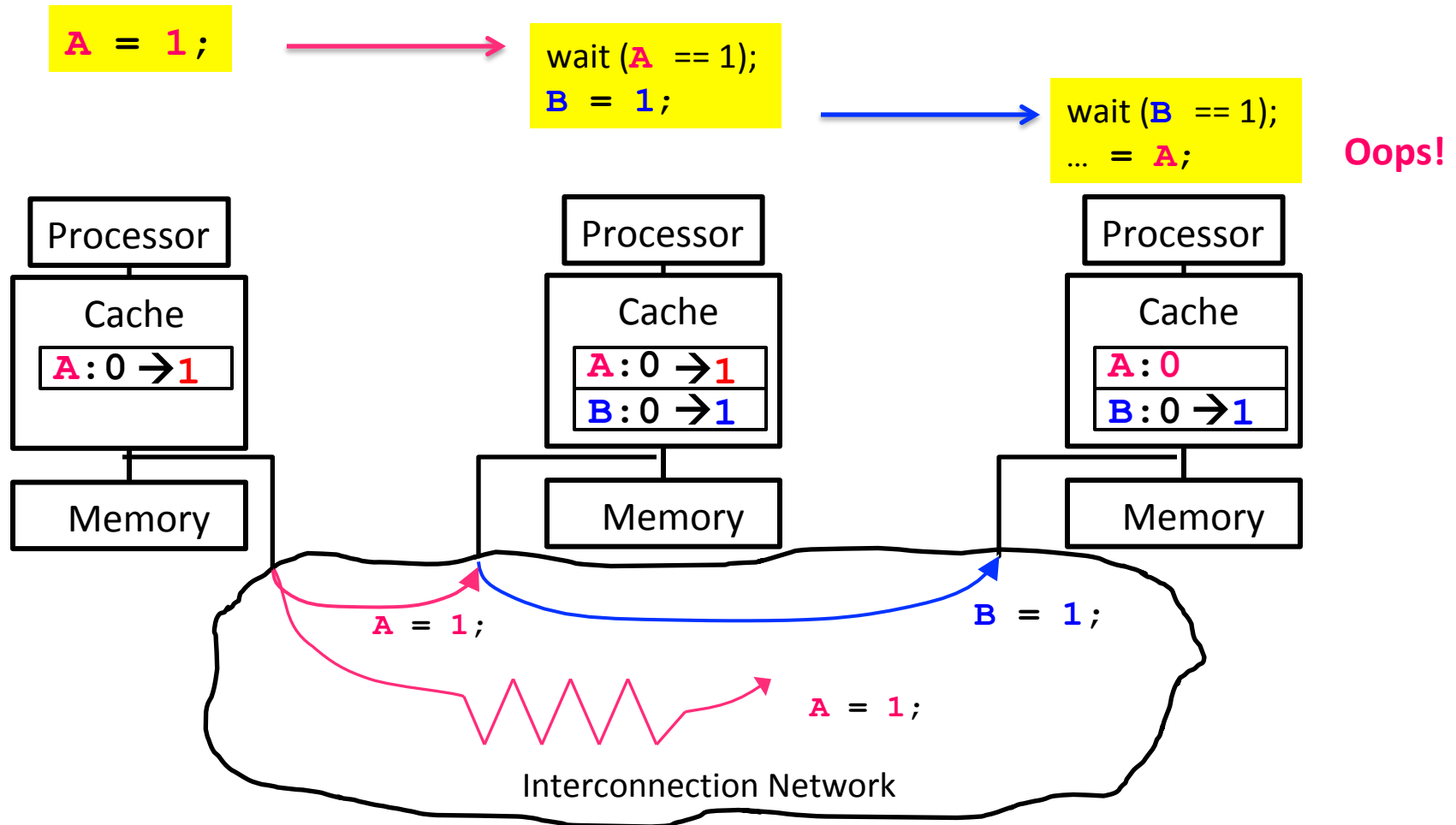
## How Unsafe Reordering Can Happen



- Distribution of memory resources
  - accesses issued in order may be observed out of order

## Caches Complicate Things More

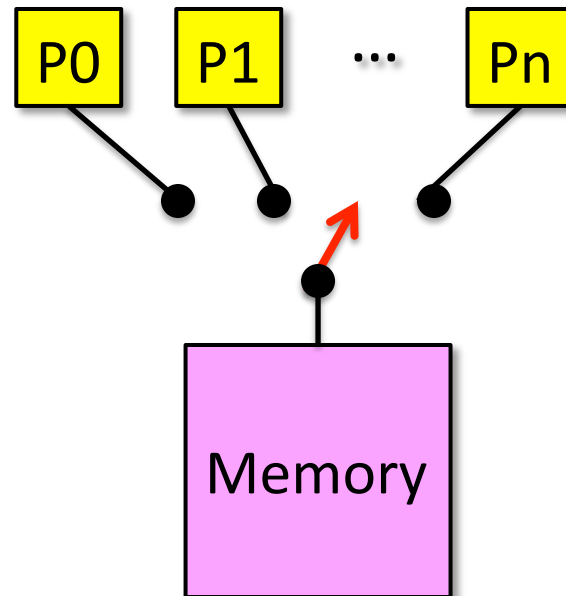
- Multiple copies of the same location





## Our Intuitive Model: “Sequential Consistency” (SC)

- Formalized by Lamport (1979)
  - accesses of each processor in **program order**
  - all accesses appear in **sequential order**



- Any order implicitly assumed by programmer is maintained

## Example with Sequential Consistency

### Simple Synchronization:

P0

**A** = 1 (a)

**Ready** = 1 (b)

P1

**x** = **Ready** (c)

**y** = **A** (d)

- all locations are initialized to 0
- possible outcomes for (x,y):
  - (0,0), (0,1), (1,1)
- (x,y) = (1,0) is **not a possible outcome** (i.e. **Ready** = 1, **A** = 0):
  - we know a->b and c->d by program order
  - b->c implies that a->d
  - y==0 implies d->a which leads to a contradiction
  - *but real hardware will do this!*

## Another Example with Sequential Consistency

Stripped-down version of a 2-process mutex (minus the turn-taking):

P0

**want**[0] = 1 (a)

**x** = **want**[1] (b)

P1

**want**[1] = 1 (c)

**y** = **want**[0] (d)

- all locations are initialized to 0
- possible outcomes for (x,y):
  - (0,1), (1,0), (1,1)
- (x,y) = (0,0) is **not a possible outcome** (i.e. **want**[0] = 0, **want**[1] = 0):
  - a->b and c->d implied by program order
  - x = 0 implies b->c which implies a->d
  - a->d says y = 1 which leads to a contradiction
  - similarly, y = 0 implies x = 1 which is also a contradiction
  - *but real hardware will do this!*

## One Approach to Implementing Sequential Consistency

1. Implement **cache coherence**
    - writes to the **same location** are observed in same order by all processors
  2. For each processor, **delay start of memory access until previous one completes**
    - each processor has only one outstanding memory access at a time
- What does it mean for a memory access to **complete**?

## When Do Memory Accesses Complete?

- Memory Reads:

- a read completes **when its return value is bound**

```
load r1 ← x
```

**x** = ???



**x** = **17** (Find **x** in memory system)



**r1** = **17**

## When Do Memory Accesses Complete?

- Memory Reads:
  - a read completes **when its return value is bound**
- Memory Writes:
  - a write completes **when the new value is “visible” to other processors**

`store 23 → x`

**x = 23**

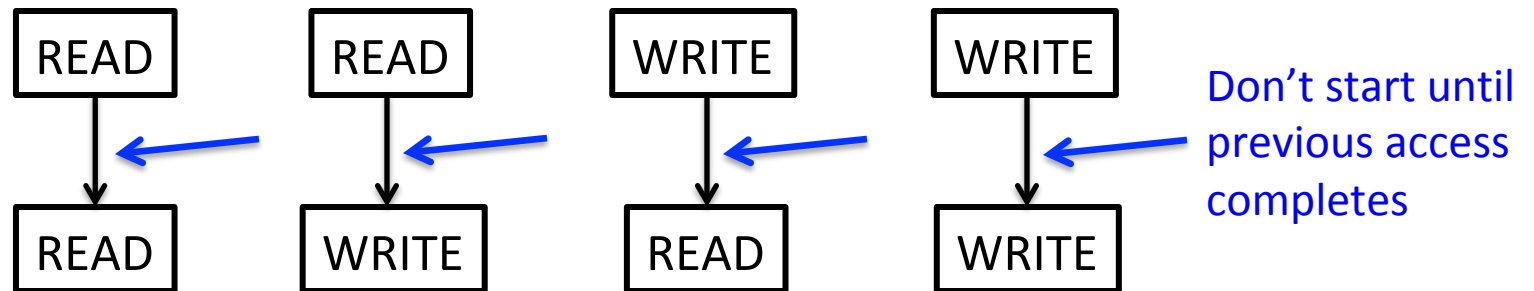


*(Commit to memory order)  
(aka “serialize”)*

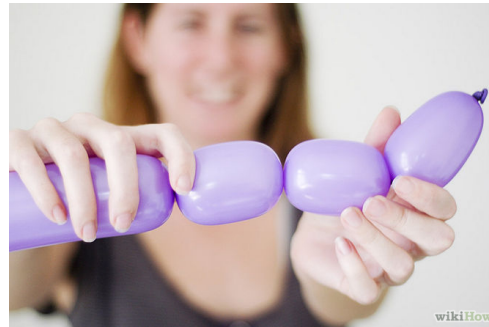
- What does “visible” mean?
  - it does NOT mean that other processors have necessarily seen the value yet
  - it means the **new value is committed to the hypothetical serializable order (HSO)**
    - a later read of **x** in the HSO will see either this value or a later one
  - (for simplicity, assume that writes occur atomically)

## Summary for Sequential Consistency

- Maintain order between shared accesses in each processor



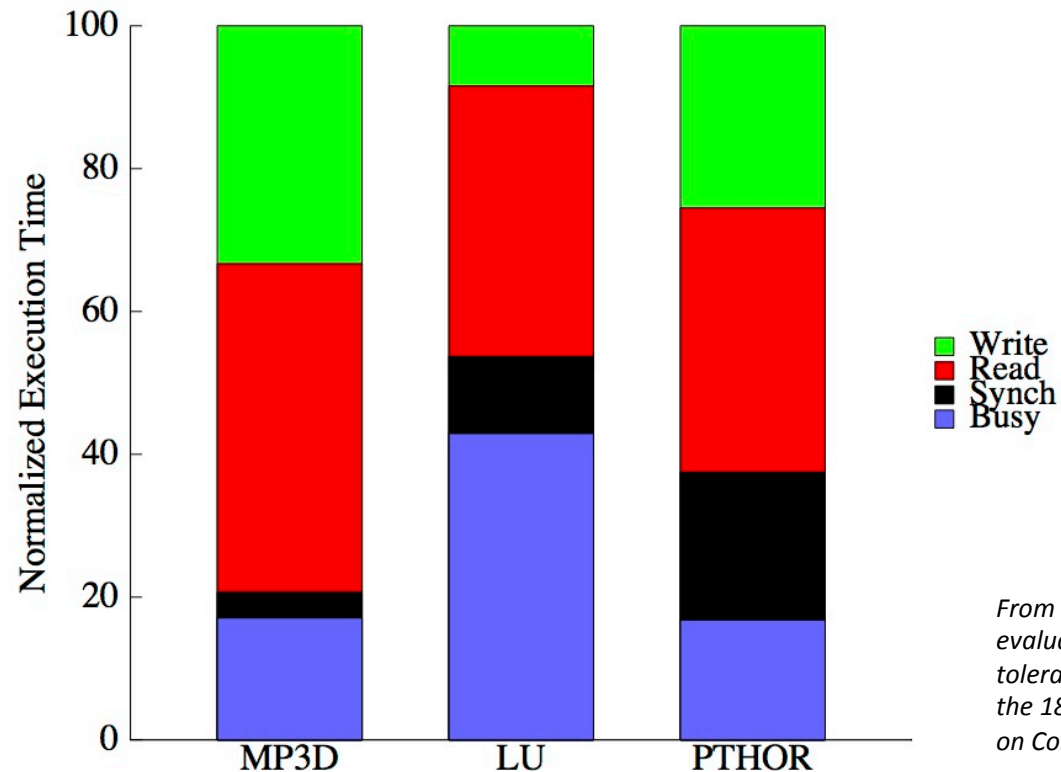
- Balloon analogy:
  - like putting a twist between each individual (ordered) gas particle



- Severely restricts common hardware and compiler optimizations

## Performance of Sequential Consistency

- Processor issues accesses **one-at-a-time** and **stalls for completion**



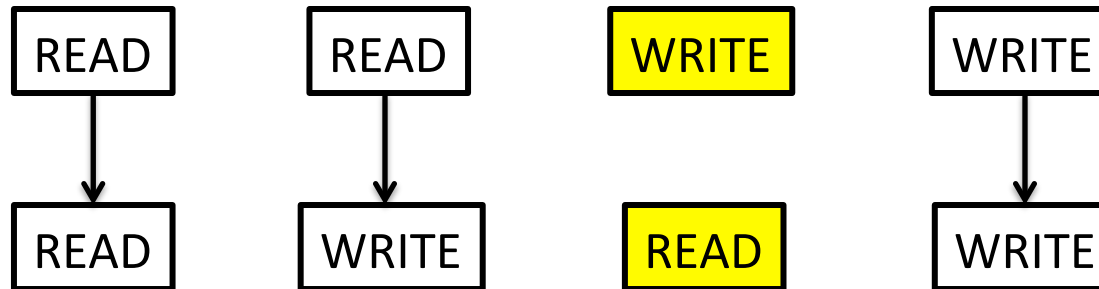
*From Gupta et al, "Comparative evaluation of latency reducing and tolerating techniques." In Proceedings of the 18th annual International Symposium on Computer Architecture (ISCA '91)*

- Low processor utilization** (17% - 42%) **even with caching**



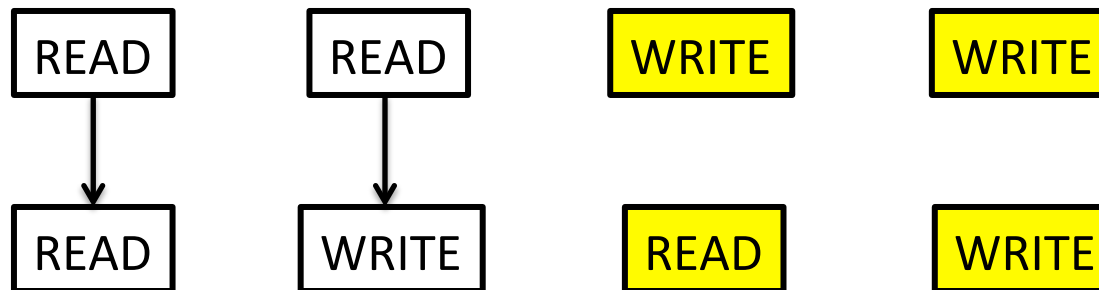
## Alternatives to Sequential Consistency

- Relax constraints on memory order



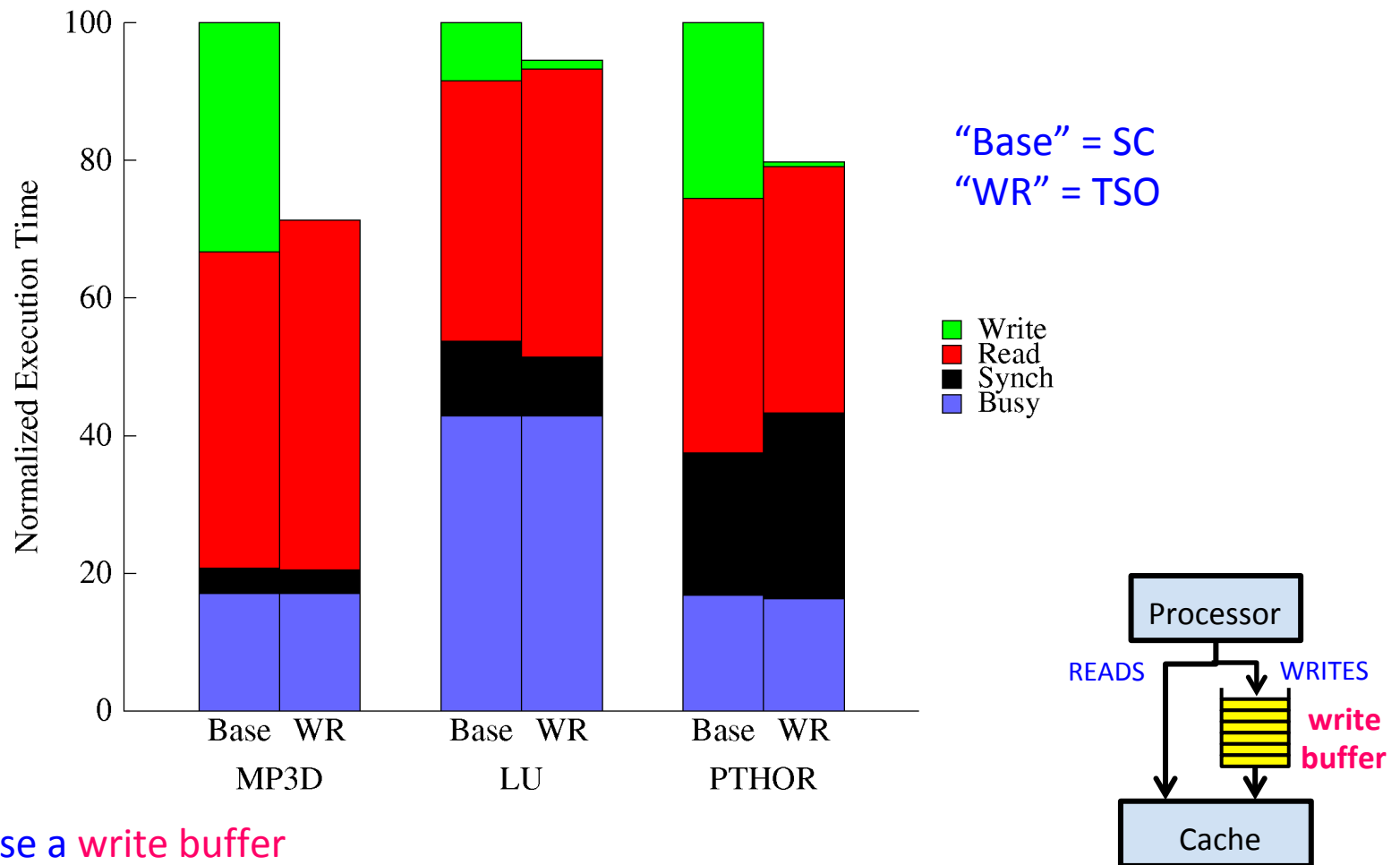
### Total Store Ordering (TSO) (Similar to Intel)

See Section 8.2 of “Intel® 64 and IA-32 Architectures Software Developer’s Manual, Volume 3A: System Programming Guide, Part 1”,  
<http://www.intel.com/content/dam/www/public/us/en/documents/manuals/64-ia-32-architectures-software-developer-vol-3a-part-1-manual.pdf>



### Partial Store Ordering (PSO)

## Performance Impact of TSO vs. SC



- Can use a write buffer
- Write latency is effectively hidden

## But Can Programs Live with Weaker Memory Orders?

- “Correctness”: same results as sequential consistency
- Most programs don’t require strict ordering (all of the time) for “correctness”

### Program Order

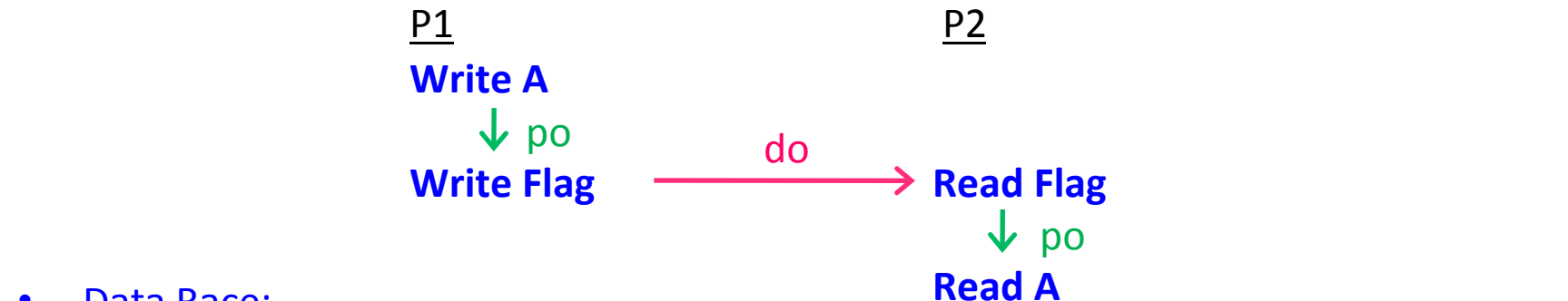
```
A = 1;  
  ↓  
B = 1;  
  ↓  
unlock L;    lock L;  
              ↓  
              ... = A;  
              ↓  
              ... = B;
```

### Sufficient Order

```
A = 1;  
  ↘  
  B = 1;  
  ↓  
unlock L;    lock L;  
              ↓  
              ... = A;  
              ↘  
              ... = B;
```

- But how do we know when a program will behave correctly?

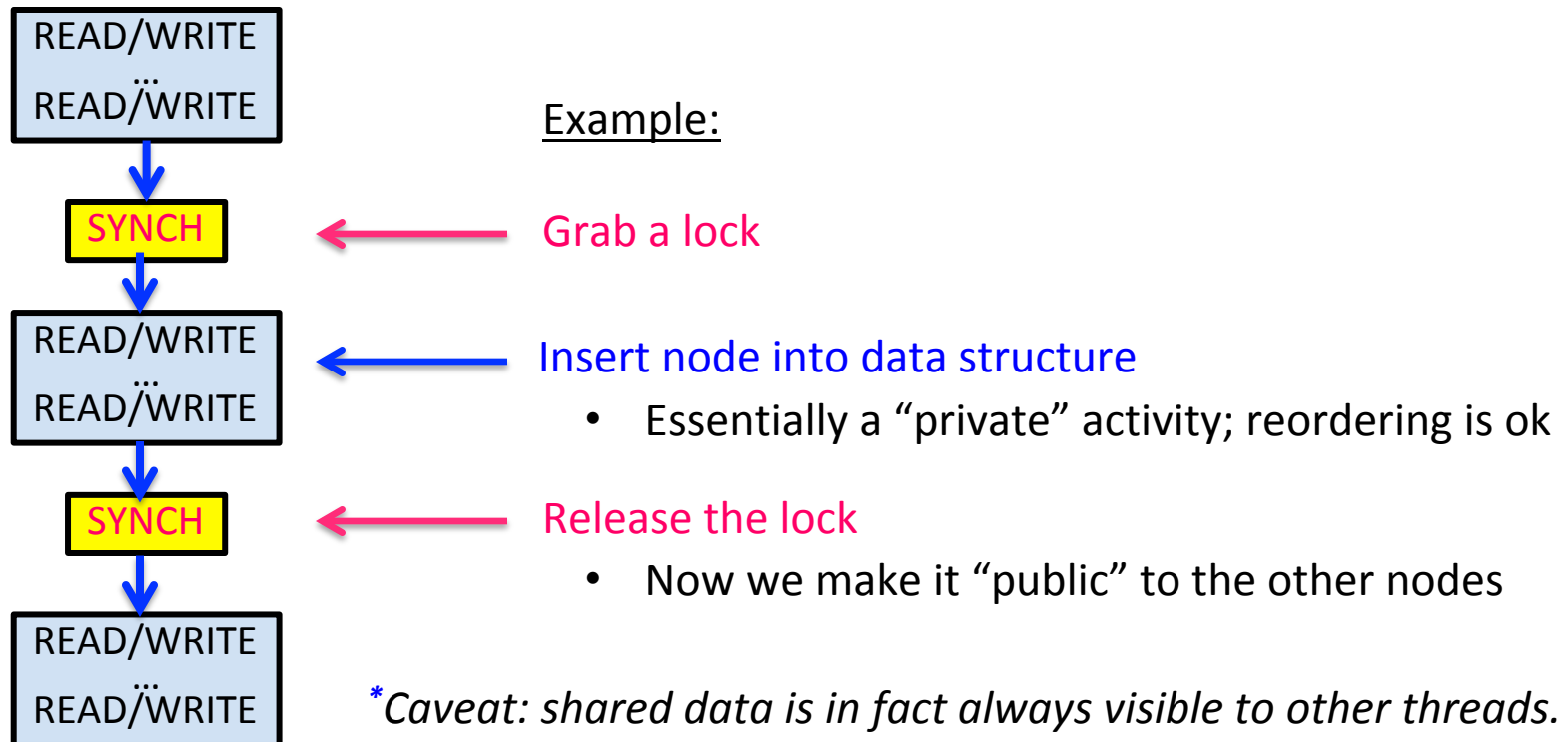
- Two accesses *conflict* if:
  - (i) access *same location*, and (ii) at least one is a *write*
- Order accesses by:
  - *program order* (po)
  - *dependence order* (do):  $op1 \rightarrow op2$  if  $op2$  reads  $op1$



- Data Race:
  - two conflicting accesses on different processors
  - not ordered by intervening accesses
- Properly Synchronized Programs:
  - all synchronizations are explicitly identified
  - all data accesses are ordered through synchronization

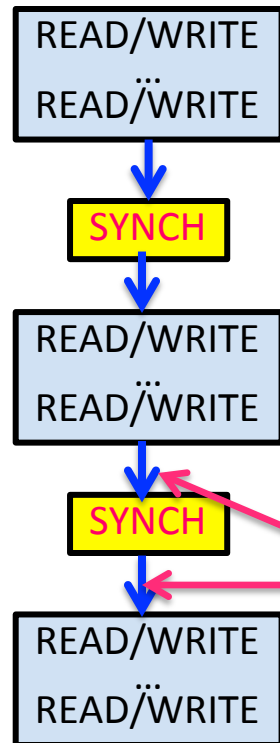
## Optimizations for Synchronized Programs

- Intuition: many parallel programs have mixtures of “private” and “public” parts\*
  - the “private” parts must be **protected by synchronization** (e.g., locks)
  - can we **take advantage of synchronization to improve performance?**



## Optimizations for Synchronized Programs

- Exploit information about synchronization



**Between** synchronization operations:

- we can **allow reordering** of memory operations
- (as long as intra-thread dependences are preserved)*

**Just before and just after** synchronization operations:

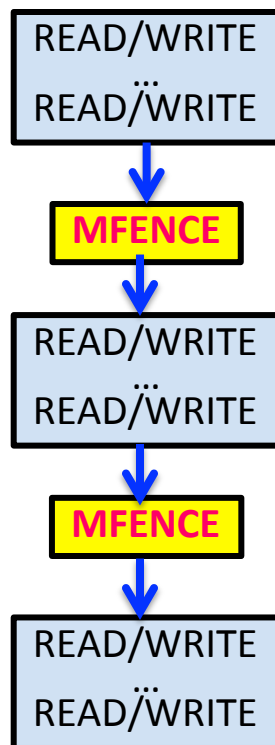
- thread must wait for all prior operations to complete

“Weak Ordering” (WO)

- properly synchronized programs should yield the **same result as on an SC machine**

## Intel's MFENCE (Memory Fence) Operation

- An **MFENCE** operation enforces the ordering seen on the previous slide:
  - does not begin until all prior reads & writes from that thread have completed
  - no subsequent read or write from that thread can start until after it finishes



Balloon analogy: it is a twist in the balloon

- no gas particles can pass through it



(wikiHow)

Good news: **xchg** does this implicitly!

## Implementing Lock with Xchg

`xchg(mem, reg)`

=

```
temp = *mem;  
*mem = reg;  
reg = temp;
```



Done atomically

`acquire() :`

```
while (1) {  
    reg = 1;  
    xchg(&lock, reg);  
    if (reg == 0)  
        break;  
}
```

`release() :`

```
reg = 0;  
xchg(&lock, reg);
```

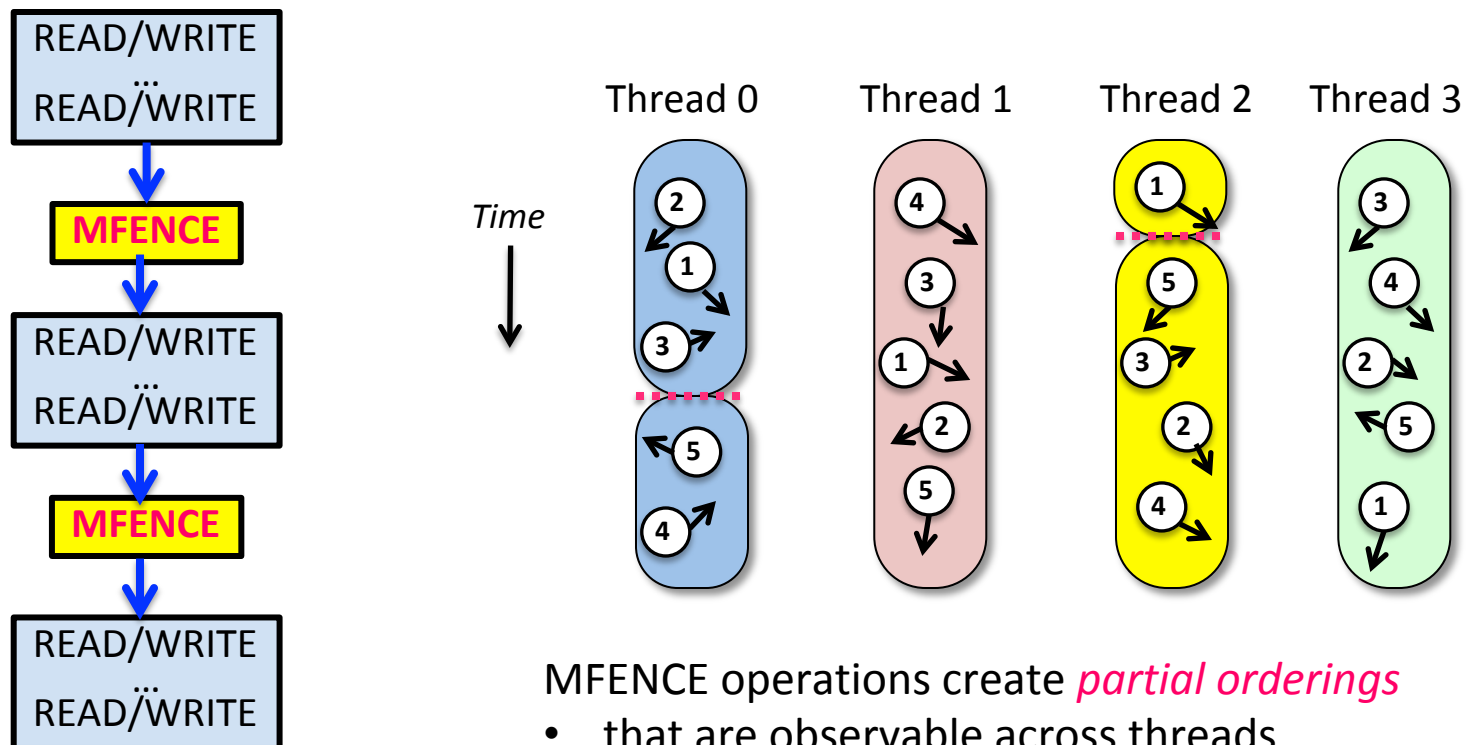


## ARM Processors

- ARM processors have a **very relaxed consistency model**
- ARM has some great examples in their programmer's reference:
  - [http://infocenter.arm.com/help/topic/com.arm.doc.genc007826/Barrier\\_Litmus\\_Tests\\_and\\_Cookbook\\_A08.pdf](http://infocenter.arm.com/help/topic/com.arm.doc.genc007826/Barrier_Litmus_Tests_and_Cookbook_A08.pdf)
- A great list regarding relaxed memory consistency in general:
  - <http://www.cl.cam.ac.uk/~pes20/weakmemory/>

## Common Misconception about MFENCE

- MFENCE operation **does NOT** push values out to other threads
  - it is not a magic “make every thread up-to-date” operation
- It simply **stalls the thread that performs the MFENCE until write buffer empty**



## Earlier (Broken) Example Revisited

Where exactly should we insert MFENCE operations to fix this?

P0

*[1: Here?]*

**A** = 1

*[2: Here?]*

**Ready** = 1

*[3: Here?]*

P1

*[4: Here?]*

**x** = **Ready**

*[5: Here?]*

**y** = **A**

*[6: Here?]*

## Earlier (Broken) Example Revisited

Where exactly should we insert MFENCE operations to fix this?

P0

*[1: Here?]*

**A** = 1

*MFENCE*

**Ready** = 1

*[3: Here?]*

P1

*[4: Here?]*

**x** = **Ready**

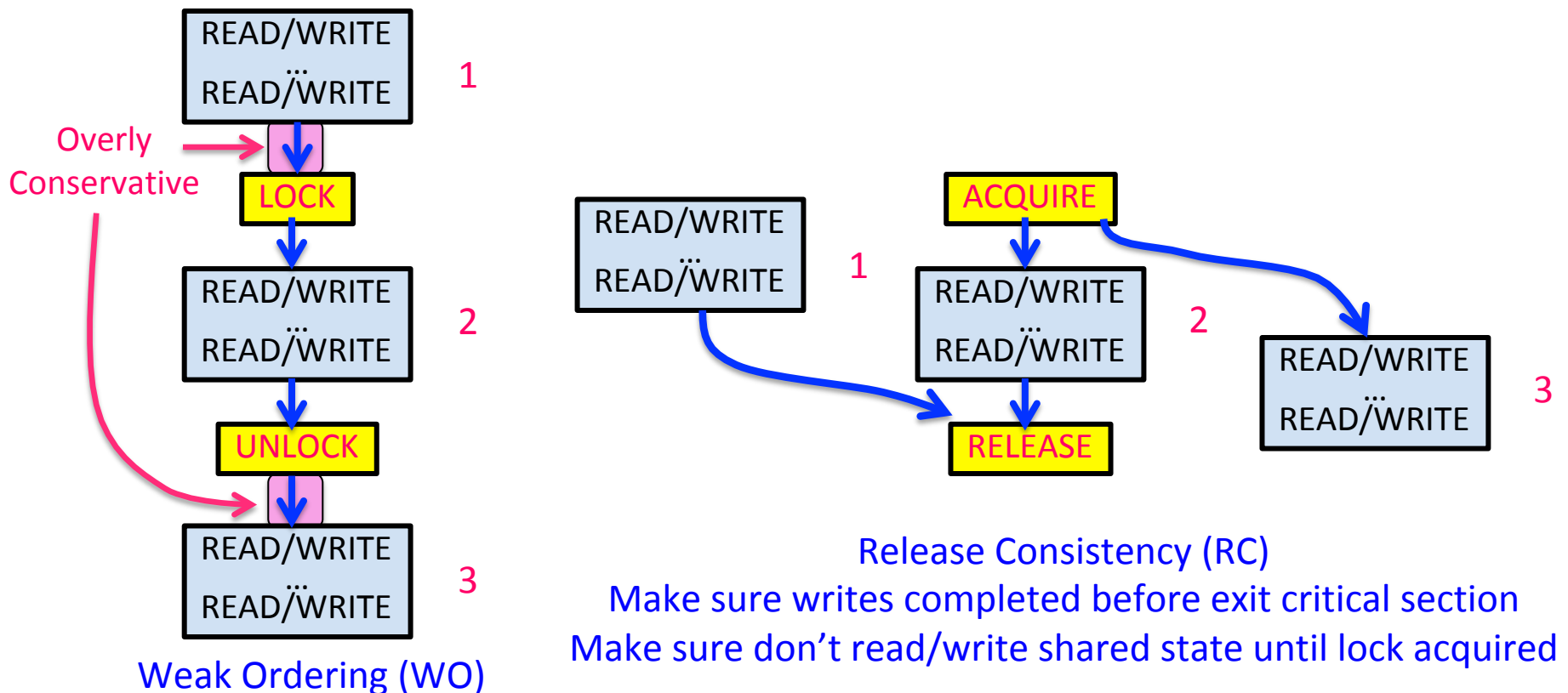
*MFENCE*

**y** = **A**

*[6: Here?]*

## Exploiting Asymmetry in Synchronization: “Release Consistency”

- Lock operation: only gains (“acquires”) permission to access data
- Unlock operation: only gives away (“releases”) permission to access data



## Intel's Full Set of Fence Operations

- In addition to **MFENCE**, Intel also supports two other fence operations:
  - **LFENCE**: serializes only with respect to **load** operations (not stores!)
  - **SFENCE**: serializes only with respect to **store** operations (not loads!)
    - Note: It does slightly more than this; see the spec for details:
      - *Section 8.2.5 of “Intel® 64 and IA-32 Architectures Software Developer’s Manual, Volume 3A: System Programming Guide, Part 1*
- In practice, **you are most likely to use**:
  - **MFENCE**
  - **xchg**

## Earlier (Broken) Example Revisited

Where exactly should we insert FENCE operations to fix this?

P0

*[1: Here?]*

**A** = 1

*SFENCE*

**Ready** = 1

*[3: Here?]*

P1

*[4: Here?]*

**x** = **Ready**

*LFENCE*

**y** = **A**

*[6: Here?]*

## Take-Away Messages on Memory Consistency Models

- **DON'T** use only normal memory operations for synchronization
  - e.g., Peterson's solution for mutual exclusion

```
boolean want[2] = {false, false};  
int turn = 0;
```

```
want[i] = true;  
turn = 1-i;  
while (want[1-i] && turn == 1-i)  
    continue;  
... critical section ...  
want[i] = false;
```

Exercise for the reader:  
Where should we add  
fences (and which type)  
to fix this?

- **DO** use either explicit synchronization operations (e.g., `xchg`) or fences

```
while (!xchg(&lock_available, 0)  
    continue;  
... critical section ...  
xchg(&lock_available, 1);
```



## Summary: Relaxed Consistency

- Motivation:
  - obtain **higher performance** by allowing reordering of memory operations
    - (reordering is not allowed by sequential consistency)
- One cost is **software complexity**:
  - the programmer or compiler must **insert synchronization**
    - to ensure certain specific orderings when needed
- In practice:
  - complexities often encapsulated in libraries that provide intuitive primitives
    - e.g., lock/unlock, barriers (or lower-level primitives like fence)
- Relaxed models differ in which memory ordering constraints they ignore