

# Constraint Satisfaction Problems

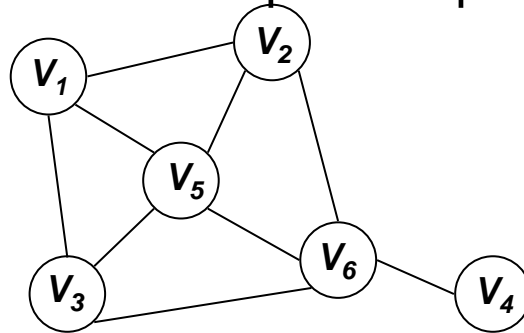
R&N Chapter 5

Animations from <http://www.cs.cmu.edu/~awm/animations/constraints>

## Outline

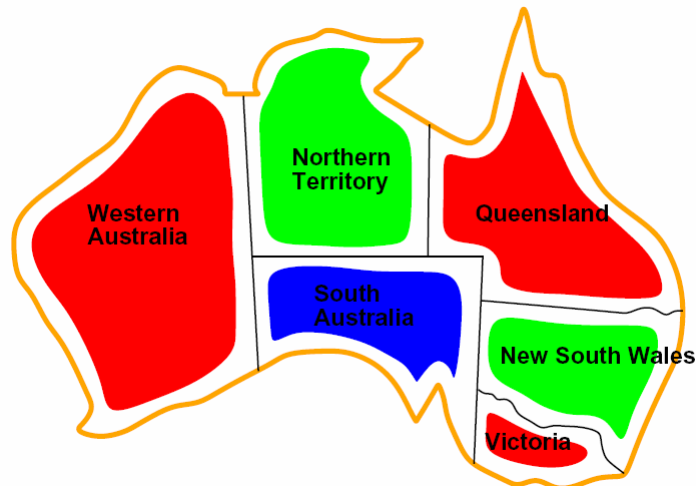
- Definitions
- Standard search
- Improvements
  - Backtracking
  - Forward checking
  - Constraint propagation
- Heuristics:
  - Variable ordering
  - Value ordering
- Examples
- Tree-structured CSP
- Local search for CSP problems

## Canonical Example: Graph Coloring



- Consider  $N$  nodes in a graph
- Assign values  $V_1, \dots, V_N$  to each of the  $N$  nodes
- The values are taken in  $\{R, G, B\}$
- Constraints: If there is an edge between  $i$  and  $j$ , then  $V_i$  must be different of  $V_j$

## Canonical Example: Graph Coloring



## CSP Definition

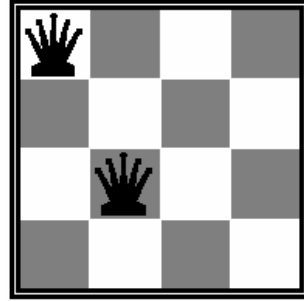
- $CSP = \{V, D, C\}$
- *Variables:*  $V = \{V_1, \dots, V_N\}$ 
  - Example: The values of the nodes in the graph
- *Domain:* The set of  $d$  values that each variable can take
  - Example:  $D = \{R, G, B\}$
- *Constraints:*  $C = \{C_1, \dots, C_k\}$
- Each constraint consists of a tuple of variables and a list of values that the tuple is allowed to take for this problem
  - Example:  $[(V_2, V_3), \{(R,B), (R,G), (B,R), (B,G), (G,R), (G,B)\}]$
- Constraints are usually defined implicitly  $\rightarrow$  A function is defined to test if a tuple of variables satisfies the constraint
  - Example:  $V_i \neq V_j$  for every edge  $(i,j)$

## Binary CSP

- Variable  $V$  and  $V'$  are connected if they appear in a constraint
- Neighbors of  $V$  = variables that are connected to  $V$
- The domain of  $V$ ,  $D(V)$ , is the set of candidate values for variable  $V$
- $D_i = D(V_i)$
- Constraint graph for binary CSP problem:
  - Nodes are variables
  - Links represent the constraints
  - Same as our canonical graph-coloring problem

## Example: N-Queens

- Variables:  $Q_i$
- Domains:  $D_i = \{1, 2, 3, 4\}$
- Constraints
  - $Q_i \neq Q_j$  (cannot be in same row)
  - $|Q_i - Q_j| \neq |i - j|$  (or same diagonal)



$$Q_1 = 1 \quad Q_2 = 3$$

- Valid values for  $(Q_1, Q_2)$  are  
 (1,3) (1,4) (2,4) (3,1) (4,1)  
 (4,2)

## Example: Cryptarithmic


- Variables  
 D, E, M, N, O, R, S, Y
- Domains  
 $\{0, 1, 2, 3, 4, 5, 6, 7, 8, 9\}$
- Constraints  
 $M \neq 0, S \neq 0$  (unary constraints)  
 $Y = D + E$  OR  $Y = D + E - 10$ .  
 $D \neq E, D \neq M, D \neq N$ , etc.

$$\begin{array}{r} \text{SEND} \\ + \text{MORE} \\ \hline \text{MONEY} \end{array}$$

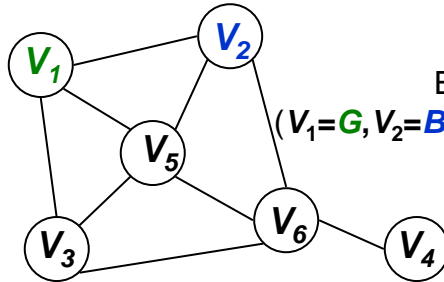
## More Useful Examples

- Scheduling
- Product design
- Asset allocation
- Circuit design
- Constrained robot planning
- .....

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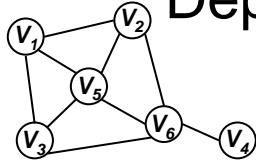
# Search Space



Example state:  
 $(V_1=G, V_2=B, V_3=?, V_4=?, V_5=?, V_6=?)$

- *State*: assignment to  $k$  variables with  $k+1, \dots, N$  unassigned
- *Successor*: The successor of a state is obtained by assigning a value to variable  $k+1$ , keeping the others unchanged
- *Start state*:  $(V_1=?, V_2=?, V_3=?, V_4=?, V_5=?, V_6=?)$
- *Goal state*: All variables assigned with constraints satisfied
- No concept of cost on transition  $\rightarrow$  We just want to find a solution, we don't worry how we get there

# Depth First Search



$V_1$	$V_2$	$V_3$	$V_4$	$V_5$	$V_6$
?	?	?	?	?	?

$V_1$	$V_2$	$V_3$	$V_4$	$V_5$	$V_6$	$V_1$	$V_2$	$V_3$	$V_4$	$V_5$	$V_6$	$V_1$	$V_2$	$V_3$	$V_4$	$V_5$	$V_6$
B	?	?	?	?	?	R	?	?	?	?	?	G	?	?	?	?	?

$V_1$	$V_2$	$V_3$	$V_4$	$V_5$	$V_6$
B	B	?	?	?	?

- Recursively:
  - For every possible value in  $D$ :
    - Set the next unassigned variable in the successor to that value
    - Evaluate the successor of the current state with this variable assignment
    - Stop as soon as a solution is found


Really dumb assignment

9d

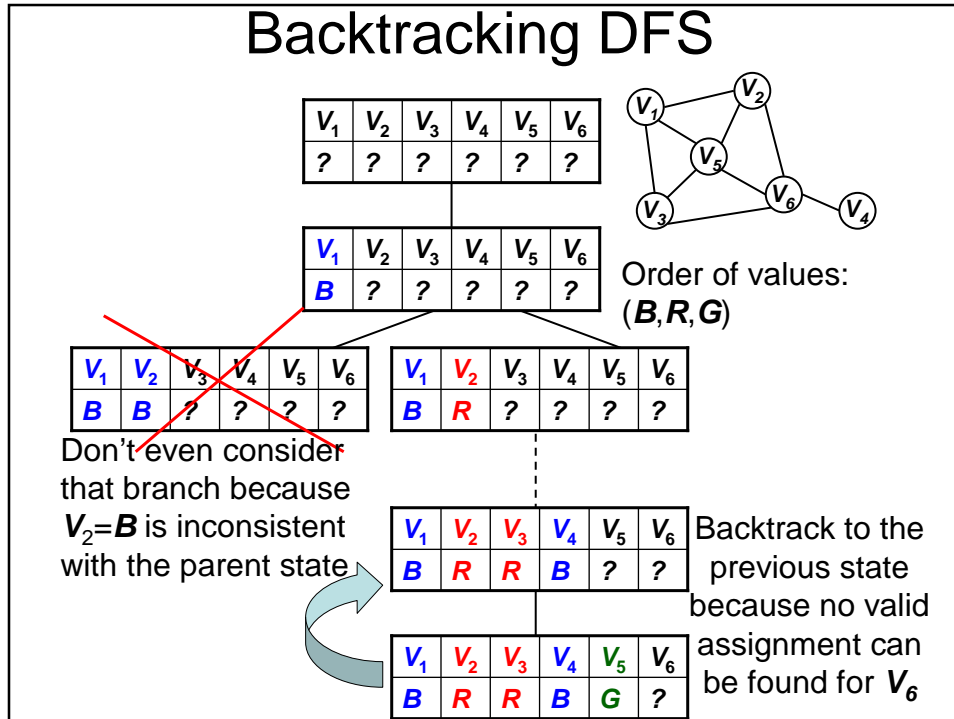
## DFS

- Improvements:
  - Evaluate only value assignments that do not violate any constraints with the current assignments
  - Don't search branches that obviously cannot lead to a solution
  - Predict valid assignments ahead
  - Control order of variables and values

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## Backtracking DFS



## Backtracking DFS

- For every possible value  $x$  in  $D$ :
  - If assigning  $x$  to the next unassigned variable  $V_{k+1}$  does not violate any constraint with the  $k$  already assigned variables:
    - Set the variable  $V_{k+1}$  to  $x$
    - Evaluate the successors of the current state with this variable assignment
- If no valid assignment is found: Backtrack to previous state
- Stop as soon as a solution is found

9b, 27b



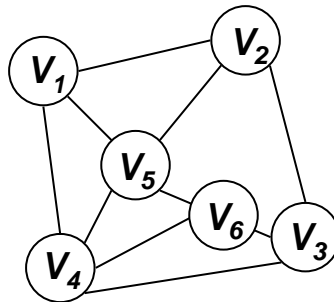
## Backtracking DFS Comments

- Additional computation: At each step, we need to evaluate the constraints associated with the current candidate assignment (variable, value).
- Uninformed search, we can improve by predicting:
  - What is the effect of assigning a variable on all of the other variables?
  - Which variable should be assigned next and in which order should the values be evaluated?
  - When a branch fails, how can we avoid repeating the same mistake?

## Forward Checking

- Keep track of remaining legal values for unassigned variables
- Backtrack when any variable has no legal values

	$V_1$	$V_2$	$V_3$	$V_4$	$V_5$	$V_6$
<b>R</b>	?	?	?	?	?	?
<b>B</b>	?	?	?	?	?	?
<b>G</b>	?	?	?	?	?	?

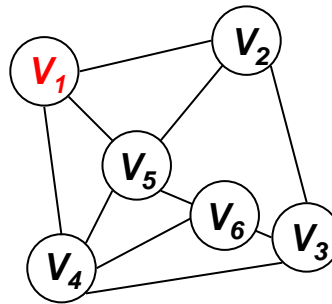


Warning: Different example with order (R,B,G)

## Forward Checking

- Keep track of remaining legal values for unassigned variables
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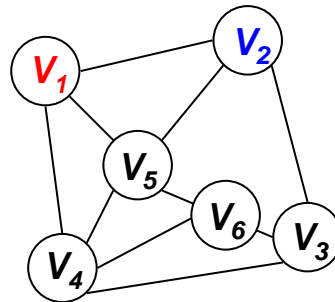
	$V_1$	$V_2$	$V_3$	$V_4$	$V_5$	$V_6$
<i>R</i>	O	X	?	X	X	?
<i>B</i>		?	?	?	?	?
<i>G</i>		?	?	?	?	?



## Forward Checking

- Keep track of remaining legal values for unassigned variables
- Backtrack when any variable has no legal values

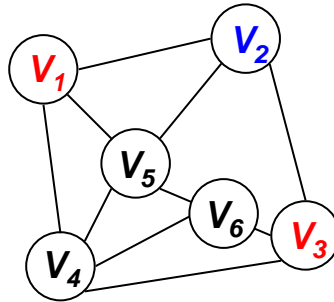
	$V_1$	$V_2$	$V_3$	$V_4$	$V_5$	$V_6$
<i>R</i>	O		?	X	X	?
<i>B</i>		O	X	?	X	?
<i>G</i>			?	?	?	?



## Forward Checking

- Keep track of remaining legal values for unassigned variables
- Backtrack when no variable has a legal value

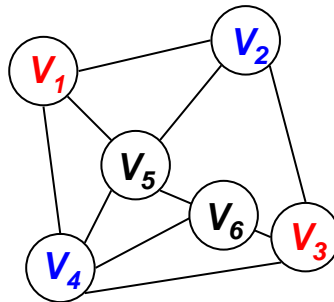
	$V_1$	$V_2$	$V_3$	$V_4$	$V_5$	$V_6$
$R$	O		O	X	X	X
$B$		O		?	X	?
$G$				?	?	?



## Forward Checking

- Keep track of remaining legal values for unassigned variables
- Backtrack when any variable has no legal values

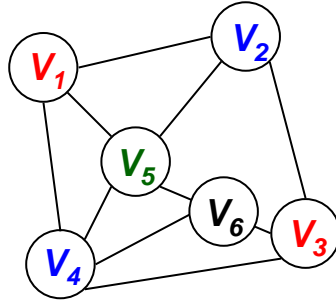
	$V_1$	$V_2$	$V_3$	$V_4$	$V_5$	$V_6$
$R$	O		O		X	X
$B$		O		O	X	X
$G$					?	?



## Forward Checking

- Keep track of remaining legal values for unassigned variables
- Backtrack when any variable has no legal values

	$V_1$	$V_2$	$V_3$	$V_4$	$V_5$	$V_6$
$R$	O		O			X
$B$		O		O		X
$G$					O	X



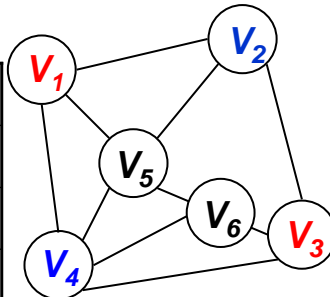
There are no valid assignments left for  $V_6$  we need to backtrack

27f

## Constraint Propagation

- Forward checking does not detect all the inconsistencies, only those that can be detected by looking at the constraints which contain the current variable.
- Can we look ahead further?

	$V_1$	$V_2$	$V_3$	$V_4$	$V_5$	$V_6$
$R$	O		O		X	X
$B$		O		O	X	X
$G$					?	?



At this point, it is already obvious that this branch will not lead to a solution because there are no consistent values in the remaining domain for  $V_5$  and  $V_6$ .

## Constraint Propagation

- $V$  = variable being assigned at the current level of the search
- Set variable  $V$  to a value in  $D(V)$
- For every variable  $V'$  connected to  $V$ :
  - Remove the values in  $D(V')$  that are inconsistent with the assigned variables
  - For every variable  $V''$  connected to  $V'$ :
    - Remove the values in  $D(V'')$  that are no longer possible candidates
    - And do this again with the variables connected to  $V''$ 
      - .....until no more values can be discarded

## Constraint Propagation

- $V$  = variable being assigned
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    - And do this again with the variables connected to  $V''$ 
      - .....until no more values can be discarded

New: Constraint Propagation

Forward Checking as before

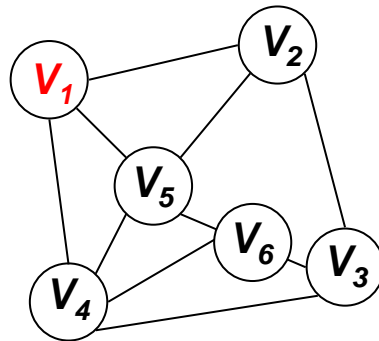
## CP for the graph coloring problem

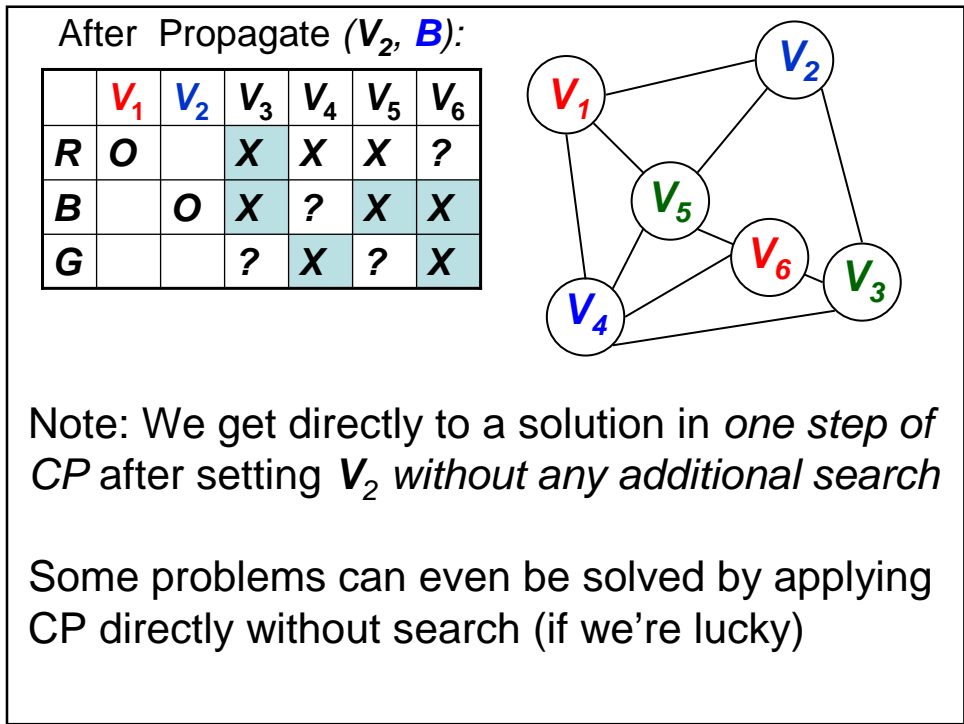
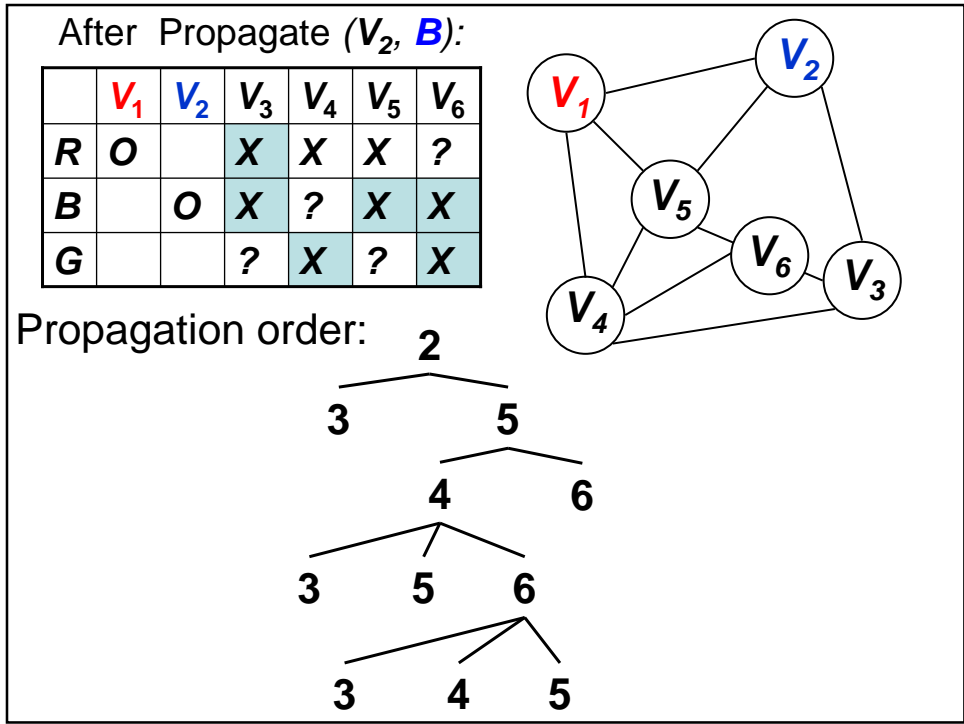
Propagate (*node, color*)

1. Remove color from the domain of all of the neighbors
2. For every neighbor  $N$ :  
If  $D(N)$  was reduced to only one color after step 1 ( $D(N) = \{c\}$ ):  
Propagate ( $N, c$ )

After Propagate ( $V_1, R$ ):

	$V_1$	$V_2$	$V_3$	$V_4$	$V_5$	$V_6$
$R$	$O$	$X$	$?$	$X$	$X$	$?$
$B$		$?$	$?$	$?$	$?$	$?$
$G$		$?$	$?$	$?$	$?$	$?$





## More General CP: Arc Consistency


- $A$  = queue of active arcs  $(V_i, V_j)$
- Repeat while  $A$  not empty:
  - $(V_i, V_j) \leftarrow$  next element of  $A$
  - For each  $x$  in  $D(V_i)$ :
    - Remove  $x$  from  $D(V_i)$  if there is no  $y$  in  $D(V_j)$  for which  $(x,y)$  satisfies the constraint between  $V_i$  and  $V_j$ .
  - If  $D(V_i)$  has changed:
    - Add all the pairs  $(V_k, V_i)$ , where  $V_k$  is a neighbor of  $V_i$  ( $k$  not equal to  $j$ ) to  $A$

## More General: $k$ -Consistency

- Check consistency of sets of  $k$  variables instead of pairs of variables (arc consistency)
- Trade-off:
  - CP time increases rapidly with  $k$
  - Search time may decrease with  $k$  (but maybe not as fast)
- Complete constraint propagation exponential in size of the problem



## Outline

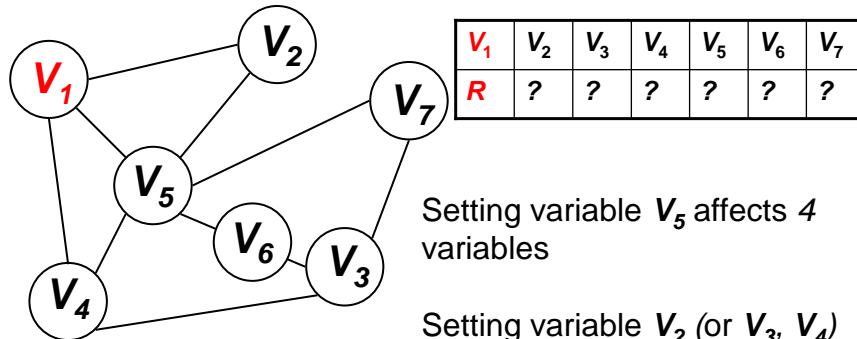
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## Variable and Value Heuristics

- So far we have selected the next variable and the next value by using a fixed order
  1. Is there a better way to pick the next variable?
  2. Is there a better way to select the next value to assign to the current variable?

## CSP Heuristics: Variable Ordering I

- *Most Constraining Variable*
- Selecting a variable which contributes to the *largest* number of constraints will have the largest effect on the other variables → Hopefully will prune a larger part of the search
- This amounts to finding the variable that is connected to the largest number of variables in the constraint graph.



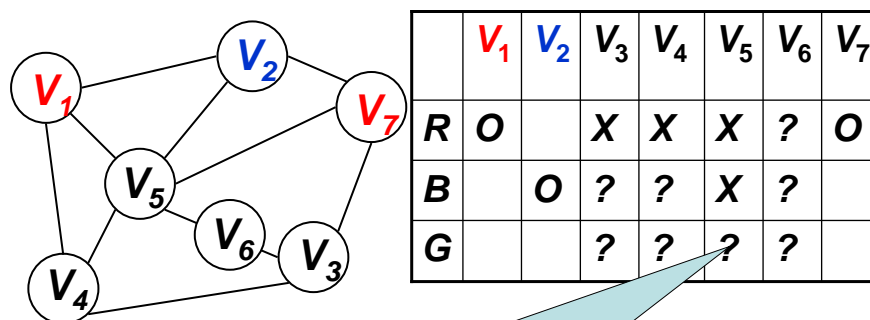
Setting variable  $V_5$  affects 4 variables

Setting variable  $V_2$  (or  $V_3, V_4$ ) affects fewer variables

196v

## CSP Heuristics: Variable Ordering II

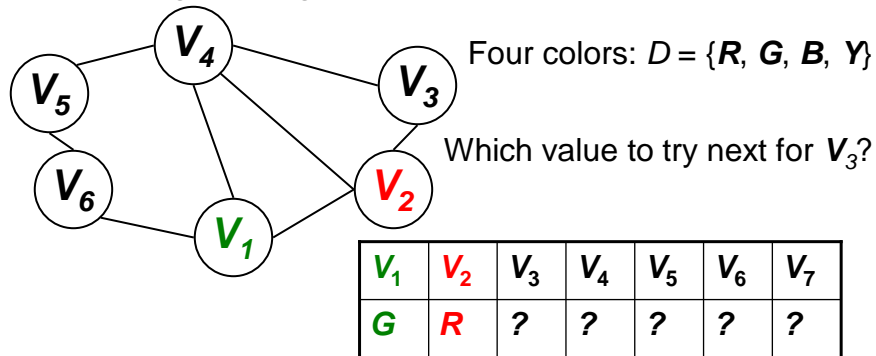
- *Minimum Remaining Values (MRV)*
- Selecting the variable that has the least number of candidate values is most likely to cause a failure early ("fail-first" heuristic)



$V_5$  is the most constrained variable and is the most likely to prune the search tree

## CSP Heuristics: Value Ordering

- *Least Constraining Value*
- Choose the value which causes the smallest reduction in the number of available values for the neighboring variables

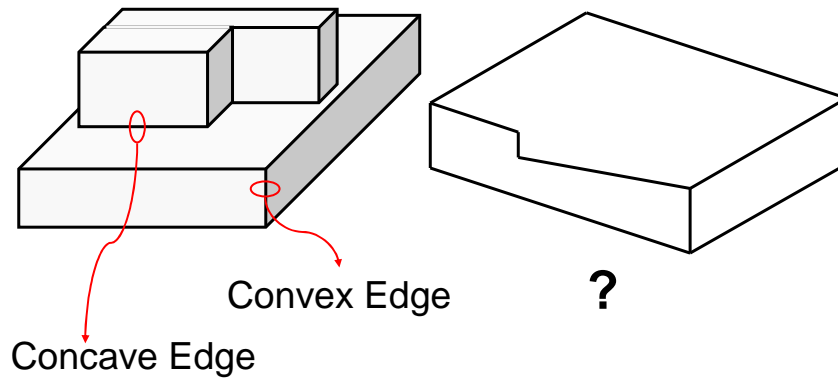


Warning: Different example!!!

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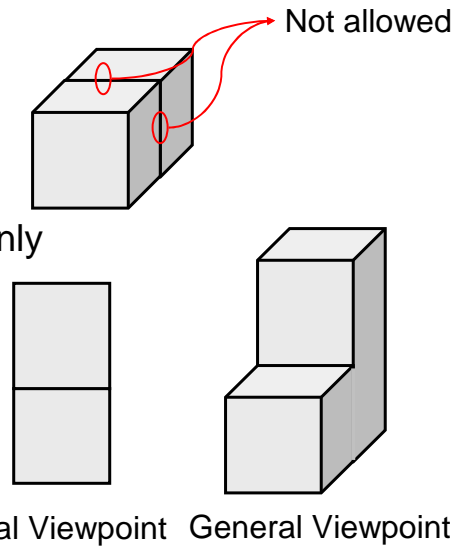
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## CP Example: Line Drawing Interpretation

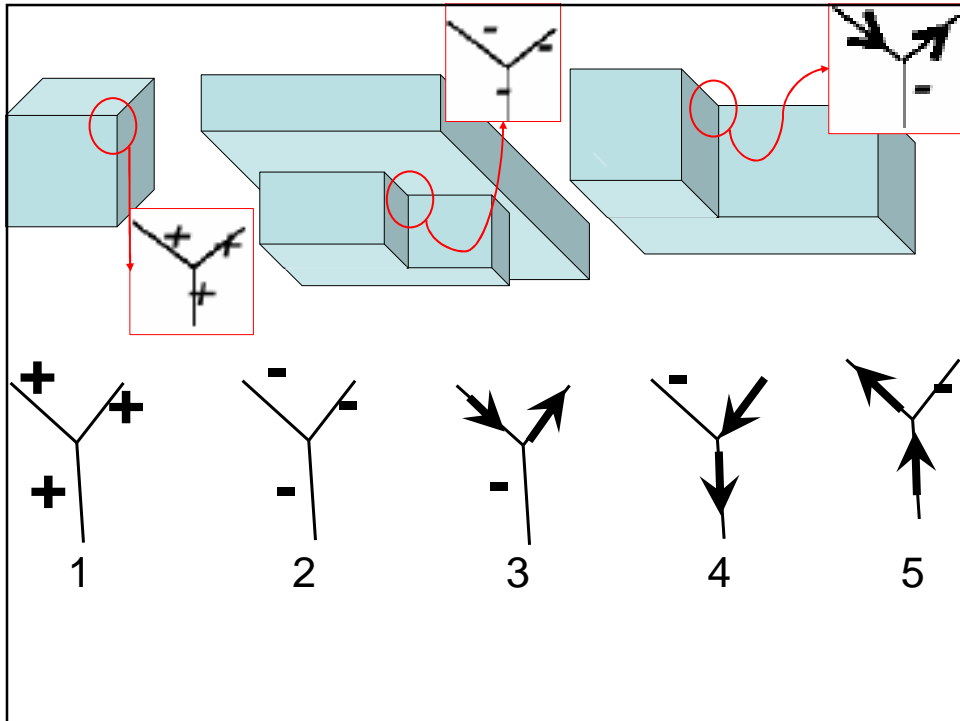


## Assumptions

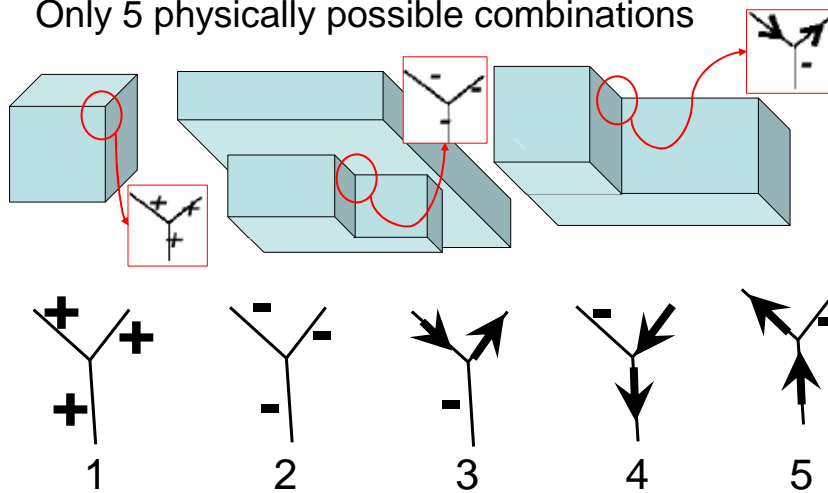
- No shadows
- No edge between common planes
- General viewpoint
- Trihedral corners only





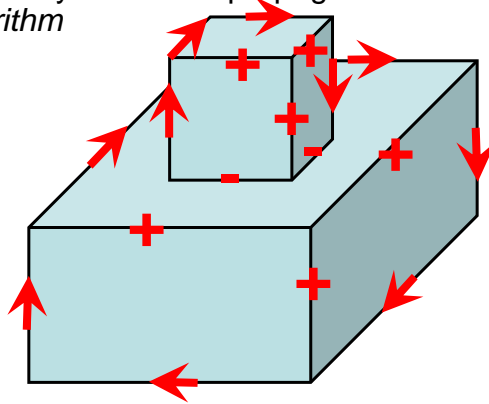


There are  $3 \times 4^3 + 4^2 = 208$  possible combination of edge labels and junctions types  
 For example,  $4^3$  possible combinations of labels at a Y junction, but...  
 Only 5 physically possible combinations

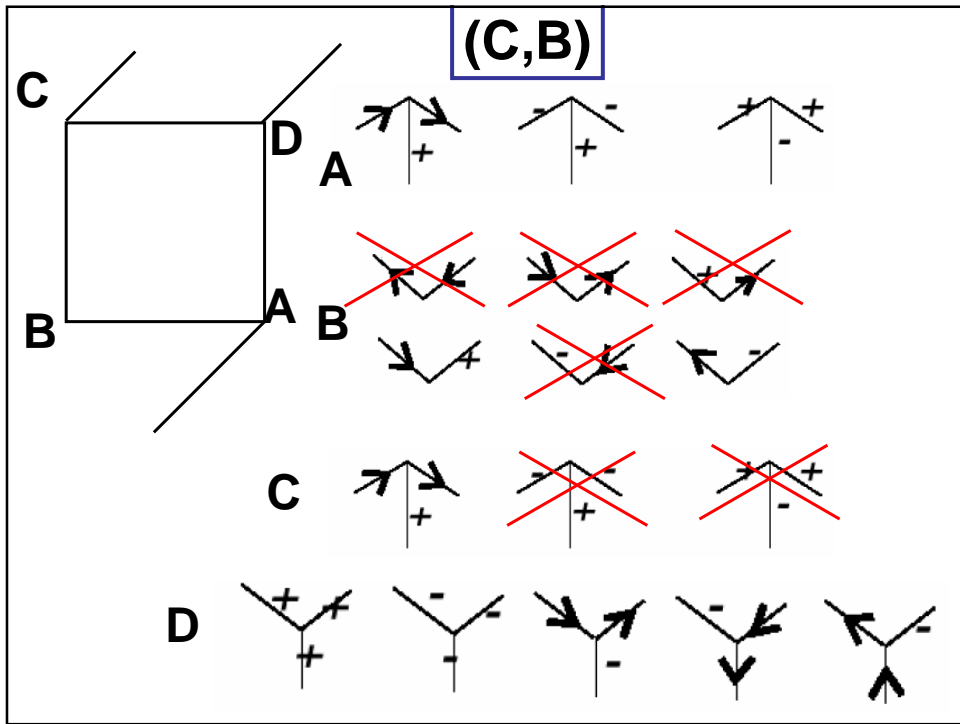
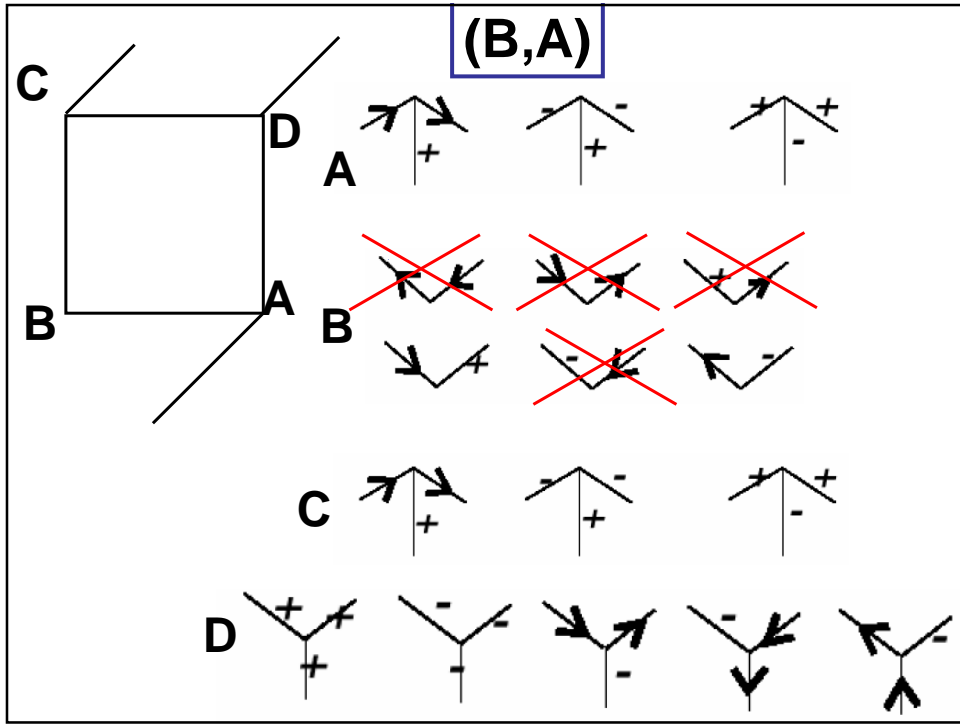


# CSP Formulation

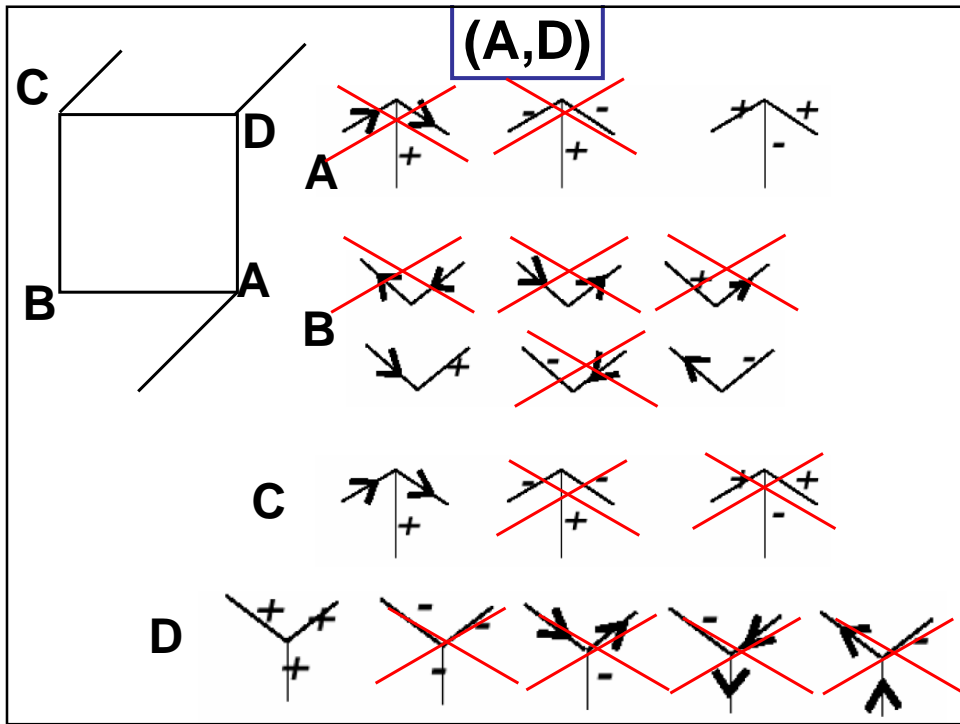
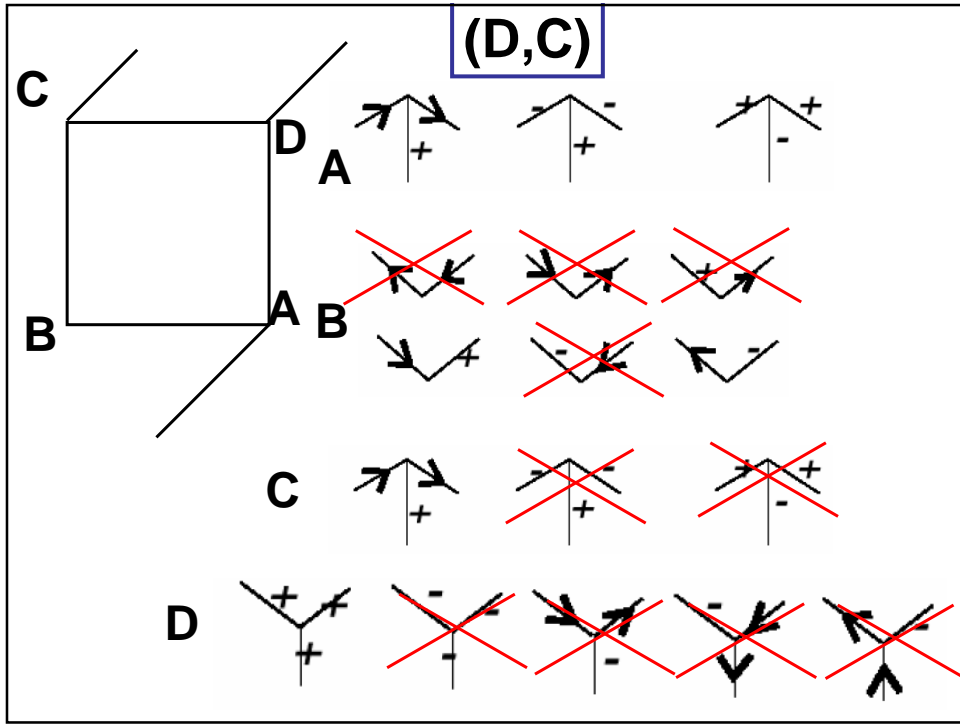
- Domain  $D$  = dictionary of 18 junction configurations
- Constraints: The line joining two junctions must have single label in  $\{-, +, \rightarrow\}$
- Problem: Assign values to all the junctions such that all of the edges are labeled
- Solved by constraint propagation: *Waltz labeling algorithm*

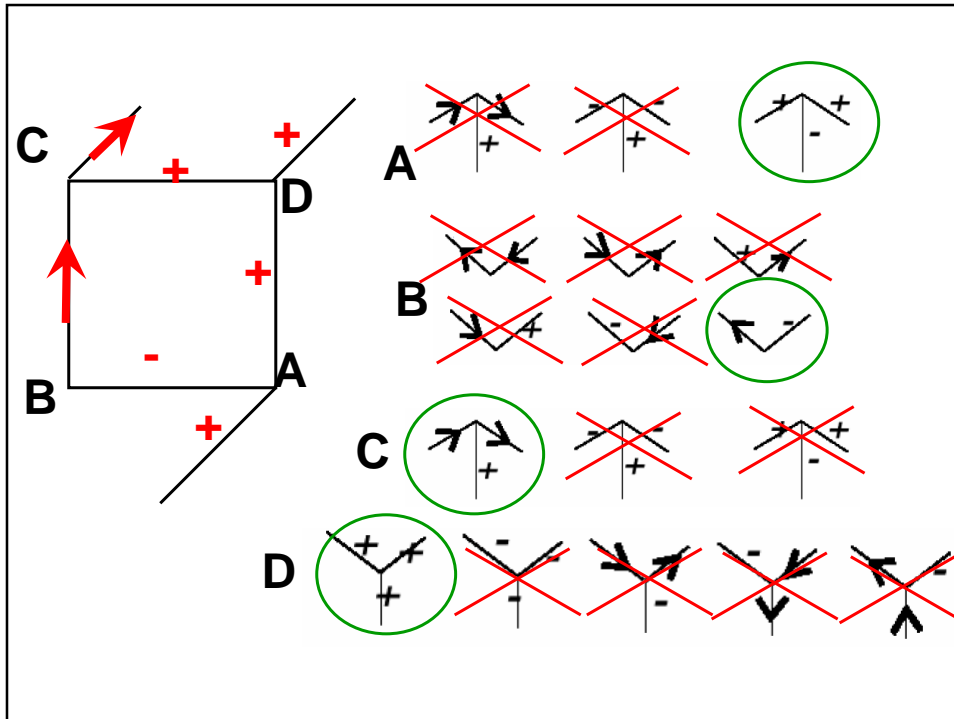


		V
Only 18 possible junction configurations		Y
Huffman-Clowes junction dictionary		T
		W









## Labeling Notes

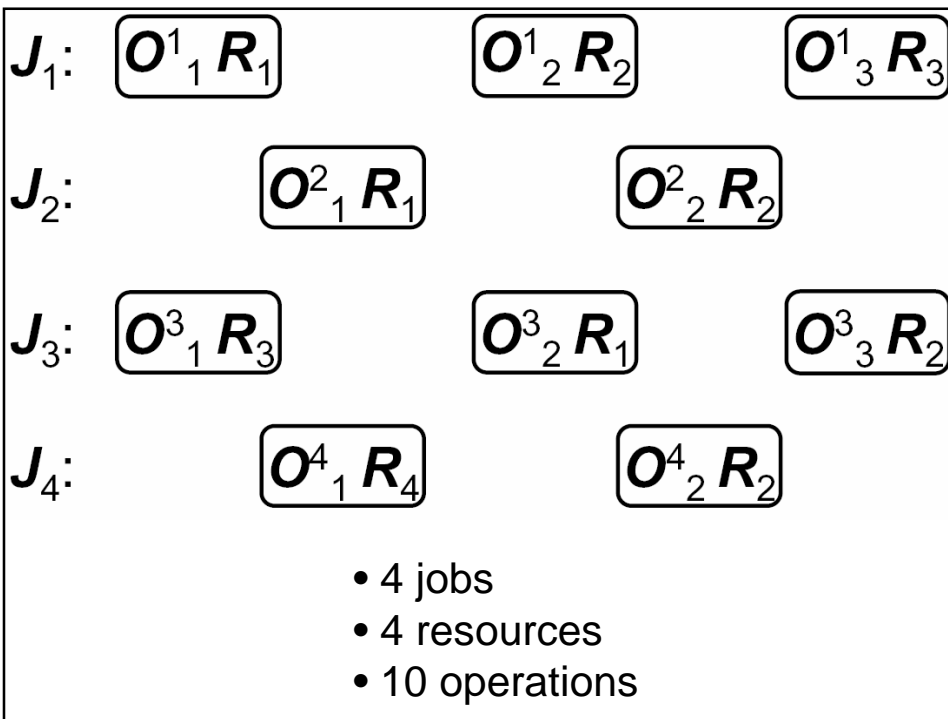
- Extended to include shadows and tangent contact (10 junction types and a much larger number of valid configurations)
- Key observation: **Computation grows (roughly) linearly with the number of edges!**

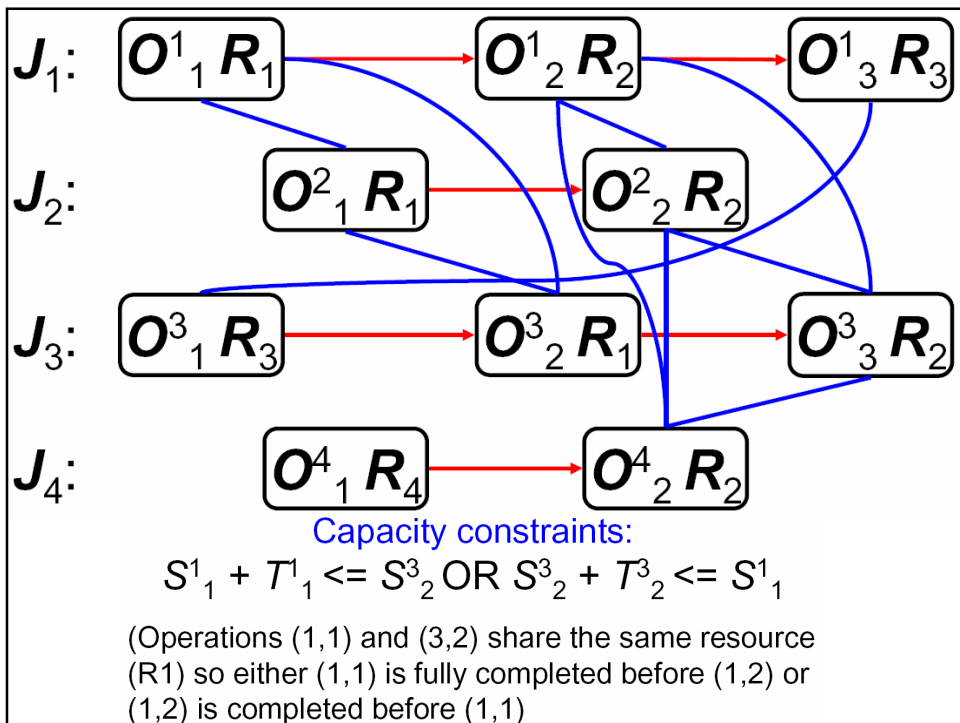
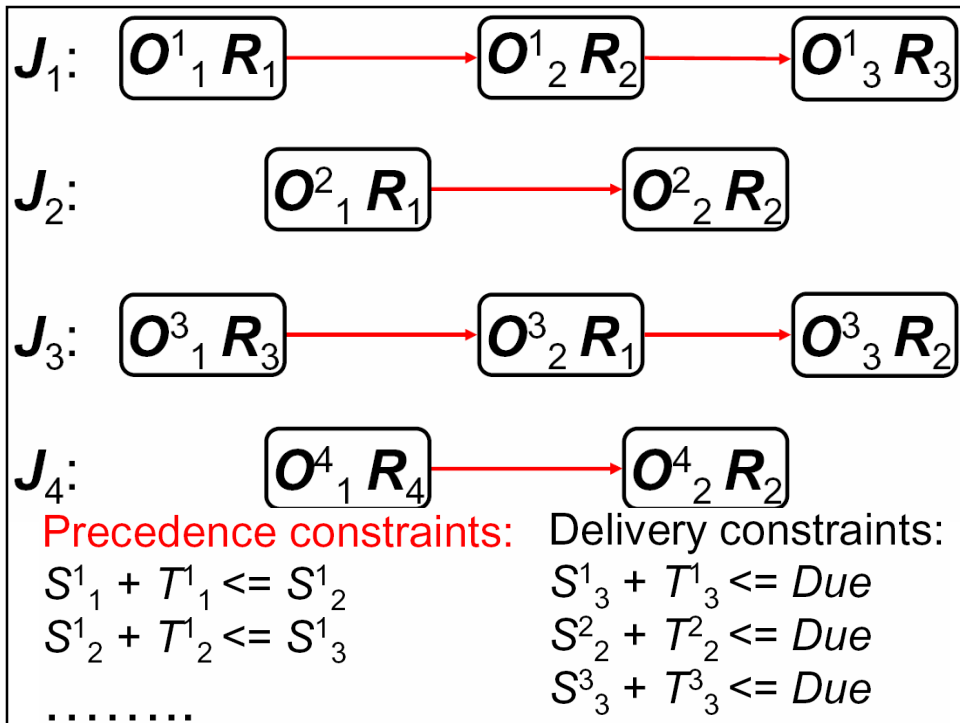
*CP for line labeling described in detail in P. Winston, "Artificial Intelligence", MIT Press*

## Example: Scheduling

- A set of  $N$  Jobs  $\{J_1, \dots, J_N\}$  needs to be completed
- Each job  $j$  is composed of a set of  $L_j$  operations  $\{O_{1,j}, \dots, O_{L_j,j}\}$  to be executed sequentially
- Each task  $O_{i,j}$  has a known duration  $T_{i,j}$
- Tasks may need to use resources out of a pool of  $M$  resources  $\{R_1, \dots, R_M\}$
- A resource cannot be used by two operations at the same time
- All jobs must be completed by time  $t = Due$
- Problem: Schedule the start time of each operation  $S_{i,j}$  using discrete times  $\{0, \dots, T\}$

See recent survey in [www.cs.cmu.edu/afs/cs/user/sfs/www/mista03/mista03.html](http://www.cs.cmu.edu/afs/cs/user/sfs/www/mista03/mista03.html)  
 Illustrations from N. Sadeh and M.S. Fox. "Variable and Value Ordering Heuristics for the Job Shop Constraint Satisfaction Problem"






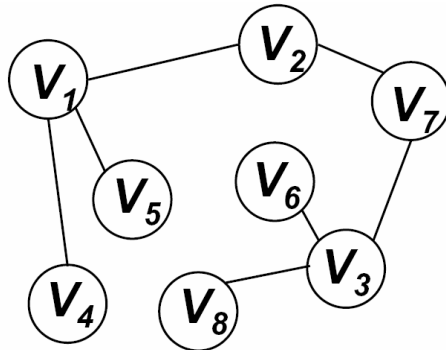
## Generic CSP Solution

- Repeat until all variables have been assigned:
- Apply a consistency enforcement procedure
  - Forward checking
  - Constraint propagation
- If no solutions left:
  - Backtrack to a previous variable
- Else
  - select the next variable to be assigned
    - Using variable ordering heuristic
  - Select a value to try for this variable
    - Using value ordering heuristic

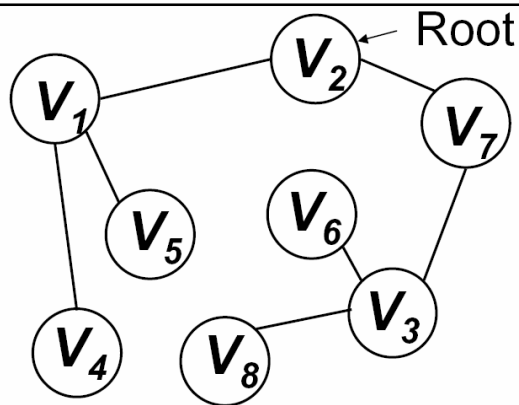
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## Important Special Case: Constraint Trees

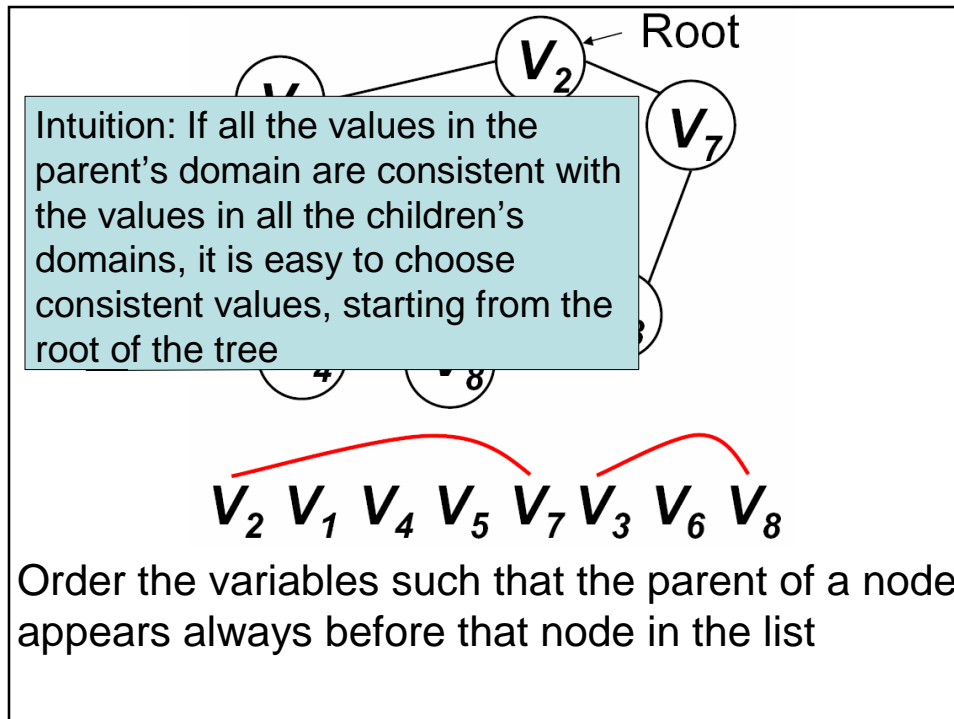


- Constraint graph is a tree: Two variables are connected by one path
- Can always be solved in *linear* time in the number of variables



$V_2 V_1 V_4 V_5 V_7 V_3 V_6 V_8$

Order the variables such that the parent of a node appears always before that node in the list



## Constraint Tree Algorithm

1. Up from leaves to root:
  - For every variable  $V_i$ , starting at the leaves:
  - $V_j = \text{parent}(V_i)$
  - Remove all the values  $x$  in  $D(V_j)$  for which there is no consistent value in  $D(V_i)$
2. Down from root to leaves:
  - Assign a value to the root of the tree
  - For every variable  $V_i$ :
    - Choose a value  $x$  in  $D(V_i)$  consistent with the value assigned to  $\text{parent}(V_i)$

# Constraint Tree Algorithm

## 1. Up from leaves to

Visit each variable once:  $N$

- For every variable  $V_i$ , starting at the leaves:
- $V_j = \text{parent}(V_i)$
- Remove all the values  $x$  in  $D(V_j)$  for which there is no consistent value in  $D(V_i)$

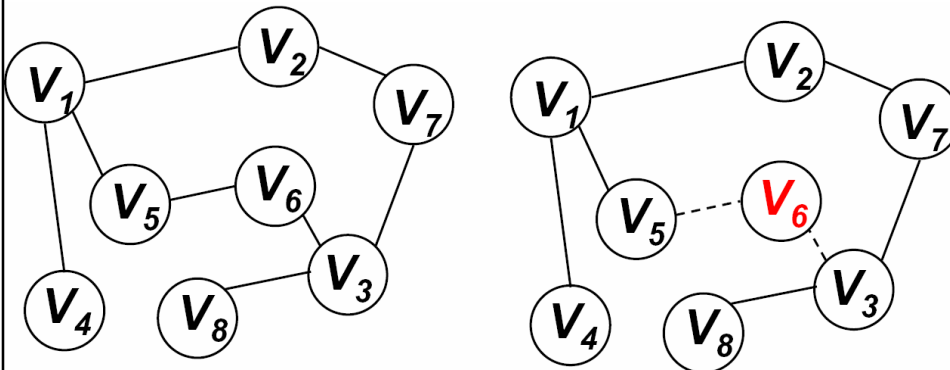
## 2. Down from root

Worst case: Need to check all pairs of values:  $d^2$

- Assign a value to the root of the tree
- For every variable  $V_i$ :
  - Choose a value  $x$  in  $D(V_i)$  consistent with the value assigned to  $\text{parent}(V_i)$

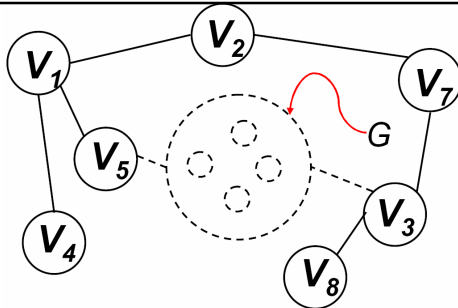
Total time:  
 $O(Nd^2)$

## Almost Tree



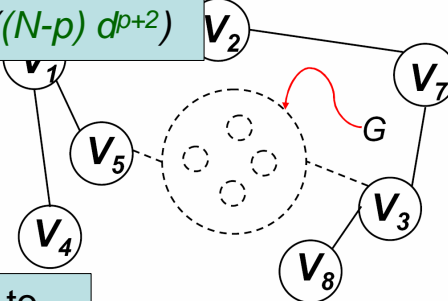
- The constraint graph becomes a tree once a value is chosen for  $V_6$
- We don't know which value to choose  $\rightarrow$  Try all possible values





- Removing a connected group  $G$  of  $p$  variables transforms the graph into a tree problem that can be solved efficiently.
- We don't know how to set the variables in  $G$ :
  - For every possible consistent assignment of values to variables in  $G$ :
    - Apply the tree algorithm to the rest of the variables

Complexity:  $O((N-p) d^{p+2})$



Worst case: Need to check all possible assignments in  $G \rightarrow d^p$

- We don't know how to set the variables in  $G$ :
  - For every possible consistent assignment of values to variables in  $G$ :
    - Apply the tree algorithm to the rest of the variables

Tree algorithm  $\rightarrow (N-p) d^2$

Complexity:  $O((N-p) d^{p+2})$

Note: Unfortunately, it is impossible to find the *minimum*  $p$  in polynomial time

Worst case: Need to check all possible assignments in  $G \rightarrow d^p$

connected group  $G$  of  $p$  variables forms the graph into a tree problem can be solved efficiently.

- We don't know how to cut the variables in  $G$ :
  - For every possible consistent assignment of values to variables in  $G$ :
    - Apply the tree algorithm to the rest of the variables

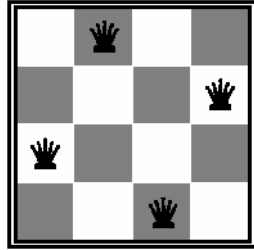
Tree algorithm  $\rightarrow (N-p) d^p$

## Outline

- Definitions
- Standard search
- Improvements
  - Backtracking
  - Forward checking
  - Constraint propagation
- Heuristics:
  - Variable ordering
  - Value ordering
- Examples
- Tree-structured CSP
- Local search for CSP problems

## Local Search Techniques for CSP

N-Queens



SAT

$$A \vee \neg B \vee C$$

$$\neg A \vee C \vee D$$

$$B \vee D \vee \neg E$$

$$\neg C \vee \neg D \vee \neg E$$

$$\neg A \vee \neg C \vee E$$

- These problems can be formulated as CSPs
- We have used local search methods to solve them in an earlier lecture (hill climbing, annealing, tabu search, genetic algorithms)
- When are local search methods applicable?
  - Direct solution through local search effective for some problems
  - Optimization of a cost function in addition to CSP
  - Online update of CSP solution

## Local Search for CSP

State = assignment of values to all the variables

$V_1$	$V_2$	$V_3$	$V_4$	$V_5$	$V_6$
$a$	$b$	$c$	$d$	$e$	$f$

Move = Change one variable



$V_1$	$V_2$	$V_3$	$V_4$	$V_5$	$V_6$
$a$	$b$	$c'$	$d$	$e$	$f$

Evaluation = number of conflicts (non-satisfied constraints) between variables

## Generic Local Search: Min-Conflicts Algorithm

- Start with a complete assignment of variables
- Repeat until a solution is found or maximum number of iterations is reached:
  - Select a variable  $V_i$  *randomly* among the variables *in conflict*
  - Set  $V_i$  to the value that *minimizes* the number of constraints violated

- Far more effective than CSP search for many problems
  - All previous variants of hill-climbing are applicable
  - Generic form similar to WALKSAT seen earlier
- Start with a complete assignment of variables
  - Repeat until a solution is found or maximum number of iterations is reached:
    - Select a variable  $V_i$  *randomly* among the variables *in conflict*
    - Set  $V_i$  to the value that *minimizes* the number of constraints violated

	USA	N-Queens ( $1 < N \leq 50$ )	Zebra
DFS Backtracking	$> 10^6$	$> 40 \cdot 10^6$	$3.9 \cdot 10^6$
+ MRV	$> 10^6$	$13.5 \cdot 10^6$	1,000
Forward Checking	2,000	$> 40 \cdot 10^6$	35,000
+ MRV	60	817,000	500
Min-Conflicts	64	4,000	2,000

(Data from Russell & Norvig)

MRV heuristic is  
always very effective

	USA	N-Queens ( $1 < N \leq 50$ )	Zebra
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Local search is surprisingly effective.  
Can solve N-queens efficiently for  $N = 10^7$ !!  
Why are such problems "easy" to solve??

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