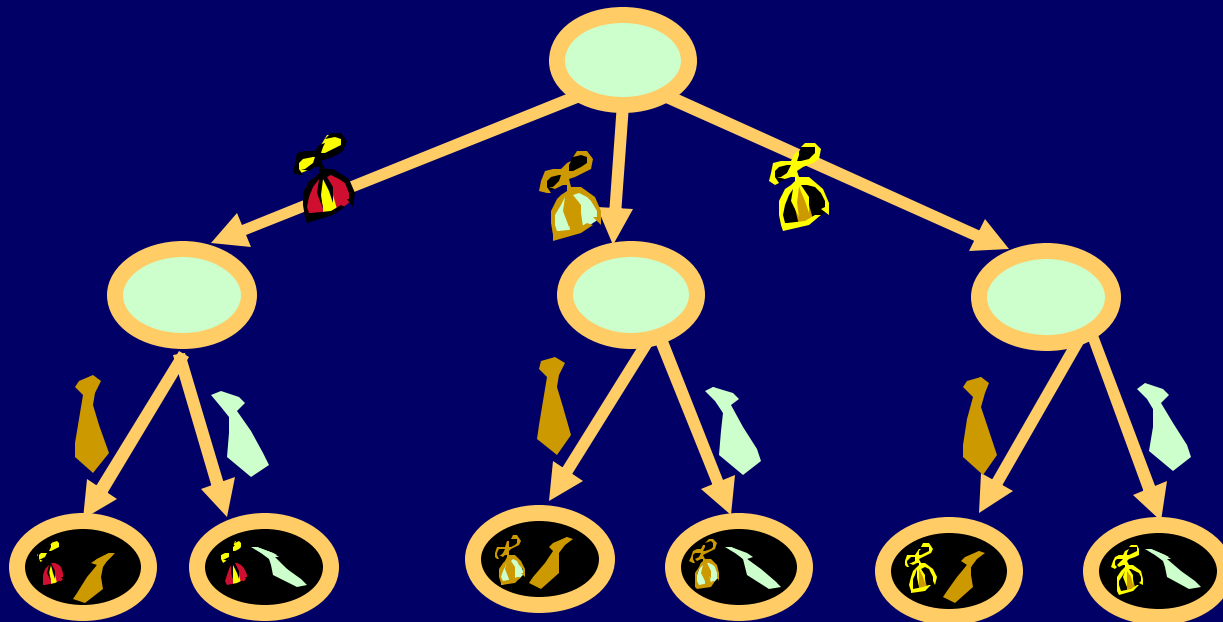
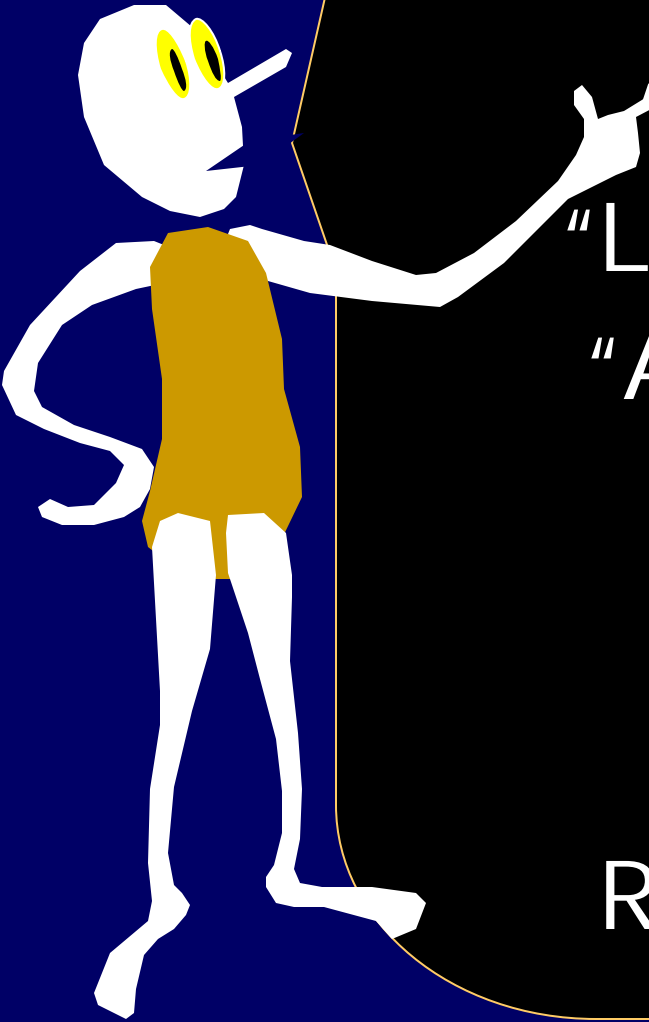


# Induction II: Inductive Pictures





## Inductive Proof:

"Standard" Induction

"Least Counter-example"

"All Previous" Induction

## Inductive Definition:

Recurrences

Recursive Programming

Theorem? ( $k, 0$ )

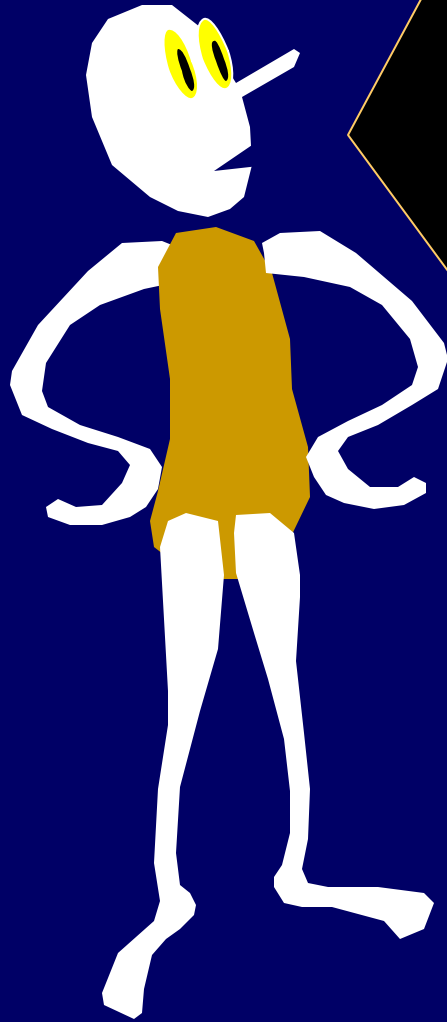
$$1+2+4+8+\dots+2^k = 2^{k+1} - 1$$

Try it out on small examples:

$$2^0 = 2^1 - 1$$

$$2^0 + 2^1 = 2^2 - 1$$

$$2^0 + 2^1 + 2^2 = 2^3 - 1$$




$$S_k \quad "1+2+4+8+\dots+2^k = 2^{k+1} - 1"$$

Use induction to prove  $\forall k \geq 0, S_k$

Establish "Base Case":  $S_0$ . We have already check it.

Establish "Domino Property":  $\forall k \geq 0, (S_k) \rightarrow S_{k+1}$

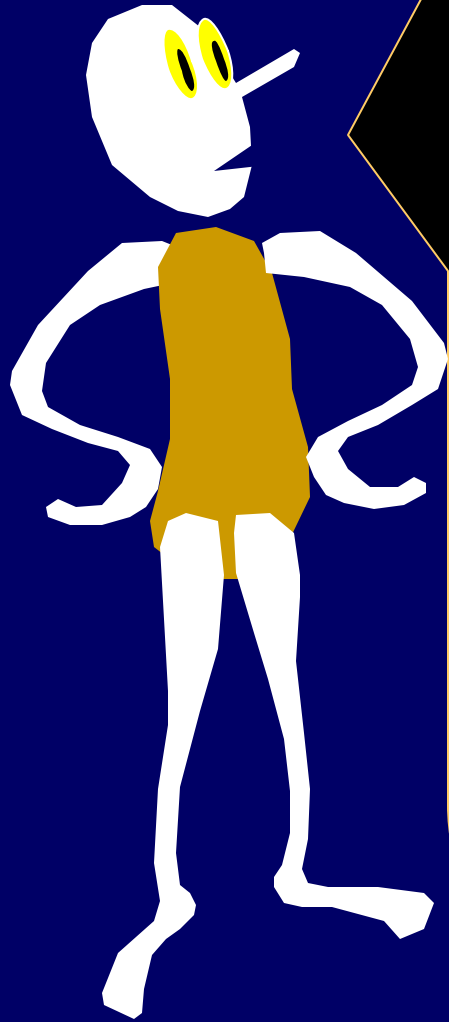
"Inductive Hypothesis"  $S_k$ :

$$1+2+4+8+\dots+2^k = 2^{k+1} - 1$$

Add  $2^{k+1}$  to both sides:

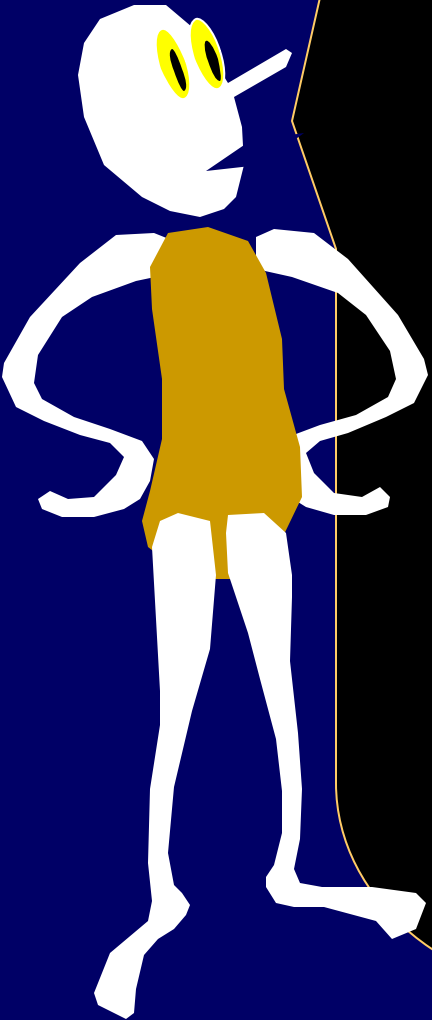
$$1+2+4+8+\dots+2^k + 2^{k+1} = 2^{k+1} + 2^{k+1} - 1$$

$$1+2+4+8+\dots+2^k + 2^{k+1} = 2^{k+2} - 1$$



# FUNDAMENTAL LEMMA OF THE POWERS OF TWO:

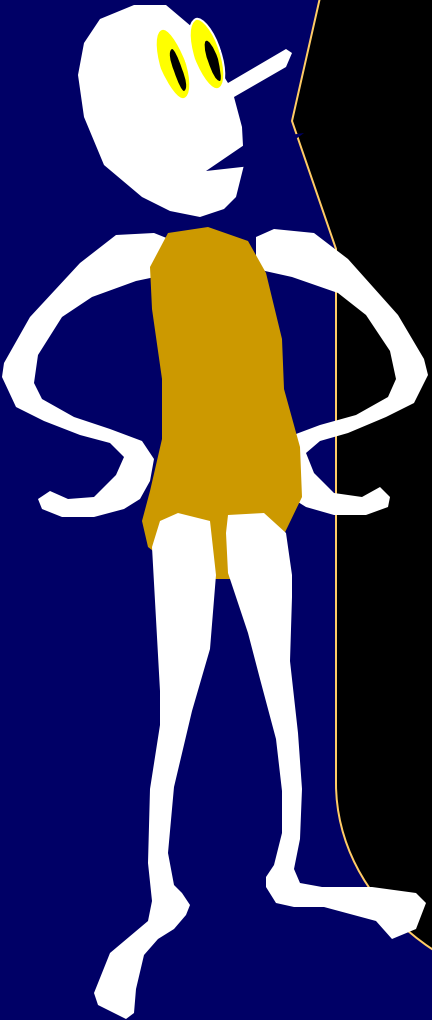
The sum of the first  $n$  powers of 2, is one less than the next power of 2.



Yet another way of packaging inductive reasoning is to define an “invariant”.

**Invariant** (*adj.*)

1. Not varying; constant.
2. (*mathematics*) Unaffected by a designated operation, as a transformation of coordinates.



Yet another way of packaging inductive reasoning is to define an “invariant”.

## Invariant (*adj.*)

3. (*programming*) A rule, such as the ordering an ordered list or heap, that applies throughout the life of a data structure or procedure.

Each change to the data structure must maintain the correctness of the invariant.



## Invariant Induction

Suppose we have a time varying world state:  $W_0, W_1, W_2, \dots$

Each state change is assumed to come from a list of permissible operations. We seek to prove that statement  $S$  is true of all future worlds.

Argue that  $S$  is true of the initial world.

Show that if  $S$  is true of some world – then  $S$  remains true after one permissible operation is performed.



## Odd/Even Handshaking Theorem:

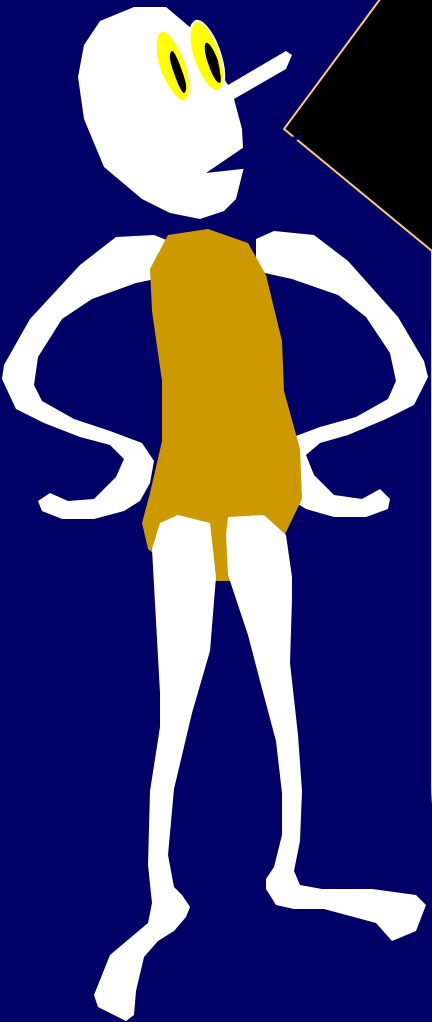
At any party, at any point in time, define a person's parity as ODD/EVEN according to the number of hands they have shaken.

**Statement: The number of people of odd parity must be even.**

Initial case: Zero hands have been shaken at the start of a party, so zero people have odd parity.

If 2 people of different parities shake, then they both swap parities and the odd parity count is unchanged.

If 2 people of the same parity shake, they both change. But then the odd parity count changes by 2, and remains even.



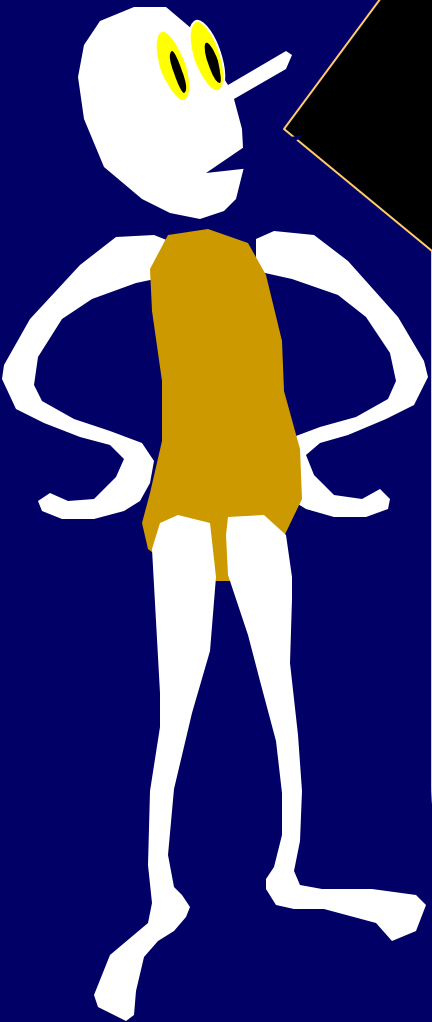
Inductive Definition  
of  $n!$   
[said  $n$  "factorial"]

$$0! = 1; n! = n * (n-1)!$$

$$0! = 1; n! = n * (n-1)!$$

```
F:=1;  
For x = 1 to n do  
    F:=F*x;  
Return F
```

Program for n! ?



$$0! = 1; n! = n * (n-1)!$$

F:=1;

For x = 1 to n do

F:=F\*x;

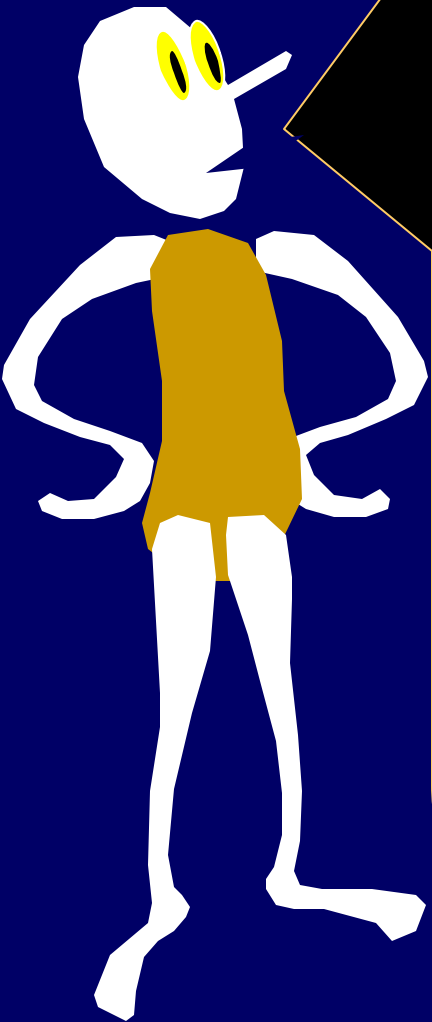
Return F

n=0 returns 1

n=1 returns 1

n=2 returns 2

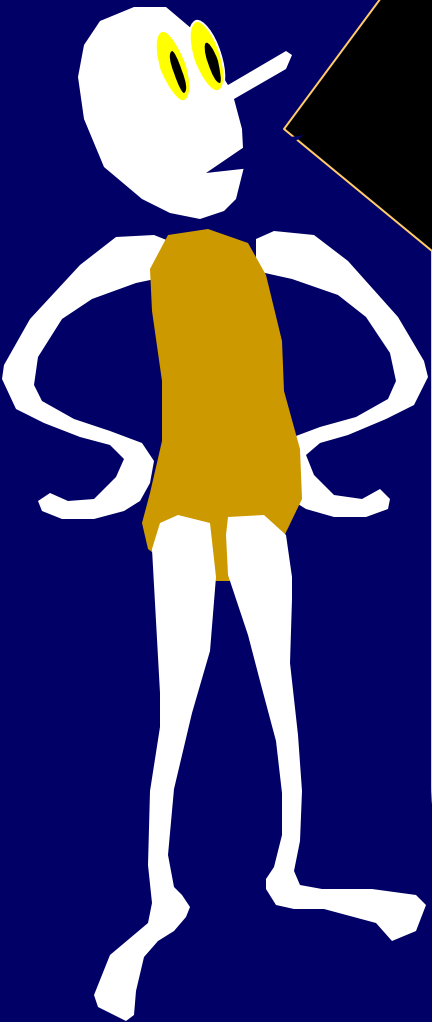
Program for n! ?



$$0! = 1; n! = n * (n-1)!$$

```
F:=1;  
For x = 1 to n do  
    F:=F*x;  
Return F
```

Loop Invariant:  $F=x!$   
True for  $x=0$ . If true after  
 $k$  times through – true  
after  $k+1$  times through.



# Inductive Definition of $T(n)$

$$T(1) = 1$$

$$T(n) = 4T(n/2) + n$$

Notice that  $T(n)$  is inductively defined for positive powers of 2, and undefined on other values.

# Inductive Definition of $T(n)$

$$T(1) = 1$$

$$T(n) = 4T(n/2) + n$$

Notice that  $T(n)$  is inductively defined for positive powers of 2, and undefined on other values.

$$T(1)=1 \quad T(2)=6 \quad T(4)=28 \quad T(8)=120$$

Guess a closed form formula for  $T(n)$ .  
Guess  $G(n)$

$$G(n) = 2n^2 - n$$

Let the domain of  $G$  be the powers of two.



# Two equivalent functions?

$$G(n) = 2n^2 - n$$

Let the domain of  $G$  be the powers of two.

$$T(1) = 1$$

$$T(n) = 4 T(n/2) + n$$

Domain of  $T$  are the powers of two.

# Inductive Proof of Equivalence

Base:  $G(1) = 1$  and  $T(1) = 1$

Induction Hypothesis:

$$T(x) = G(x) \text{ for } x < n$$

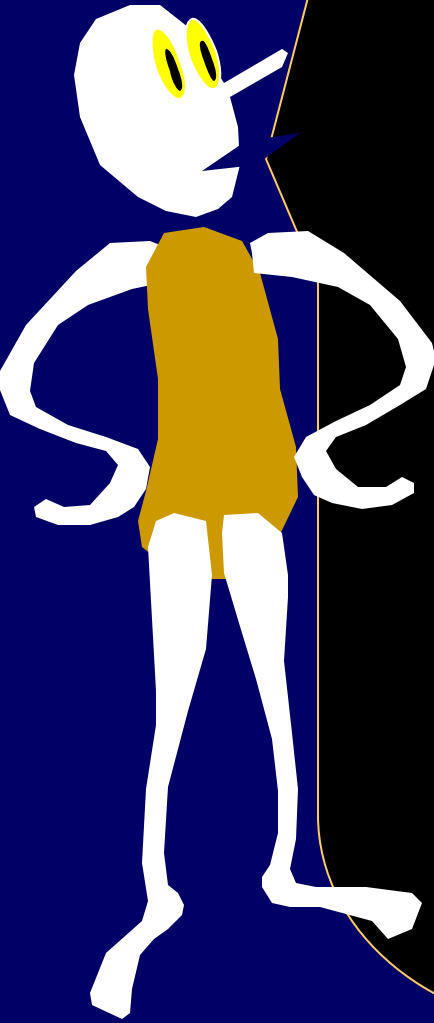
Hence:  $T(n/2) = G(n/2) = 2(n/2)^2 - n/2$

$$\begin{aligned} T(n) &= 4 T(n/2) + n \\ &= 4 G(n/2) + n \\ &= 4 [2(n/2)^2 - n/2] + n \\ &= 2n^2 - 2n + n \\ &= 2n^2 - n \\ &= G(n) \end{aligned}$$

$$G(n) = 2n^2 - n$$

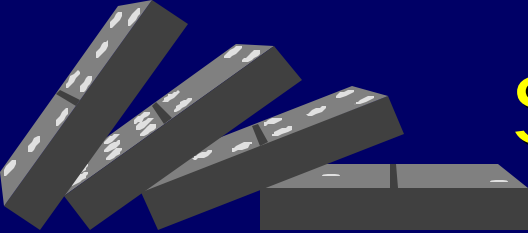
$$T(1) = 1$$

$$T(n) = 4 T(n/2) + n$$



We inductively proved the  
assertion that  
 $G(n) = T(n)$ .

Giving a formula for  $T$   
with no sums or  
recurrences is called  
solving the recurrence  $T$ .



# Solving Recurrences

## Guess and Verify

**Guess:**  $G(n) = 2n^2 - n$

**Verify:**  $G(1) = 1$  and  $G(n) = 4 G(n/2) + n$

**Similarly:**  $T(1) = 1$  and  $T(n) = 4 T(n/2) + n$

So  $T(n) = G(n)$

# Technique 2

## Guess Form and Calculate Coefficients

**Guess:**  $T(n) = an^2 + bn + c$  for some  $a, b, c$

**Calculate:**  $T(1) = 1 \Rightarrow a + b + c = 1$

$$T(n) = 4 T(n/2) + n$$

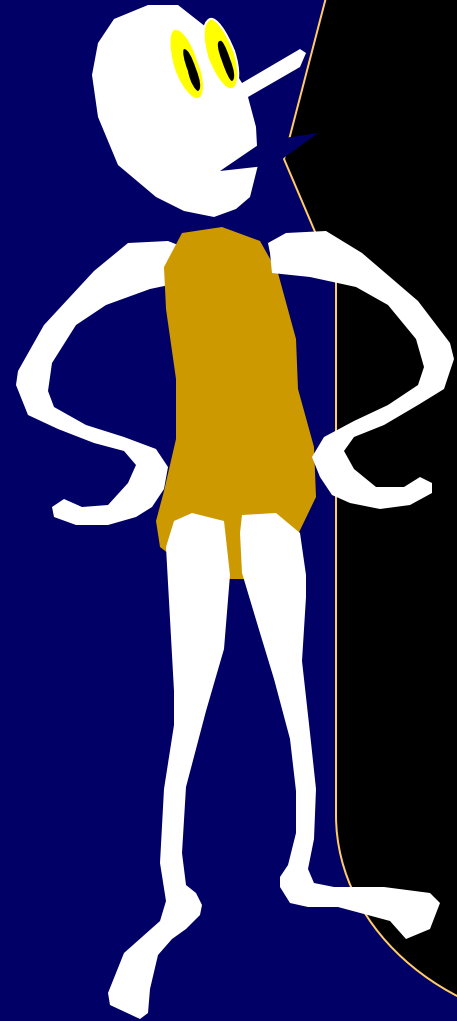
$$\begin{aligned}\Rightarrow an^2 + bn + c &= 4 [a(n/2)^2 + b(n/2) + c] + n \\ &= an^2 + 2bn + 4c + n\end{aligned}$$

$$\Rightarrow (b+1)n + 3c = 0$$

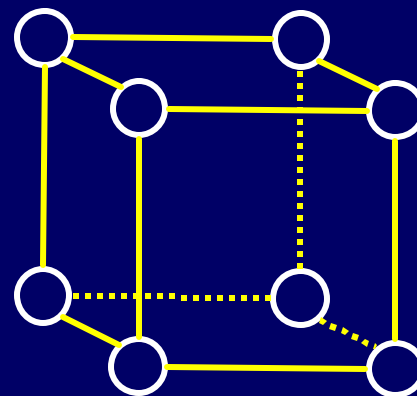
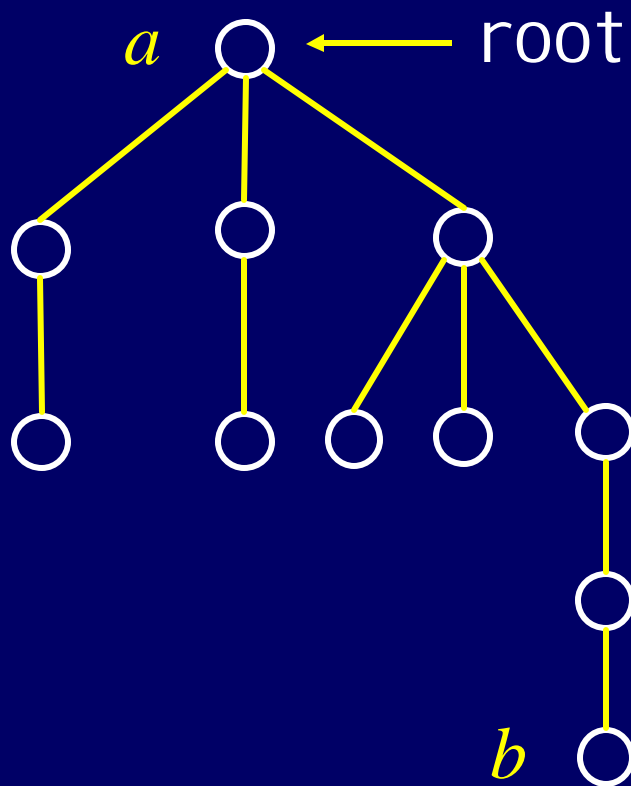
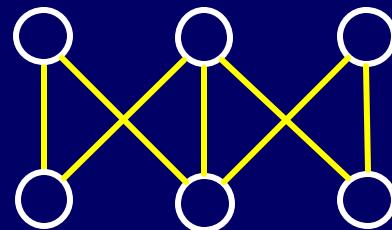
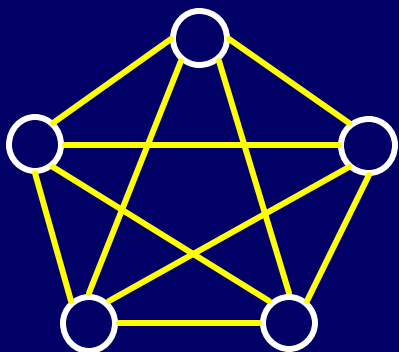
$$\text{Therefore: } b=-1 \quad c=0 \quad a=2$$

A computer scientist not only deals with numbers, but also with

- Finite Strings of symbols
- Very visual objects called graphs
- And especially, especially the special graphs called trees



# GRAPHS



# Definition: Graphs

A graph  $G = (V, E)$  consists of a finite set  $V$  of **vertices** (nodes) and a finite set  $E$  of edges. Each **edge** is a set  $\{a, b\}$  of two different vertices.

A graph may not have self loops or multiple edges.



# Definition: Directed Graphs

A graph  $G = (V, E)$  consists of a finite set  $V$  of **vertices** (nodes) and a finite set  $E$  of edges. Each **edge** is an ordered pair  $\langle a, b \rangle$  of two different vertices.

Unless we say otherwise, our directed graphs will not have multi-edges, or self loops.

# Definition: Tree

A **tree** is a directed graph with one special node called the **root** and the property that each node must have a unique path from the root to itself.

Child: If  $\langle u, v \rangle \in E$ , we say  $v$  is a child of  $u$

Parent: If  $\langle u, v \rangle \in E$ , we say  $u$  is the parent of  $v$

Leaf: If  $u$  has no children, we say  $u$  is leaf.

Siblings: If  $u$  and  $v$  have the same parent, they are siblings.

Descendants of  $u$ : The set of nodes reachable from  $u$  (including  $u$ ).

Sub-tree rooted at  $u$ : Descendants of  $u$  and all the edges between them where  $u$  has been designated as a root.

# Classic Visualization: Tree

Inductive rule:

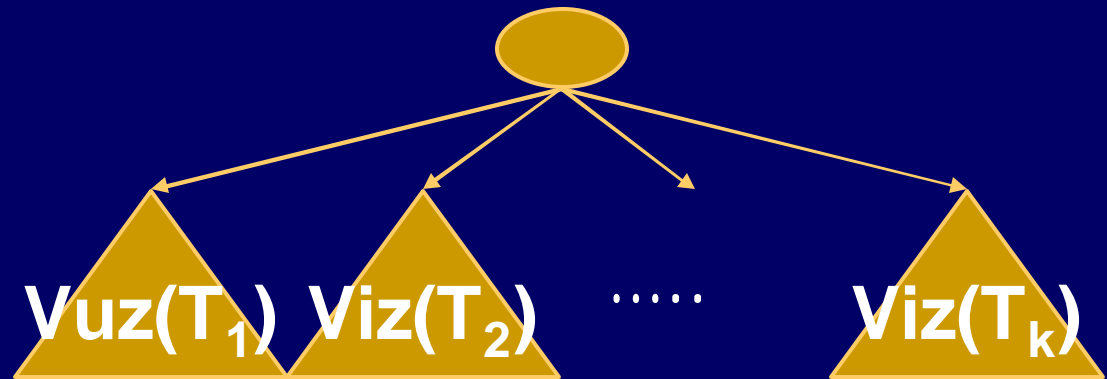
If  $G$  is a single node

$$\text{Viz}(G) = \text{○}$$

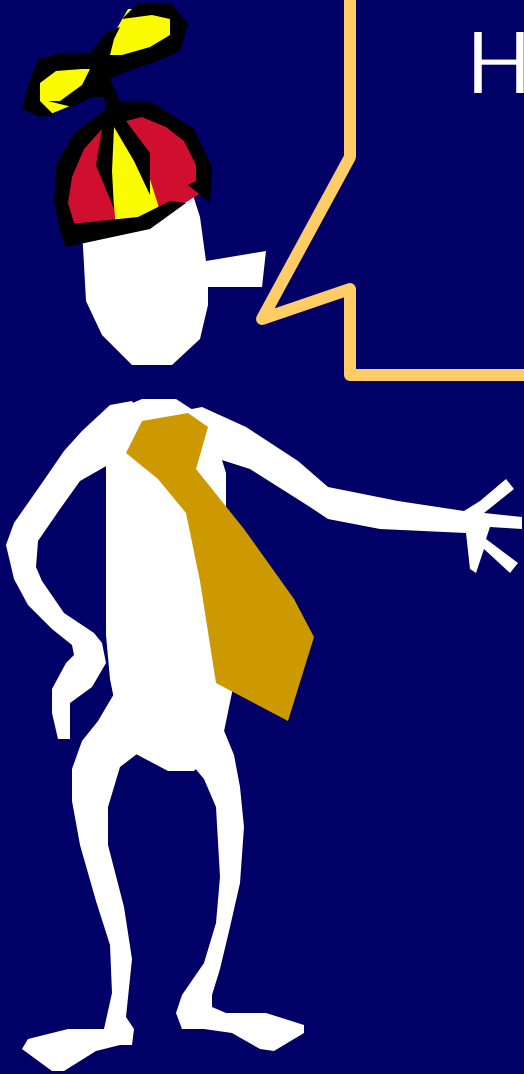
If  $G$  consists of root  $r$  with sub-trees

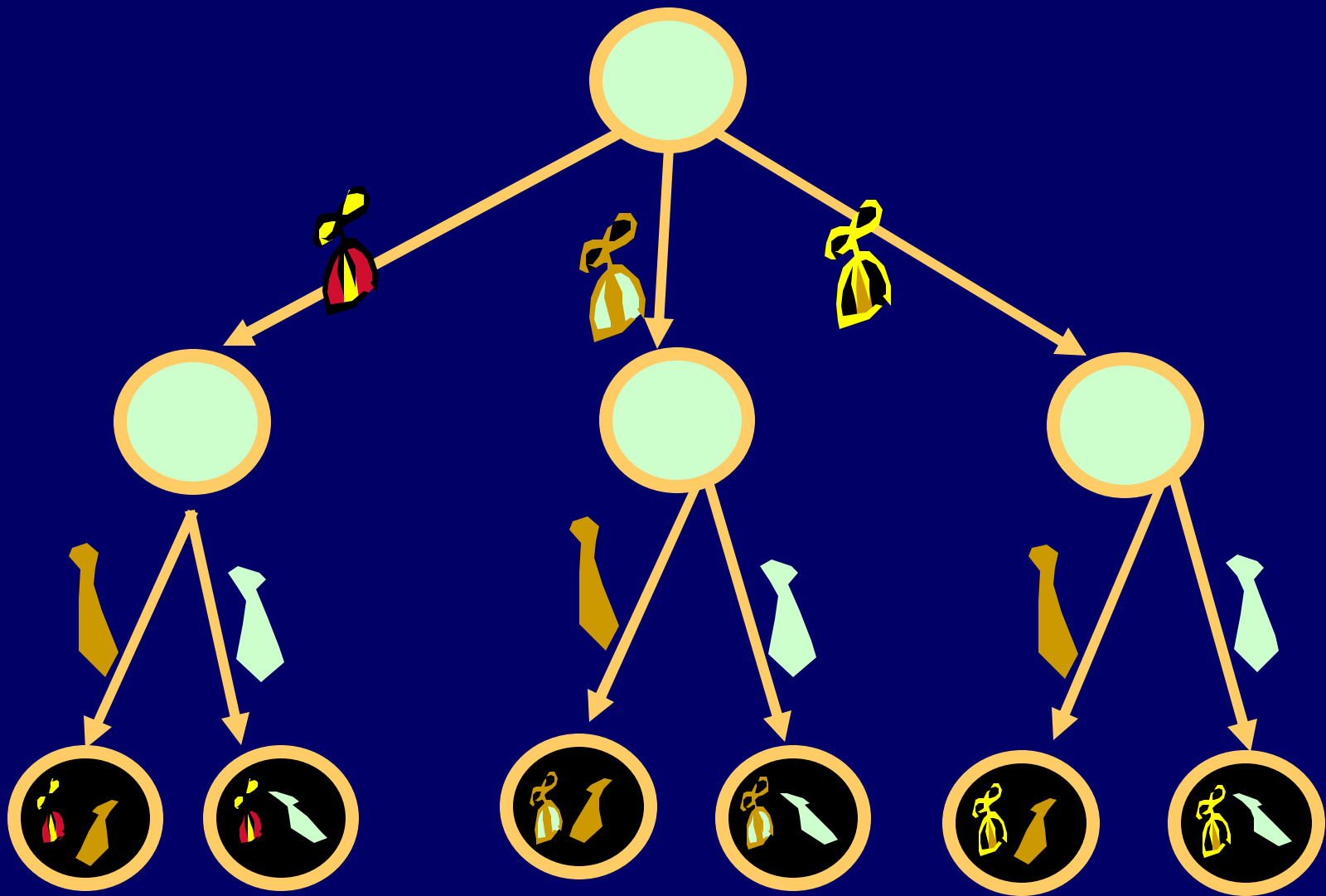
$T_1, T_2, \dots, T_k$

$$\text{Viz}(G) =$$

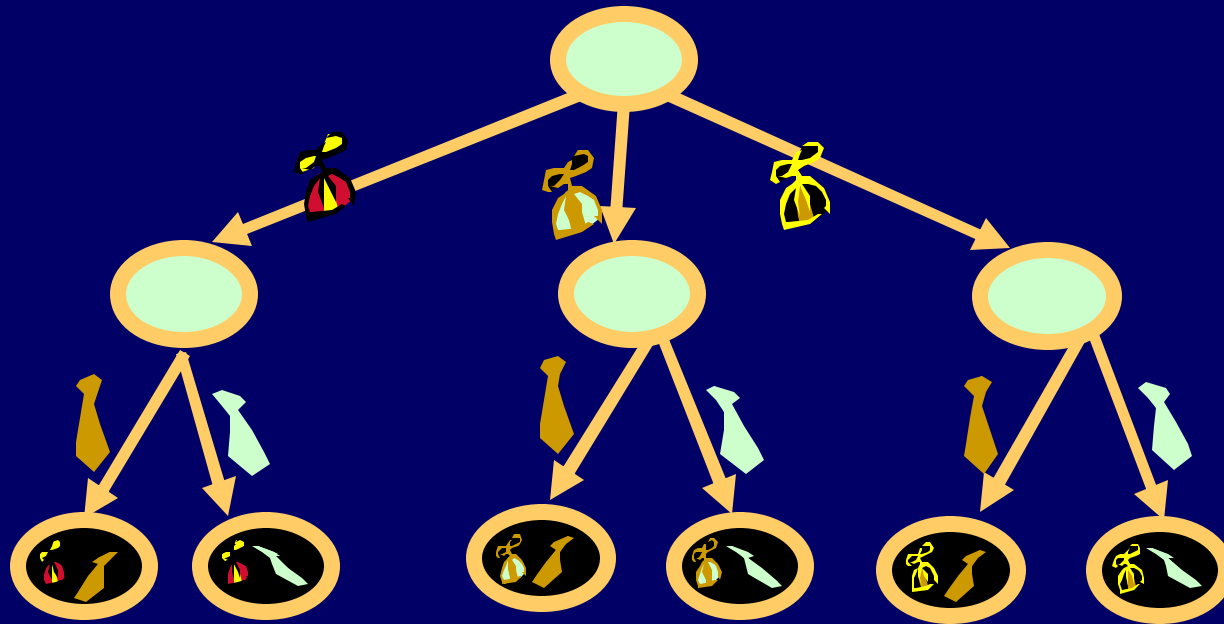


I own 3 beanies and 2 ties.  
How many beanie/tie combos  
might I wear to the ball  
tonight?





# Choice Tree



A choice tree is a tree with an object called a "choice" associated with each edge and a label on each leaf.

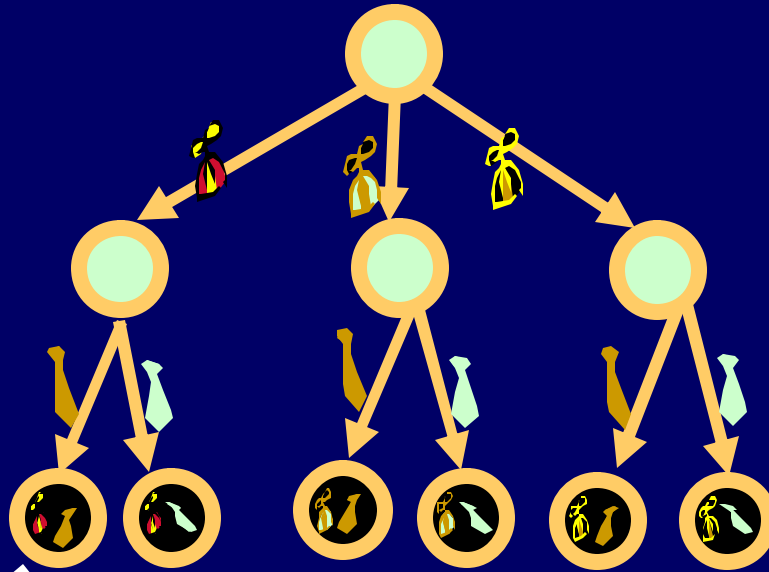
## Definition: Labeled Tree

A tree node labeled by  $S$  is a tree  $T = \langle V, E \rangle$  with an associated function

$\text{Label}_1: V \text{ to } S$

A tree edge labeled by  $S$  is a tree  $T = \langle V, E \rangle$  with an associated function

$\text{Label}_2: E \text{ to } S$



was very illuminating.

Let's do something similar to  
illuminate the nature of  
 $T(1)=1; T(n)= 4T(n/2) + n$



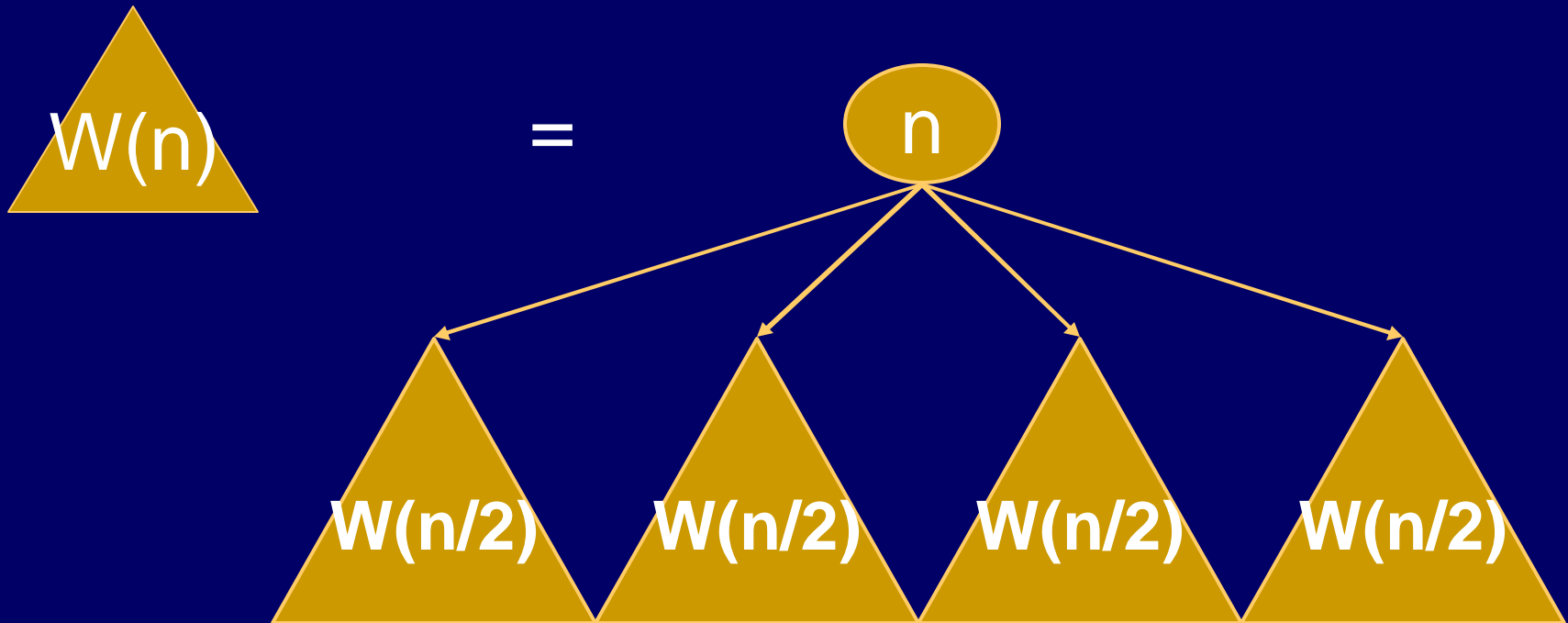


$$T(1)=1; T(n)= 4T(n/2) + n$$

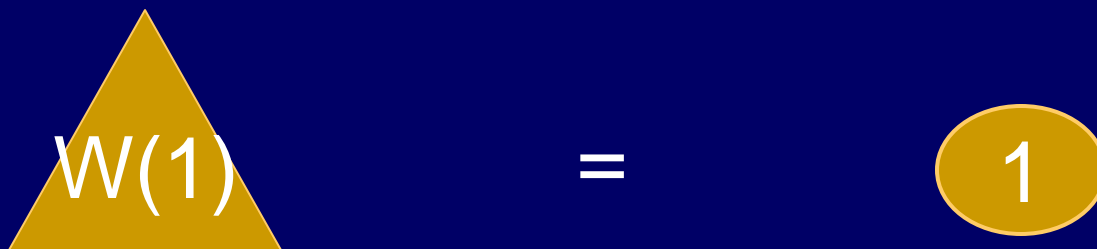
For each  $n$  (power of 2), we will define a tree  $W(n)$  node labeled by Natural numbers.  $W(n)$  will give us an incisive picture of  $T(n)$ .

# Inductive Definition Of Labeled Tree $W(n)$

$$\underline{T(n)} = n + 4 T(n/2)$$



$$\underline{T(1)} = 1$$

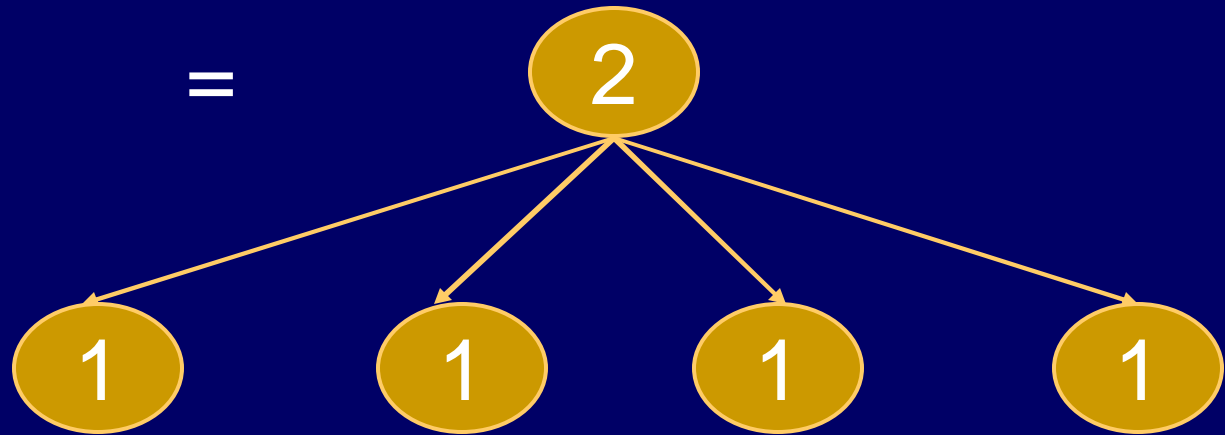


# Inductive Definition Of Labeled Tree $W(n)$

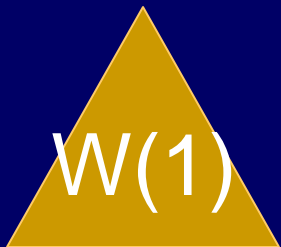
$$\underline{T(2)} = \underline{6}$$



=



$$\underline{T(1)} = \underline{1}$$

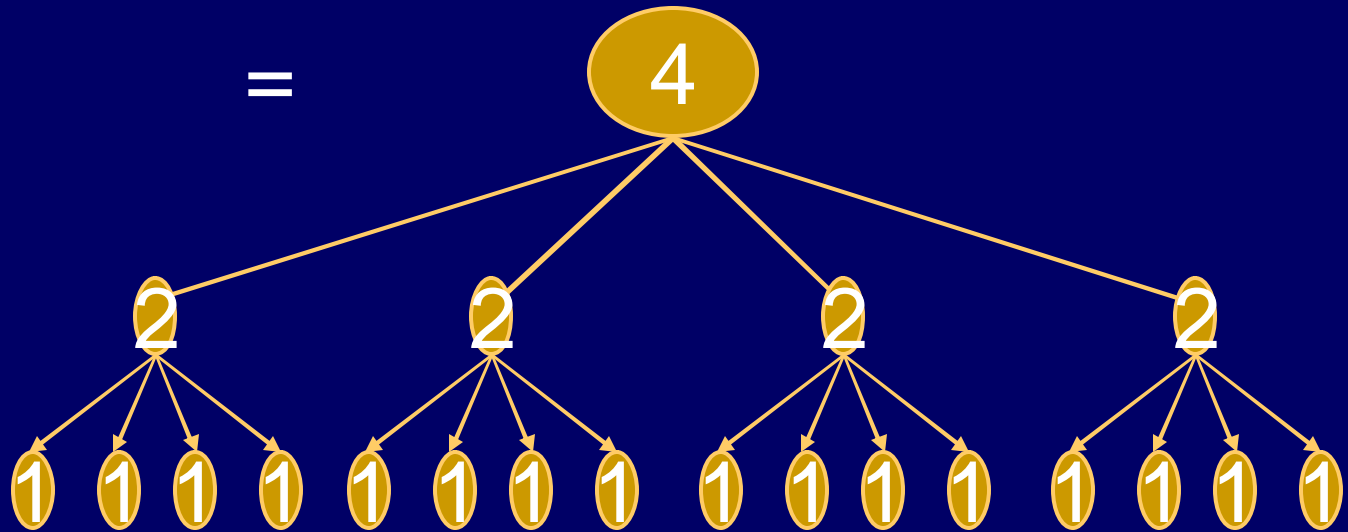


=



# Inductive Definition Of Labeled Tree $W(n)$

$$\frac{T(4)}{\quad} = \frac{28}{\quad}$$

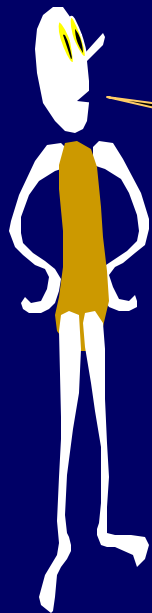
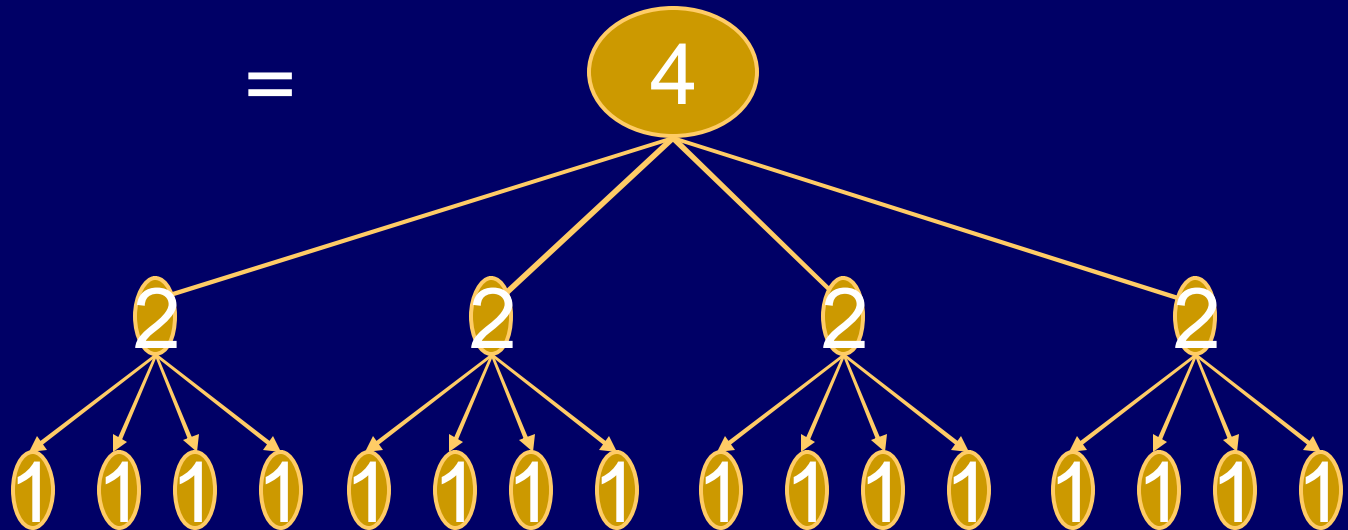


# Inductive Definition Of Labeled Tree $W(n)$

$$\underline{T(4)} = \underline{28}$$



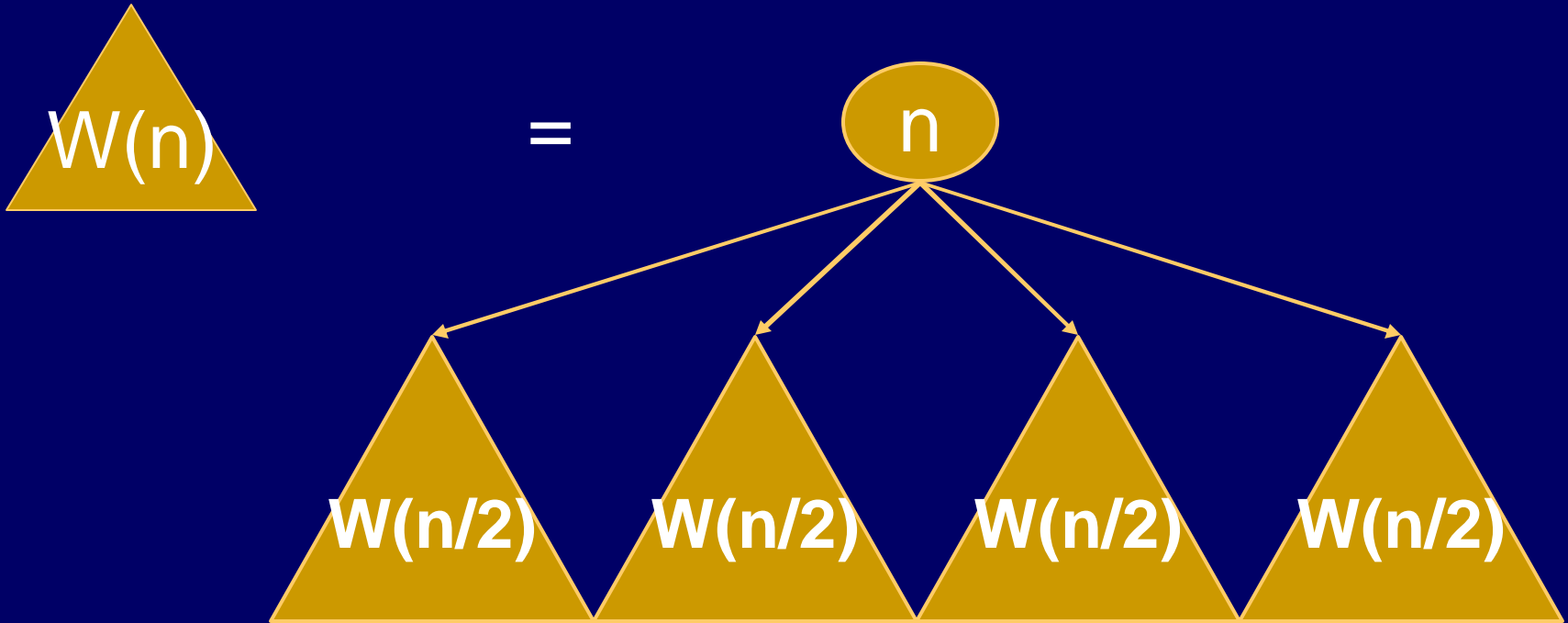
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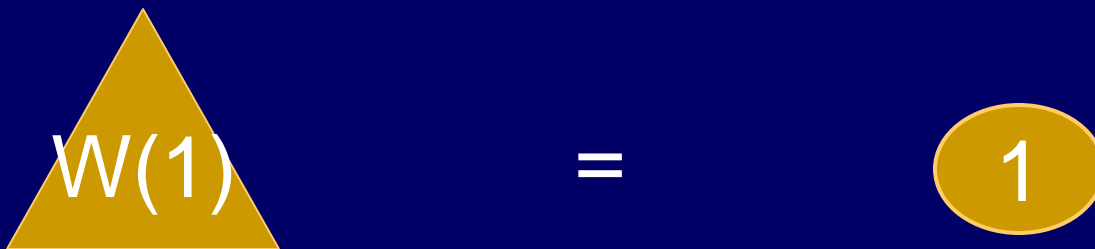
NOTE: When we sum all the node labels on  $W(n)$ , we get  $T(n)$

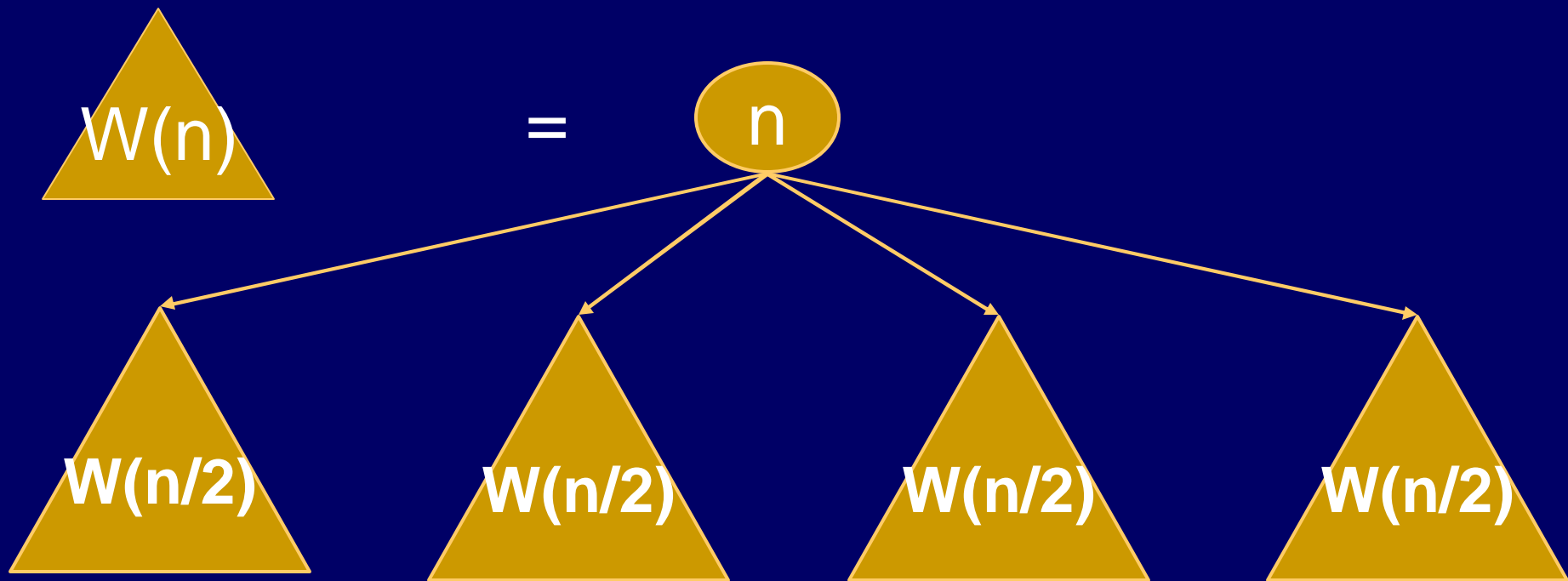
# Invariant: LabelSum $W(n) = T(n)$

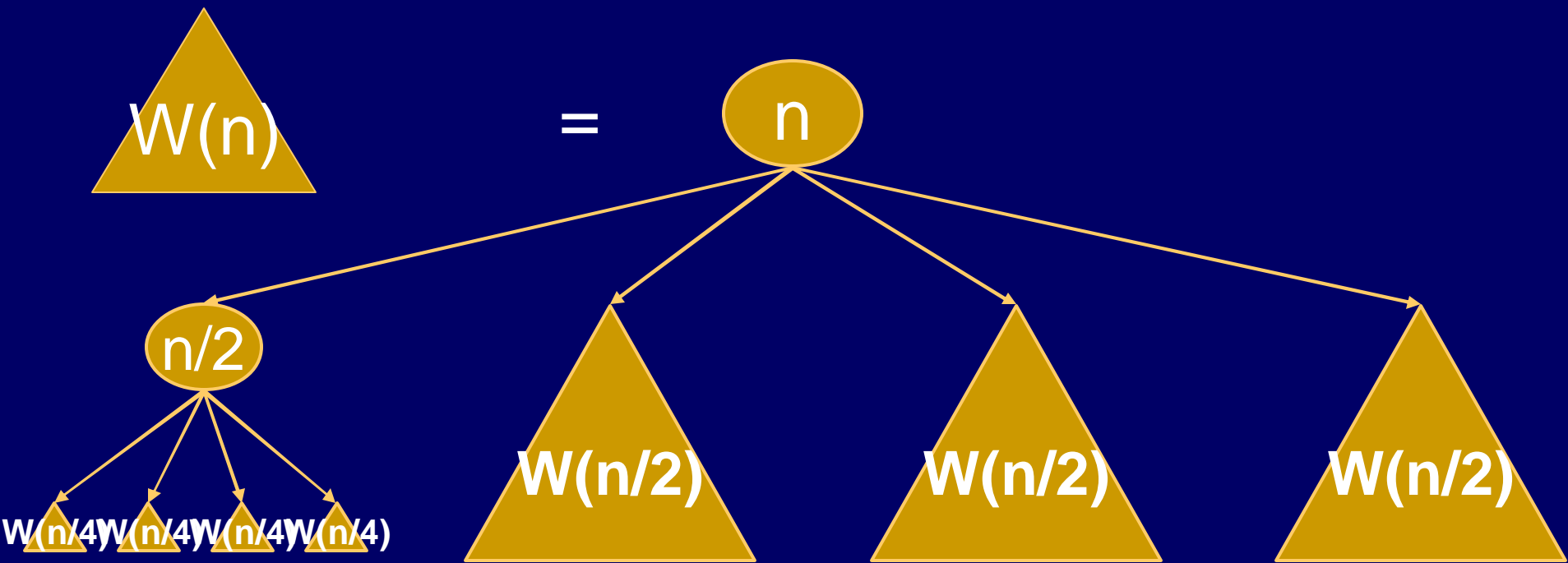
$$\underline{T(n)} = n + 4 T(n/2)$$



$$\underline{T(1)} = 1$$



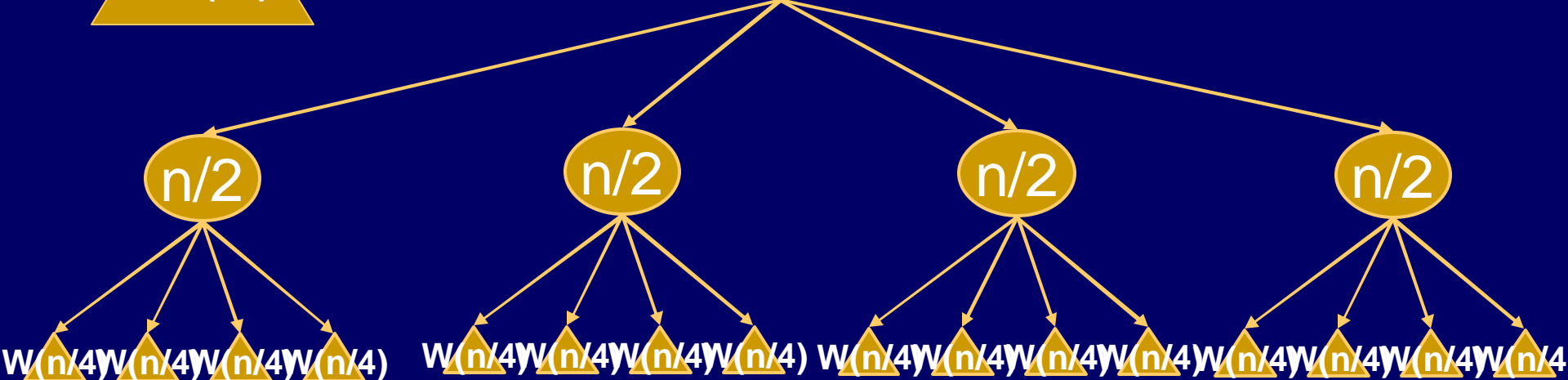








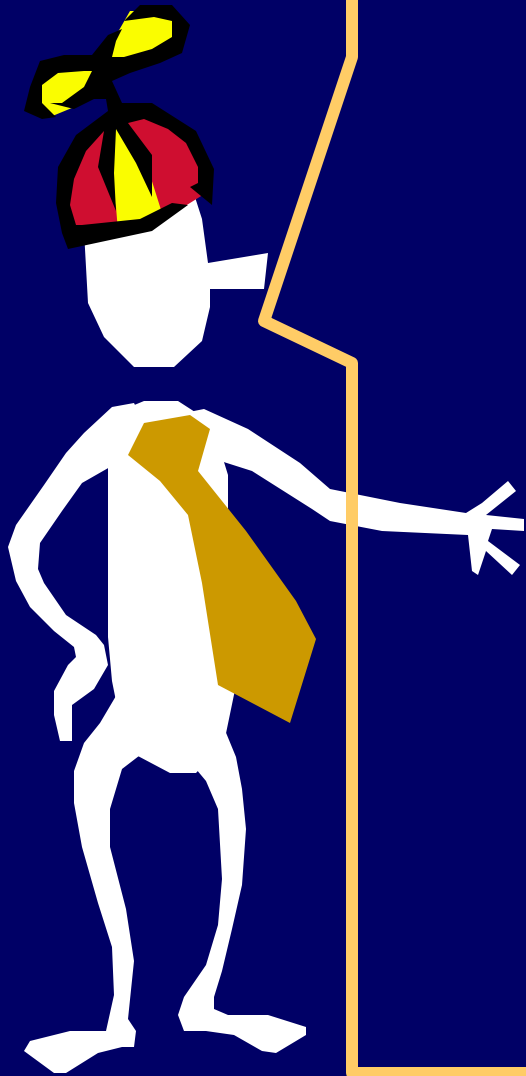
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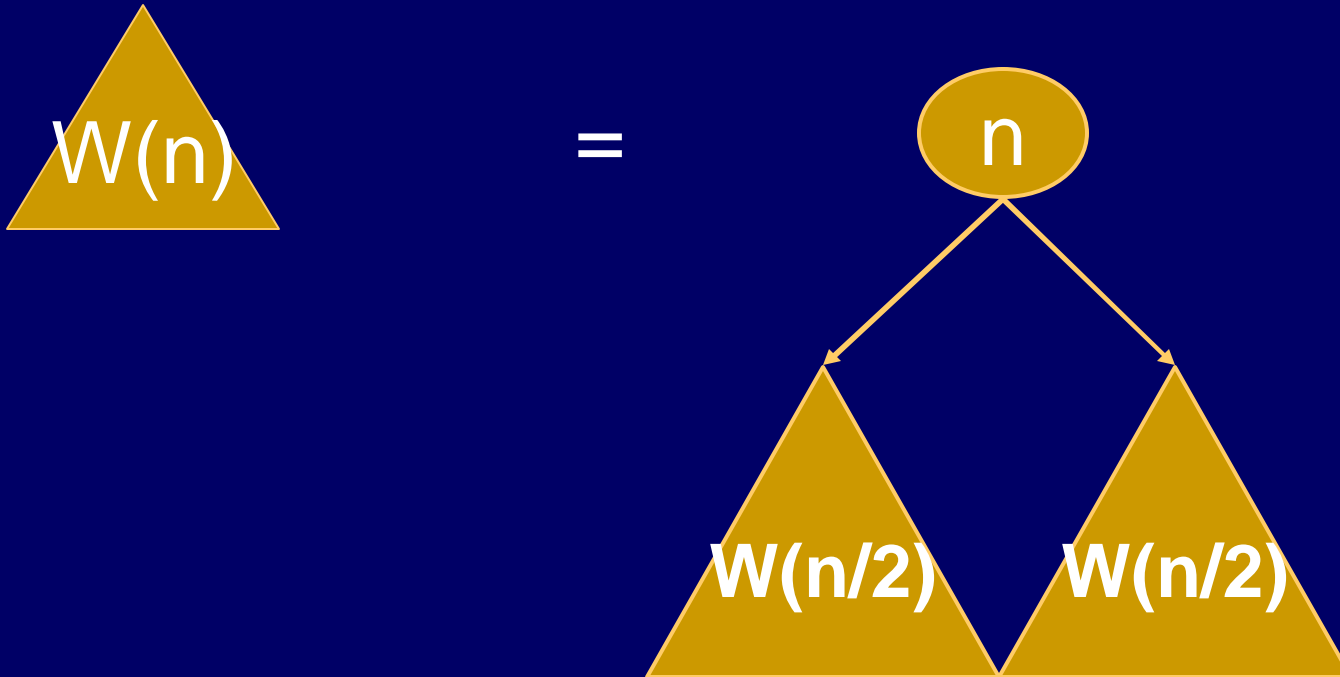




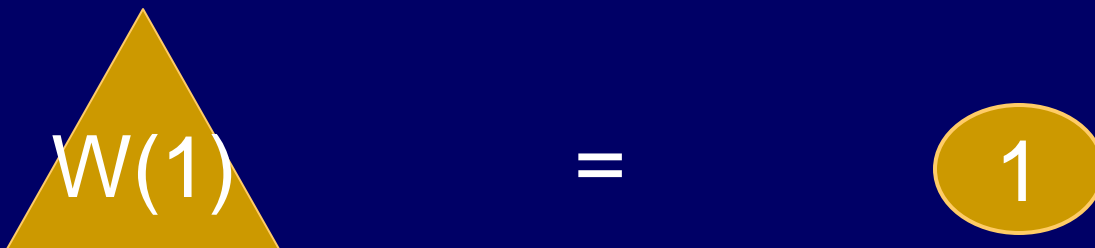
Instead of  
 $T(1)=1; T(n)= 4T(n/2) + n$

We could illuminate  
 $T(1)=1; T(n) = 2T(n/2) + n$

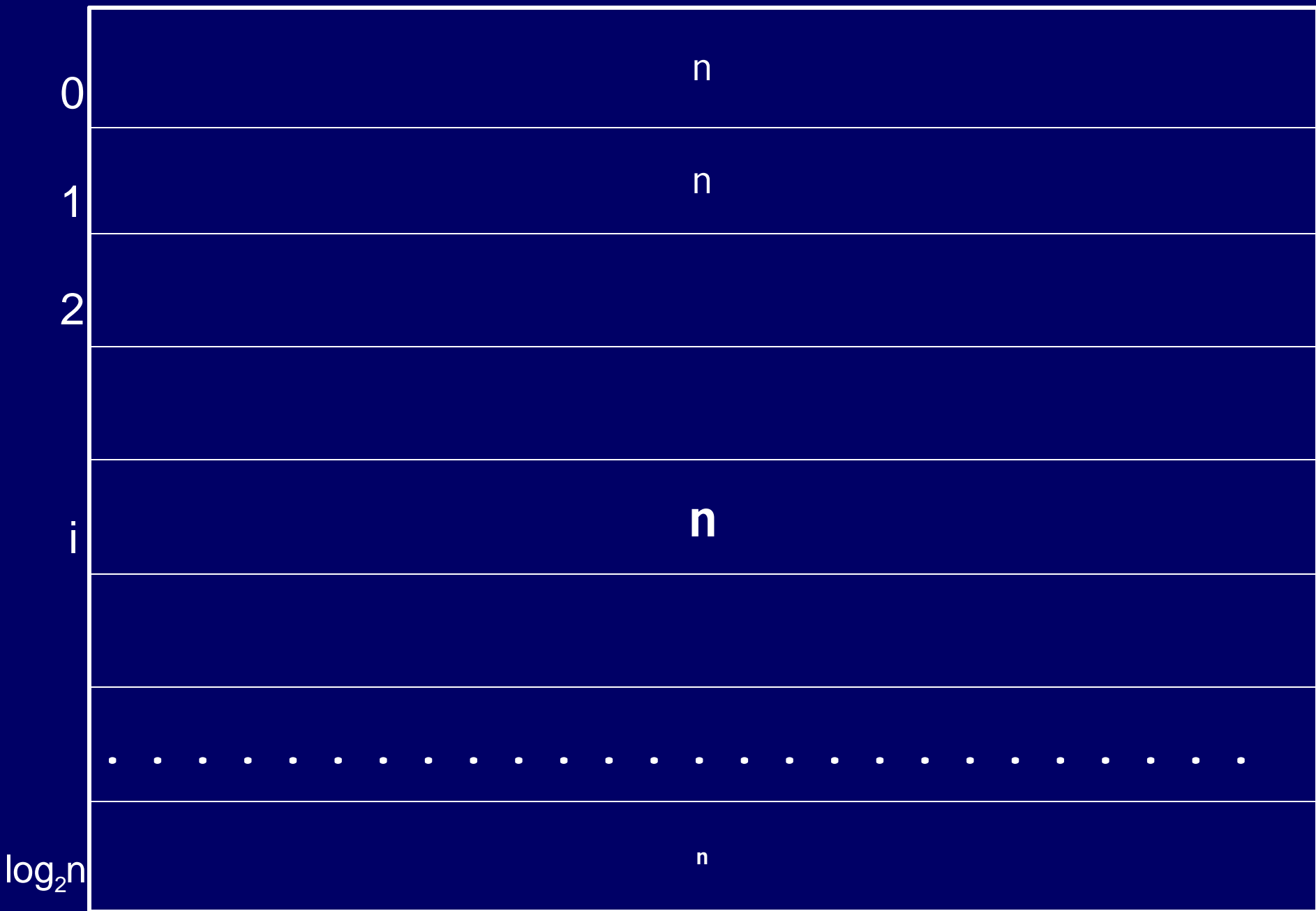
$$\underline{T(n)} = \underline{n + 2 T(n/2)}$$



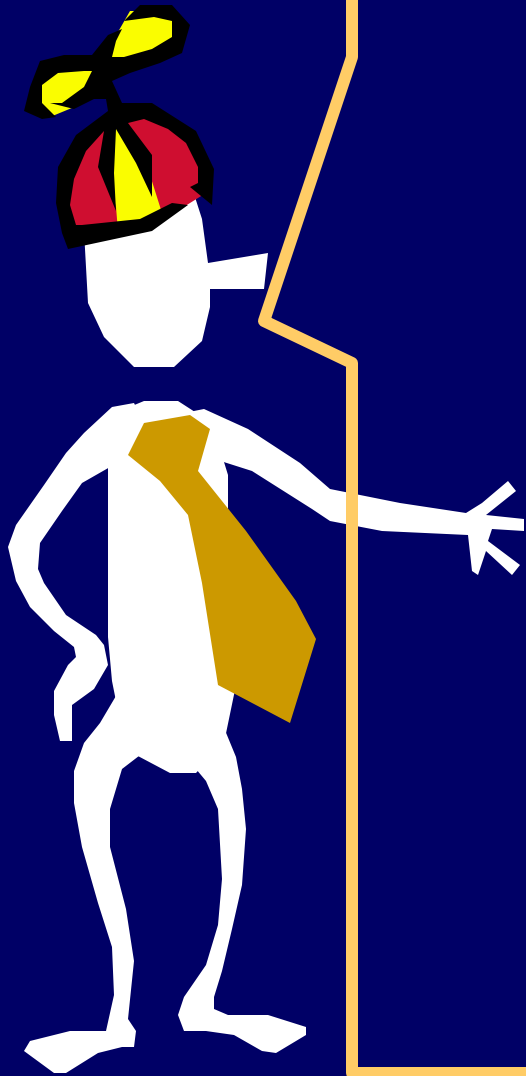
$$\underline{T(1)} = \underline{1}$$











$$T(1)=1; T(n) = 2T(n/2) + n$$

Has closed form:  $n \log_2(n)$   
where  $n$  is a power of 2



Representing a recurrence relation as a labeled tree is one of the basics tools you will have to put recurrence relations in closed form.

# The Lindenmayer Game

$$\Sigma = \{a,b\}$$

Start word: a

$$\text{SUB}(a) = ab \quad \text{SUB}(b) = a$$

For each  $w = w_1 w_2 \dots w_n$

$$\text{NEXT}(w) = \text{SUB}(w_1)\text{SUB}(w_2)\dots\text{SUB}(w_n)$$

# The Lindenmayer Game

$SUB(a) = ab$                        $SUB(b) = a$

For each  $w = w_1 w_2 \dots w_n$

$NEXT(w) = SUB(w_1)SUB(w_2)..SUB(w_n)$

Time 1: a

Time 2: ab

Time 3: aba

Time 4: abaab

Time 5: abaababa

# The Lindenmayer Game

$SUB(a) = ab$

$SUB(b) = a$

For each  $w = w_1 w_2 \dots w_n$

$NEXT(w) = SUB(w_1)SUB(w_2)..SUB(w_n)$

Time 1: a

Time 2: ab

Time 3: aba

Time 4: abaab

Time 5: abaababa

How long are  
the strings as a  
function of  
time?

# Aristid Lindenmayer (1925-1989)

1968 Invents L-systems in Theoretical Botany

Time 1: a

Time 2: ab

Time 3: aba

Time 4: abaab

Time 5: abaababa

FIBONACCI (n)  
cells at time n

# The Koch Game

$$\Sigma = \{F, +, -\}$$

Start word: F

$$\text{SUB}(F) = F+F--F+F \quad \text{SUB}(+) = + \quad \text{SUB}(-) = -$$

For each  $w = w_1 w_2 \dots w_n$

$$\text{NEXT}(w) = \text{SUB}(w_1)\text{SUB}(w_2)\dots\text{SUB}(w_n)$$

# The Koch Game

Gen 0: F

Gen 1: F+F--F+F

Gen 2: F+F--F+F+F+F--F+F--F+F--F+F+F+F--F+F



# The Koch Game

Picture representation:

F draw forward one unit  
+ turn 60 degree left  
- turn 60 degrees right.

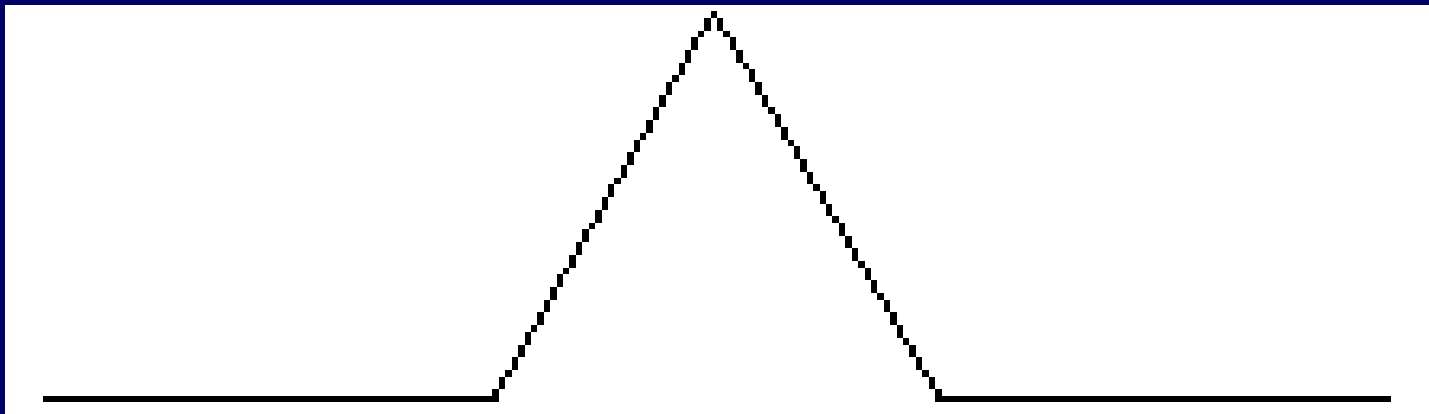
Gen 0: F

Gen 1: F+F--F+F

Gen 2: F+F--F+F+F+F--F+F--F+F--F+F+F+F--F+F

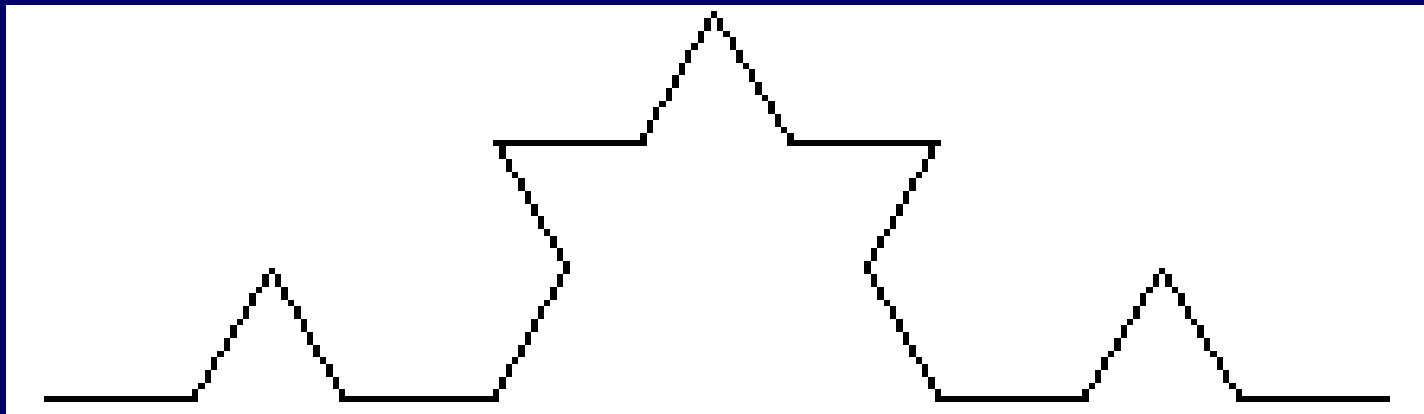
# The Koch Game

F+F--F+F

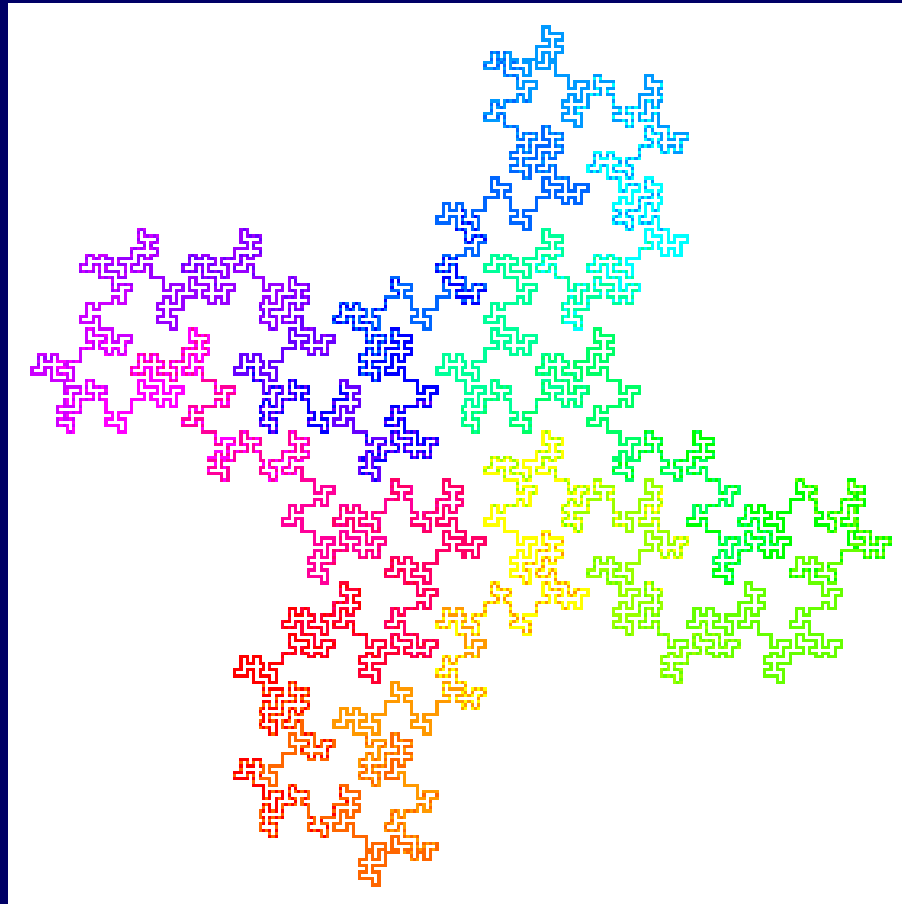


# The Koch Game

F+F--F+F+F+F--F+F--F+F--F+F+F+F--F+F



# Koch Curve

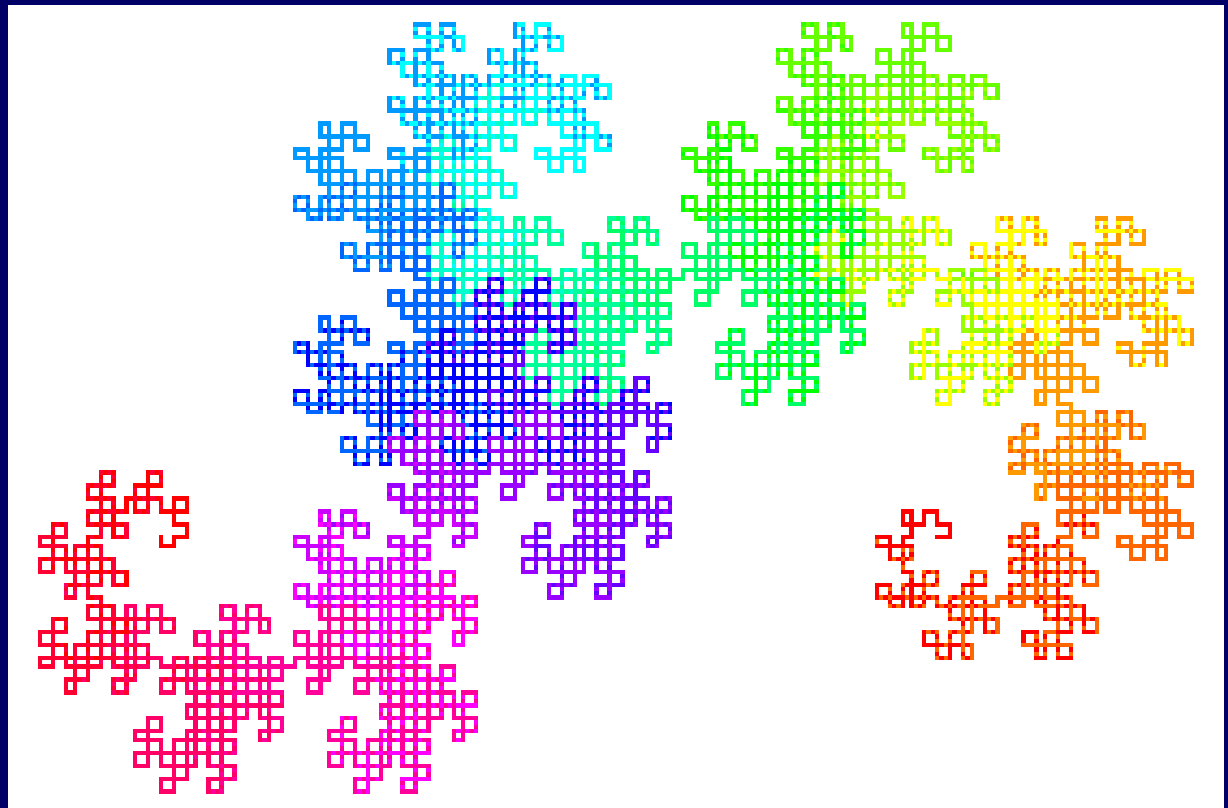


# Dragon Game

$$\text{SUB}(X) = X + YF +$$

$$\text{SUB}(Y) = -FX - Y$$

Dragon Curve:



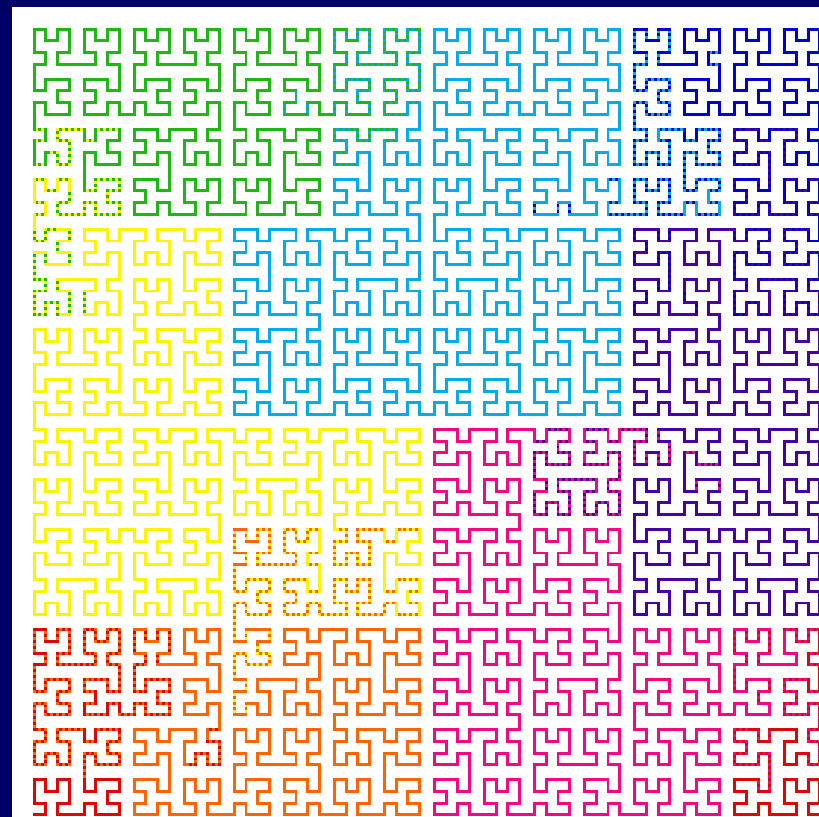
# Hilbert Game

SUB(L)= +RF-LFL-FR+

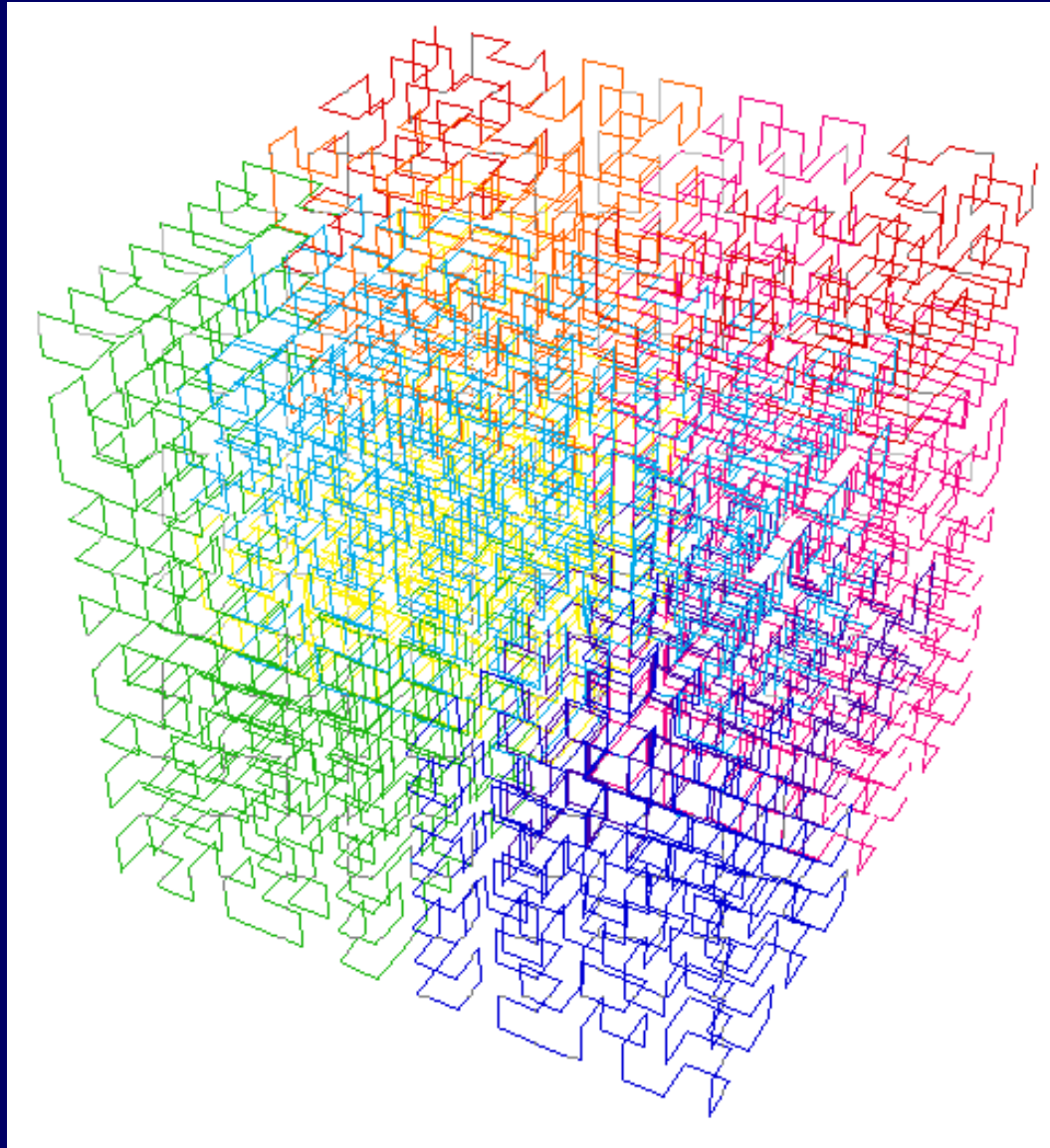
SUB(R)= -LF+RFR+FL-

Hilbert Curve:

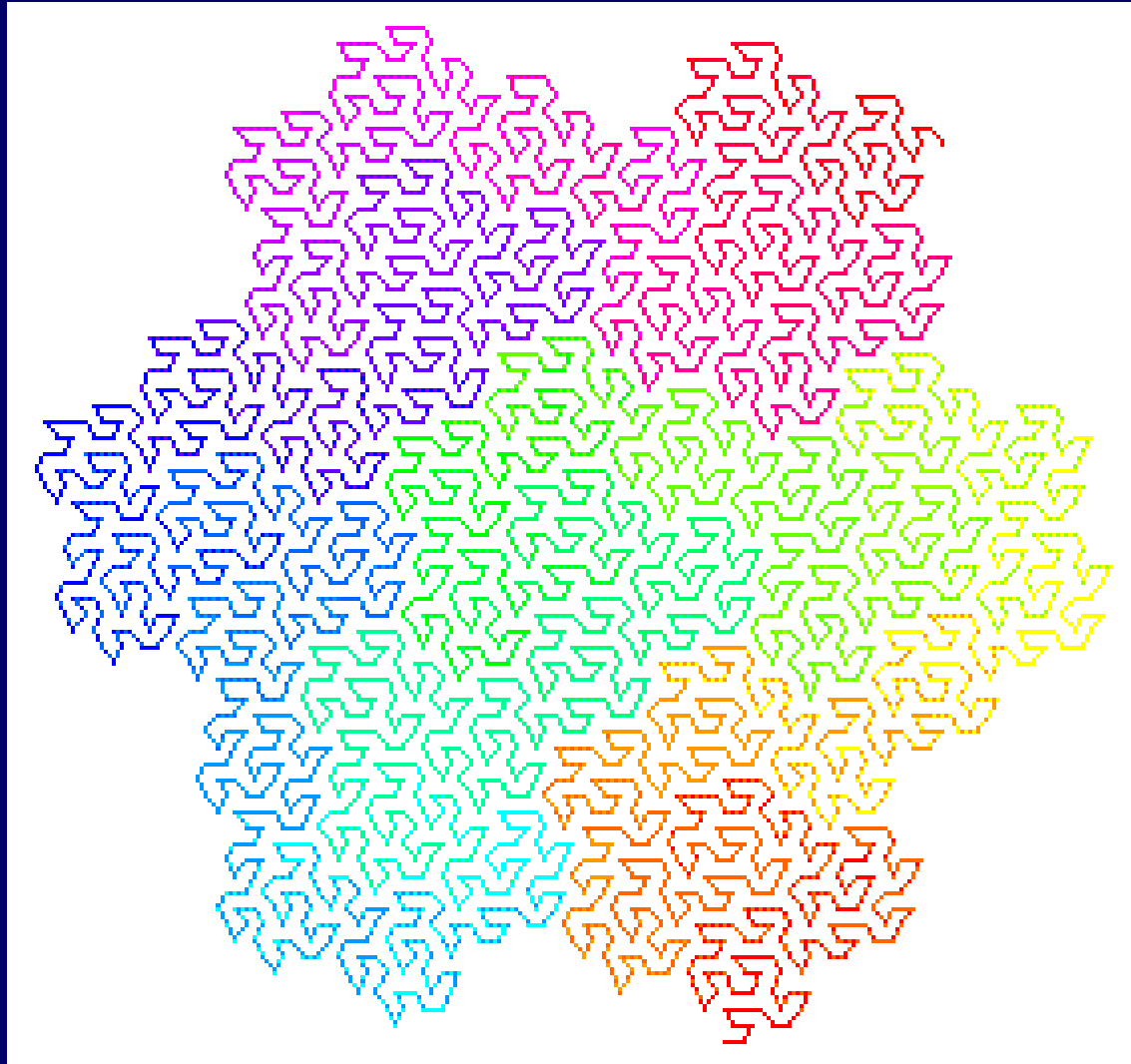
Note: Make 90 degree turns instead of 60 degrees.



# Hilbert's Space Filling Curve

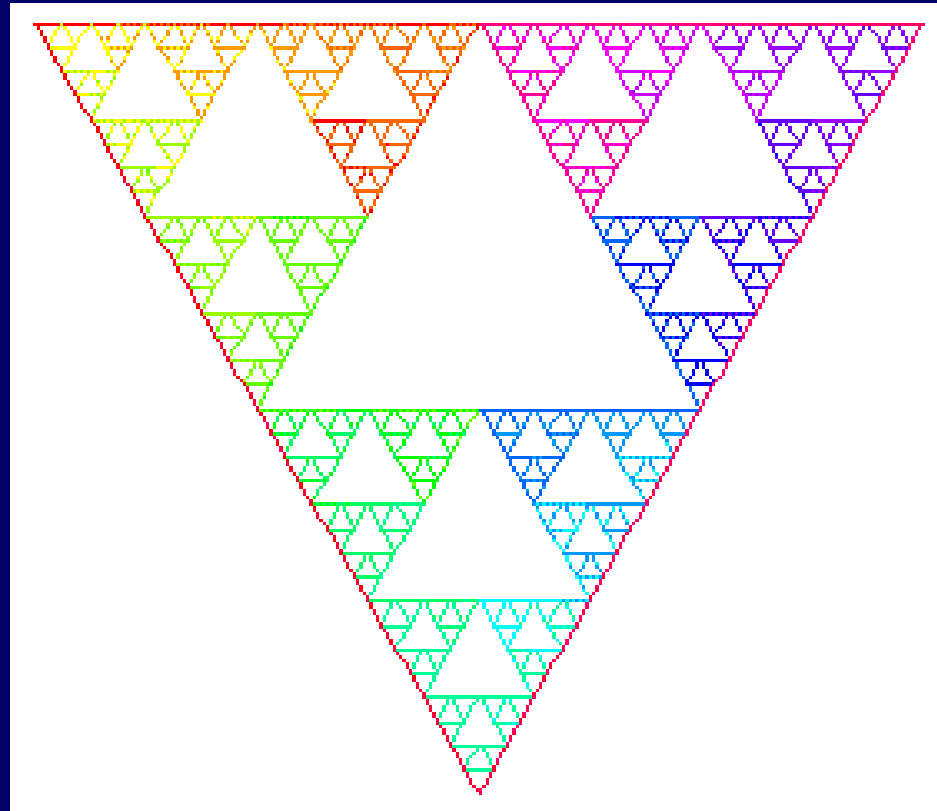


# Peano-Gossamer Curve





# Sierpinski Triangle

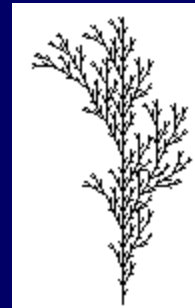
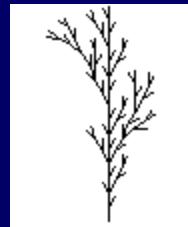
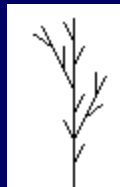


# Lindenmayer 1968

$$\text{SUB}(F) = F[-F]F[+F][F]$$

Interpret the stuff inside brackets as a branch.

# Lindenmayer 1968



# Inductive Leaf



