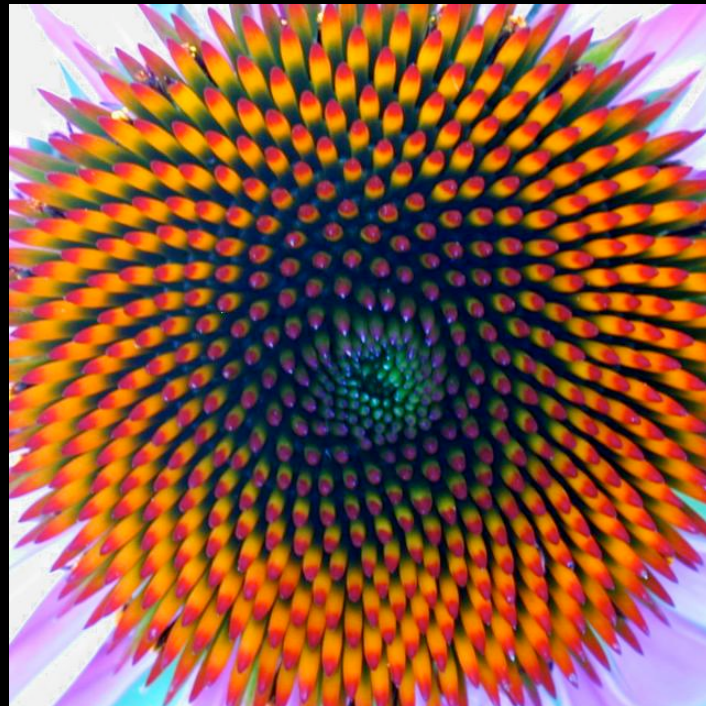


15-251

Great Theoretical Ideas in Computer Science

Recurrences, Fibonacci Numbers and Continued Fractions

Lecture 9, September 23, 2008

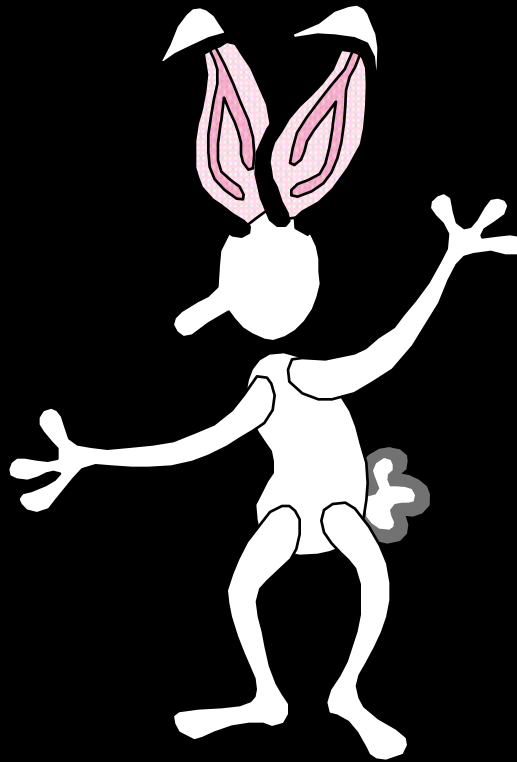


Happy Autumnal Equinox

<http://apod.nasa.gov/apod/ap080922.html>

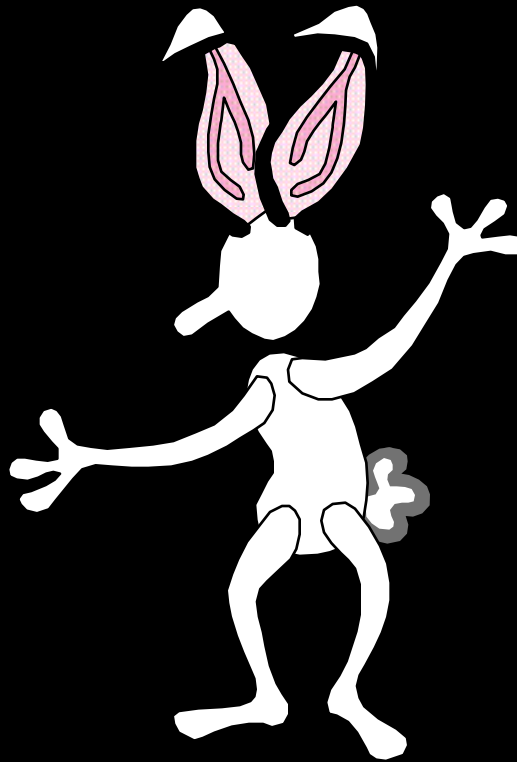


Leonardo Fibonacci



Leonardo Fibonacci

In 1202, Fibonacci proposed a problem about the growth of rabbit populations



Rabbit Reproduction

Rabbit Reproduction

A rabbit lives forever

Rabbit Reproduction

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The population starts as single newborn pair

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month	1	2	3	4	5	6	7
rabbits							

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rabbits	1						

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F_n = # of rabbit pairs at the beginning of the n^{th} month

month	1	2	3	4	5	6	7
rabbits	1	1	2				

Rabbit Reproduction

A rabbit lives forever

The population starts as single newborn pair

Every month, each productive pair begets a new pair which will become productive after 2 months old

F_n = # of rabbit pairs at the beginning of the n^{th} month

month	1	2	3	4	5	6	7
rabbits	1	1	2	3			

Rabbit Reproduction

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Every month, each productive pair begets a new pair which will become productive after 2 months old

F_n = # of rabbit pairs at the beginning of the n^{th} month

month	1	2	3	4	5	6	7
rabbits	1	1	2	3	5		

Rabbit Reproduction

A rabbit lives forever

The population starts as single newborn pair

Every month, each productive pair begets a new pair which will become productive after 2 months old

F_n = # of rabbit pairs at the beginning of the n^{th} month

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rabbits	1	1	2	3	5	8	

Rabbit Reproduction

A rabbit lives forever

The population starts as single newborn pair

Every month, each productive pair begets a new pair which will become productive after 2 months old

F_n = # of rabbit pairs at the beginning of the n^{th} month

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rabbits	1	1	2	3	5	8	13

Fibonacci Numbers

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Stage 0, Initial Condition, or Base Case:

$\text{Fib}(1) = 1$; $\text{Fib}(2) = 1$

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$\text{Fib}(1) = 1$; $\text{Fib}(2) = 1$

Inductive Rule:

For $n > 3$, $\text{Fib}(n) =$

Fibonacci Numbers

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$$\text{Fib}(1) = 1; \text{Fib}(2) = 1$$

Inductive Rule:

$$\text{For } n > 3, \text{Fib}(n) = \text{Fib}(n-1) + \text{Fib}(n-2)$$

Sequences That Sum To n

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Let f_{n+1} be the number of different sequences of 1's and 2's that sum to n .

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$$f_1 = 1 \quad 0 = \text{the empty sum}$$

$$f_2 = 1 \quad 1 = 1$$

$$f_3 = 2$$

Sequences That Sum To n

Let f_{n+1} be the number of different sequences of 1's and 2's that sum to n.

$$f_1 = 1 \quad 0 = \text{the empty sum}$$

$$f_2 = 1 \quad 1 = 1$$

$$f_3 = 2 \quad 2 = 1 + 1$$

$$2$$

Sequences That Sum To n

Let f_{n+1} be the number of different sequences of 1's and 2's that sum to n .

$$4 =$$

Sequences That Sum To n

Let f_{n+1} be the number of different sequences of 1's and 2's that sum to n .

$$\begin{aligned} 4 = & 2 + 2 \\ & 2 + 1 + 1 \\ & 1 + 2 + 1 \\ & 1 + 1 + 2 \\ & 1 + 1 + 1 + 1 \end{aligned}$$

Sequences That Sum To n

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of
sequences
beginning
with a 1

of
sequences
beginning
with a 2

Fibonacci Numbers Again

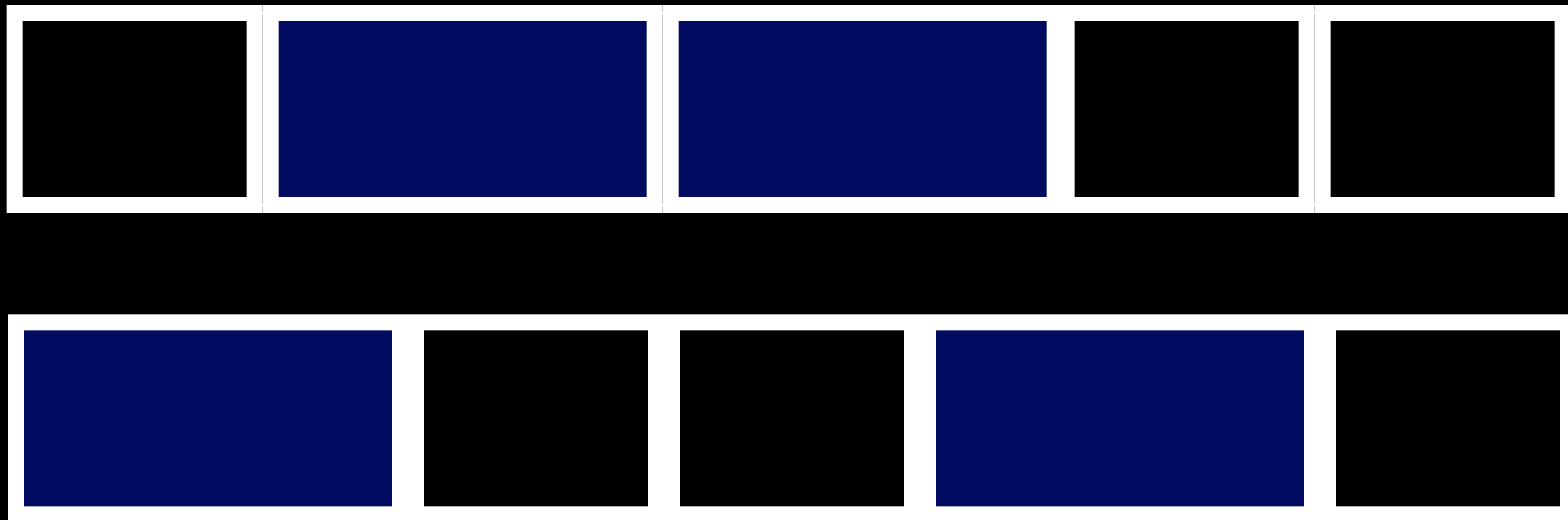
Let f_{n+1} be the number of different sequences of 1's and 2's that sum to n .

$$f_{n+1} = f_n + f_{n-1}$$

$$f_1 = 1 \quad f_2 = 1$$

Visual Representation: Tiling

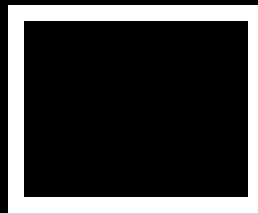
Let f_{n+1} be the number of different ways to tile a $1 \times n$ strip with squares and dominoes.



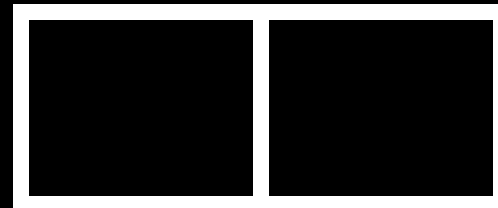
Visual Representation: Tiling

1 way to tile a strip of length 0

1 way to tile a strip of length 1:

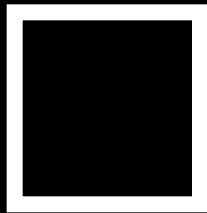


2 ways to tile a strip of length 2:



$$f_{n+1} = f_n + f_{n-1}$$

f_{n+1} is number of ways to tile length n .



f_n tilings that start with a square.



f_{n-1} tilings that start with a domino.

Fibonacci Identities

Fibonacci Identities

Some examples:

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$$F_{2n} = F_1 + F_3 + F_5 + \dots + F_{2n-1}$$

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$$F_{2n} = F_1 + F_3 + F_5 + \dots + F_{2n-1}$$

$$F_{m+n+1} = F_{m+1} F_{n+1} + F_m F_n$$

Fibonacci Identities

Some examples:

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Fibonacci Identities

Some examples:

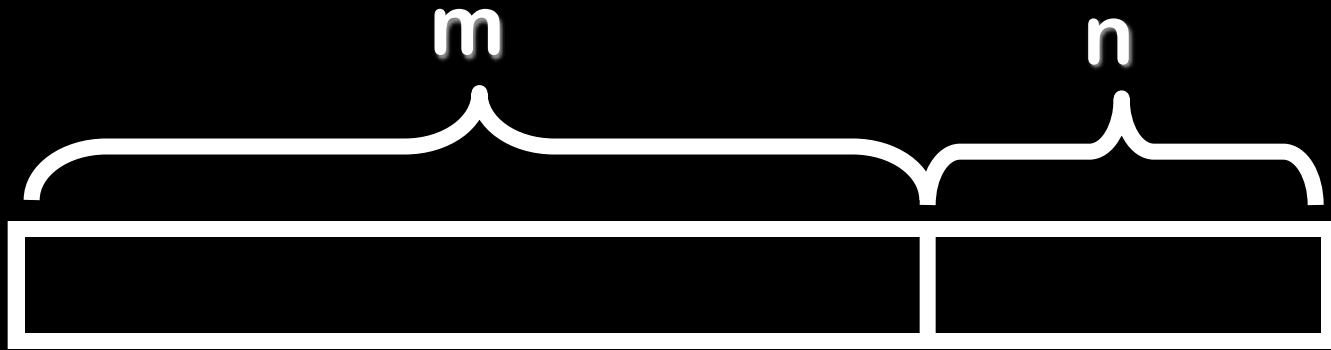
$$F_{2n} = F_1 + F_3 + F_5 + \dots + F_{2n-1}$$

$$F_{m+n+1} = F_{m+1} F_{n+1} + F_m F_n$$

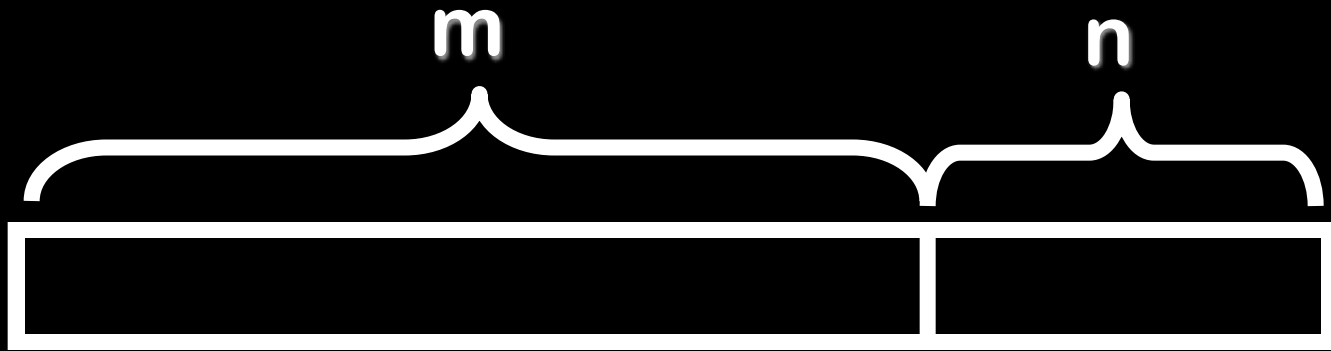
$$(F_n)^2 = F_{n-1} F_{n+1} + (-1)^n$$

$$F_{m+n+1} = F_{m+1} F_{n+1} + F_m F_n$$

$$F_{m+n+1} = F_{m+1} F_{n+1} + F_m F_n$$

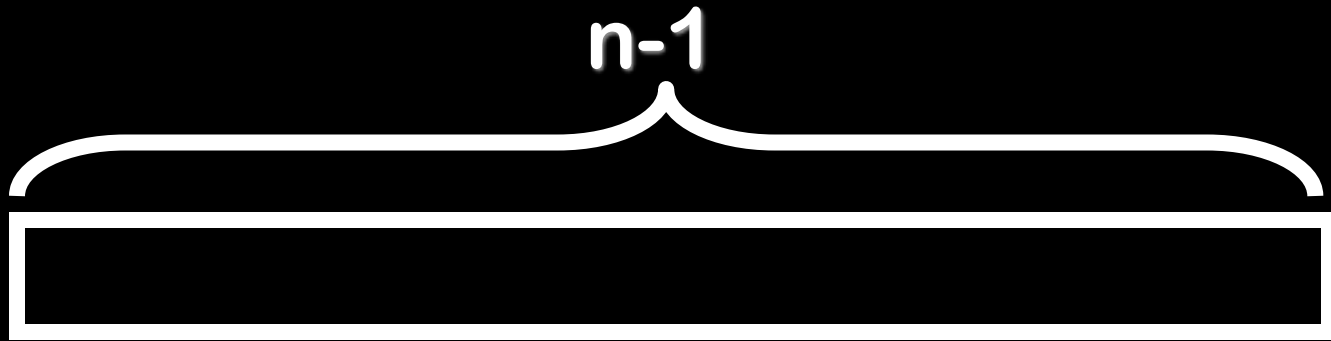


$$F_{m+n+1} = F_{m+1} F_{n+1} + F_m F_n$$



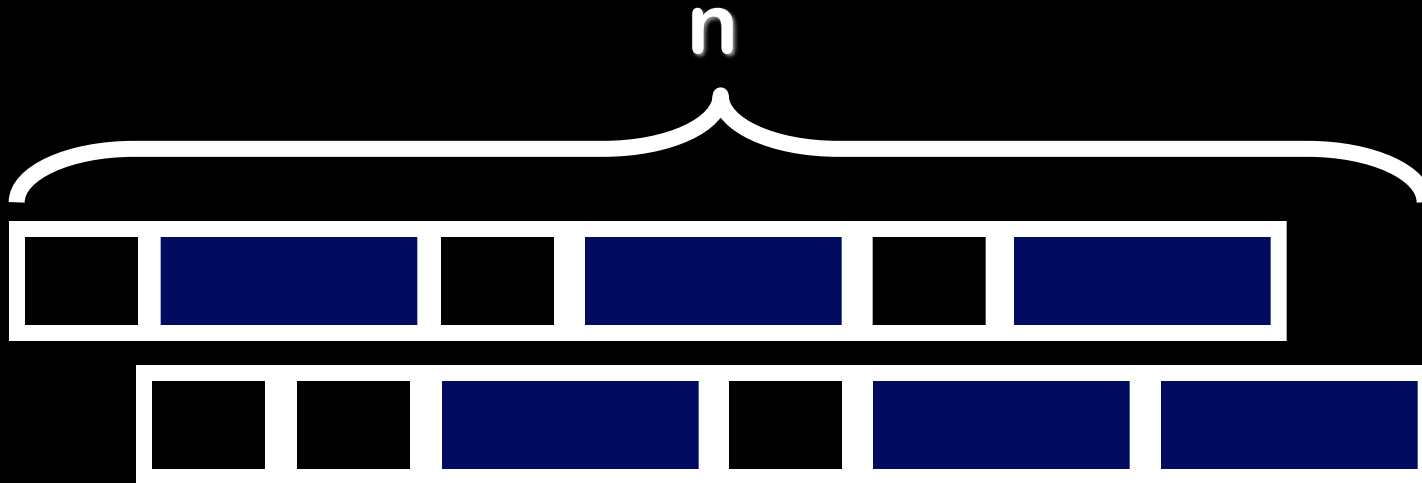
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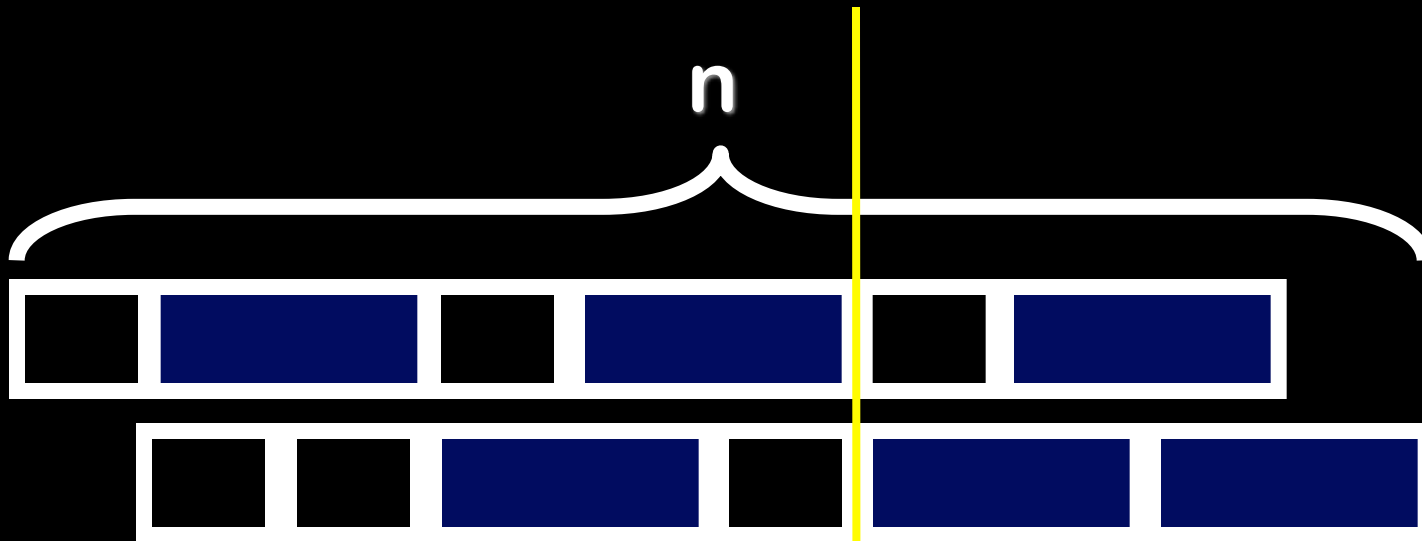
F_n tilings of a strip of length $n-1$

$$(F_n)^2 = F_{n-1} F_{n+1} + (-1)^n$$



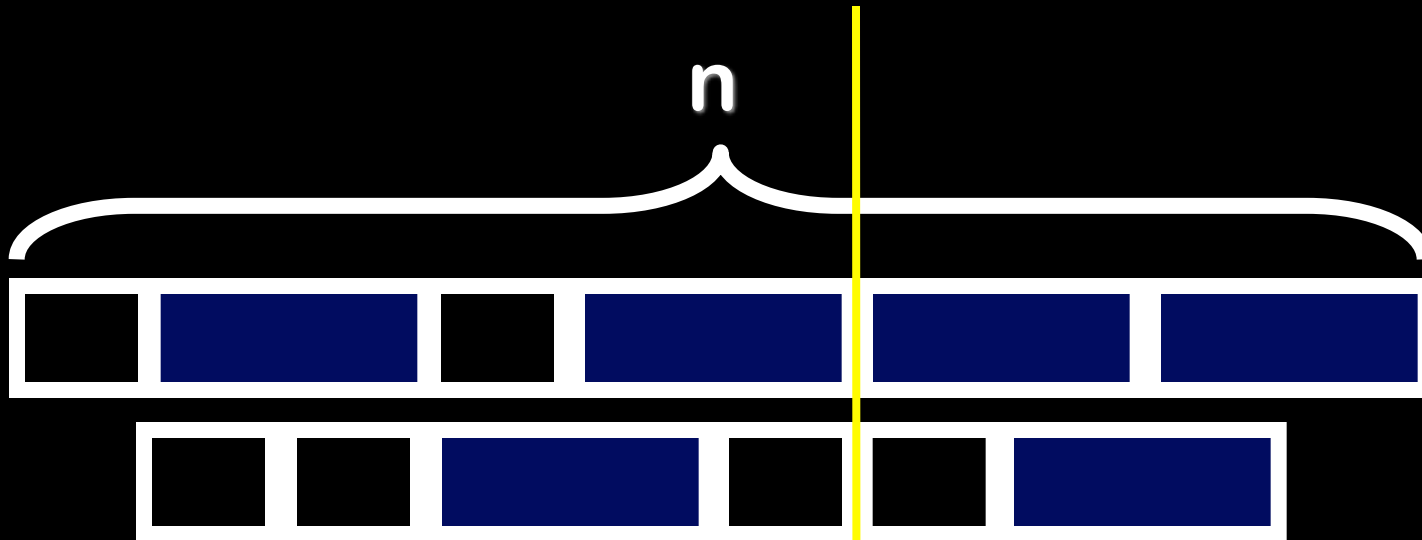
$(F_n)^2$ tilings of two strips of size $n-1$

$$(F_n)^2 = F_{n-1} F_{n+1} + (-1)^n$$



Draw a vertical “fault line” at the **rightmost** position ($< n$) possible without cutting any dominoes

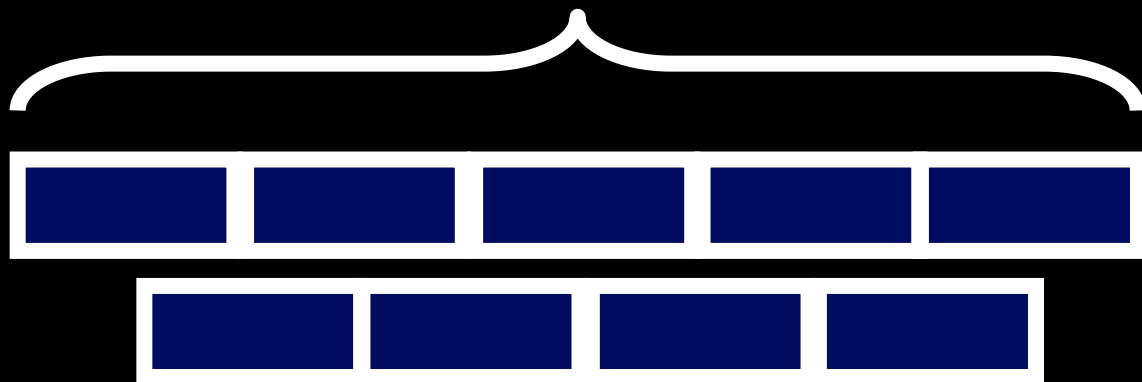
$$(F_n)^2 = F_{n-1} F_{n+1} + (-1)^n$$



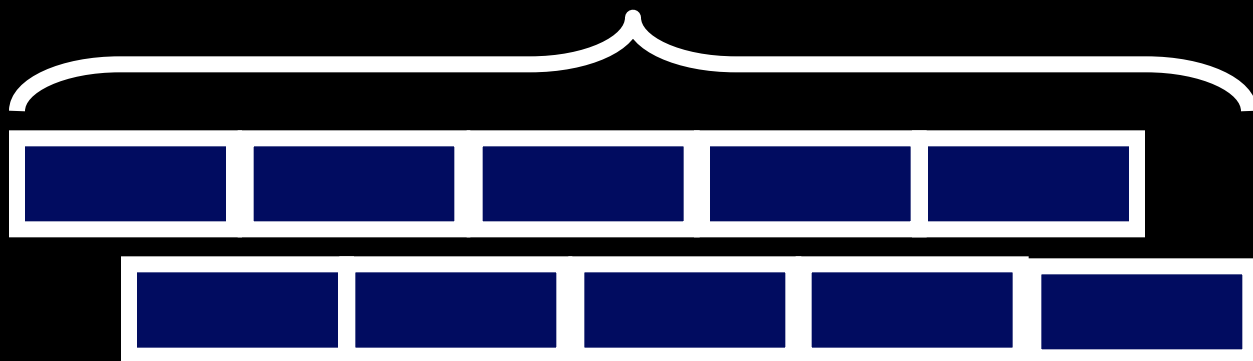
Swap the tails at the fault line to map to a tiling of 2 $(n-1)$'s to a tiling of an $n-2$ and an n .

$$(F_n)^2 = F_{n-1} F_{n+1} + (-1)^{n-1}$$

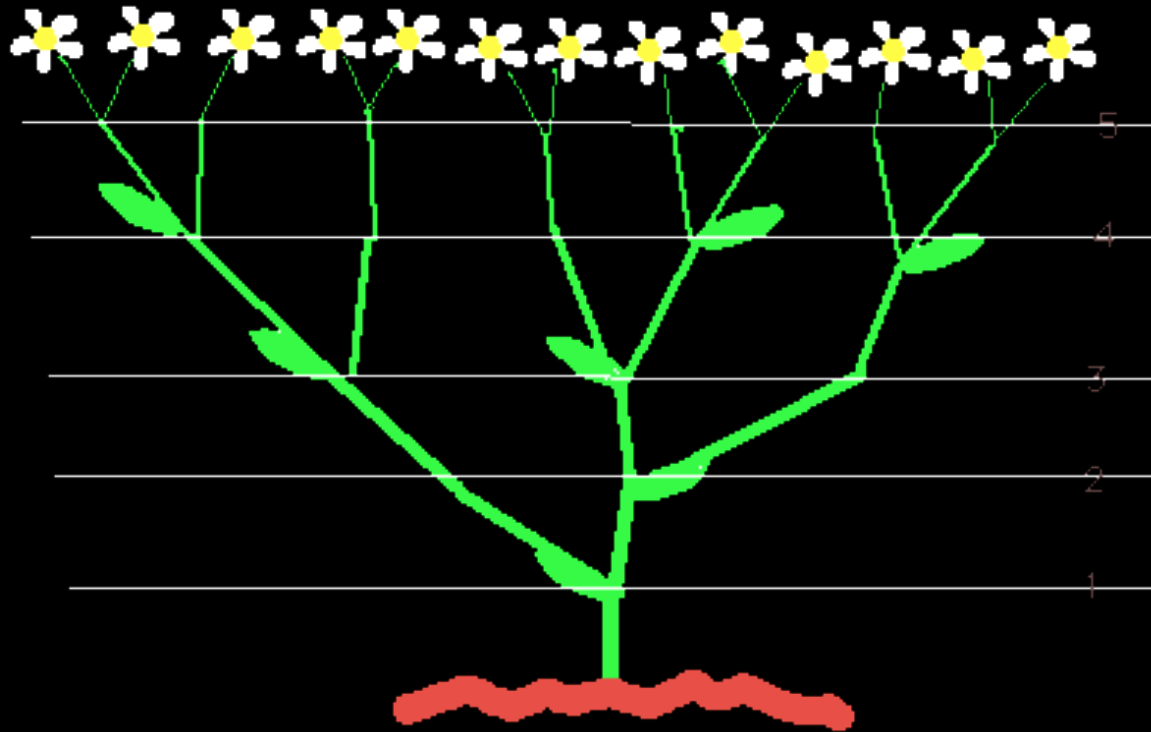
n even



n odd



Sneezwort (*Achillea ptarmica*)



Each time the plant starts a new shoot
it takes two months before it is strong
enough to support branching.

Counting Petals

Counting Petals

5 petals: buttercup, wild rose, larkspur,
columbine (aquilegia)

8 petals: delphiniums

13 petals: ragwort, corn marigold,
cineraria,
some daisies

21 petals: aster, black-eyed susan, chicory

34 petals: plantain, pyrethrum

55, 89 petals: michaelmas daisies, the
asteraceae family.

The Fibonacci Quarterly

The Fibonacci Quarterly

Official Publication of The Fibonacci Association



Definition of ϕ (Euclid)

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Ratio obtained when you divide a line segment into two unequal parts such that the ratio of the whole to the larger part is the same as the ratio of the larger to the smaller.

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$$\phi^2 =$$

Definition of ϕ (Euclid)

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$$\phi = \frac{AC}{AB} = \frac{AB}{BC}$$



$$\phi^2 = \frac{AC}{BC}$$

Definition of ϕ (Euclid)

Ratio obtained when you divide a line segment into two unequal parts such that the ratio of the whole to the larger part is the same as the ratio of the larger part to the smaller.

$$\phi = \frac{AC}{AB} = \frac{AB}{BC}$$



$$\phi^2 = \frac{AC}{BC}$$

$$\phi^2 - \phi =$$

Definition of ϕ (Euclid)

Ratio obtained when you divide a line segment into two unequal parts such that the ratio of the whole to the larger part is the same as the ratio of the larger to the smaller.

$$\phi = \frac{AC}{AB} = \frac{AB}{BC}$$



$$\phi^2 = \frac{AC}{BC}$$

$$\phi^2 - \phi = \frac{AC}{BC} - \frac{AB}{BC} =$$

Definition of ϕ (Euclid)

Ratio obtained when you divide a line segment into two unequal parts such that the ratio of the whole to the larger part is the same as the ratio of the larger to the smaller.

$$\phi = \frac{AC}{AB} = \frac{AB}{BC}$$



$$\phi^2 = \frac{AC}{BC}$$

$$\phi^2 - \phi = \frac{AC}{BC} - \frac{AB}{BC} = \frac{BC}{BC} = 1$$

$$\phi^2 - \phi - 1 = 0$$

$$\phi^2 - \phi - 1 = 0$$

$$\phi = \frac{1 + \sqrt{5}}{2}$$

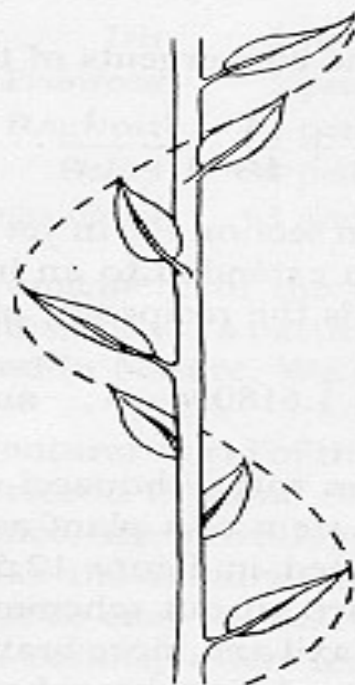
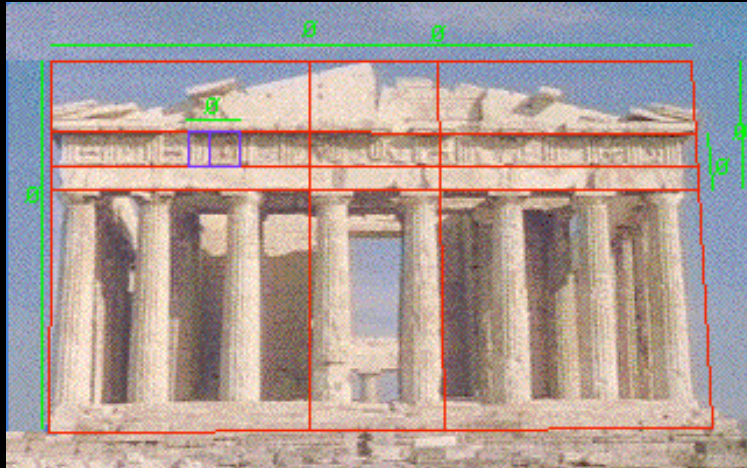


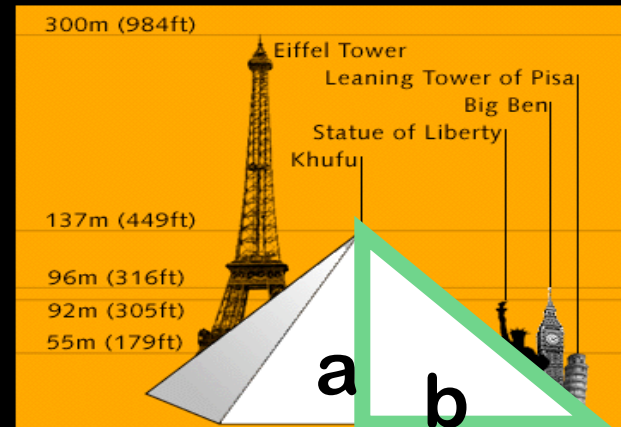
Fig. 12.4. Phyllotaxis

Golden ratio supposed to arise in...



Parthenon, Athens (400 B.C.)

$$\frac{a}{b} = 1.618$$

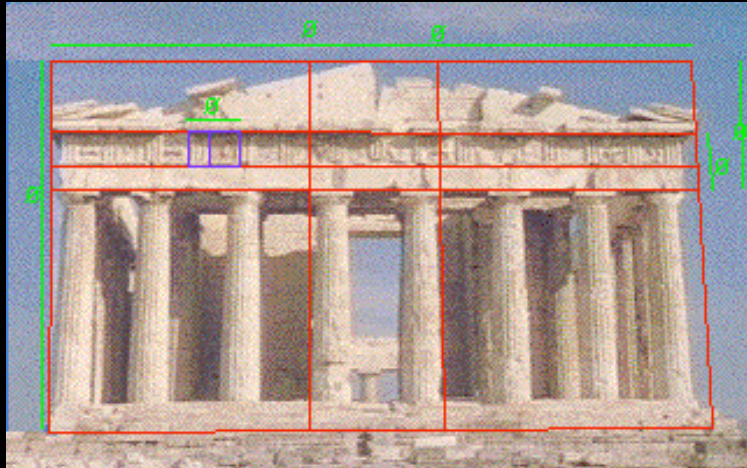


The great pyramid at Gizeh



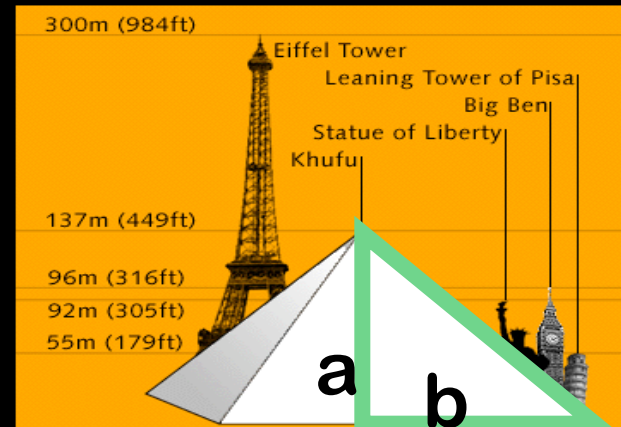
Ratio of a person's height
to the height of his/her navel

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The great pyramid at Gizeh



Ratio of a person's height
to the height of his/her navel

Mostly
circumstantial
evidence...

Expanding Recursively

$$\phi = 1 + \frac{1}{\phi}$$

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$$\phi = 1 + \frac{1}{\phi}$$

$$= 1 + \frac{1}{1 + \frac{1}{\phi}}$$

$$= 1 + \frac{1}{1 + \frac{1}{1 + \frac{1}{\phi}}}$$

A (Simple) Continued Fraction Is Any Expression Of The Form:

$$\begin{array}{c}
 a + \cfrac{1}{b + \cfrac{1}{c + \cfrac{1}{d + \cfrac{1}{e + \cfrac{1}{f + \cfrac{1}{g + \cfrac{1}{h + \cfrac{1}{i + \cfrac{1}{j + \dots}}}}}}}}}
 \end{array}$$

where $a, b, c, \dots$ are whole numbers.

A Continued Fraction can have a finite or infinite number of terms.

$$\begin{array}{c}
 a + \cfrac{1}{b + \cfrac{1}{c + \cfrac{1}{d + \cfrac{1}{e + \cfrac{1}{f + \cfrac{1}{g + \cfrac{1}{h + \cfrac{1}{i + \cfrac{1}{j + \dots}}}}}}}}}
 \end{array}$$

We also denote this fraction by $[a,b,c,d,e,f,\dots]$

A Finite Continued Fraction

$$2 + \frac{1}{3 + \frac{1}{4 + \frac{1}{2}}}$$

Denoted by $[2, 3, 4, 2, 0, 0, 0, \dots]$

An Infinite Continued Fraction

$$1 + \frac{1}{2 + \frac{1}{2 + \frac{1}{2 + \frac{1}{2 + \frac{1}{2 + \frac{1}{2 + \frac{1}{2 + \frac{1}{2 + \dots}}}}}}}}$$

Denoted by $[1, 2, 2, 2, \dots]$

Recursively Defined Form For CF

CF = whole number, or

$$= \text{whole number} + \frac{1}{\text{CF}}$$

Continued fraction representation of a standard fraction

$$\frac{67}{29} = 2 + \frac{1}{3 + \frac{1}{4 + \frac{1}{2}}}$$

$$\frac{67}{29} = 2 + \frac{1}{\frac{29}{9}} = 2 + \frac{1}{3 + \frac{2}{9}} = 2 + \frac{1}{3 + \frac{1}{4 + \frac{1}{2}}}$$

e.g., $67/29$ = 2 with remainder $9/29$
 = $2 + 1/(29/9)$

Ancient Greek Representation: Continued Fraction Representation

$$\frac{5}{3} = 1 + \frac{1}{1 + \frac{1}{2}}$$

Ancient Greek Representation: Continued Fraction Representation

$$\frac{5}{3} = 1 + \frac{1}{1 + \frac{1}{1 + \frac{1}{1}}}$$

$$= [1, 1, 1, 1, 0, 0, 0, \dots]$$

Ancient Greek Representation: Continued Fraction Representation

$$? = 1 + \frac{1}{1 + \frac{1}{1 + \frac{1}{1 + \frac{1}{1}}}}$$



Ancient Greek Representation: Continued Fraction Representation

$$\frac{8}{5} = 1 + \frac{1}{1 + \frac{1}{1 + \frac{1}{1 + \frac{1}{1}}}}$$

$$= [1, 1, 1, 1, 1, 0, 0, 0, \dots]$$

Ancient Greek Representation: Continued Fraction Representation

$$\frac{13}{8} = 1 + \frac{1}{1 + \frac{1}{1 + \frac{1}{1 + \frac{1}{1 + \frac{1}{1}}}}}$$
$$= [1, 1, 1, 1, 1, 1, 0, 0, 0, \dots]$$

A Pattern?

$$\text{Let } r_1 = [1, 0, 0, 0, \dots] = 1$$

$$r_2 = [1, 1, 0, 0, 0, \dots] = 2/1$$

$$r_3 = [1, 1, 1, 0, 0, 0, \dots] = 3/2$$

$$r_4 = [1, 1, 1, 1, 0, 0, 0, \dots] = 5/3$$

and so on.

Theorem:

$$r_n = \text{Fib}(n+1)/\text{Fib}(n)$$

1,1,2,3,5,8,13,21,34,55,....

1,1,2,3,5,8,13,21,34,55,....

$$2/1 = 2$$

1,1,2,3,5,8,13,21,34,55,....

$$2/1 = 2$$

$$3/2 = 1.5$$

1,1,2,3,5,8,13,21,34,55,....

$$2/1 = 2$$

$$3/2 = 1.5$$

$$5/3 = 1.666\dots$$

1,1,2,3,5,8,13,21,34,55,....

$$2/1 = 2$$

$$3/2 = 1.5$$

$$5/3 = 1.666\dots$$

$$8/5 = 1.6$$

1,1,2,3,5,8,13,21,34,55,....

$$2/1 = 2$$

$$3/2 = 1.5$$

$$5/3 = 1.666\dots$$

$$8/5 = 1.6$$

$$13/8 = 1.625$$

1,1,2,3,5,8,13,21,34,55,....

$$2/1 = 2$$

$$3/2 = 1.5$$

$$5/3 = 1.666\dots$$

$$8/5 = 1.6$$

$$13/8 = 1.625$$

$$21/13 = 1.6153846\dots$$

1,1,2,3,5,8,13,21,34,55,....

$$2/1 = 2$$

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$$5/3 = 1.666\dots$$

$$8/5 = 1.6$$

$$13/8 = 1.625$$

$$21/13 = 1.6153846\dots$$

$$34/21 = 1.61904\dots$$

1,1,2,3,5,8,13,21,34,55,....

$$2/1 = 2$$

$$3/2 = 1.5$$

$$5/3 = 1.666\dots$$

$$8/5 = 1.6$$

$$13/8 = 1.625$$

$$21/13 = 1.6153846\dots$$

$$34/21 = 1.61904\dots$$

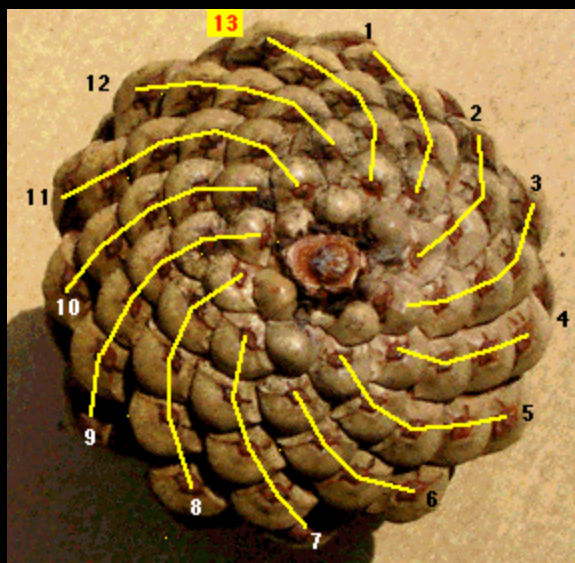
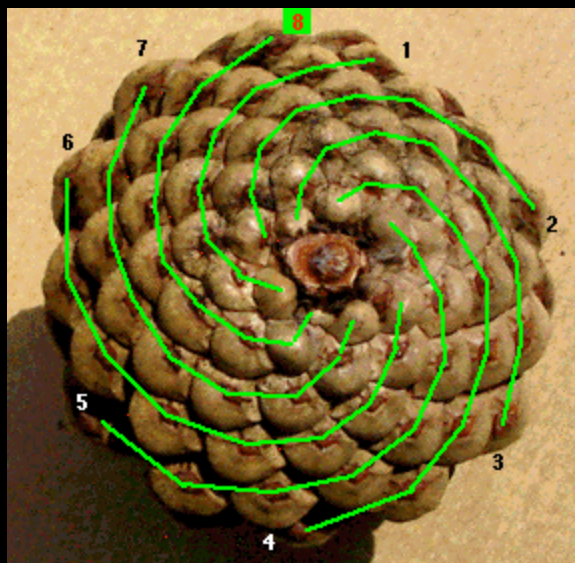
$$\phi = 1.6180339887498948482045$$

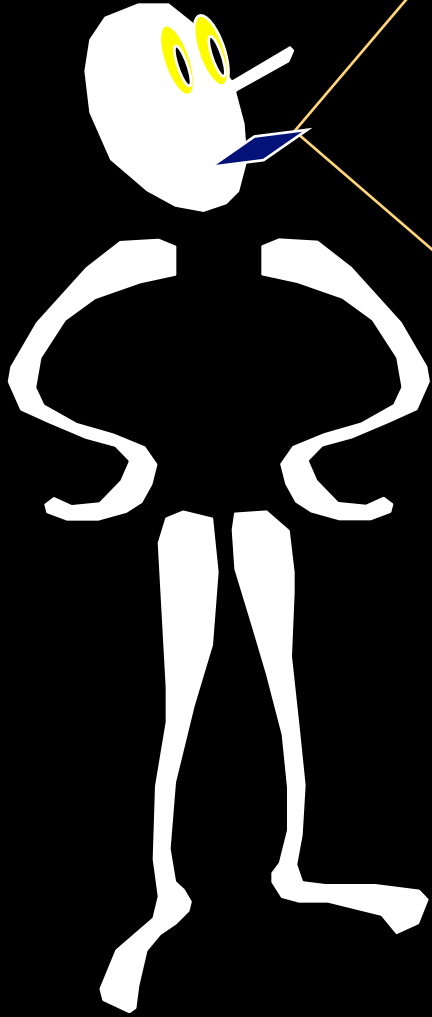
Pineapple whorls

Church and Turing were both interested in the number of whorls in each ring of the spiral.

The ratio of consecutive ring lengths approaches the Golden Ratio.

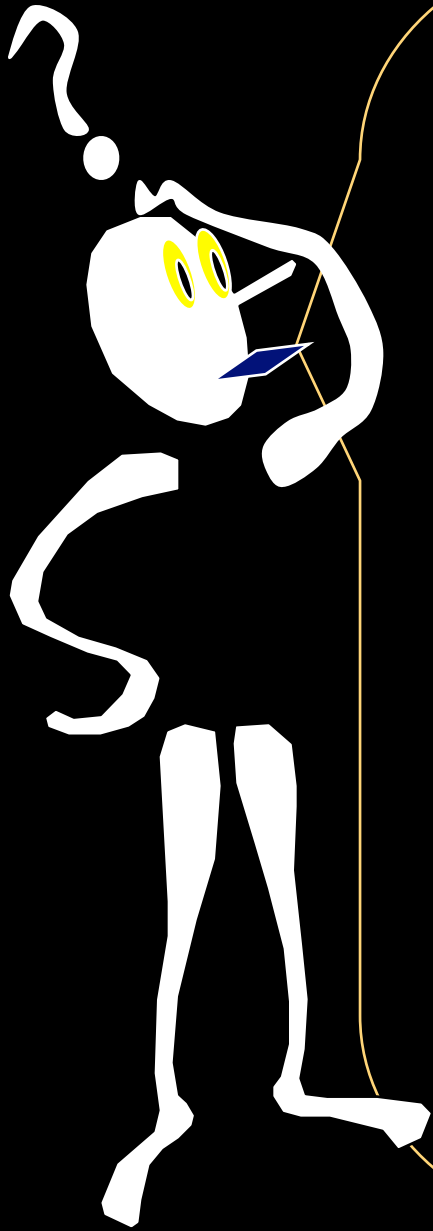






Proposition:
Any finite continued fraction
evaluates to a rational.

Theorem
Any rational has a finite
continued fraction
representation.



Hmm.
Finite CFs = Rationals.

Then what do
infinite continued fractions
represent?

Quadratic Equations

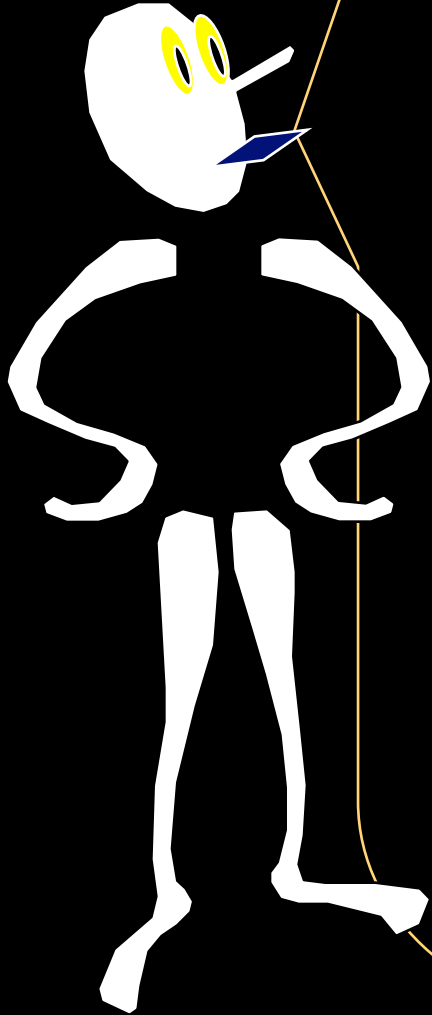
- $X^2 - 3x - 1 = 0$

$$X = \frac{3 + \sqrt{13}}{2}$$

- $X^2 = 3X + 1$

- $X = 3 + 1/X$

- $X = 3 + 1/X = 3 + 1/[3 + 1/X] = \dots$

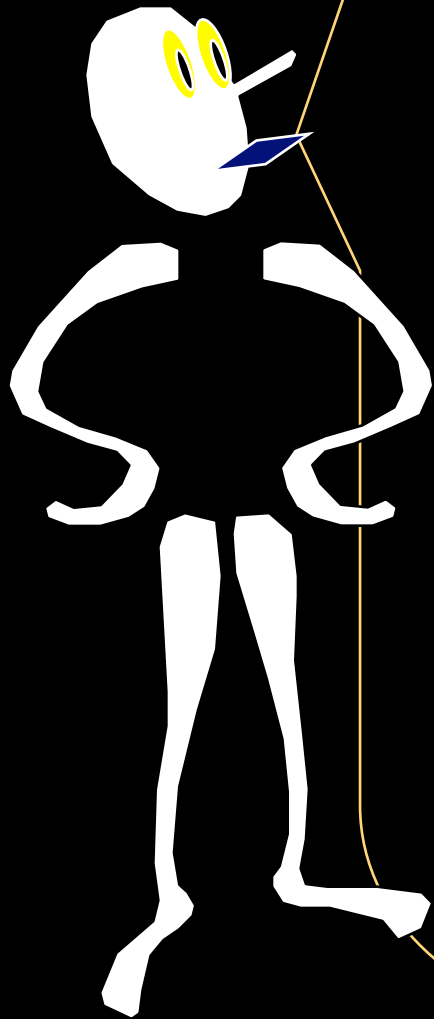


Theorem:

Any solution to a quadratic equation has a periodic continued fraction.

Converse:

Any periodic continued fraction is the solution of a quadratic equation.
(try to prove this!)



**So they express more
than just the rationals...**

**What about those
non-recurring infinite
continued fractions?**

Non-periodic CFs

$$e-1 = 1 + \frac{1}{1 + \frac{1}{2 + \frac{1}{1 + \frac{1}{1 + \frac{1}{4 + \frac{1}{1 + \frac{1}{1 + \frac{1}{6 + \frac{1}{1 + \dots}}}}}}}}}$$

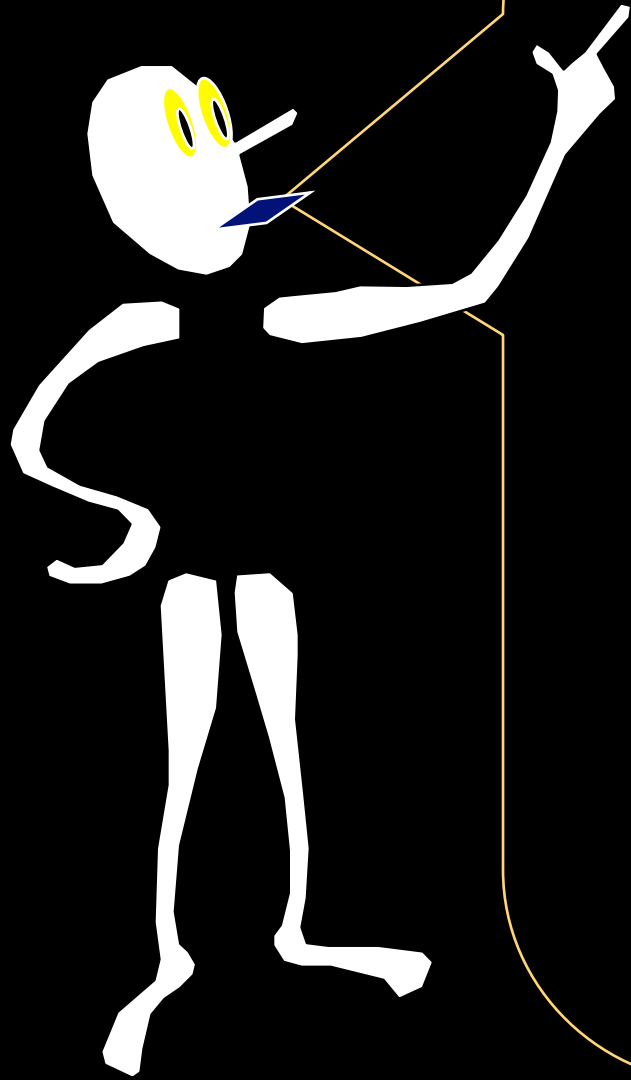
What is the pattern?

$$\begin{aligned}\pi = 3 + & \frac{1}{7 + \frac{1}{15 + \frac{1}{1 + \frac{1}{292 + \frac{1}{1 + \frac{1}{1 + \frac{1}{1 + \frac{1}{2 + \frac{1}{1 + \dots}}}}}}}}}\end{aligned}$$

What is the pattern?

$$\pi = 3 + \frac{1}{7 + \frac{1}{15 + \frac{1}{1 + \frac{1}{292 + \frac{1}{1 + \frac{1}{1 + \frac{1}{1 + \frac{1}{2 + \frac{1}{1 + \dots}}}}}}}}}$$

No one knows!



What a cool representation!

Finite CF: Rationals

Periodic CF: Quadratic roots

**And some numbers reveal
hidden regularity.**

More good news: Convergents

Let $\alpha = [a_1, a_2, a_3, \dots]$ be a CF.

Define: $C_1 = [a_1, 0, 0, 0, 0, \dots]$
 $C_2 = [a_1, a_2, 0, 0, 0, \dots]$
 $C_3 = [a_1, a_2, a_3, 0, 0, \dots]$ and so on.

C_k is called the **k-th convergent** of α

α is the limit of the sequence $C_1, C_2, C_3, \dots$

Best Approximator Theorem

- A rational p/q is the best approximator to a real α if no rational number of denominator smaller than q comes closer to α .

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BEST APPROXIMATOR THEOREM:

Given any CF representation of α ,
each convergent of the CF is a
best approximator for α !

Best Approximators of π

$$C_1 = 3$$

$$C_2 = 22/7$$

$$C_3 = 333/106$$

$$C_4 = 355/113$$

$$C_5 = 103993/33102$$

$$C_6 = 104348/33215$$

$$\pi = 3 + \frac{1}{7 + \frac{1}{15 + \frac{1}{1 + \frac{1}{292 + \frac{1}{1 + \frac{1}{1 + \frac{1}{1 + \frac{1}{1 + \frac{1}{2 + \frac{1}{1 + \dots}}}}}}}}}}$$

Remember?

We already saw the convergents of this CF

$[1, 1, 1, 1, 1, 1, 1, 1, 1, 1, 1, \dots]$

are of the form $\text{Fib}(n+1)/\text{Fib}(n)$

$$\text{Hence: } \lim_{n \rightarrow \infty} \frac{F_n}{F_{n-1}} = \phi = \frac{1 + \sqrt{5}}{2}$$

1,1,2,3,5,8,13,21,34,55,....

- $2/1 = 2$
- $3/2 = 1.5$
- $5/3 = 1.666\dots$
- $8/5 = 1.6$
- $13/8 = 1.625$
- $21/13 = 1.6153846\dots$
- $34/21 = 1.61904\dots$
- $\phi = 1.6180339887498948482045\dots$

As we've seen...

$$\begin{aligned}\frac{z}{1-z-z^2} &= 0 \times 1 + z + z^2 + 2z^3 + 3z^4 + 5z^5 + \dots \\ &= F_0 + F_1z + F_2z^2 + F_3z^3 + F_4z^4 + F_5z^5 + \dots\end{aligned}$$

Going the Other Way

$$(1 - z - z^2)(F_0 + F_1z + F_2z^2 + F_3z^3 + \dots)$$

$$= F_0 + F_1z + F_2z^2 + F_3z^3 + \dots$$

$$- F_0z - F_1z^2 - F_2z^3 - \dots$$

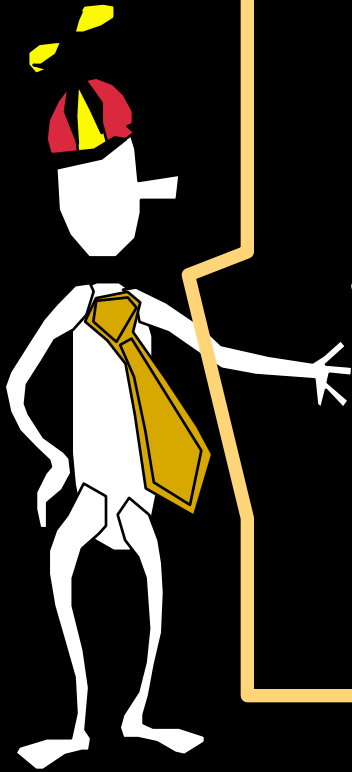
$$- F_0z^2 - F_1z^3 - \dots$$

$$= F_0 + (F_1 - F_0)z$$

$$= z$$

What is the Power Series
Expansion of $z/(1-z-z^2)$?

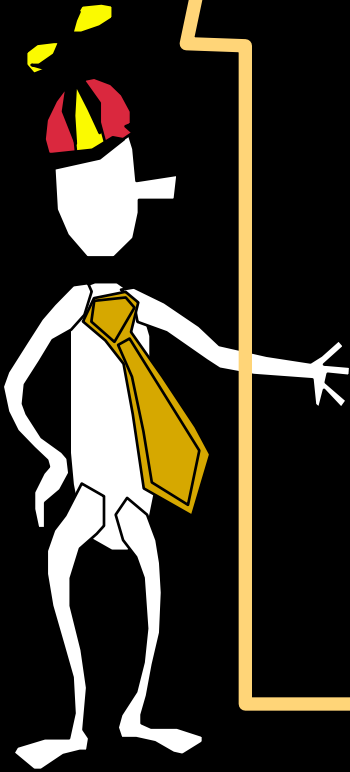
What does this look like
when we expand it as an
infinite sum?



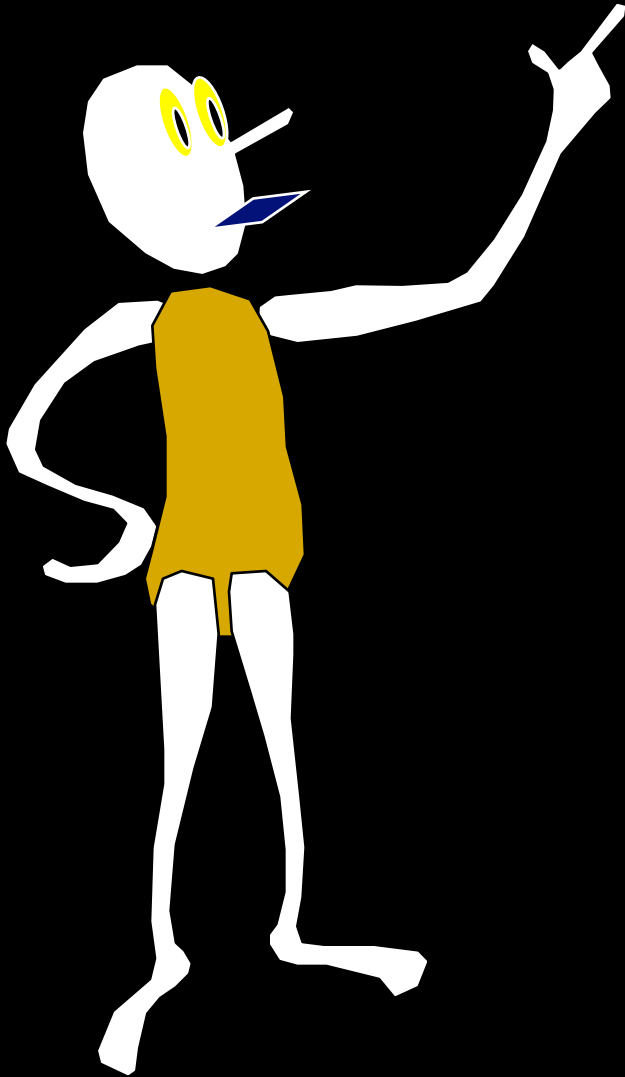
Since the bottom is quadratic we
can factor it:

$$\frac{z}{1 - z - z^2} = \frac{z}{(1 - \phi z)(1 - \hat{\phi} z)}$$

$$\hat{\phi} = -1/\phi$$



Write as a sum of two terms



$$F(z) = \frac{a}{1 - \phi z} + \frac{b}{1 - \hat{\phi} z}$$

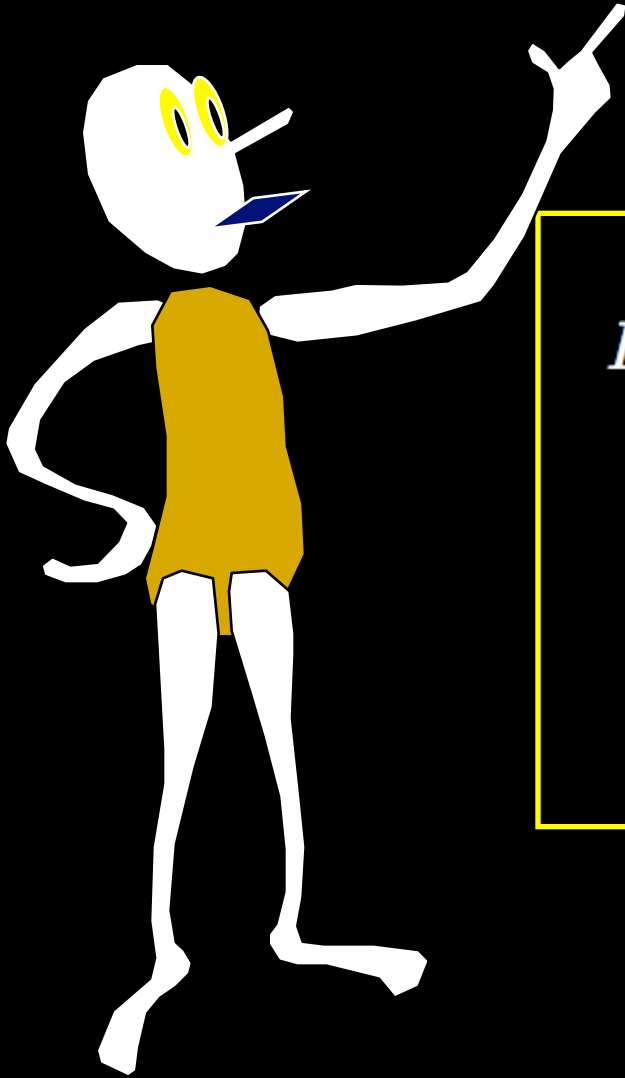
where

$$a(1 - \hat{\phi} z) + b(1 - \phi z) = z.$$

Solving:

$$a = \frac{1}{\phi - \hat{\phi}} = \frac{1}{\sqrt{5}} = -b$$

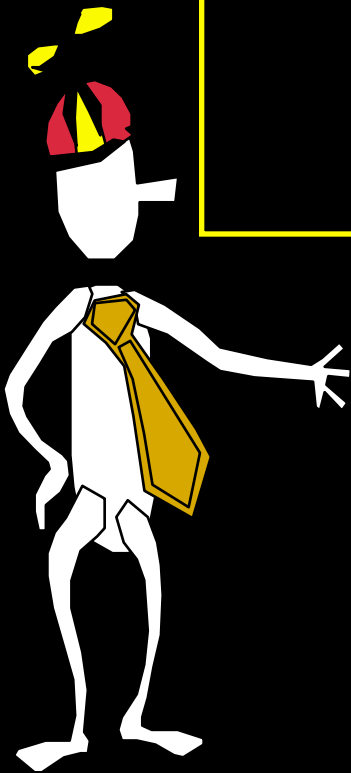
Now use the geometric series



$$\begin{aligned} F(z) &= \frac{1}{\sqrt{5}} \sum_{n \geq 0} \phi^n z^n - \frac{1}{\sqrt{5}} \sum_{n \geq 0} \hat{\phi}^n z^n \\ &= \sum_{n \geq 0} \frac{1}{\sqrt{5}} \left(\phi^n - \hat{\phi}^n \right) z^n. \end{aligned}$$

$$F(z) = F_0 + F_1 z + F_2 z^2 + \cdots = \frac{z}{1 - z - z^2}$$

$$\frac{z}{1 - z - z^2} = \sum_{n \geq 0} \frac{1}{\sqrt{5}} \left(\phi^n - \hat{\phi}^n \right) z^n.$$



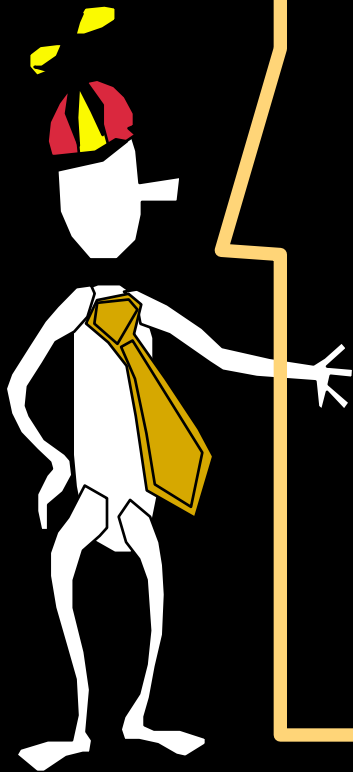
Leonhard Euler (1765)

J. P. M. Binet (1843)

A de Moivre (1730)

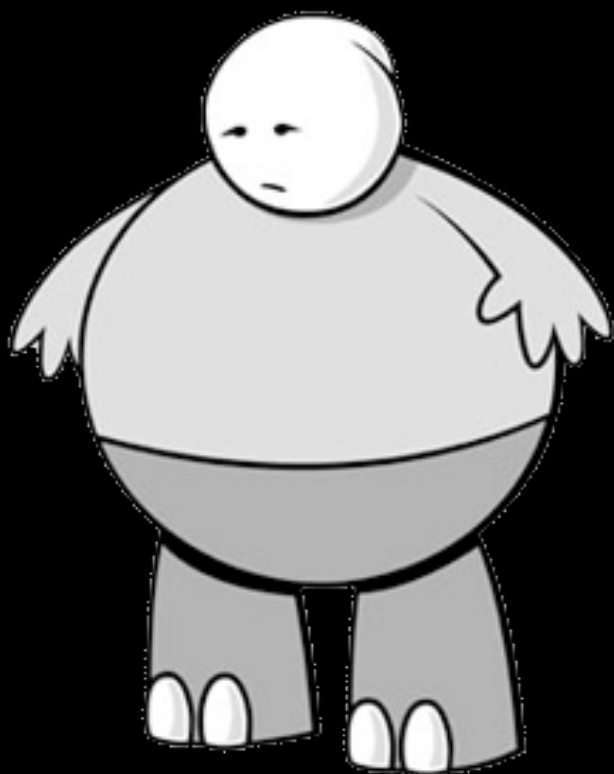
The n^{th} Fibonacci number is:

$$F_n = \frac{\phi^n - \left(\frac{-1}{\phi}\right)^n}{\sqrt{5}}$$



$$F_n = \frac{\phi^n - \left(\frac{-1}{\phi}\right)^n}{\sqrt{5}} \approx \frac{\phi^n}{\sqrt{5}}$$

$$\frac{F_n}{F_{n-1}} = \frac{\phi^n - \left(\frac{-1}{\phi}\right)^n}{\phi^{n-1} - \left(\frac{-1}{\phi}\right)^{n-1}} \longrightarrow \phi$$



Here's What
You Need to
Know...

**Recurrences and generating
functions**

Golden ratio

Continued fractions

Convergents

Closed form for Fibonacci

