

15-251

Great Theoretical Ideas in Computer Science

Graphs

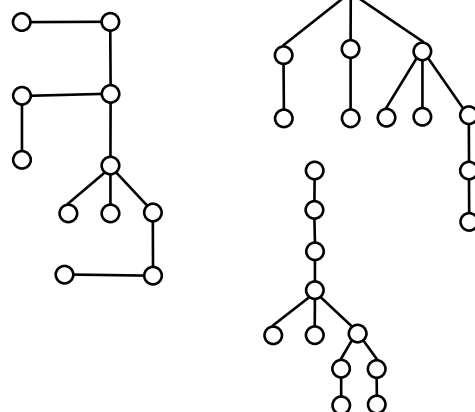
Lecture 15 (March 7, 2006)

What's a tree?

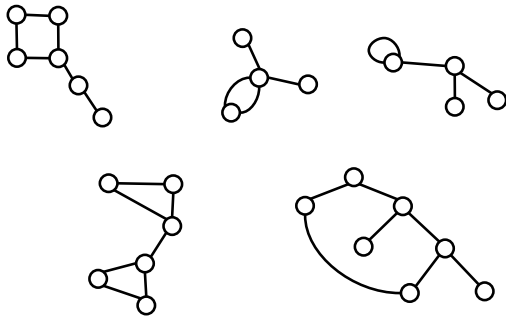
A tree is a connected
graph with no cycles



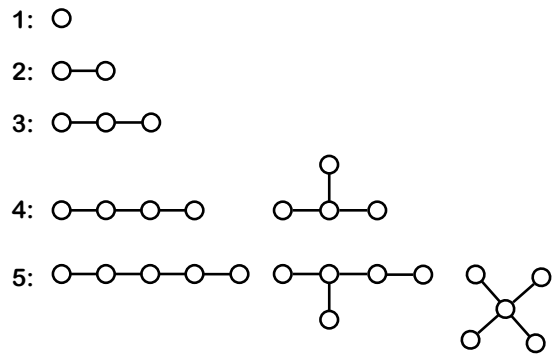
A tree



Not Trees:



How Many n-Node Trees?



Notation

In this lecture:

n will denote the number of nodes in a graph

e will denote the number of edges in a graph

Theorem: Let G be a graph with n nodes and e edges

The following are equivalent:

1. G is a tree (connected, acyclic)
2. Every two nodes of G are joined by a unique path
3. G is connected and $n = e + 1$
4. G is acyclic and $n = e + 1$
5. G is acyclic and if any two non-adjacent points are joined by a line, the resulting graph has exactly one cycle

To prove this, it suffices to show

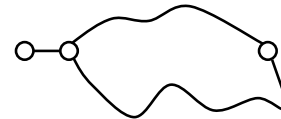
$$1 \Rightarrow 2 \Rightarrow 3 \Rightarrow 4 \Rightarrow 5 \Rightarrow 1$$

1 $\Rightarrow$ 2 1. G is a tree (connected, acyclic)

2. Every two nodes of G are joined by a unique path

Proof: (by contradiction)

Assume G is a tree that has two nodes connected by two different paths:



Then there exists a cycle!

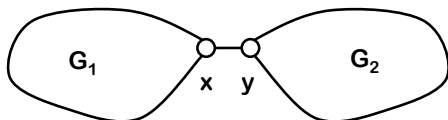
2 $\Rightarrow$ 3 2. Every two nodes of G are joined by a unique path

3. G is connected and $n = e + 1$

Proof: (by induction)

Assume true for every graph with $< n$ nodes

Let G have n nodes and let x and y be adjacent



Let n_1, e_1 be number of nodes and edges in G_1

$$\text{Then } n = n_1 + n_2 = e_1 + e_2 + 2 = e + 1$$

3 $\Rightarrow$ 4 3. G is connected and $n = e + 1$

4. G is acyclic and $n = e + 1$

Proof: (by contradiction)

Assume G is connected with $n = e + 1$, and G has a cycle containing k nodes



Note that the cycle has k nodes and k edges

Start adding nodes and edges until you cover the whole graph

Number of edges in the graph will be at least n

4 $\Rightarrow$ 5 4. G is acyclic and $n = e + 1$

5. G is acyclic and if any two non-adjacent points are joined by a line, the resulting graph has exactly one cycle

Proof:

If there are k connected components, each is a tree

For each tree: $n_i = e_i + 1$

Therefore, $n = e + k$

So $k = 1$

Corollary: Every nontrivial tree has at least two endpoints (points of degree 1)

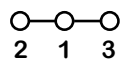
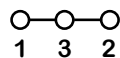
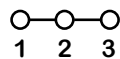
Proof:

Assume all but one of the points in the tree have degree at least 2

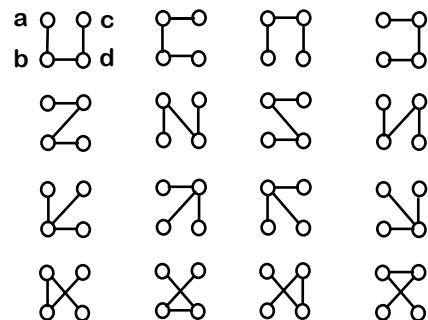
In any graph, sum of the degrees = $2e$

Then the total number of edges in the tree is at least $(2n-1)/2 = n - 1/2 > n - 1$

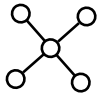
How many labeled trees are there with three nodes?



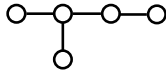
How many labeled trees are there with four nodes?



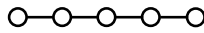
How many labeled trees are there with five nodes?



5
labelings



$5 \begin{bmatrix} 4 \\ 3 \end{bmatrix} 3$
labelings



$5 \begin{bmatrix} 4 \\ 2 \end{bmatrix} 2$
labelings

125 labeled trees

How many labeled trees are there with n nodes?

3 labeled trees with 3 nodes

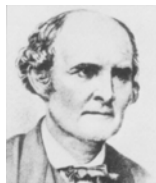
16 labeled trees with 4 nodes

125 labeled trees with 5 nodes

n^{n-2} labeled trees with n nodes

Cayley's Formula

The number of labeled trees on n nodes is n^{n-2}



The proof will use the correspondence principle

Each labeled tree on n nodes

corresponds to

A sequence in $\{1, 2, \dots, n\}^{n-2}$ (that is, $n-2$ numbers, each in the range $[1..n]$)

How to make a sequence from a tree?

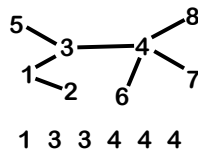
Loop through i from 1 to $n-2$

Let L be the degree-1 node with the lowest label

Define the i^{th} element of the sequence as the label of the node adjacent to L

Delete the node L from the tree

Example:



How to reconstruct the unique tree from a sequence S :

Let $I = \{1, 2, 3, \dots, n\}$

Loop until S is empty

Let i = smallest # in I but not in S

Let s = first label in sequence S

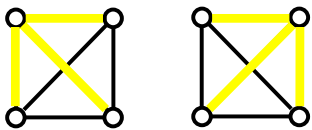
Add edge $\{i, s\}$ to the tree

Delete i from I

Delete s from S

Spanning Trees

A spanning tree of a graph G is a tree that touches every node of G and uses only edges from G

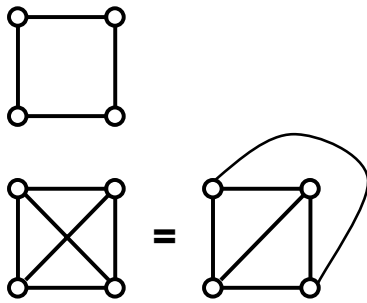


Every connected graph has a spanning tree

A graph is planar if it can be drawn in the plane without crossing edges

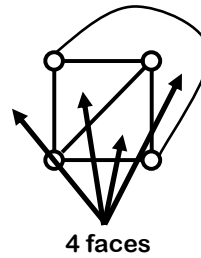


Examples of Planar Graphs



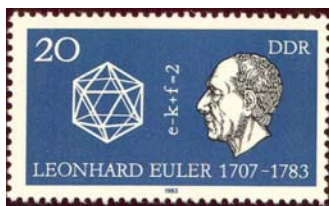
Faces

A planar graph splits the plane into disjoint faces

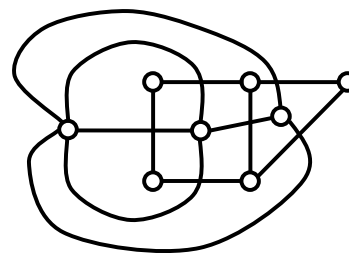


Euler's Formula

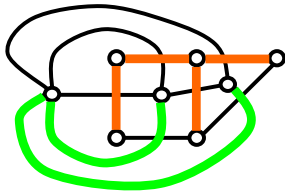
If G is a connected planar graph with n vertices, e edges and f faces, then $n - e + f = 2$



Rather than using induction, we'll use the important notion of the dual graph



Dual = put a node in every face, and an edge between every adjacent face



Let G^* be the dual graph of G

Let T be a spanning tree of G

Let T^* be the graph where there is an edge in dual graph for each edge in $G - T$

Then T^* is a spanning tree for G^*

$$\begin{aligned} n &= e_T + 1 & n + f &= e_T + e_{T^*} + 2 \\ f &= e_{T^*} + 1 & &= e + 2 \end{aligned}$$

Corollary: Let G be a simple planar graph with $n > 2$ vertices. Then:

1. G has a vertex of degree at most 5
2. G has at most $3n - 6$ edges

Proof of 1:

In any graph, (sum of degrees) = $2e$

Assume all vertices have degree ≥ 6

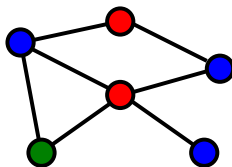
Then $e \geq 3n$

Furthermore, since G is simple, $3f \leq 2e$

So $3n + 3f \leq 3e$ and $3e + 6 \leq 3e$

Graph Coloring

A coloring of a graph is an assignment of a color to each vertex such that no neighboring vertices have the same color



Graph Coloring

Arises surprisingly often in CS

Register allocation: assign temporary variables to registers for scheduling instructions. Variables that interfere, or are simultaneously active, cannot be assigned to the same register

Theorem: Every planar graph can be 6-colored

Proof Sketch (by induction):

Assume every planar graph with less than n vertices can be 6-colored

Assume G has n vertices

Since G is planar, it has some node v with degree at most 5

Remove v and color by Induction Hypothesis

Not too difficult to give an inductive proof of 5-colorability, using same fact that some vertex has degree ≤ 5

4-color theorem remains challenging!



Graph Spectra

We now move to a different representation of graphs that is extremely powerful, and useful in many areas of computer science: AI, information retrieval, computer vision, machine learning, CS theory,...

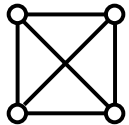
Adjacency Matrix

Suppose we have a graph G with n vertices. The adjacency matrix is the $n \times n$ matrix $A=[a_{ij}]$ with:

$a_{ij} = 1$ if (i,j) is an edge

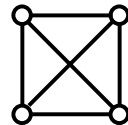
$a_{ij} = 0$ if (i,j) is not an edge

Example


$$A = \begin{pmatrix} 0 & 1 & 1 & 1 \\ 1 & 0 & 1 & 1 \\ 1 & 1 & 0 & 1 \\ 1 & 1 & 1 & 0 \end{pmatrix}$$

Counting Paths

The number of paths of length k from node i to node j is the entry in position (i,j) in the matrix A^k


$$A^2 = \begin{pmatrix} 0 & 1 & 1 & 1 \\ 1 & 0 & 1 & 1 \\ 1 & 1 & 0 & 1 \\ 1 & 1 & 1 & 0 \end{pmatrix} \begin{pmatrix} 0 & 1 & 1 & 1 \\ 1 & 0 & 1 & 1 \\ 1 & 1 & 0 & 1 \\ 1 & 1 & 1 & 0 \end{pmatrix}$$
$$= \begin{pmatrix} 3 & 2 & 2 & 2 \\ 2 & 3 & 2 & 2 \\ 2 & 2 & 3 & 2 \\ 2 & 2 & 2 & 3 \end{pmatrix}$$

Eigenvalues

Vector x is an eigenvector of A with eigenvalue λ if

$$Ax = \lambda x$$

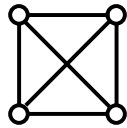
A symmetric $n \times n$ matrix has at most n distinct real eigenvalues

Characteristic Polynomial

The characteristic polynomial of A is the polynomial :

$$P(x) = (x - \lambda_1) (x - \lambda_2) \dots (x - \lambda_n)$$

Example: K_4



$$A = \begin{pmatrix} 0 & 1 & 1 & 1 \\ 1 & 0 & 1 & 1 \\ 1 & 1 & 0 & 1 \\ 1 & 1 & 1 & 0 \end{pmatrix}$$

Eigenvectors: $\begin{pmatrix} 1 \\ 1 \\ 1 \\ 1 \end{pmatrix}, \begin{pmatrix} -1 \\ 1 \\ -1 \\ 1 \end{pmatrix}, \begin{pmatrix} -1 \\ 1 \\ 1 \\ -1 \end{pmatrix}, \begin{pmatrix} -1 \\ -1 \\ 1 \\ 1 \end{pmatrix}$

$$P(x) = (x - 3)(x+1)^3$$

If graph G has adjacency matrix A with characteristic polynomial

$$p_A(x) = x^n + c_1x^{n-1} + c_2x^{n-2} + \dots + c_n$$

Then:

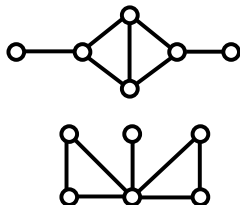
$$c_1 = 0$$

$$-c_2 = \text{\# of edges in } G$$

$$-c_3 = \text{twice \# of triangles in } G$$

Two different graphs with the same spectrum

$$p_A(x) = x^6 - 7x^4 - 4x^3 + 7x^2 + 4x - 1$$



Trees

- Counting Trees
- Different Characterizations

Planar Graphs

- Definition
- Euler's Theorem
- Coloring Planar Graphs



Study Bee

Adjacency Matrix

- Definition
- Useful for counting