Great Theoretical Ideas In Computer Science

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D. Sleator

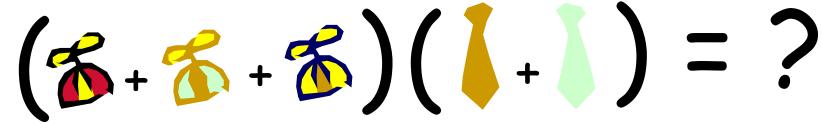
Lecture 5 Jan. 26, 2010

Carnegie Mellon University

Counting II: Pascal, Binomials, and Other Tricks







Permutations vs. Combinations

Subsets of r out of n distinct objects

$$\frac{n!}{r!(n-r)!} = \binom{n}{r}$$

Ordered

Unordered



How many ways to rearrange the letters in the word "SYSTEMS"?

SYSTEMS

-/---/---/---/---/---/---/---/---

```
7 places to put the Y,
6 places to put the T,
5 places to put the E,
4 places to put the M,
and the S's are forced
```

 $7 \times 6 \times 5 \times 4 = 840$

SYSTEMS

Let's pretend that the S's are distinct: $S_1YS_2TEMS_3$

There are 7! permutations of $S_1YS_2TEMS_3$

But when we stop pretending we see that we have counted each arrangement of SYSTEMS 3! times, once for each of 3! rearrangements of $S_1S_2S_3$

$$\frac{7!}{3!}$$
 = 840

Arrange n symbols: r_1 of type 1, r_2 of type 2, ..., r_k of type k

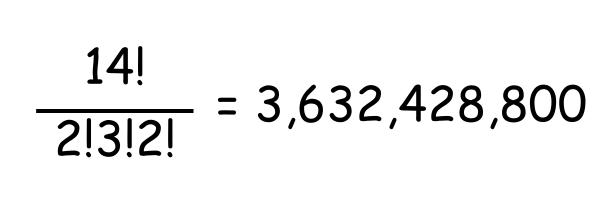
$$\begin{pmatrix} \mathbf{n} \\ \mathbf{r}_1 \end{pmatrix} \begin{pmatrix} \mathbf{n} - \mathbf{r}_1 \\ \mathbf{r}_2 \end{pmatrix} \dots \begin{pmatrix} \mathbf{n} - \mathbf{r}_1 - \mathbf{r}_2 - \dots - \mathbf{r}_{k-1} \\ \mathbf{r}_k \end{pmatrix}$$

$$= \frac{n!}{(n-r_1)!r_1!} \frac{(n-r_1)!}{(n-r_1-r_2)!r_2!} ...$$

$$= \frac{n!}{r_1!r_2! \dots r_k!}$$



How many ways to rearrange the letters in the word "CARNEGIEMELLON"?



Multinomial Coefficients

We will choose 2-letters word from the alphabet (L,U,C,K,Y)

1) C(5,2) no repetitions, the order is NOT important LU = UL

We will choose 2-letters word from the alphabet (L,U,C,K,Y)

2) P(5,2) no repetitions, the order is important LU = ULP(n,r)=n*(n-1)*...*(n-r+1)

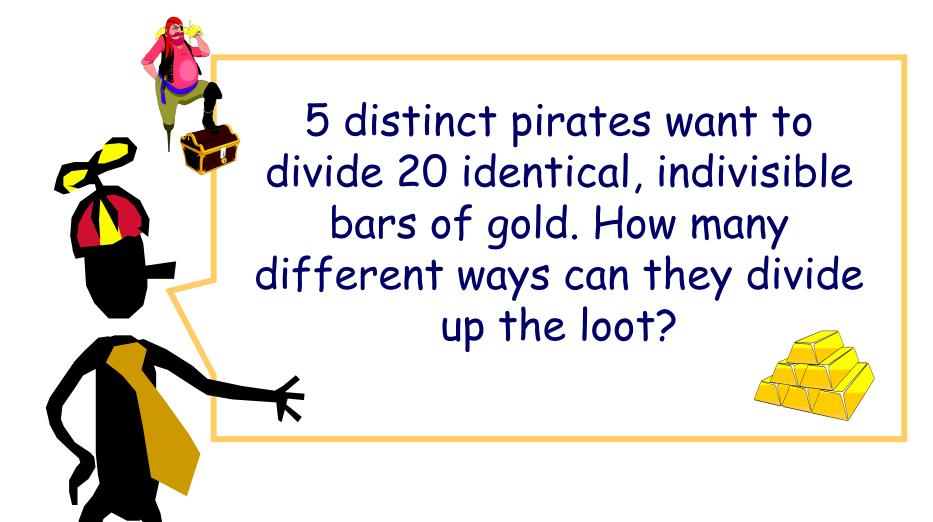
We will choose 2-letters word from the alphabet (L,U,C,K,Y)

3) $5^2 = 25$ with repetitions, the order is important

We will choose 2-letter words from the alphabet {L,U,C,K,Y}

4) ???? repetitions, the order is NOT important

$$C(5,2) + \{LL,UU,CC,KK,YY\} = 15$$



Sequences with 20 G's and 4 /'s

Sequences with 20 G's and 4 /'s

1st pirate gets 2 2^{nd} pirate gets 1 3^{rd} and 5^{th} get nothing 4^{th} gets 17

Sequences with 20 G's and 4 /'s

GG/G//GGGGGGGGGGGGGG/

In general, the k^{th} pirate gets the number of G's after the $k-1^{st}$ / and before the k^{th} /.

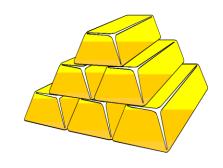
This gives a correspondence between divisions of the gold and sequences with 20 G's and 4 /'s.

How many different ways to divide up the loot?

How many sequences with 20 G's and 4 /'s?

$$\binom{24}{4} = \binom{20+5-1}{5-1}$$





How many different ways can n distinct pirates divide k identical, indivisible bars of gold?

$$\binom{n+k-1}{n-1} = \binom{n+k-1}{k}$$



Another interpretation

How many different ways to put k indistinguishable balls into n distinguishable urns.

$$\begin{pmatrix} n+k-1 \\ n-1 \end{pmatrix} = \begin{pmatrix} n+k-1 \\ k \end{pmatrix}$$

Another interpretation

How many integer solutions to the following equations?

$$x_1 + x_2 + x_3 + x_4 + x_5 = 20$$

$$x_1, x_2, x_3, x_4, x_5 \ge 0$$

Think of x_k as being the number of gold bars that are allotted to pirate k.

24

4

How many integer nonnegative solutions to the following equations?

$$x_1 + x_2 + ... + x_n = k$$

 $x_1, x_2, ..., x_n \ge 0$

$$\binom{n+k-1}{n-1} = \binom{n+k-1}{k}$$

How many integer positive solutions to the following equations?

$$x_1 + x_2 + x_3 + ... + x_n = k$$

 $x_1, x_2, x_3, ..., x_n > 0$

Think of $x_k \rightarrow y_k+1$

bijection with solutions to

$$y_1 + y_2 + y_3 + ... + y_n = k-n$$

 $y_1, y_2, y_3, ..., y_n \ge 0$

Remember to distinguish between Identical / Distinct Objects

If we are putting k objects into n <u>distinct</u> bins.

k objects are distinguishable	n ^k
k objects are indistinguishable	(n+k-1)

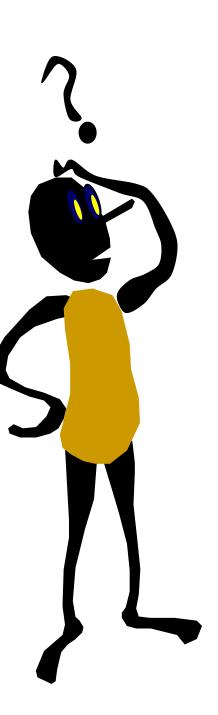


If there are more pigeons than pigeonholes, then some pigeonholes must contain two or more pigeons

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Example:

two people in Pittsburgh must have the same number of hairs on their heads



Problem:

among any n integer numbers, there are some whose sum is divisible by n.

Among any n integer numbers, there are some whose sum is divisible by n.

Consider $s_i = x_1 + ... + x_i$ modulo n. How many s_i ?

Remainders are {0, 1, 2, ..., n-1}.

Exist $s_i = s_k \pmod{n}$. Take $s_i - s_k$



Problem:

The numbers 1 to 10 are arranged in random order around a circle. Show that there are three consecutive numbers whose sum is at least 17.

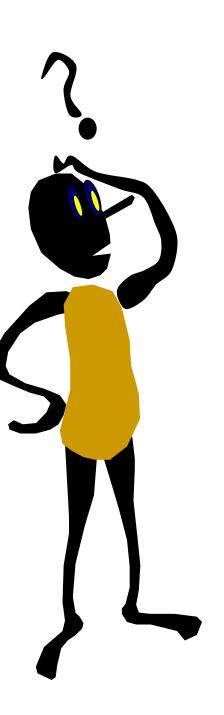
What are pigeons?
And what are pigeonholes?

The numbers 1 to 10 are arranged in random order around a circle. Show that there are three consecutive numbers whose sum is at least 17

Let $S_1=a_1+a_2+a_3$, ... $S_{10}=a_{10}+a_1+a_2$ There are 10 pigeonholes.

Pigeons: S_1 + .. + S_{10} = 3 (a_1 + a_2 + a_{10}) = 3*55 = 165

Since 165 > 10 *16, at least one pigeon-hole has at least 16 + 1 pigeons



Problem:

Show that for some integer k > 1, 3^k ends with 0001 (in its decimal representation).

What are pigeons?
And what are pigeonholes?

Show that for some integer k > 1, 3^k ends with 0001

Choose 10001 numbers: 31,32,..., 310001

 $3^k = 3^m \mod (10000), m < k$

 $3^{k-m} = 1 \mod (10000)$

 $3^{k-m} = q*10000 + 1$ ends with 0001



Now, something completely different...

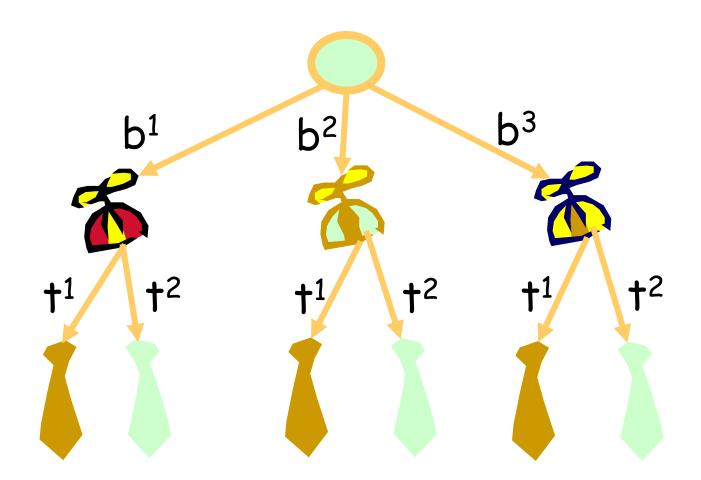


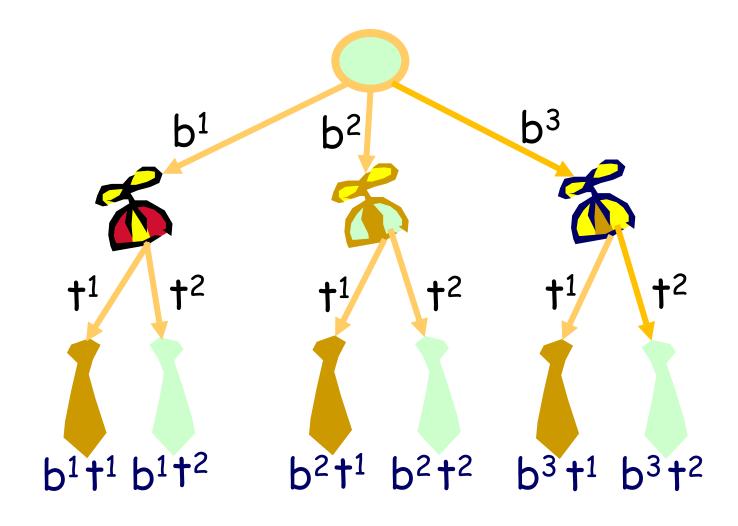
$$(x+y)^n = \sum_{k=0}^n \binom{n}{k} x^k y^{n-k}$$



POLYNOMIALS EXPRESS CHOICES AND OUTCOMES

Products of Sum = Sums of Products

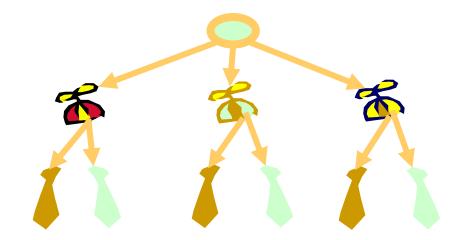




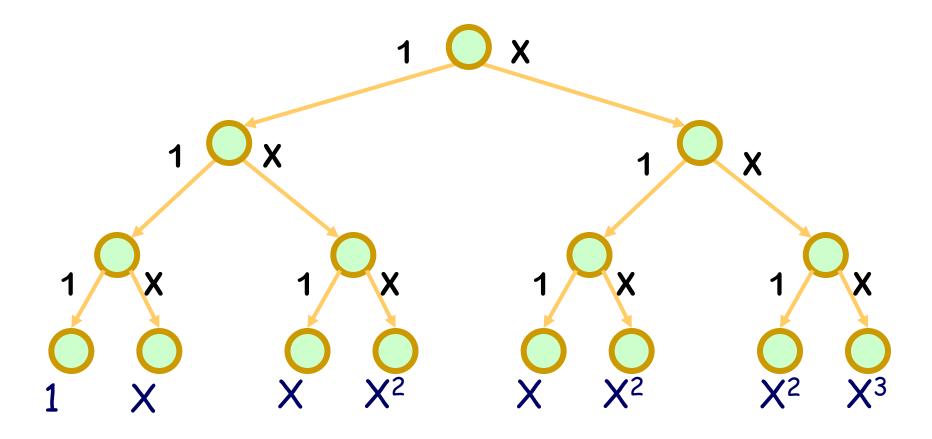
$$(b^1 + b^2 + b^3)(t^1 + t^2) = b^1t^1 + b^1t^2 + b^2t^1 + b^2t^2 + b^3t^1 + b^3t^2$$



There is a correspondence between paths in a choice tree and the cross terms of the product of polynomials!



Choice tree for terms of (1+X)³



Combine like terms to get $1 + 3X + 3X^2 + X^3$

$$(1+X)^3 = 1 + \frac{3}{3}X + \frac{3}{3}X^2 + X^3$$

In how many ways can we create a x^2 term?

What is the combinatorial meaning of those coefficients?

What is a closed form expression for c_k ?

$$(1+x)^n = c_0 + c_1x + c_2x^2 + ... + c_nx^n$$

In how many ways can we create a x^2 term?

What is a closed form expression for c_n ?

$$(1 + X)^n$$
n times
$$= (1 + X)(1 + X)(1 + X)(1 + X)...(1 + X)$$

After multiplying things out, but before combining like terms, we get 2° cross terms, each corresponding to a path in the choice tree.

 c_k , the coefficient of X^k , is the number of paths with exactly k X's.

$$c_{\mathbf{k}} = \begin{pmatrix} \mathbf{n} \\ \mathbf{k} \end{pmatrix}$$

The Binomial Theorem

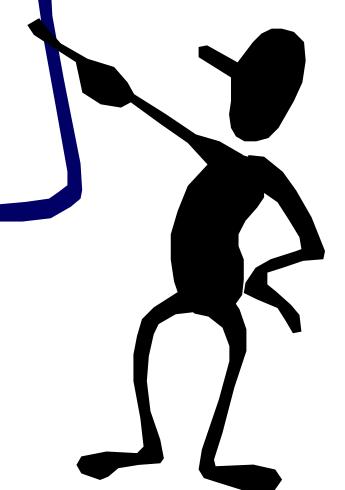
$$(1+x)^{n} = {n \choose 0} + {n \choose 1}x + {n \choose 2}x^{2} + \dots + {n \choose n}x^{n}$$

Binomial Coefficients

binomial expression

The Binomial Formula

$$(x+y)^n = \sum_{k=0}^n \binom{n}{k} x^k y^{n-k}$$



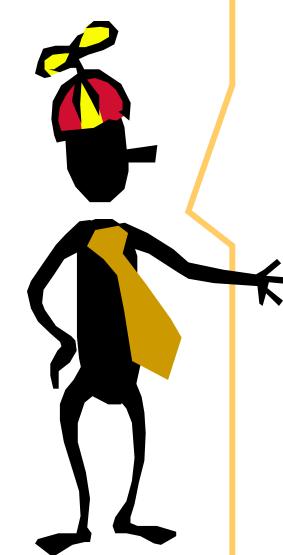


What is the coefficient of EMPTY in the expansion of $(E + M + P + T + Y)^5$?



What is the coefficient of EMP^3TY in the expansion of $(E + M + P + T + Y)^7$?

The number of ways to rearrange the letters in the word SYSTEMS



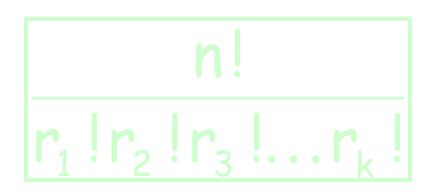
What is the coefficient of BA^3N^2 in the expansion of $(B + A + N)^6$?

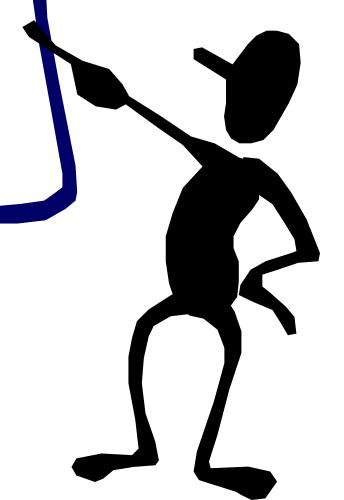
The number of ways to rearrange the letters in the word BANANA

What is the coefficient of

 $\begin{array}{c} X_1^{r_1}X_2^{r_2}X_3^{r_3}\dots X_k^{r_k}\\ \text{in the expansion of} \end{array}$

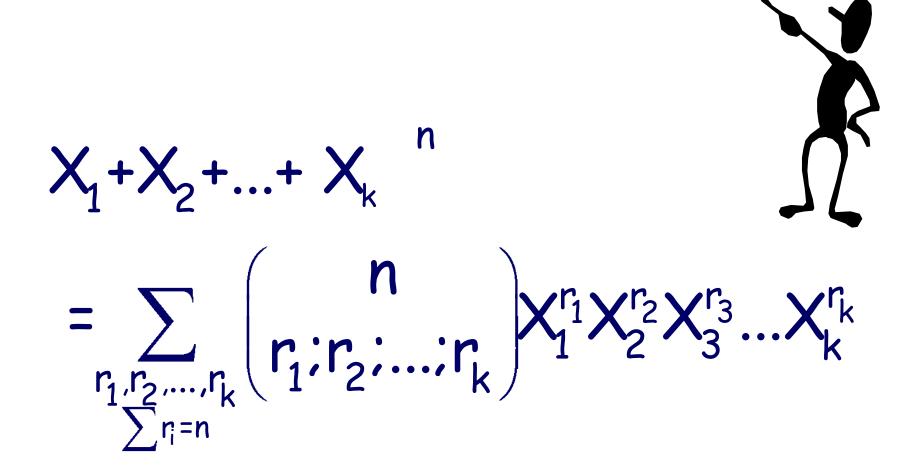
$$(X_1+X_2+...+X_k)^n$$
?





Multinomial Coefficients

The Multinomial Formula





On to Pascal...

$$(1+x)^n = \sum_{k=0}^n \binom{n}{k} x^k$$



The binomial coefficients have so many representations that many fundamental mathematical identities emerge...

Pascal's Triangle: k^{th} row are the coefficients of $(1+X)^k$

$$(1+X)^{0} = 1$$

$$(1+X)^{1} = 1 + 1X$$

$$(1+X)^{2} = 1 + 2X + 1X^{2}$$

$$(1+X)^{3} = 1 + 3X + 3X^{2} + 1X^{3}$$

$$(1+X)^{4} = 1 + 4X + 6X^{2} + 4X^{3} + 1X^{4}$$

kth Row Of Pascal's Triangle:

$$\binom{n}{0}$$
, $\binom{n}{1}$, $\binom{n}{2}$,..., $\binom{n}{n}$

$$(1+X)^{0} = 1$$

 $(1+X)^{1} = 1 + 1X$
 $(1+X)^{2} = 1 + 2X + 1X^{2}$

 $(1+X)^3 =$

$$(1+X)^4 = 1 + 4X + 6X^2 + 4X^3 + 1X^4$$

 $1 + 3X + 3X^2 + 1X^3$



Blaise Pascal 1654

Pascal's Triangle

Summing The Rows

$$2^{n} = \sum_{k=0}^{n} \binom{n}{k} \quad 1 + 1 \quad = 2$$

$$1 + 2 + 1 \quad = 4$$

$$1 + 3 + 3 + 1 \quad = 8$$

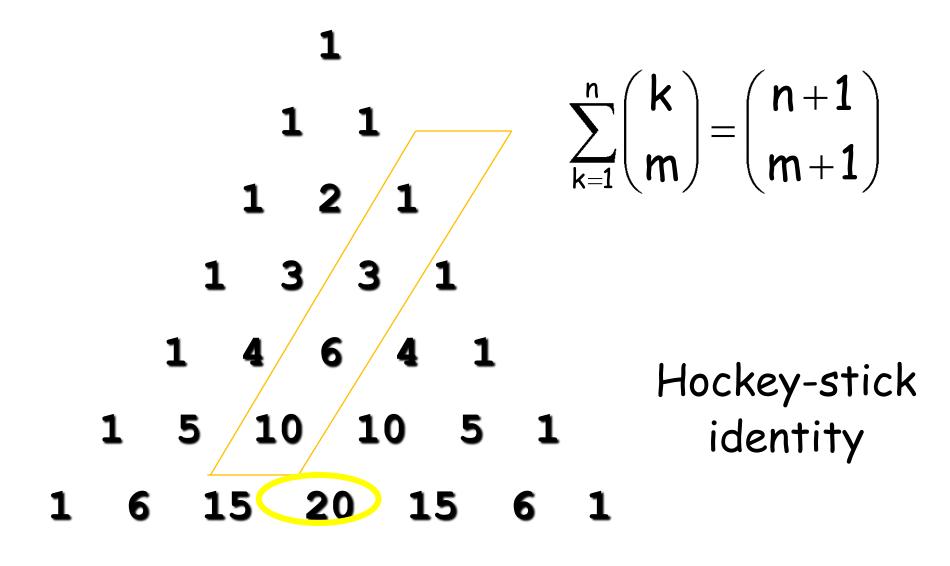
$$1 + 4 + 6 + 4 + 1 \quad = 16$$

$$1 + 5 + 10 + 10 + 5 + 1 \quad = 32$$

$$1 + 6 + 15 + 20 + 15 + 6 + 1 = 64$$

Summing on 1st Avenue

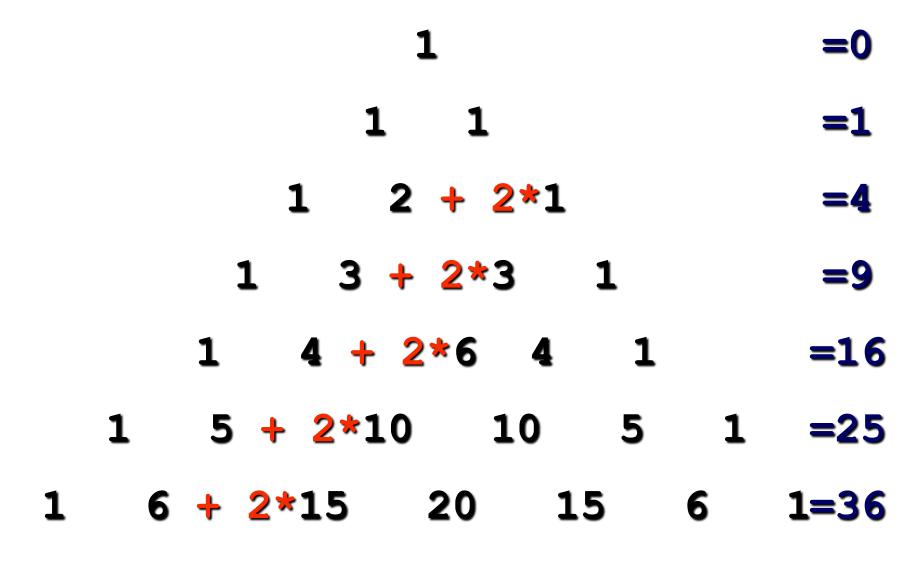
Summing on kth Avenue

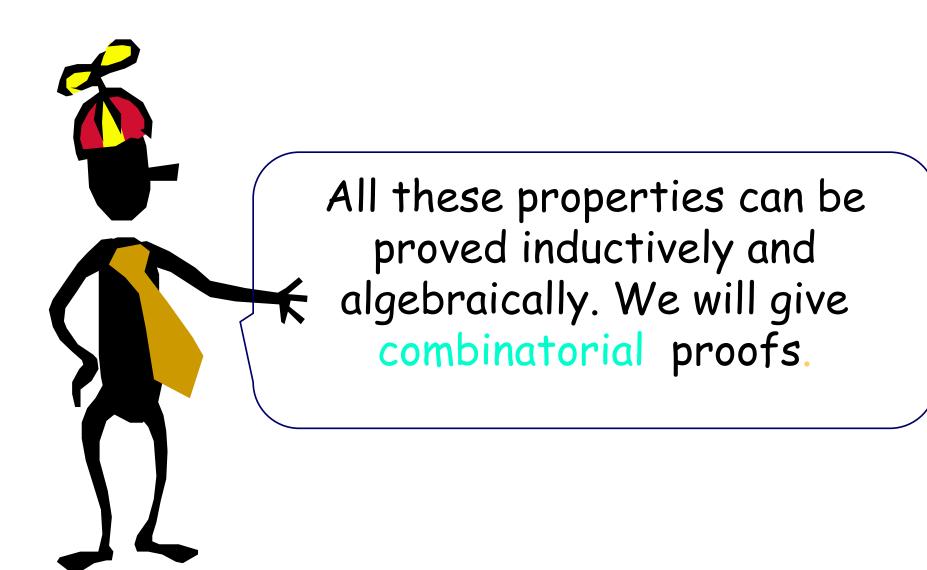


Fibonacci Numbers

Sums of Squares

Al-Karaji Squares





$$\binom{n}{k} = \binom{n}{n-k}$$

How many ways we can create a size k committee of n people?

LHS: By definition

RHS: We choose n-k people to exclude from the committee.

$$\binom{n}{k} = \binom{n-1}{k} + \binom{n-1}{k-1}$$

How many ways we can create a size k committee of n people?

LHS: By definition

RHS: Pick a person, say n.

There are committees that exclude n

There are committees that include n

$$\sum_{k=0}^{n} \binom{n}{2k} = 2^{n-1}$$

How many ways we can create an even size committee of n people?

LHS: There are so many such committees

RHS: Choose n-1 people. The fate of the nth person is completely determined.

$$k \binom{n}{k} = n \binom{n-1}{k-1}$$

LHS: We create a size k committee, then we select a chairperson.

RHS: We select the chair out of n, then from the remaining n-1 choose a size k-1 committee.

$$\sum_{k=0}^{n} k \binom{n}{k} = n 2^{n-1}$$

LHS: Count committees of any size, one is a chair.

RHS: Select the chair out of n, then from the remaining n-1 choose a subset.

$$\binom{m+n}{k} = \sum_{j=0}^{k} \binom{m}{j} \binom{n}{k-j}$$

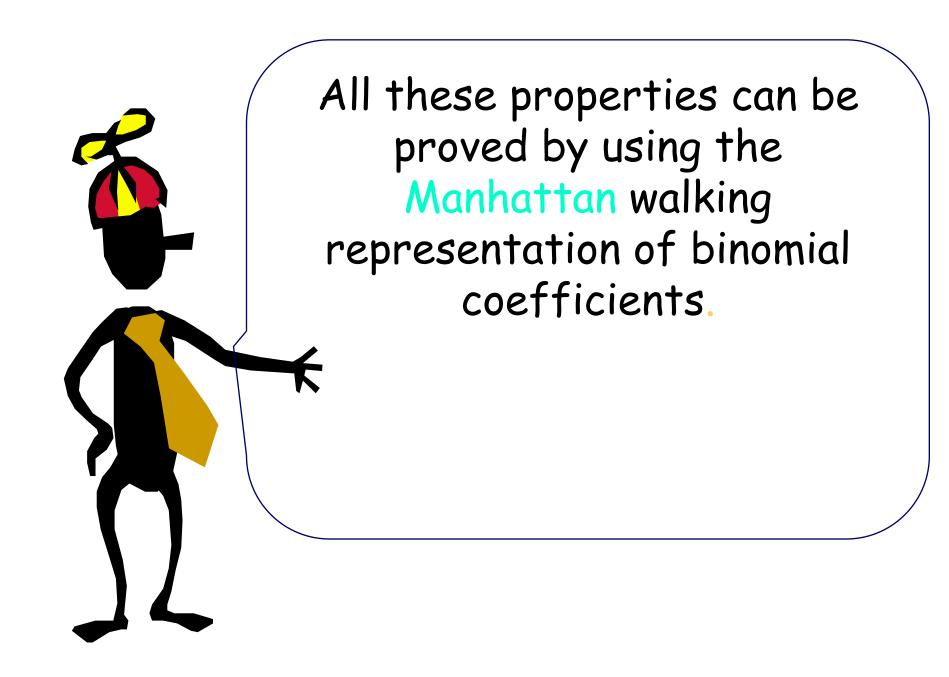
LHS: m-males, n-females, choose size k.

RHS: Select a committee with j men, the remaining k-j members are women.

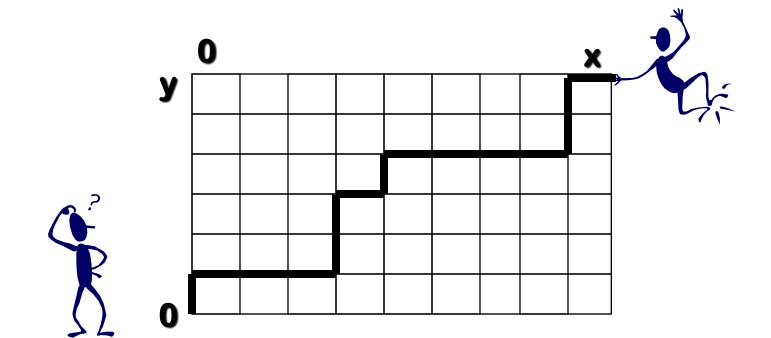
$$\binom{n+1}{k+1} = \sum_{m=k}^{n} \binom{m}{k}$$

LHS: The number of (k+1)-subsets in $\{1,2,...,n+1\}$

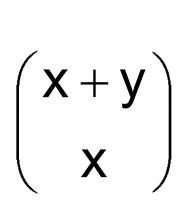
RHS: Count (k+1)-subsets with the largest element m+1, where $k \le m \le n$.

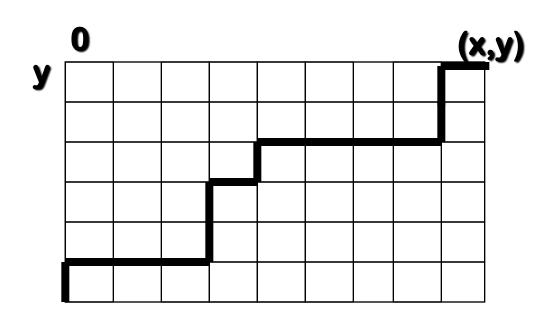


You're in a city where all the streets, numbered 0 through x, run north-south, and all the avenues, numbered 0 through y, run east-west. How many [sensible] ways are there to walk from the corner of 0th st. and 0th avenue to the opposite corner of the city?

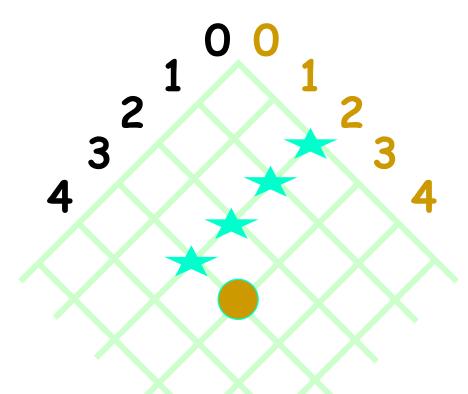


- · All paths require exactly ×+y steps:
- x steps east, y steps north
- Counting paths is the same as counting which of the x+y steps are northward steps:



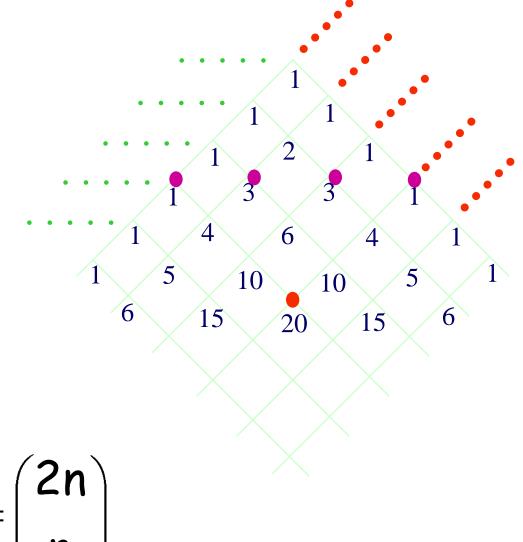


$$\binom{n}{k} = \binom{n-1}{k} + \binom{n-1}{k-1}$$



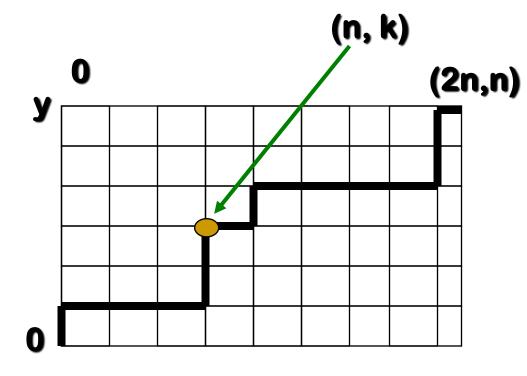
$$\sum_{k=m}^{n} \binom{k}{m} = \binom{n+1}{m+1}$$

We break all routes into: reach a star + 1 right and all left turns



Now, what if we add the constraint that the path must go through a certain intersection, call it (n,k)?

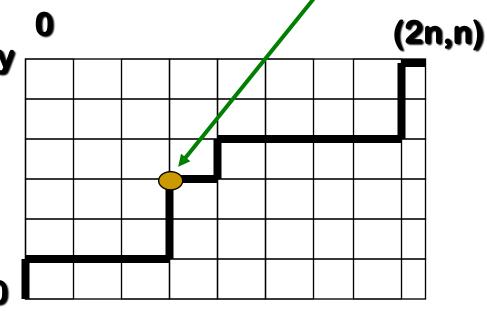
$$\sum_{k=0}^{n} \binom{n}{k}^2 = \binom{2n}{n}$$



The number of routes from (0,0) to (n,k) is $\begin{pmatrix} n \\ k \end{pmatrix}$

The number of routes from (n,k) to (2n,n) is the same as from (0,0) to (n,n-k) (n,k) (n,k)

$$\sum_{k=0}^{n} \binom{n}{k} \binom{n}{n-k} = \binom{2n}{n}$$





- Binomials and Multinomials
- · Pirates and Gold
- Pigeonhole principal
- · Combinatorial proofs of binomial identities
- ·Manhattan walk