

# 15-251

## Great Theoretical Ideas in Computer Science

# Counting III

Lecture 8 (September 20, 2007)

 $x^1$  $+ x^2$  $+ x^3$

Arrange  $n$  symbols:  $r_1$  of type 1,  
 $r_2$  of type 2, ...,  $r_k$  of type  $k$

$$\binom{n}{r_1} \binom{n-r_1}{r_2} \cdots \binom{n-r_1-r_2-\cdots-r_{k-1}}{r_k}$$

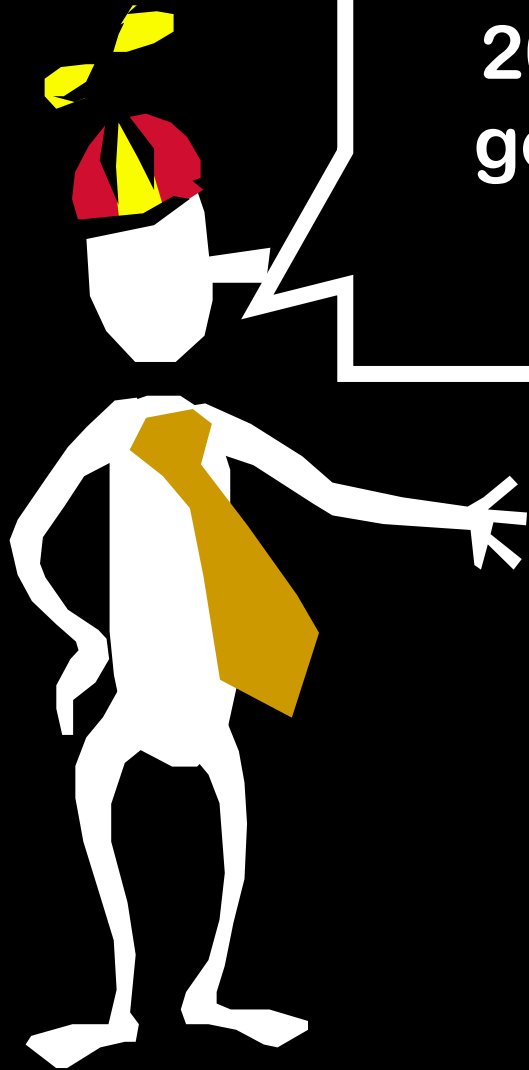
$$= \frac{n!}{(n-r_1)!r_1!} \frac{(n-r_1)!}{(n-r_1-r_2)!r_2!} \cdots$$

$$= \frac{n!}{r_1!r_2! \cdots r_k!}$$

# CARNEGIE MELLON

$$\frac{14!}{2!3!2!} = 3,632,428,800$$

5 distinct pirates want to divide  
20 identical, indivisible bars of  
gold. How many different ways  
can they divide up the loot?



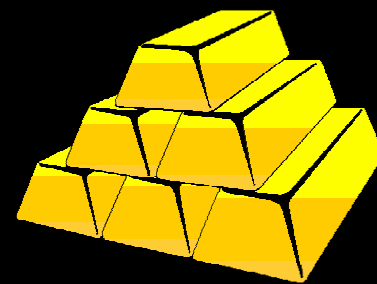
**How many different ways to  
divide up the loot?**

**Sequences with 20 G's and 4 /'s**

$$\begin{pmatrix} 24 \\ 4 \end{pmatrix}$$



How many different ways can  $n$  distinct pirates divide  $k$  identical, indivisible bars of gold?



$$\binom{n+k-1}{n-1} = \binom{n+k-1}{k}$$

How many integer solutions  
to the following equations?

$$x_1 + x_2 + x_3 + \dots + x_n = k$$

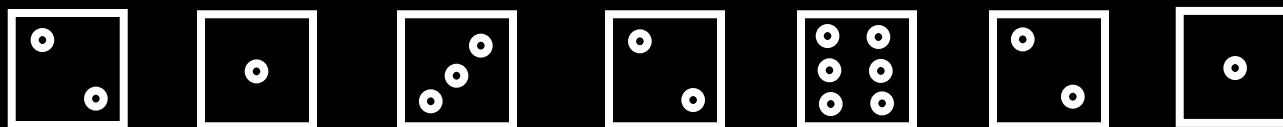
$$x_1, x_2, x_3, \dots, x_n \geq 0$$

$$\binom{n+k-1}{n-1} = \binom{n+k-1}{k}$$



# Identical/Distinct Dice

Suppose that we roll seven dice



How many different outcomes are there, if order matters?

$$6^7$$

What if order doesn't matter?  
(E.g., Yahtzee)

$$\begin{bmatrix} 12 \\ 7 \end{bmatrix}$$

(Corresponds to 6 pirates and 7 bars of gold)

# Identical/Distinct Objects

If we are putting  $k$  objects into  
 $n$  distinct bins.

Objects are distinguishable	$n^k$
Objects are indistinguishable	$\binom{k+n-1}{k}$

# The Binomial Formula

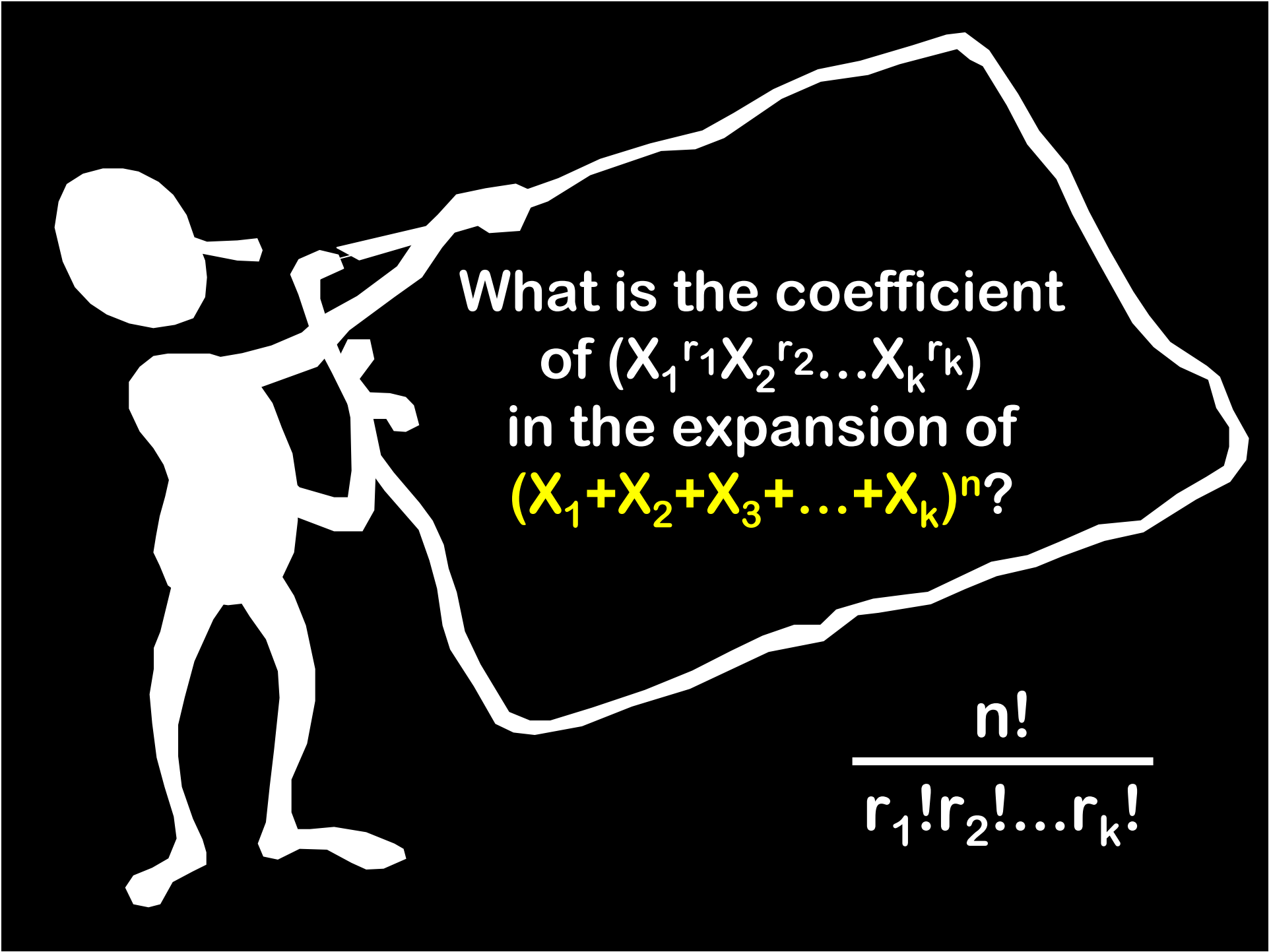
$$(1+X)^n = \begin{bmatrix} n \\ 0 \end{bmatrix} x^0 + \begin{bmatrix} n \\ 1 \end{bmatrix} x^1 + \dots + \begin{bmatrix} n \\ n \end{bmatrix} x^n$$

Binomial Coefficients



The diagram illustrates the components of the binomial formula. A box labeled 'Binomial Coefficients' has three arrows pointing to the coefficient terms in the equation above: the first arrow points to the coefficient  $\begin{bmatrix} n \\ 0 \end{bmatrix}$ , the second arrow points to the coefficient  $\begin{bmatrix} n \\ 1 \end{bmatrix}$ , and the third arrow points to the coefficient  $\begin{bmatrix} n \\ n \end{bmatrix}$ . Additionally, a box labeled 'binomial expression' has an arrow pointing to the entire left-hand side of the equation,  $(1+X)^n$ .

binomial  
expression



What is the coefficient  
of  $(X_1^{r_1} X_2^{r_2} \dots X_k^{r_k})$   
in the expansion of  
 $(X_1 + X_2 + X_3 + \dots + X_k)^n$ ?


$$\frac{n!}{r_1! r_2! \dots r_k!}$$

# Power Series Representation

$$(1+X)^n = \sum_{k=0}^n \binom{n}{k} X^k$$

“Product form” or  
“Generating form”



$$= \sum_{k=0}^{\infty} \binom{n}{k} X^k$$


For  $k > n$ ,  
 $\binom{n}{k} = 0$

“Power Series” or “Taylor Series” Expansion

By playing these two representations against each other we obtain a new representation of a previous insight:

$$(1+x)^n = \sum_{k=0}^n \binom{n}{k} x^k$$

Let  $x = 1$ ,  $2^n = \sum_{k=0}^n \binom{n}{k}$

The number of subsets  
of an  $n$ -element set

By varying  $x$ , we can discover new identities:

$$(1+x)^n = \sum_{k=0}^n \binom{n}{k} x^k$$

Let  $x = -1$ ,

$$0 = \sum_{k=0}^n \binom{n}{k} (-1)^k$$

Equivalently,

$$\sum_{k \text{ odd}} \binom{n}{k} = \sum_{k \text{ even}} \binom{n}{k}$$

**The number of subsets  
with even size is the  
same as the number of  
subsets with odd size**



$$(1+X)^n = \sum_{k=0}^n \binom{n}{k} X^k$$

Proofs that work by manipulating algebraic forms are called “algebraic” arguments.

Proofs that build a bijection are called “combinatorial” arguments



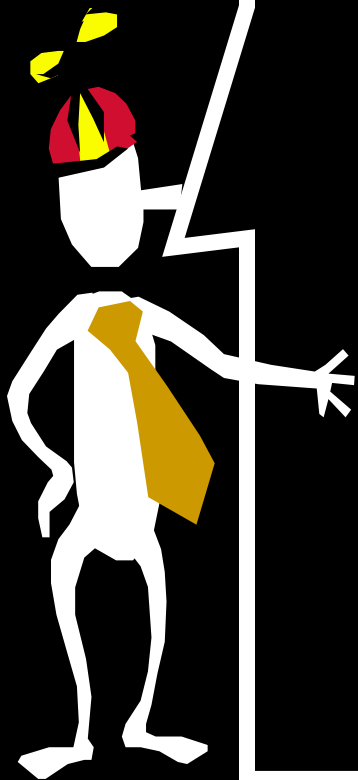
$$\sum_{k \text{ odd}}^n \binom{n}{k} = \sum_{k \text{ even}}^n \binom{n}{k}$$

Let  $O_n$  be the set of binary strings of length  $n$  with an **odd** number of ones.

Let  $E_n$  be the set of binary strings of length  $n$  with an **even** number of ones.

We just saw an algebraic proof that

$$|O_n| = |E_n|$$



# A Combinatorial Proof

Let  $O_n$  be the set of binary strings of length  $n$   
with an odd number of ones

Let  $E_n$  be the set of binary strings of length  $n$   
with an even number of ones

A combinatorial proof must construct a  
bijection between  $O_n$  and  $E_n$

# An Attempt at a Bijection

Let  $f_n$  be the function that takes an  $n$ -bit string and flips all its bits

$f_n$  is clearly a one-to-one and onto function

for odd  $n$ . E.g. in  $f_7$  we have:

0010011  $\rightarrow$  1101100

1001101  $\rightarrow$  0110010

...but do even  $n$  work? In  $f_6$  we have

110011  $\rightarrow$  001100

101010  $\rightarrow$  010101

Uh oh. Complementing maps evens to evens!

# A Correspondence That Works for all $n$

Let  $f_n$  be the function that takes an  $n$ -bit string and flips **only the first bit**. For example,

0010011  $\rightarrow$  1010011

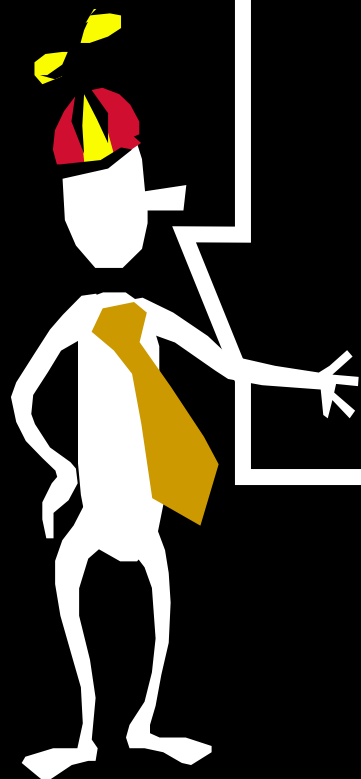
1001101  $\rightarrow$  0001101

110011  $\rightarrow$  010011

101010  $\rightarrow$  001010

$$(1+X)^n = \sum_{k=0}^n \binom{n}{k} X^k$$

The binomial coefficients have so many representations that many fundamental mathematical identities emerge...



$$\begin{bmatrix} n \\ k \end{bmatrix} = \begin{bmatrix} n-1 \\ k \end{bmatrix} + \begin{bmatrix} n-1 \\ k-1 \end{bmatrix}$$

Set of all  
k-subsets  
of {1..n}

Either we  
**do not** pick n:  
then we have to  
pick k elements  
out of the  
remaining n-1.

Or we  
**do** pick n:  
then we have to  
pick k-1 elts.  
out of the  
remaining n-1.

# The Binomial Formula

$$(1+X)^0 = 1$$

$$(1+X)^1 = 1 + 1X$$

$$(1+X)^2 = 1 + 2X + 1X^2$$

$$(1+X)^3 = 1 + 3X + 3X^2 + 1X^3$$

$$(1+X)^4 = 1 + 4X + 6X^2 + 4X^3 + 1X^4$$

Pascal's Triangle:  $k^{\text{th}}$  row are coefficients of  $(1+X)^k$

**Inductive definition of  $k^{\text{th}}$  entry of  $n^{\text{th}}$  row:**

$$\text{Pascal}(n,0) = \text{Pascal}(n,n) = 1;$$

$$\text{Pascal}(n,k) = \text{Pascal}(n-1,k-1) + \text{Pascal}(n-1,k)$$



# “Pascal’s Triangle”



$$\begin{pmatrix} 0 \\ 0 \end{pmatrix} = 1$$

$$\begin{pmatrix} 1 \\ 0 \end{pmatrix} = 1 \quad \begin{pmatrix} 1 \\ 1 \end{pmatrix} = 1$$

$$\begin{pmatrix} 2 \\ 0 \end{pmatrix} = 1 \quad \begin{pmatrix} 2 \\ 1 \end{pmatrix} = 2 \quad \begin{pmatrix} 2 \\ 2 \end{pmatrix} = 1$$

$$\begin{pmatrix} 3 \\ 0 \end{pmatrix} = 1 \quad \begin{pmatrix} 3 \\ 1 \end{pmatrix} = 3 \quad \begin{pmatrix} 3 \\ 2 \end{pmatrix} = 3 \quad \begin{pmatrix} 3 \\ 3 \end{pmatrix} = 1$$

- Al-Karaji, Baghdad 953-1029
- Chu Shin-Chieh 1303
- Blaise Pascal 1654

# Pascal's Triangle



“It is extraordinary  
how fertile in  
properties the  
triangle is.  
Everyone can  
try his  
hand”

				1					how
			1		1				prop
		1		2		1			tri
	1		3		3		1		Every
	1	4		6		4	1		
1		5		10		10	5	1	
1	6		15		20		15	6	1

# Summing the Rows

$$2^n = \sum_{k=0}^n \binom{n}{k}$$

1

= 1

1 + 1

= 2

1 + 2 + 1

= 4

1 + 3 + 3 + 1

= 8

1 + 4 + 6 + 4 + 1

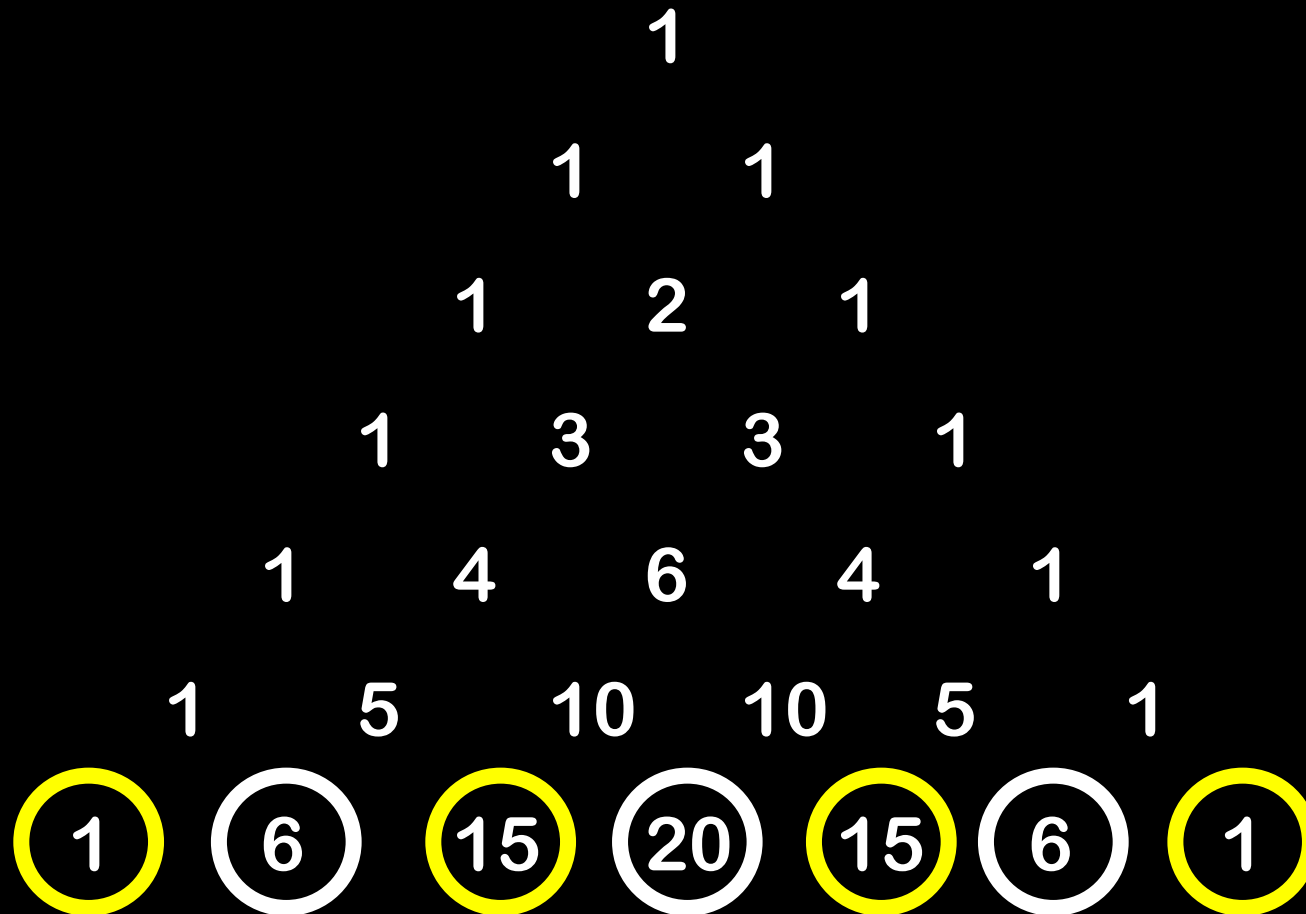
= 16

1 + 5 + 10 + 10 + 5 + 1

= 32

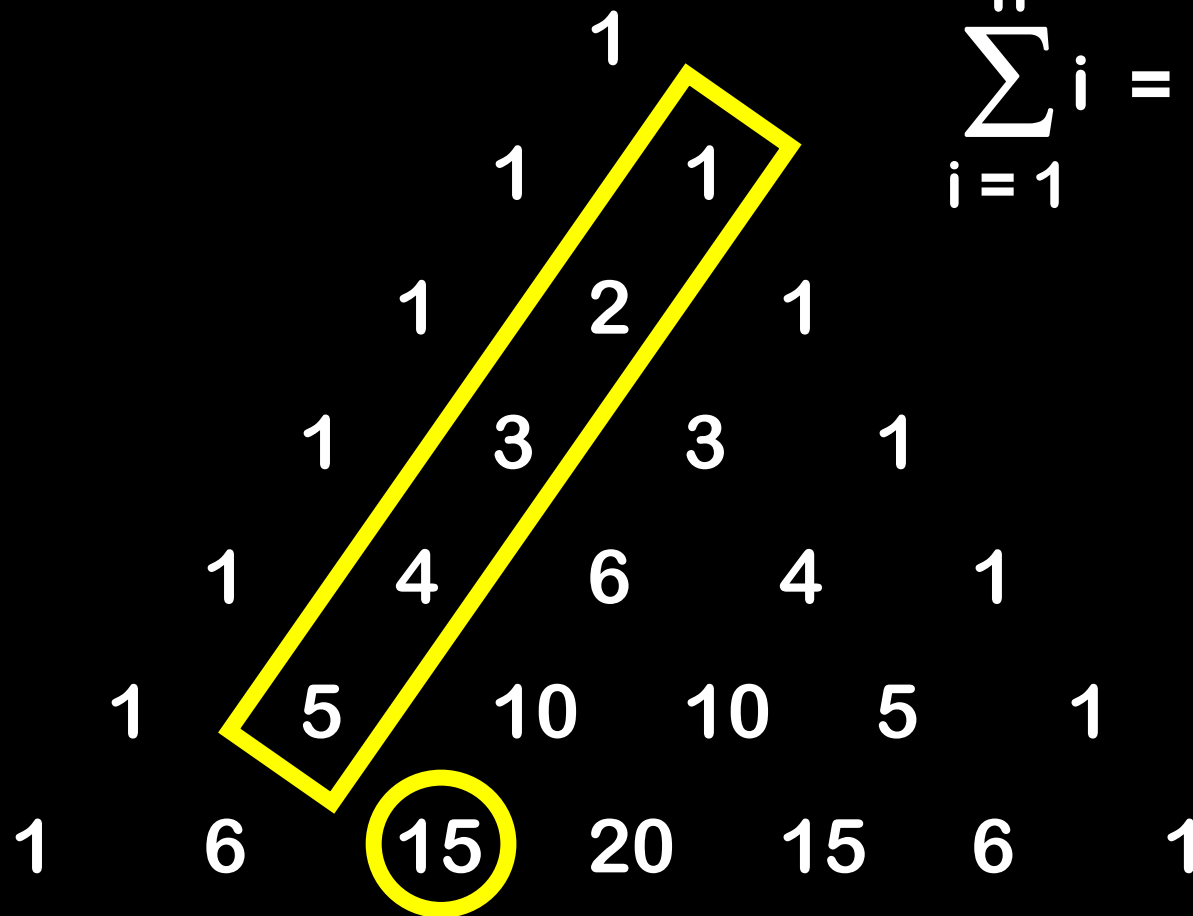
1 + 6 + 15 + 20 + 15 + 6 + 1 = 64

# Odds and Evens



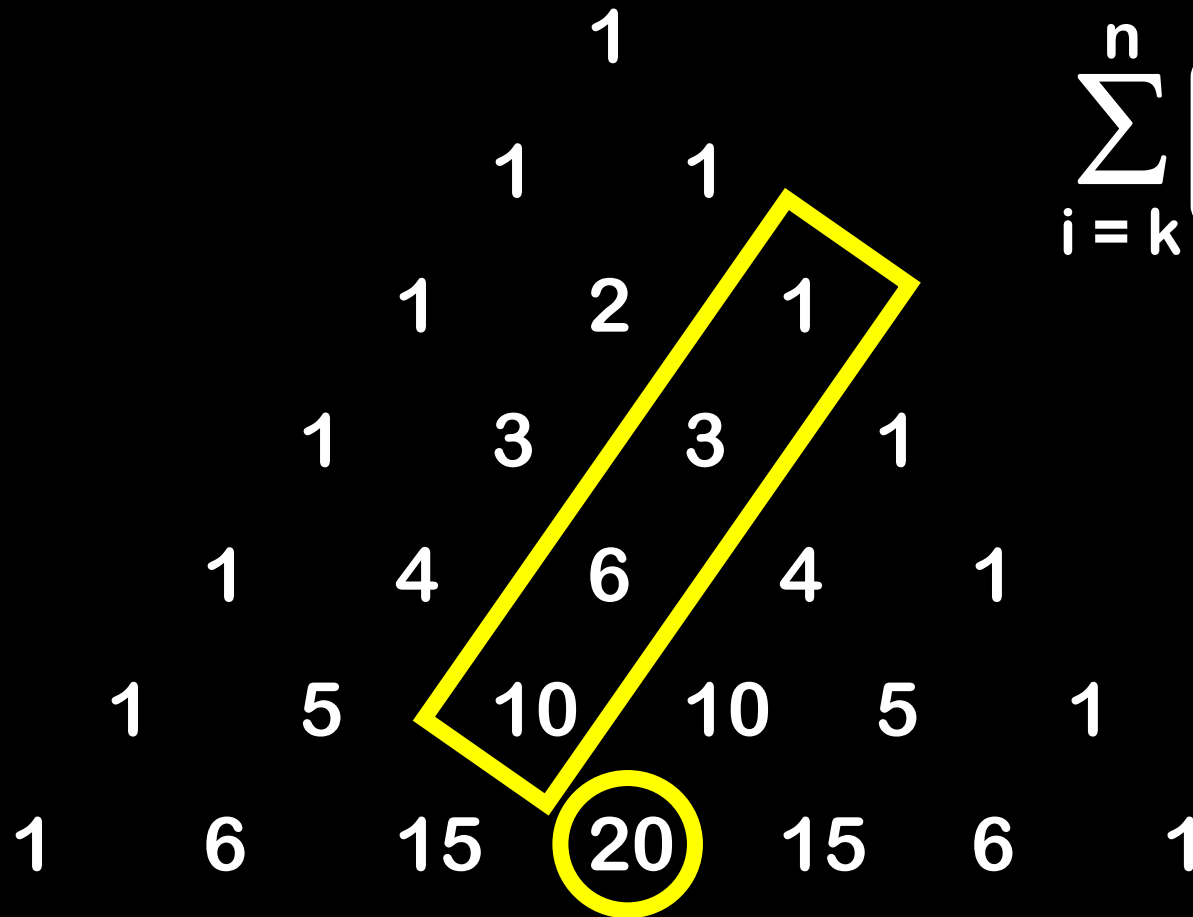
$$1 + 15 + 15 + 1 = 6 + 20 + 6$$

# Summing on 1<sup>st</sup> Avenue



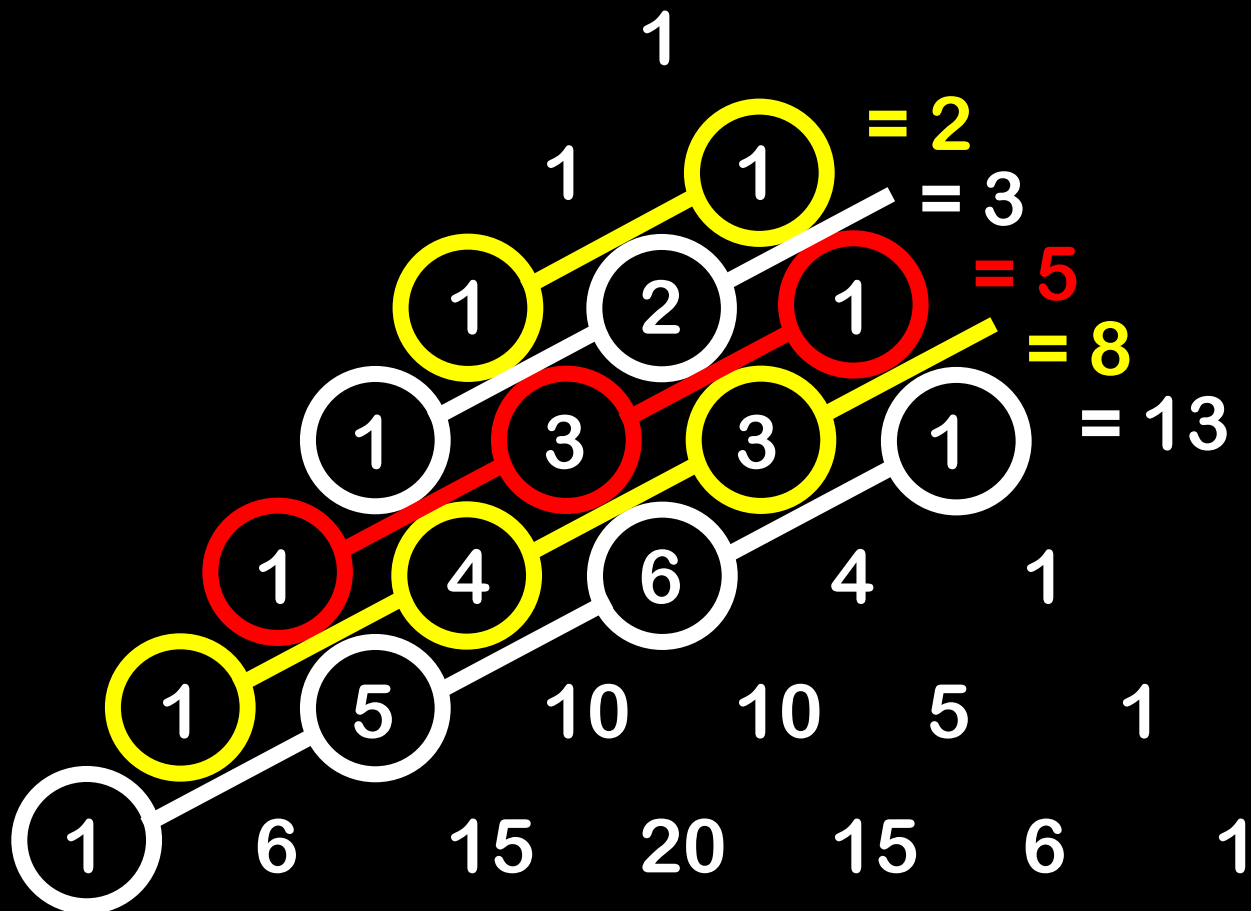
$$\sum_{i=1}^n i = \sum_{i=1}^n \binom{i}{1} = \binom{n+1}{2}$$

# Summing on $k^{\text{th}}$ Avenue

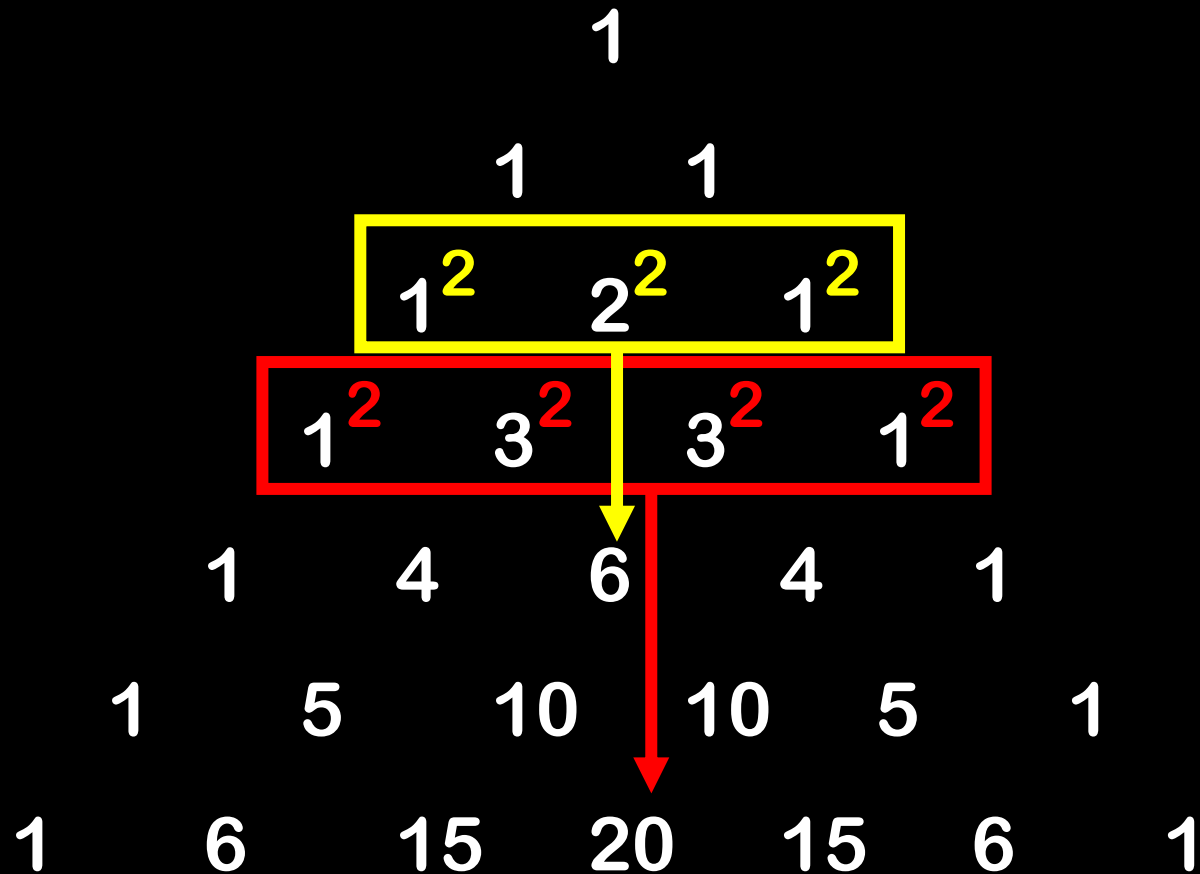


$$\sum_{i=k}^n \binom{i}{k} = \binom{n+1}{k+1}$$

# Fibonacci Numbers



# Sums of Squares





# Al-Karaji Squares

1

1

1

= 1

1

2

+2·1

= 4

1

3

+2·3

1

= 9

1

4

+2·6

4

1

= 16

1

5

+2·10

10

5

1

= 25

1

6

+2·15

20

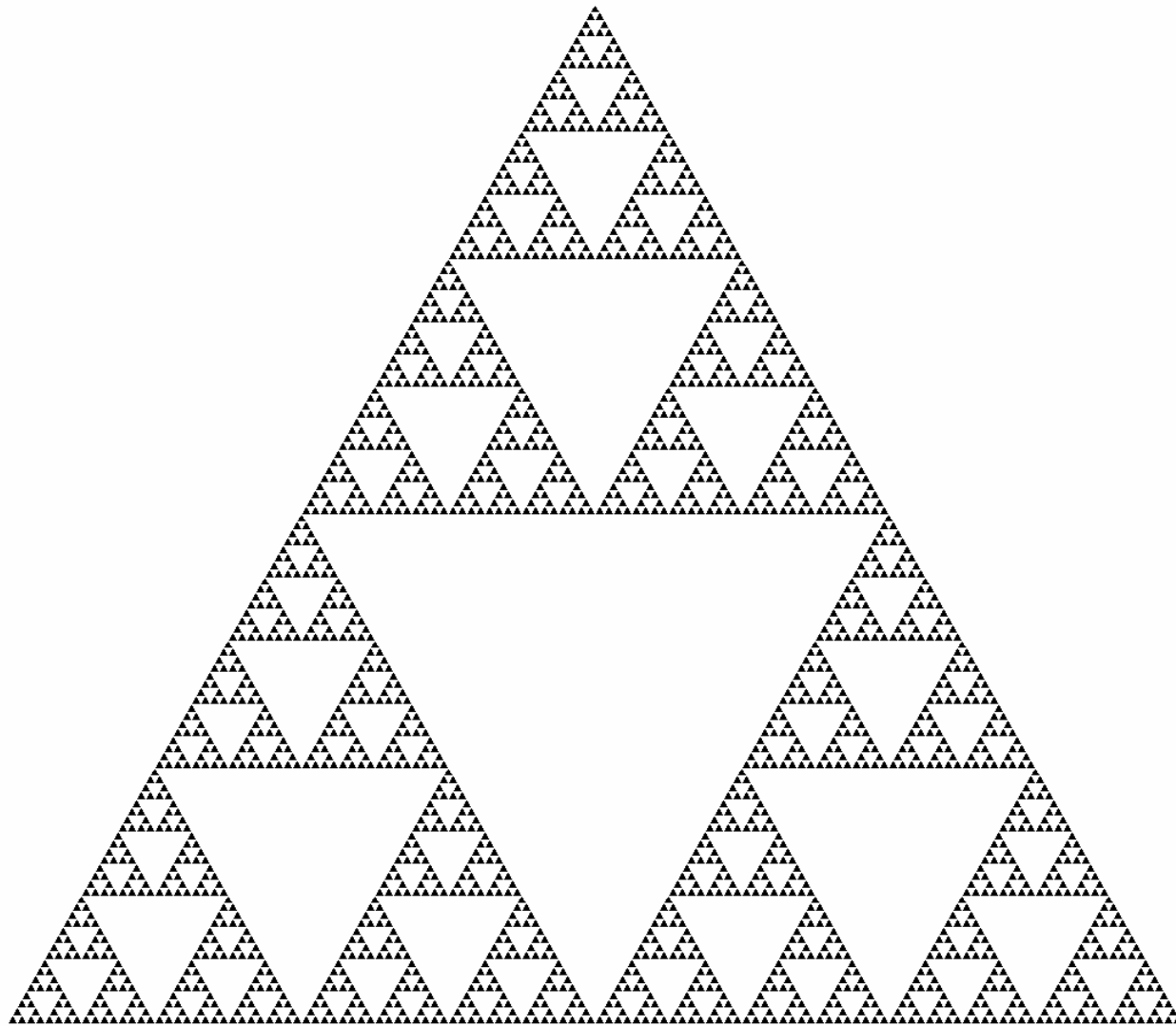
15

6

1

= 36

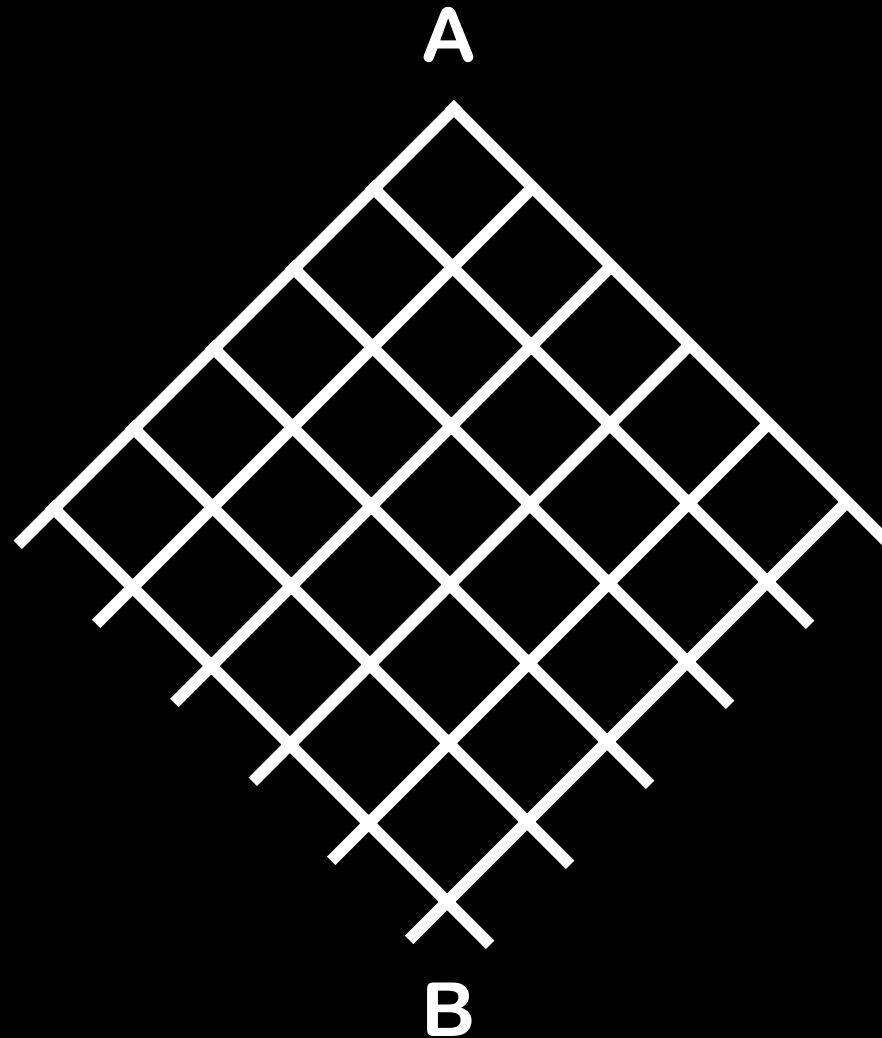
# Pascal Mod 2





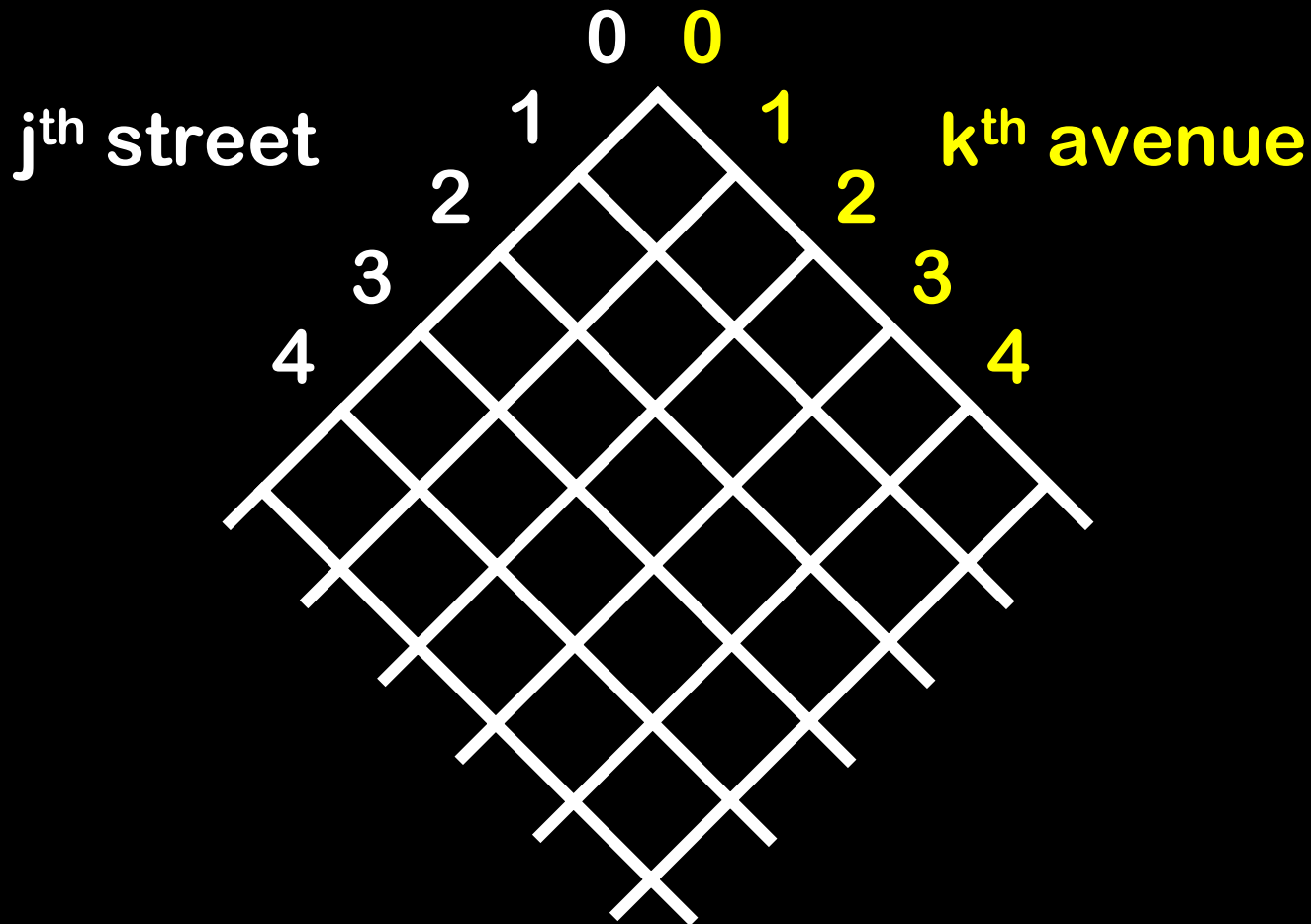
All these properties can  
be proved inductively  
and algebraically. We  
will give *combinatorial*  
proofs using the  
**Manhattan block  
walking representation  
of binomial coefficients**

How many shortest routes from A to B?



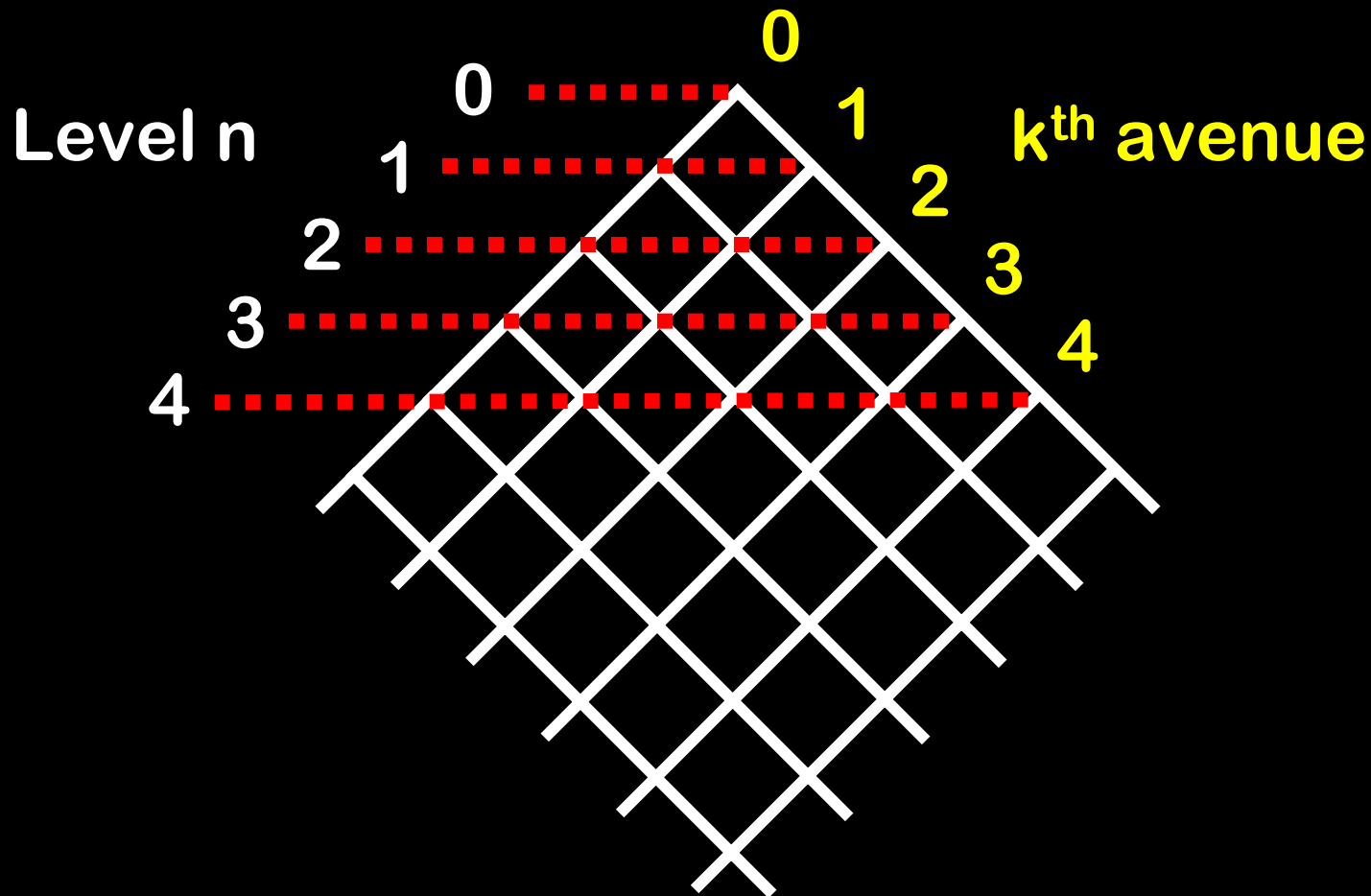
$$\begin{bmatrix} 10 \\ 5 \end{bmatrix}$$

# Manhattan



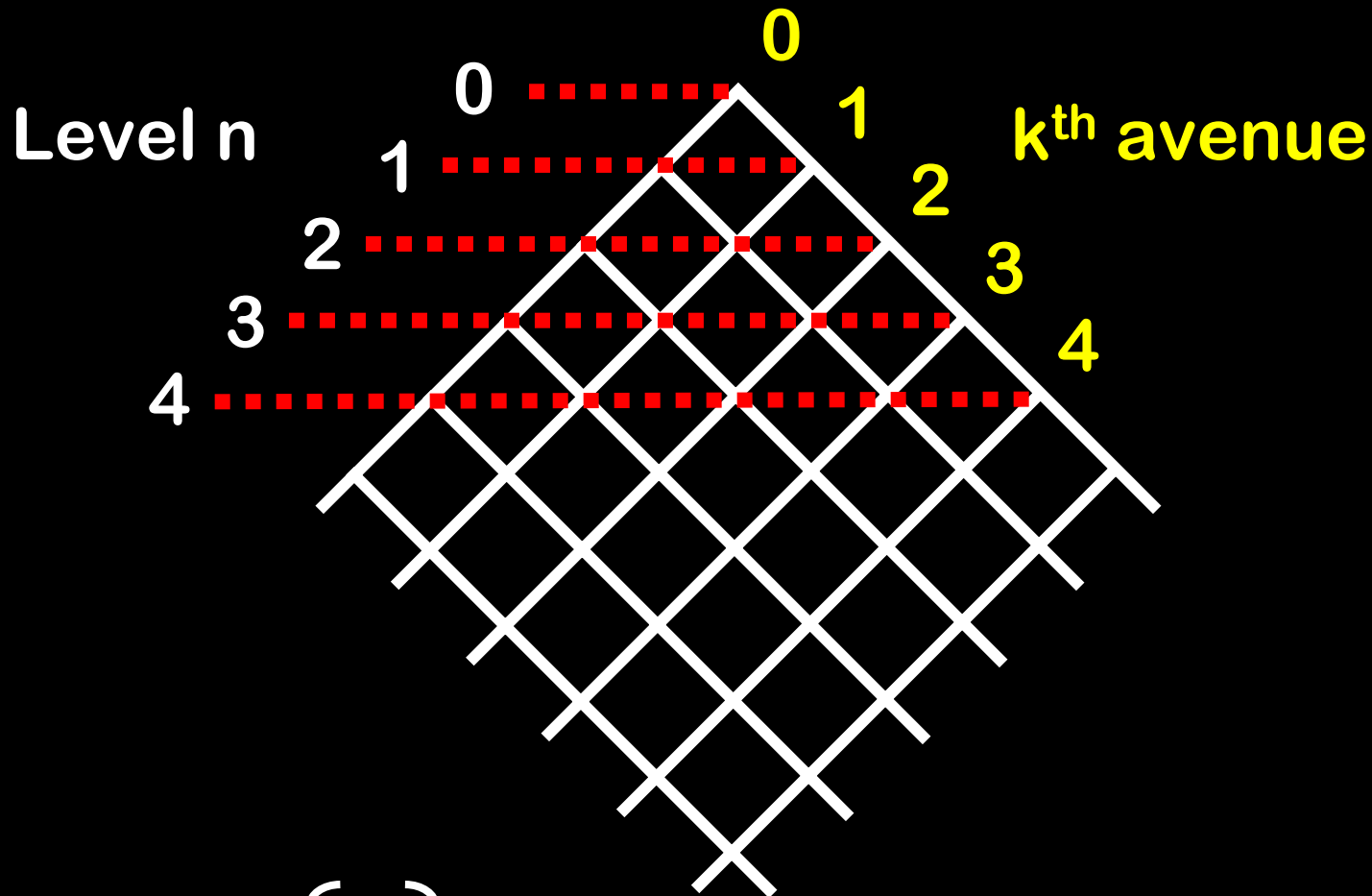
There are  $\binom{j+k}{k}$  shortest routes from (0,0) to (j,k)

# Manhattan

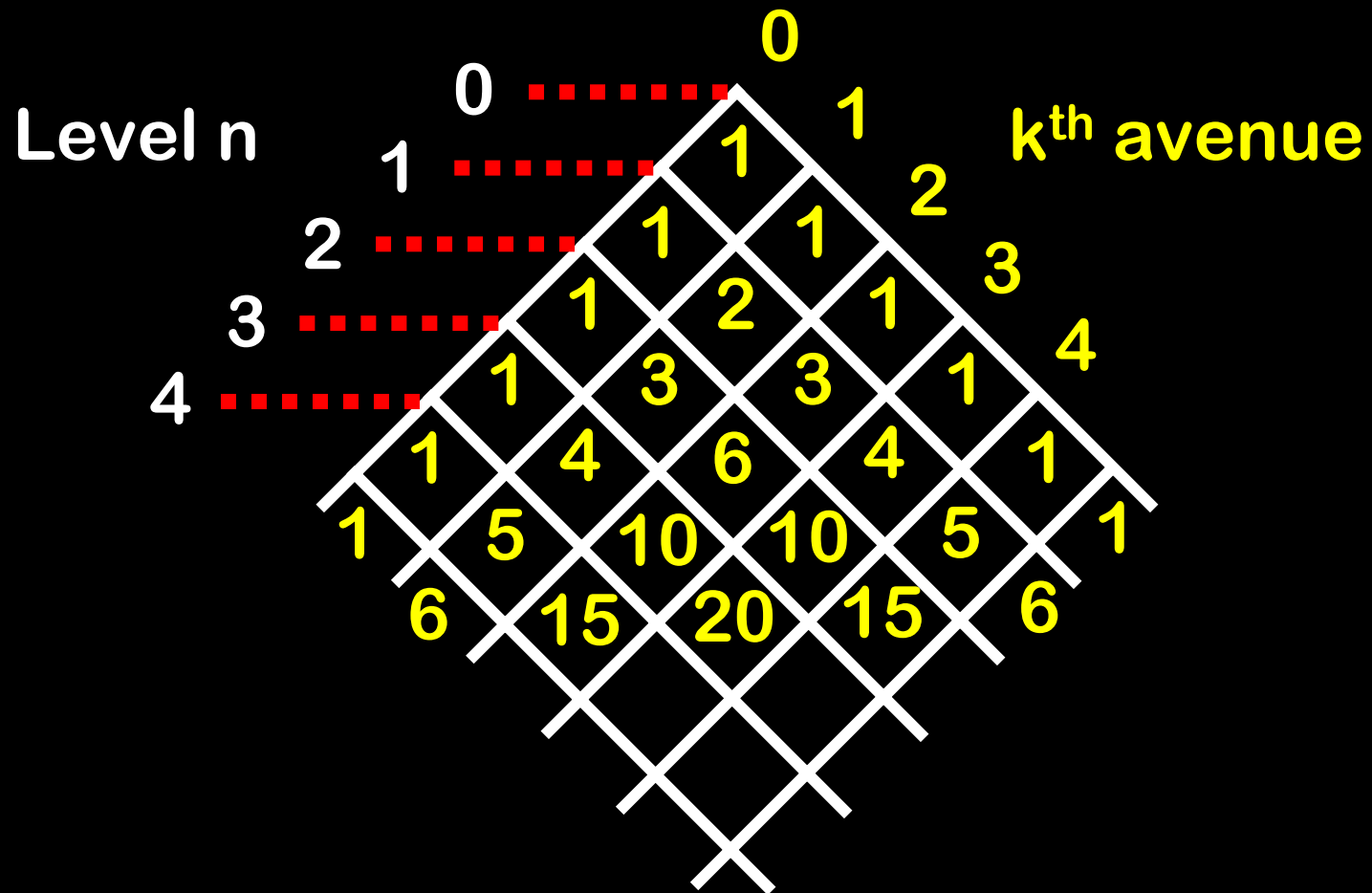


There are  $\binom{n}{k}$  shortest routes from  $(0,0)$  to  $(n-k,k)$

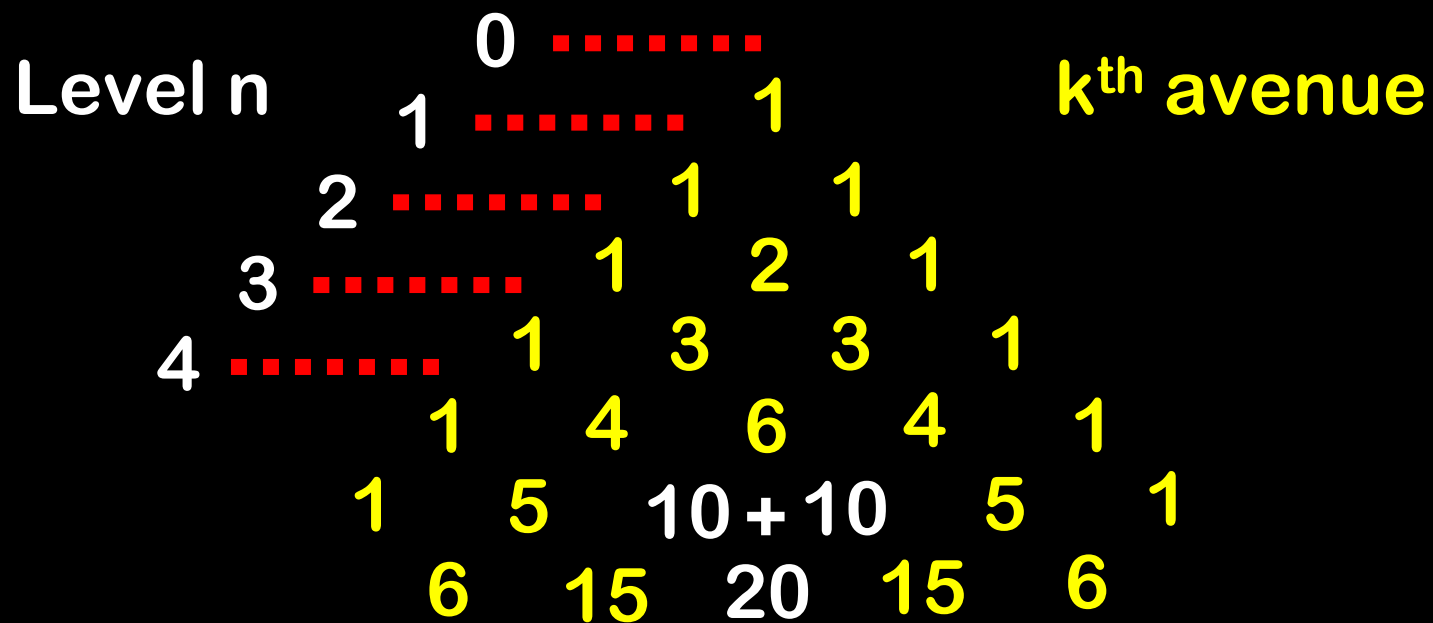
# Manhattan



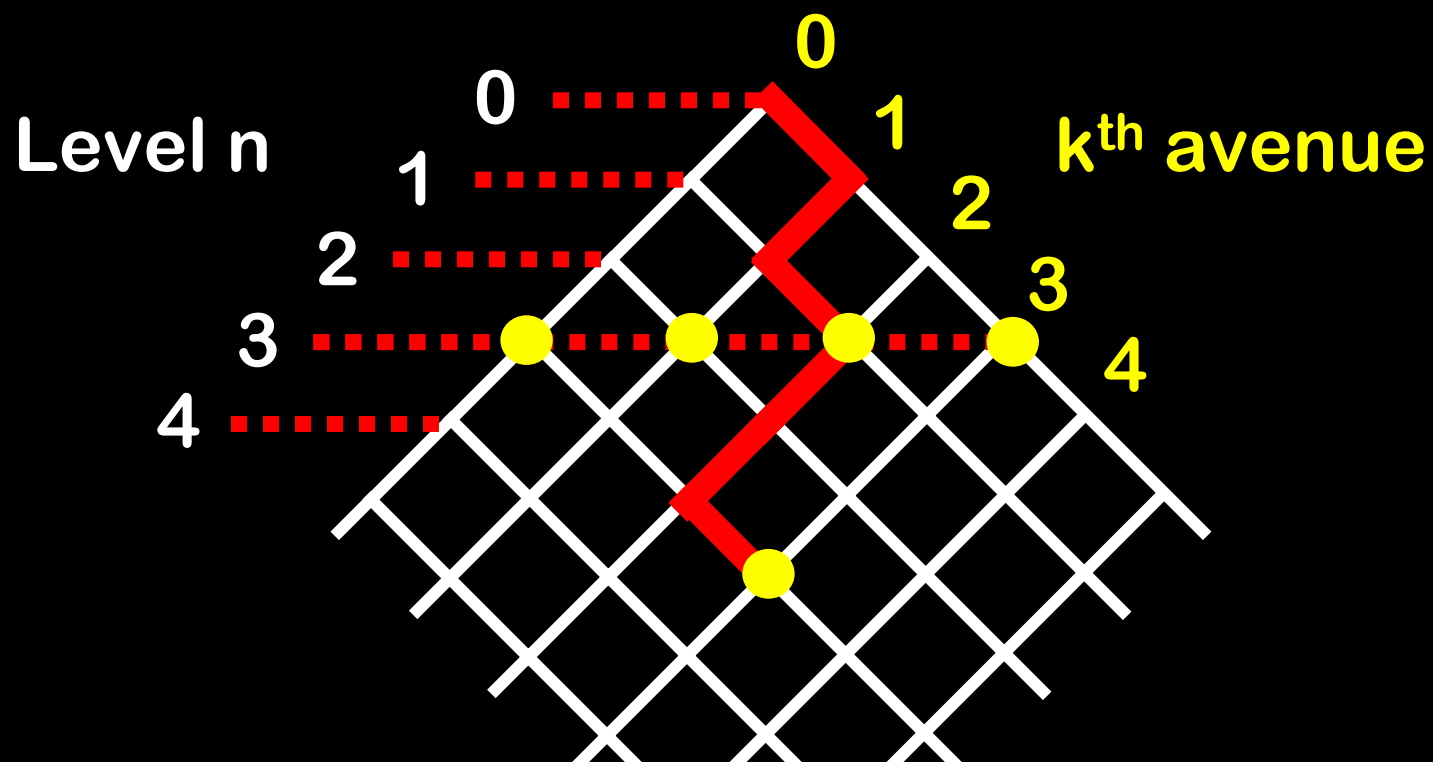
There are  $\binom{n}{k}$  shortest routes from (0,0) to level n and  $k^{\text{th}}$  avenue



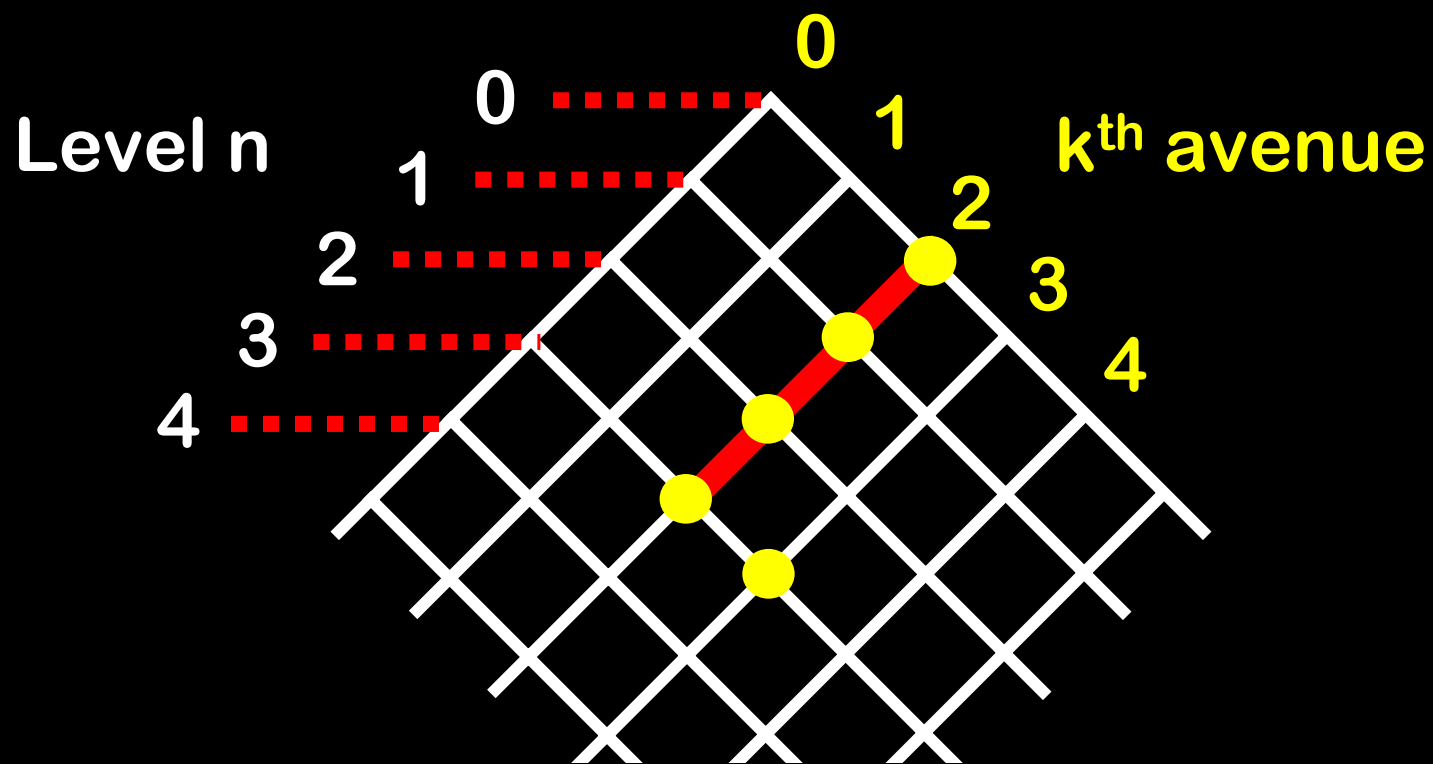




$$\binom{n}{k} = \binom{n-1}{k-1} + \binom{n-1}{k}$$



$$\sum_{k=0}^n \binom{n}{k}^2 = \binom{2n}{n}$$



$$\sum_{i=k}^n \binom{i}{k} = \binom{n+1}{k+1}$$

# Vector Programs

Let's define a (parallel) programming language called VECTOR that operates on possibly infinite vectors of numbers. Each variable  $V \rightarrow$  can be thought of as:

$\langle *, *, *, *, *, *, \dots \rangle$

# Vector Programs

Let  $k$  stand for a scalar constant

$\langle k \rangle$  will stand for the vector  $\langle k, 0, 0, 0, \dots \rangle$

$$\langle 0 \rangle = \langle 0, 0, 0, 0, \dots \rangle$$

$$\langle 1 \rangle = \langle 1, 0, 0, 0, \dots \rangle$$

$\mathbf{V} \rightarrow + \mathbf{T} \rightarrow$  means to add the vectors position-wise

$$\langle 4, 2, 3, \dots \rangle + \langle 5, 1, 1, \dots \rangle = \langle 9, 3, 4, \dots \rangle$$

# Vector Programs

**RIGHT**( $V \rightarrow$ ) means to shift every number in  $V \rightarrow$  one position to the **right** and to place a 0 in position 0

$$\text{RIGHT}( \langle 1, 2, 3, \dots \rangle ) = \langle 0, 1, 2, 3, \dots \rangle$$

# Vector Programs

## Example:

$V^{\rightarrow} := \langle 6 \rangle;$

$V^{\rightarrow} := \text{RIGHT}(V^{\rightarrow}) + \langle 42 \rangle;$

$V^{\rightarrow} := \text{RIGHT}(V^{\rightarrow}) + \langle 2 \rangle;$

$V^{\rightarrow} := \text{RIGHT}(V^{\rightarrow}) + \langle 13 \rangle;$

## Store:

$V^{\rightarrow} = \langle 6, 0, 0, 0, \dots \rangle$

$V^{\rightarrow} = \langle 42, 6, 0, 0, \dots \rangle$

$V^{\rightarrow} = \langle 2, 42, 6, 0, \dots \rangle$

$V^{\rightarrow} = \langle 13, 2, 42, 6, \dots \rangle$

$V^{\rightarrow} = \langle 13, 2, 42, 6, 0, 0, 0, \dots \rangle$

# Vector Programs

**Example:**

$V^{\rightarrow} := \langle 1 \rangle;$

Loop n times

$V^{\rightarrow} := V^{\rightarrow} + \text{RIGHT}(V^{\rightarrow});$

**Store:**

$V^{\rightarrow} = \langle 1, 0, 0, 0, \dots \rangle$

$V^{\rightarrow} = \langle 1, 1, 0, 0, \dots \rangle$

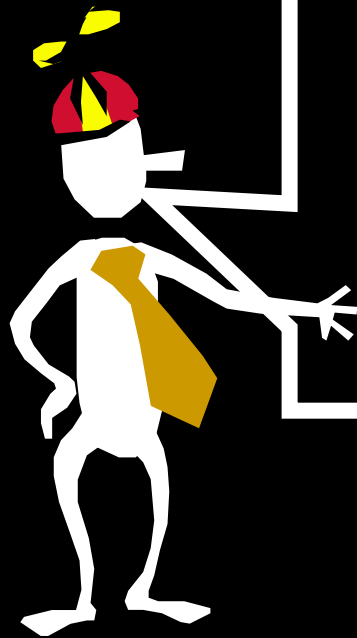
$V^{\rightarrow} = \langle 1, 2, 1, 0, \dots \rangle$

$V^{\rightarrow} = \langle 1, 3, 3, 1, \dots \rangle$

$V^{\rightarrow} = n^{\text{th}}$  row of Pascal's triangle




$$\times^1 + \times^2 + \times^3$$



Vector programs can  
be implemented by  
polynomials!

# Programs $\rightarrow$ Polynomials

The vector  $V^{\rightarrow} = \langle a_0, a_1, a_2, \dots \rangle$  will be represented by the polynomial:

$$P_V = \sum_{i=0}^{\infty} a_i x^i$$

# Formal Power Series

The vector  $V^{\rightarrow} = \langle a_0, a_1, a_2, \dots \rangle$  will be represented by the **formal power series**:

$$P_V = \sum_{i=0}^{\infty} a_i x^i$$

$$\mathbf{V}^{\rightarrow} = \langle a_0, a_1, a_2, \dots \rangle$$

$$P_V = \sum_{i=0}^{\infty} a_i X^i$$

**<0>** is represented by 0

**<k>** is represented by k

**$\mathbf{V}^{\rightarrow} + \mathbf{T}^{\rightarrow}$**  is represented by  $(P_V + P_T)$

**RIGHT( $\mathbf{V}^{\rightarrow}$ )** is represented by  $(P_V X)$

# Vector Programs

**Example:**

$V^{\rightarrow} := \langle 1 \rangle;$

$P_V := 1;$

Loop n times

$V^{\rightarrow} := V^{\rightarrow} + \text{RIGHT}(V!);$

$P_V := P_V + P_V X;$

$V^{\rightarrow} = n^{\text{th}}$  row of Pascal's triangle

# Vector Programs

**Example:**

$V^{\rightarrow} := \langle 1 \rangle;$

$P_V := 1;$

Loop n times

$V^{\rightarrow} := V^{\rightarrow} + \text{RIGHT}(V!);$

$P_V := P_V(1+X);$

$V^{\rightarrow} = n^{\text{th}}$  row of Pascal's triangle

# Vector Programs

**Example:**

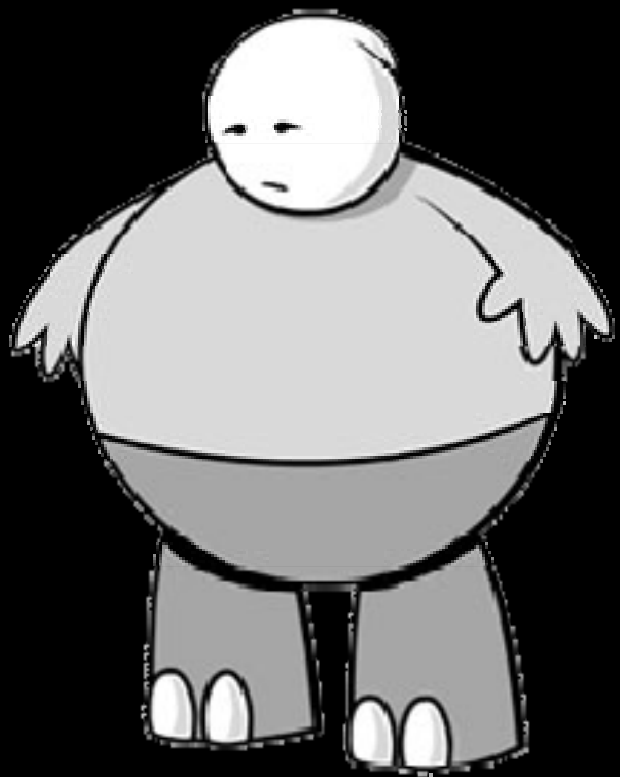
$V^{\rightarrow} := \langle 1 \rangle;$

Loop n times

$V^{\rightarrow} := V^{\rightarrow} + \text{RIGHT}(V^!);$

$$\left. \begin{array}{l} V^{\rightarrow} := \langle 1 \rangle; \\ \text{Loop n times} \\ V^{\rightarrow} := V^{\rightarrow} + \text{RIGHT}(V^!); \end{array} \right\} P_v = (1 + X)^n$$

$V^{\rightarrow} = n^{\text{th}}$  row of Pascal's triangle



**Here's What  
You Need to  
Know...**

- **Polynomials count**
- **Binomial formula**
- **Combinatorial proofs of  
binomial identities**
- **Vector programs**