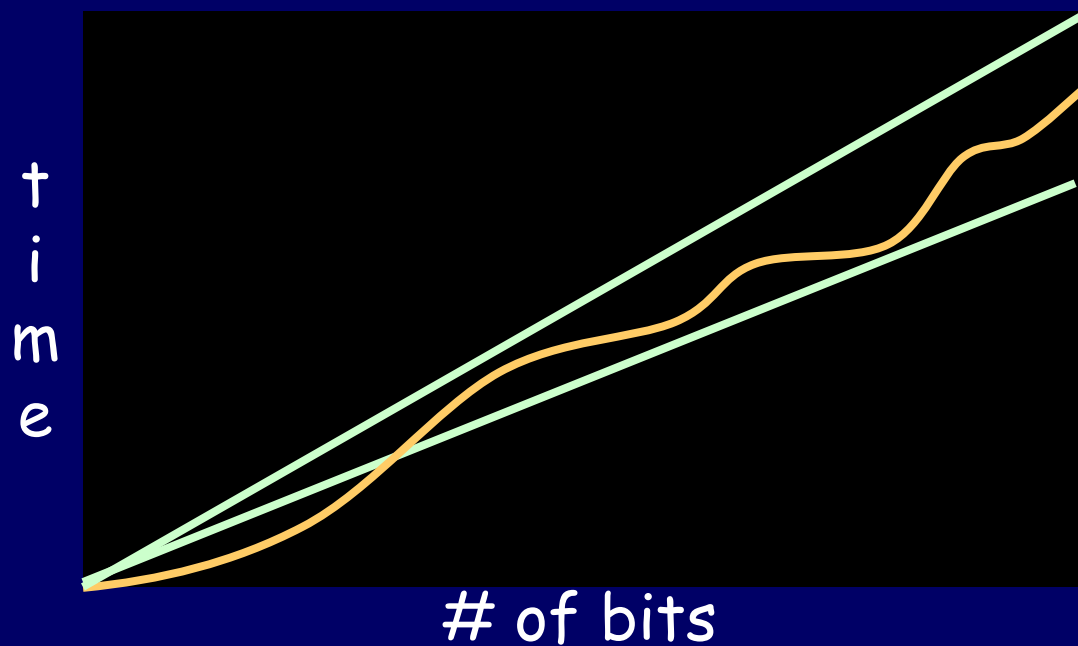
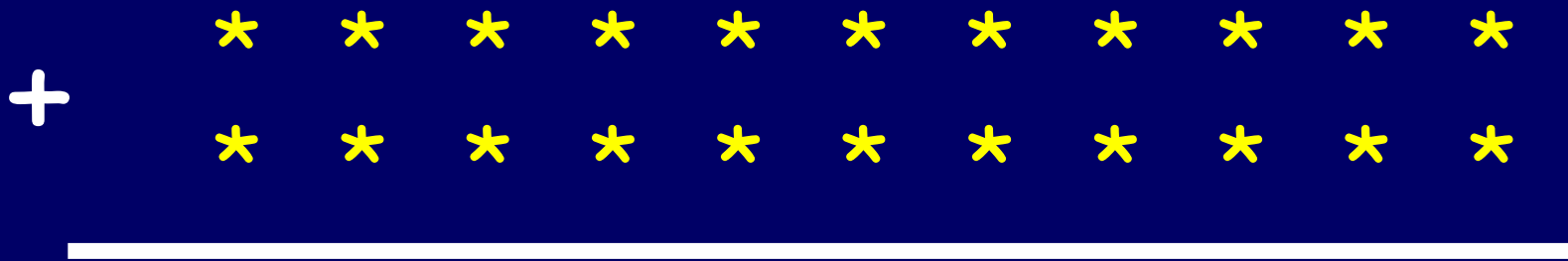




## On Time Versus Input Size



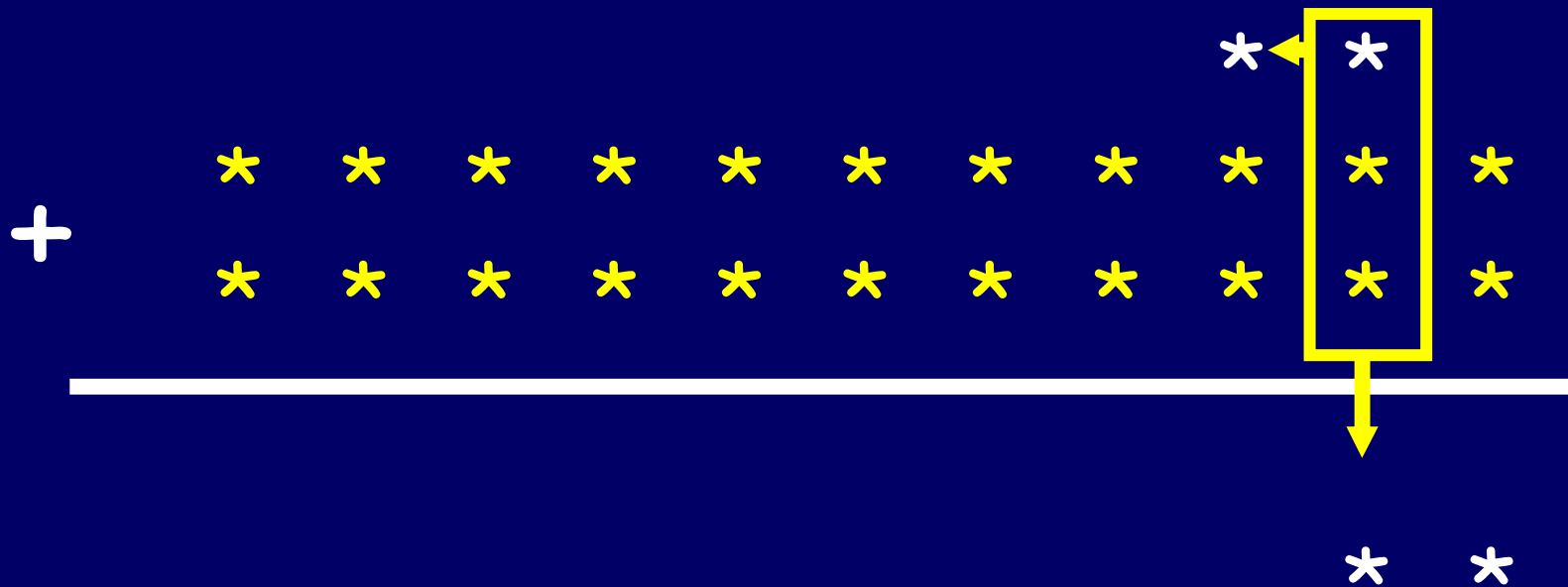
# How to add 2 n-bit numbers.



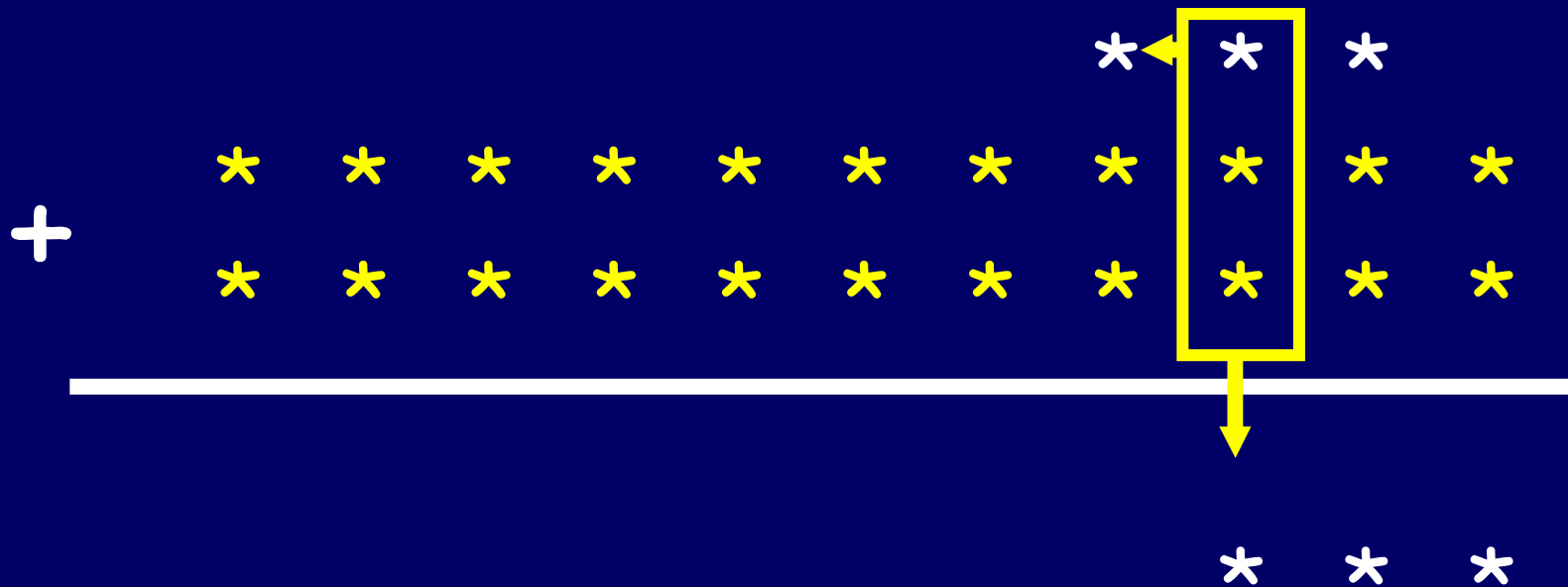
# How to add 2 n-bit numbers.



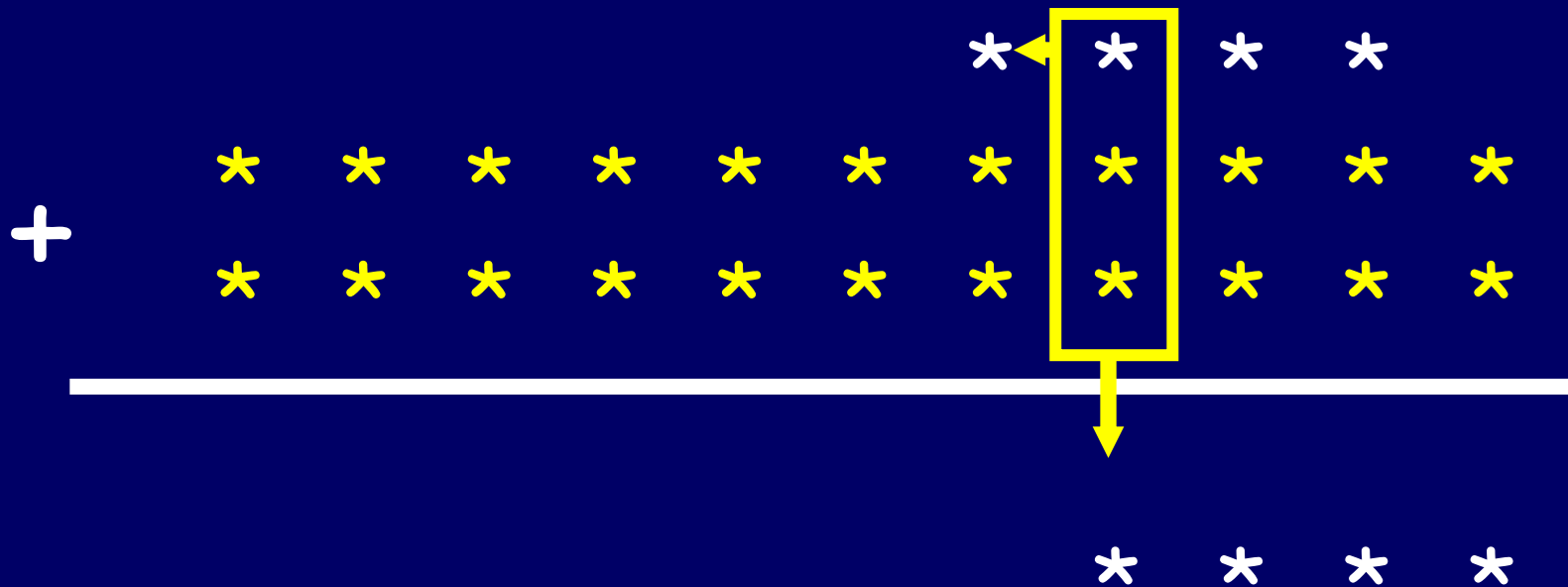
# How to add 2 n-bit numbers.



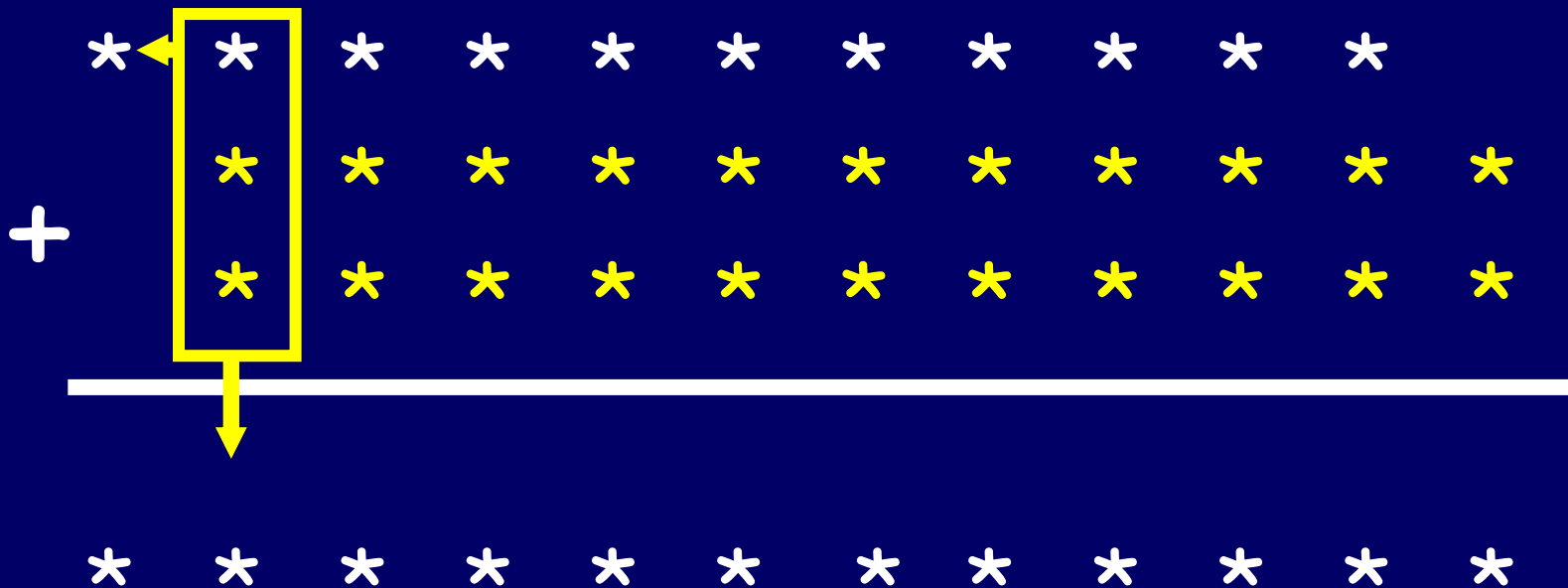
# How to add 2 n-bit numbers.



# How to add 2 n-bit numbers.

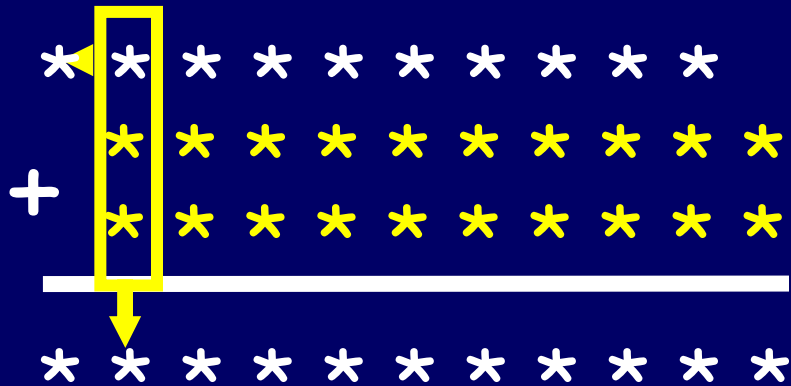


# How to add 2 n-bit numbers.



"Grade school addition"

# Time complexity of grade school addition



$T(n)$  = amount of time grade school addition uses to add two  $n$ -bit numbers



What do you mean by "time"?



# Our Goal

We want to define "time" in a way that transcends implementation details and allows us to make assertions about grade school addition in a very general yet useful way.

# Roadblock ???

A given algorithm will take different amounts of time on the same inputs depending on such factors as:

- Processor speed
- Instruction set
- Disk speed
- Brand of compiler

Hold on!

The goal was to measure the time  $T(n)$  taken by the method of grade school addition without depending on the implementation details.

But you agree that  $T(n)$  does depend on the implementation!

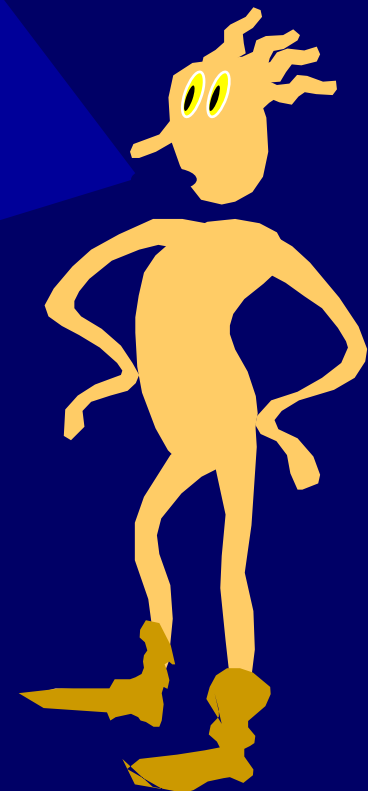
We can only speak of the time taken by any particular implementation, as opposed to the time taken by the method in the abstract.




Your objections are serious, Bonzo,  
but they are not insurmountable.

There is a very nice sense in which  
we can analyze grade school addition  
without having to worry about  
implementation details.

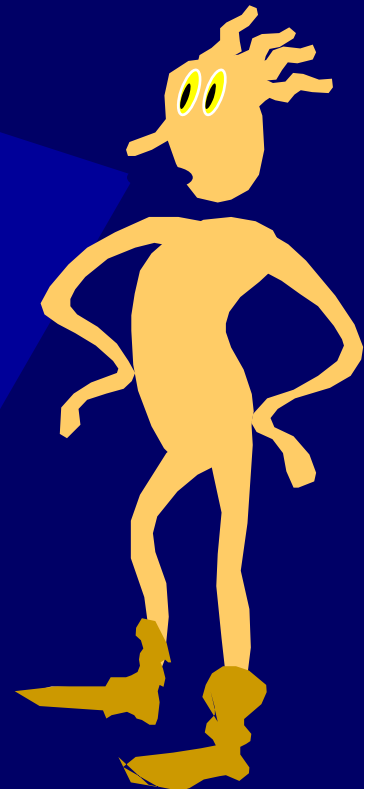
Here is how it works . . .



On any reasonable computer, adding 3 bits and writing down the two bit answer can be done in constant time.

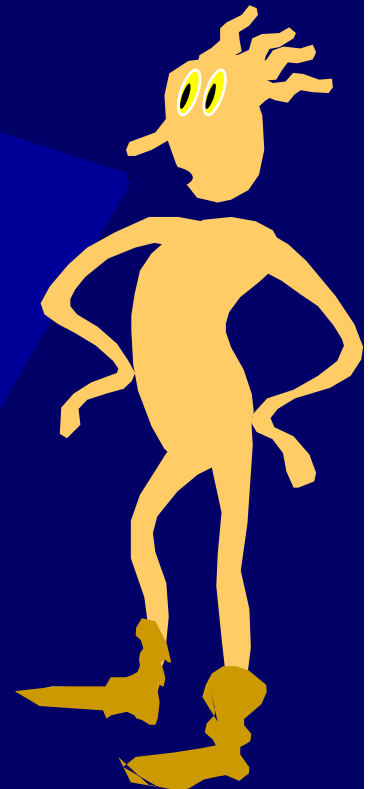
Pick any particular computer  $M$  and define  $c$  to be the time it takes to perform  on that computer.

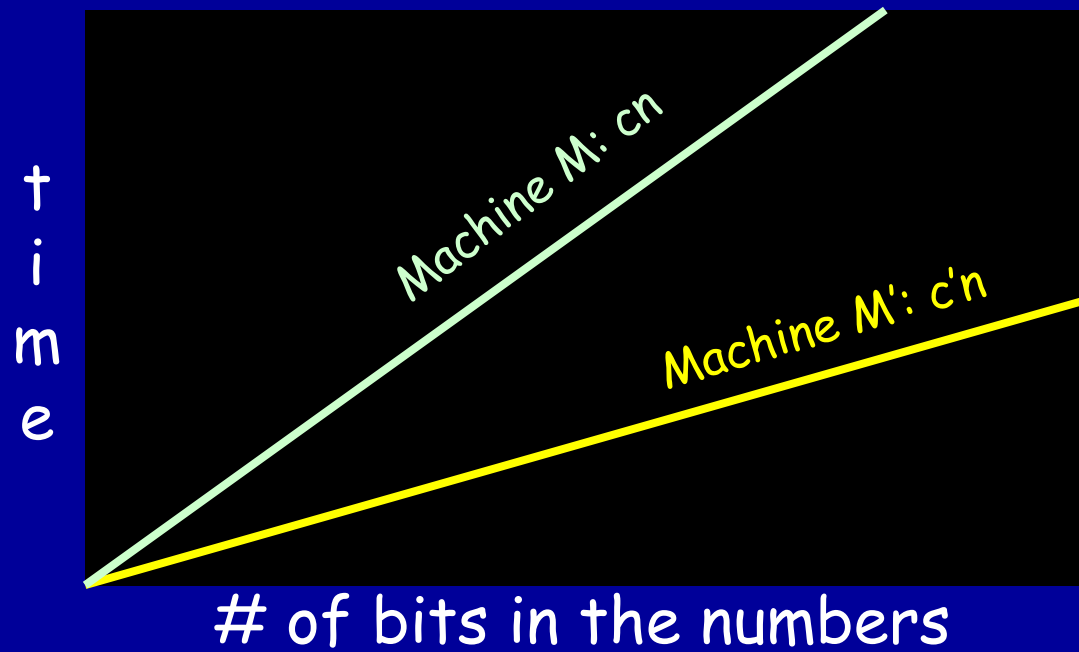
Total time to add two  $n$ -bit numbers using grade school addition:  $cn$   
[ $c$  time for each of  $n$  columns]



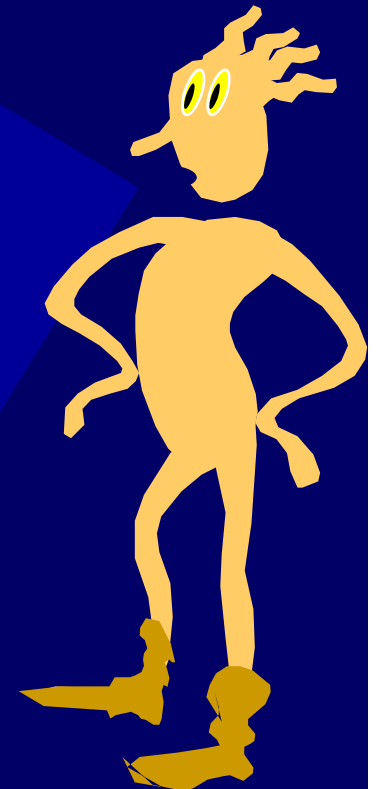
On another computer  $M'$ , the time to perform  may be  $c'$ .

Total time to add two  $n$ -bit numbers using grade school addition:  $c'n$   
[ $c'$  time for each of  $n$  columns]



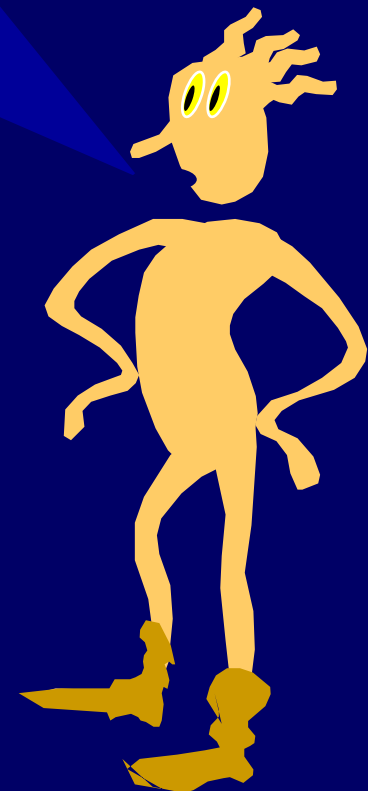


The fact that we get a line is **invariant** under changes of implementations. Different machines result in different slopes, but **time grows linearly as input size increases.**



Thus we arrive at an  
implementation independent  
insight:

Grade School Addition is a linear  
time algorithm.



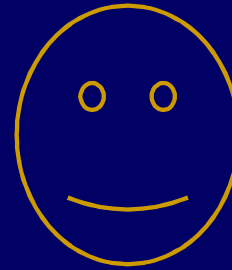


**Abstraction:**

Abstract away the inessential features of a problem or solution



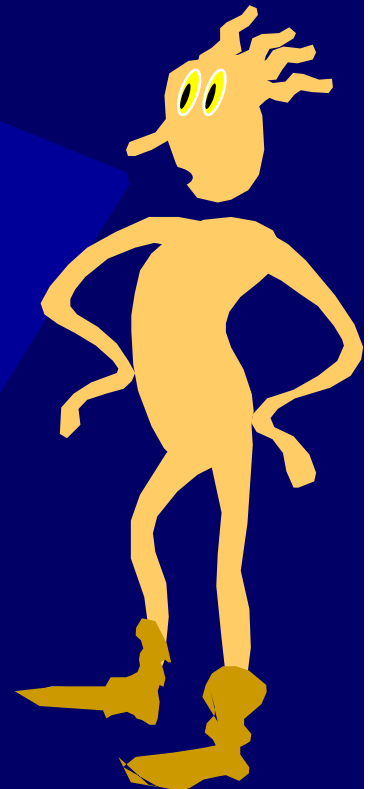
=



I see! We can define away the details of the world that we do not wish to currently study, in order to recognize the similarities between seemingly different things...

Exactly, Bonzo!

This process of abstracting away details and determining the rate of **resource usage** in terms of the **problem size  $n$**  is one of the fundamental ideas in computer science.



# Time vs Input Size

For any algorithm, define

**Input Size** = # of bits to specify its inputs.

Define

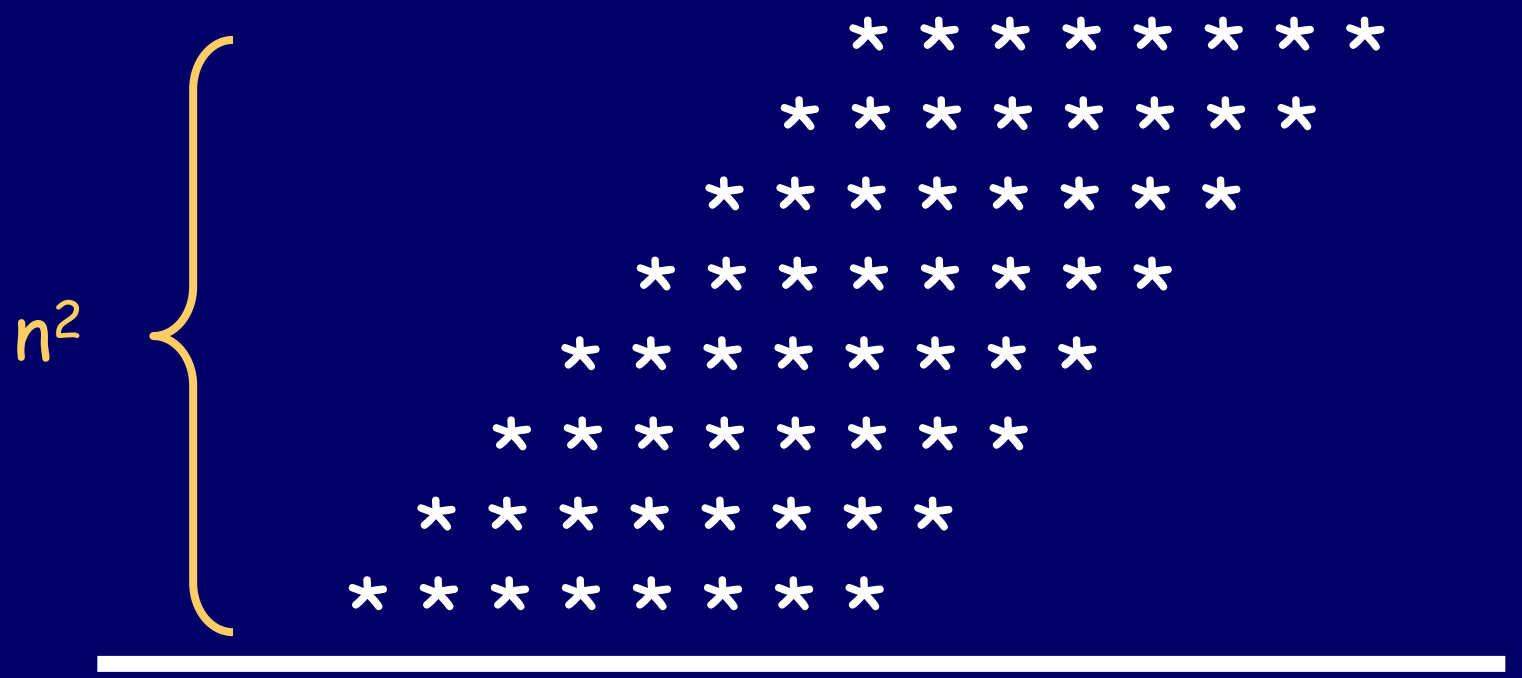
**TIME<sub>n</sub>** = the worst-case amount of time used  
on inputs of size **n**

We often ask:

**What is the growth rate of Time<sub>n</sub>?**

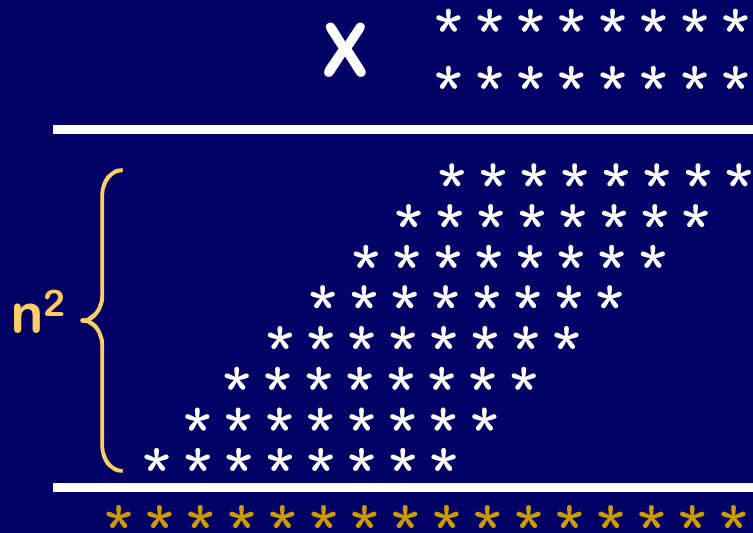
# How to multiply 2 n-bit numbers.

$$\begin{array}{r} \times \\ * * * * * \\ * * * * * \end{array}$$



\* \* \* \* \*  
\* \* \* \* \*  
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## How to multiply 2 n-bit numbers.

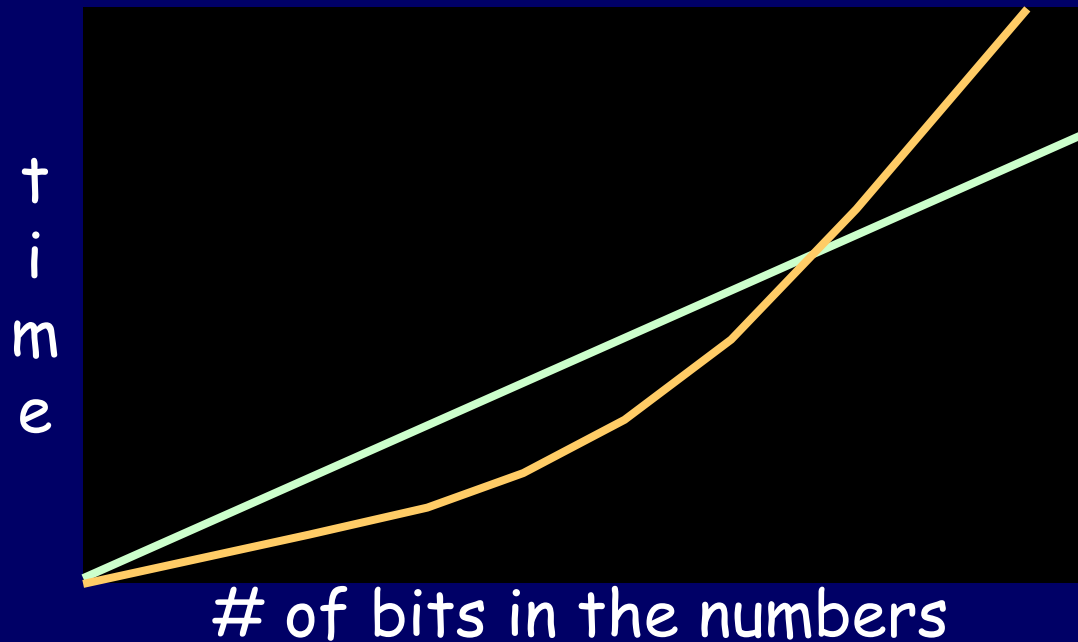


I get it!

The total time is bounded by  $cn^2$  (abstracting away the implementation details).



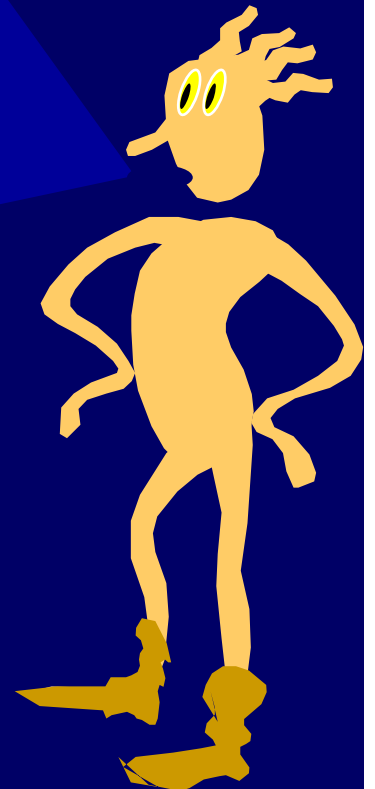
Grade School Addition: Linear time  
Grade School Multiplication: Quadratic time



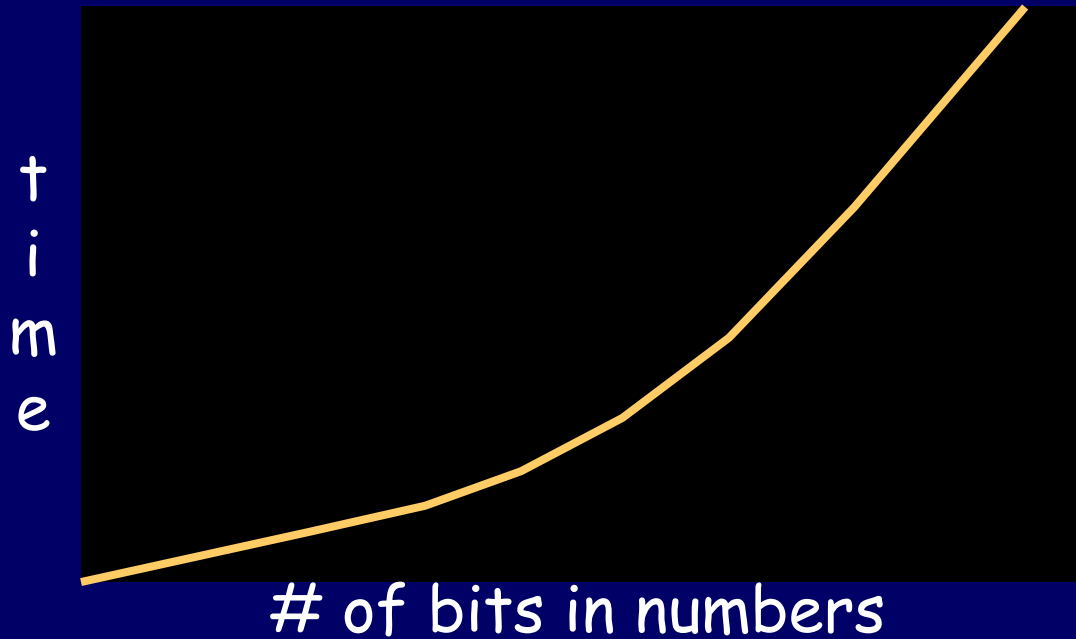
No matter how dramatic the difference in the constants, the **quadratic curve** will eventually dominate the **linear curve**

Ok, so...

How much time does it  
take to square  
the number  $n$  using  
grade school multiplication?



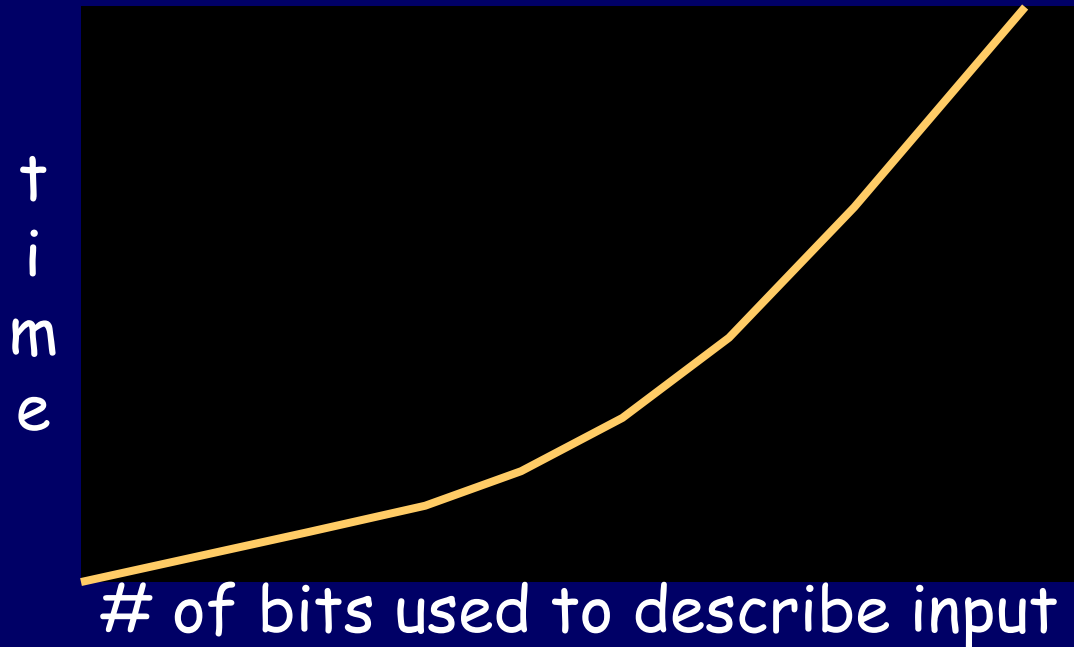
# Grade School Multiplication: Quadratic time



$c(\log n)^2$  time to square  
the number  $n$



# Time Versus Input Size



Input size is measured in bits,  
unless we say otherwise.

# How much time does it take?

## Nursery School Addition

Input: Two  $n$ -bit numbers,  $a$  and  $b$

Output:  $a + b$

Start at  $a$  and increment (by 1)  $b$  times

$T(n) = ?$

# How much time does it take?

## Nursery School Addition

Input: Two  $n$ -bit numbers,  $a$  and  $b$

Output:  $a + b$

Start at  $a$  and increment (by 1)  $b$  times

$T(n) = ?$

If  $b = 000\dots0000$ , then NSA takes almost no time.

If  $b = 1111\dots1111$ , then NSA takes  $c n2^n$  time.



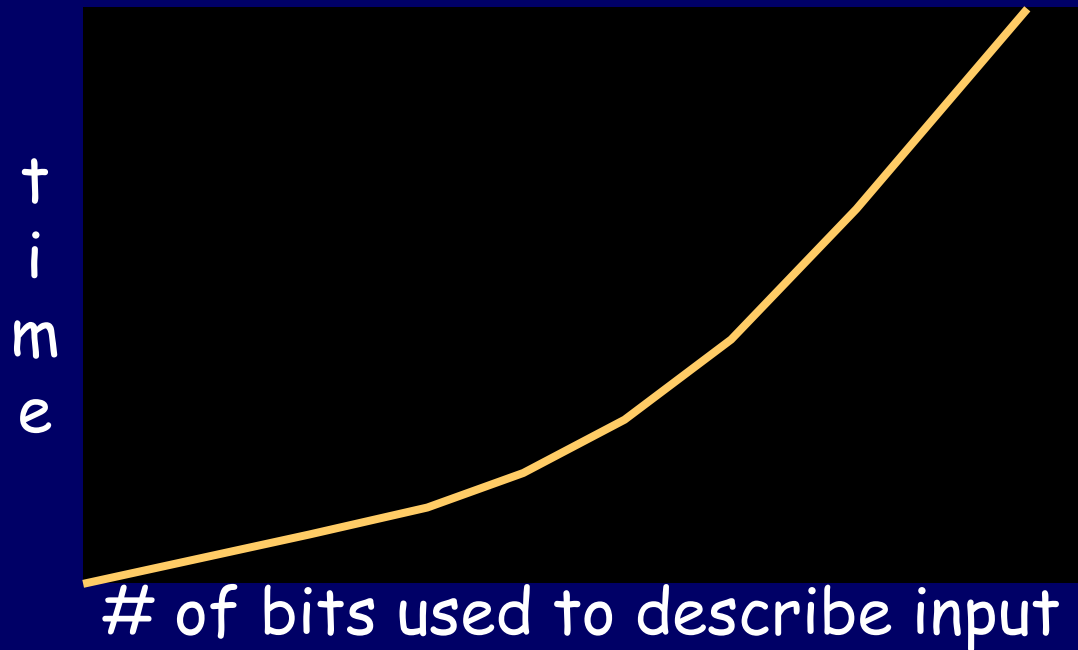
**Exponential Worst Case time !!**

# Worst Case Time

Worst Case Time  $T(n)$  for algorithm  $A$ :

$T(n) = \text{Max}_{[\text{all permissible inputs } X \text{ of size } n]} (\text{Running time of algorithm } A \text{ on input } X).$

# Worst-case Time Versus Input Size



Worst Case Time Complexity

# What is $T(n)$ ?

## Kindergarden Multiplication

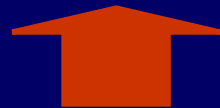
Input: Two  $n$ -bit numbers,  $a$  and  $b$

Output:  $a * b$

Start with  $a$  and add  $a$ ,  $b-1$  times

Remember, we always pick the WORST CASE input for the input size  $n$ .

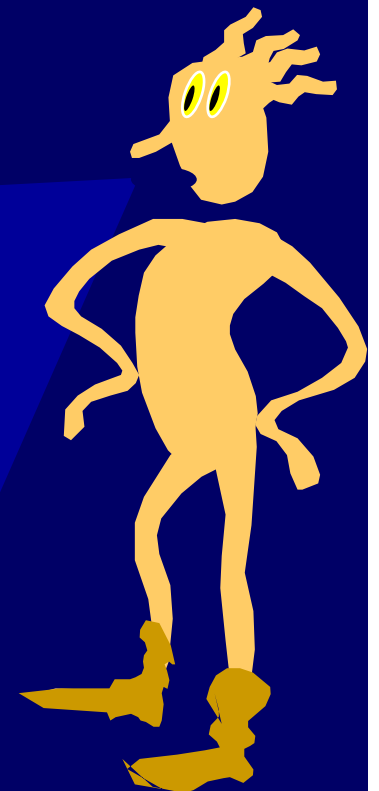
Thus,  $T(n) = c n 2^n$



**Exponential Worst Case time !!**

Thus, Nursery School adding  
and multiplication are  
exponential time.  
They scale HORRIBLY as input  
size grows.

Grade school methods scale  
polynomially: just linear and  
quadratic.  
Thus, we can add and multiply  
fairly large numbers.



If  $T(n)$  is not polynomial,  
the algorithm is not efficient:  
the run time scales too poorly  
with the input size.

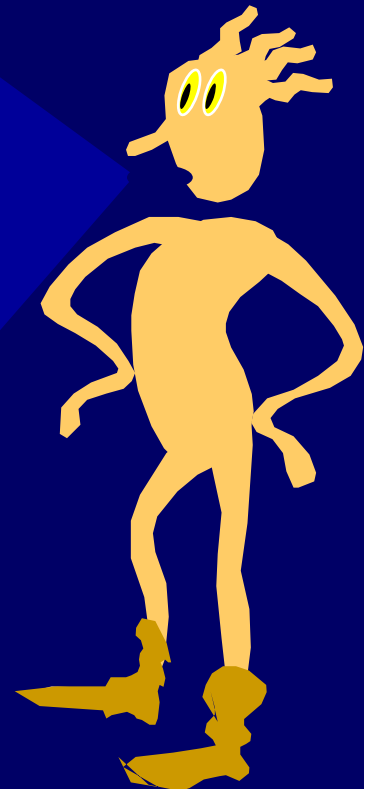
This will be the yardstick with  
which we will measure  
"efficiency".





Multiplication is efficient, what about "reverse multiplication"?

Let's define **FACTORING(N)** to be any method to produce a non-trivial factor of **N**, or to assert that **N** is prime.



# Factoring The Number $N$ By Trial Division

Trial division up to  $\sqrt{N}$

```
for  $k = 2$  to  $\sqrt{N}$  do  
  if  $k \mid N$  then  
    return " $N$  has a non-trivial factor  $k$ "
```

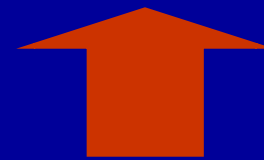
```
return " $N$  is prime"
```

$c\sqrt{N}(\log N)^2$  time if division is  $c(\log N)^2$  time

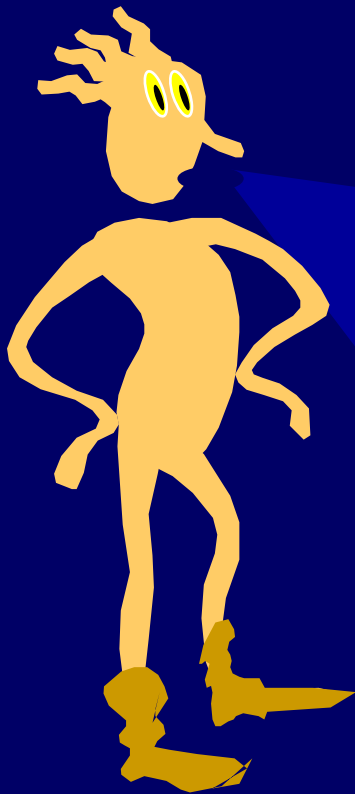
On input  $N$ , trial factoring uses  $c\sqrt{N}(\log N)^2$  time.

Is this efficient?

No! The input length  $n = \log N$ .  
Hence we're using  $c 2^{n/2} n^2$  time.



The time is **EXPONENTIAL** in the input length  $n$ .

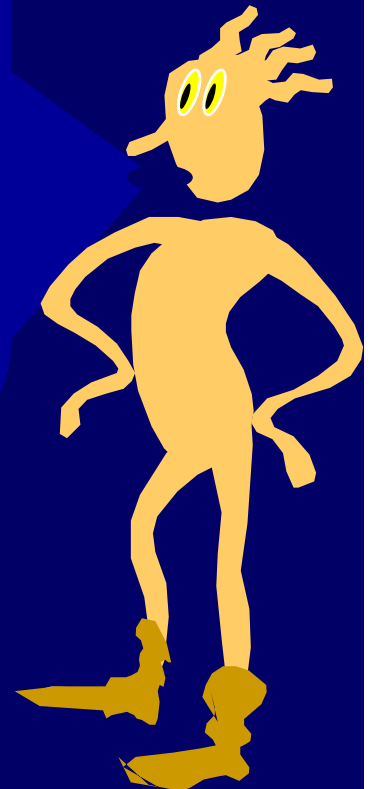


Can we do better?

We know of methods for  
FACTORING that are  
sub-exponential

(about  $2^{n^{1/3}}$  time)

but nothing efficient.



# Useful notation to discuss growth rates

For any monotonic function  $f$  from the positive integers to the positive integers, we say

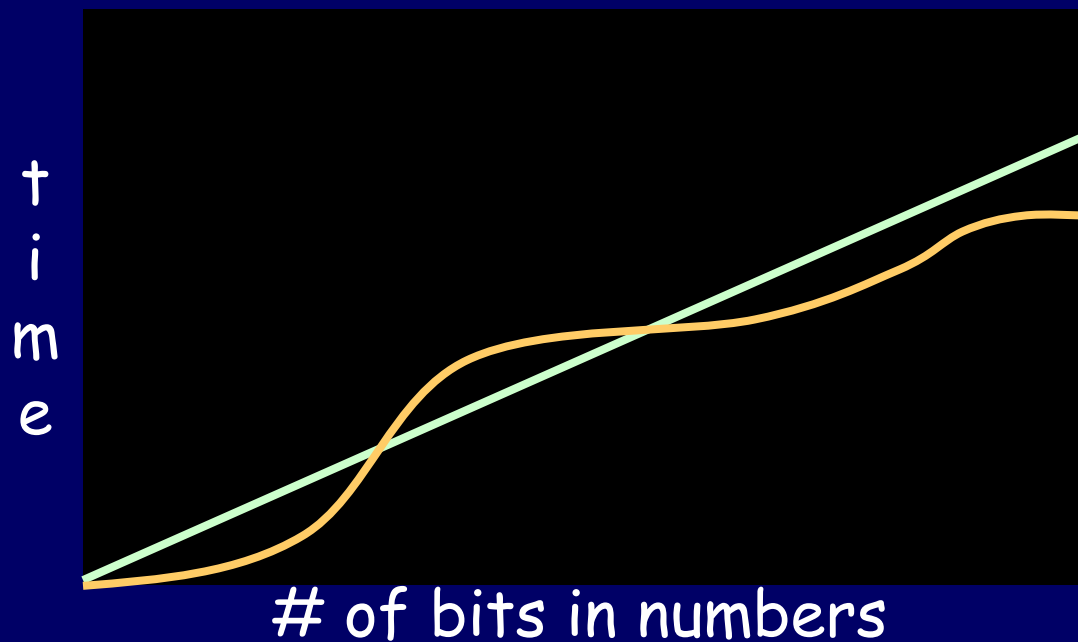
" $f = O(n)$ " or " $f$  is  $O(n)$ "

if

Some constant times  $n$  eventually dominates  $f$

[Formally: there exists a constant  $c$  such that for all sufficiently large  $n$ :  $f(n) \leq cn$  ]

$f = O(n)$  means that there is a line that can be drawn that stays above  $f$  from some point on.



## More useful notation: $\Omega$

For any monotonic function  $f$  from the positive integers to the positive integers, we say

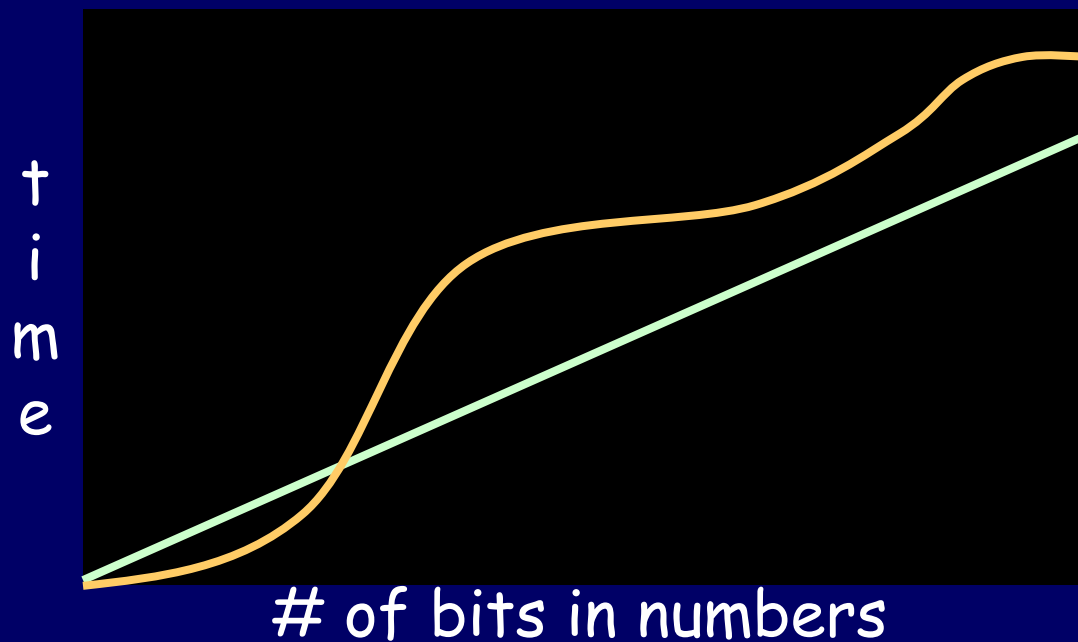
" $f = \Omega(n)$ " or " $f$  is  $\Omega(n)$ "

if:

$f$  eventually dominates some  
constant times  $n$

[Formally: there exists a constant  $c$  such that for all sufficiently large  $n$ :  $f(n) \geq cn$  ]

$f = \Omega(n)$  means that there is a line that can be drawn that stays below  $f$  from some point on





## Yet more useful notation: $\Theta$

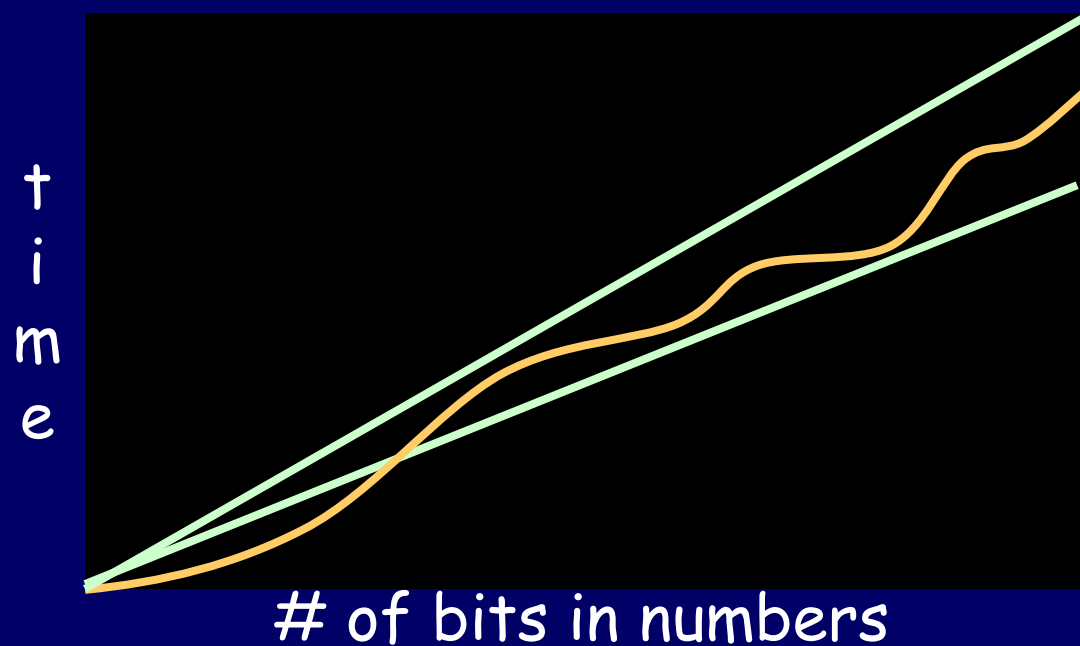
For any monotonic function  $f$  from the positive integers to the positive integers, we say

" $f = \Theta(n)$ " or " $f$  is  $\Theta(n)$ "

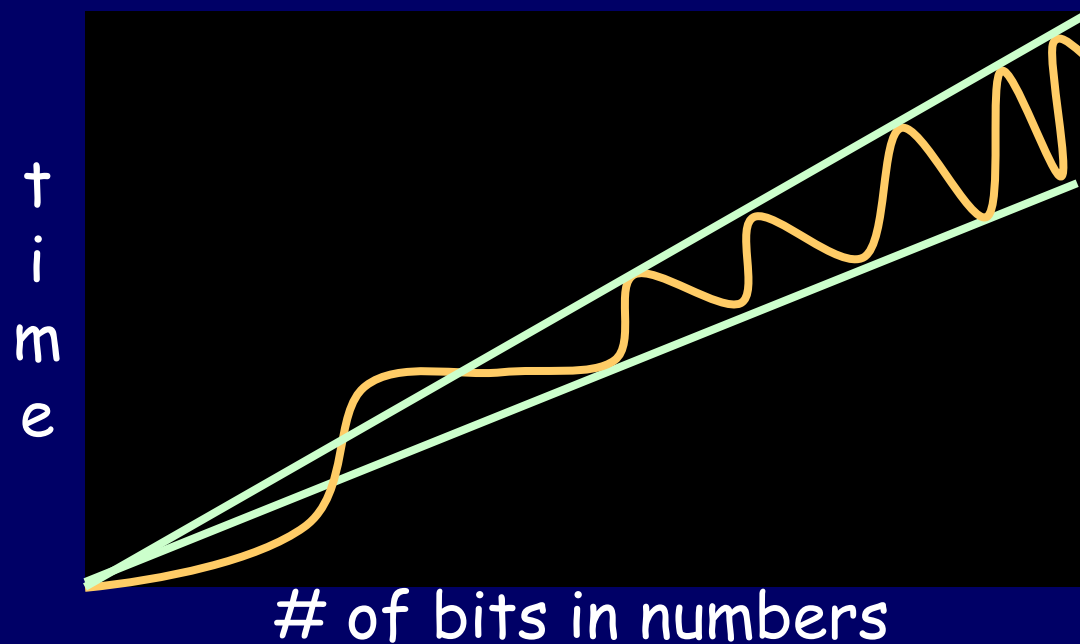
if:

$f = O(n)$  and  $f = \Omega(n)$

$f = \Theta(n)$  means that  $f$  can be sandwiched between two lines from some point on.



$f = \Theta(n)$  means that  $f$  can be sandwiched between two lines from some point on.



# Useful notation to discuss growth rates

For any two monotonic functions  $f$  and  $g$  from the positive integers to the positive integers, we say

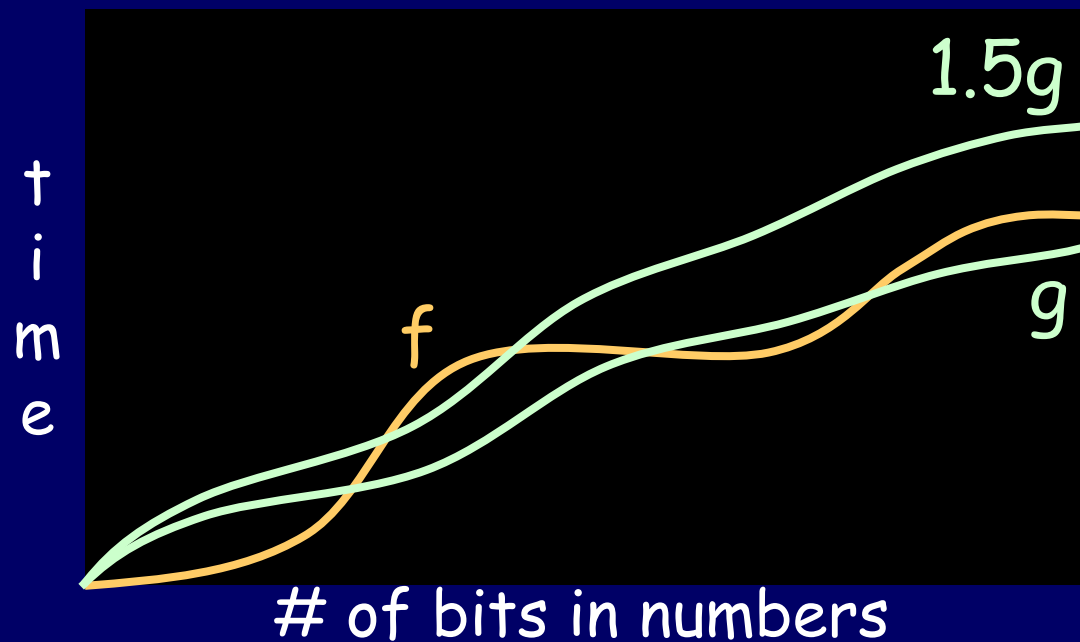
" $f = O(g)$ " or " $f$  is  $O(g)$ "

if

Some constant times  $g$  eventually dominates  $f$

[Formally: there exists a constant  $c$  such that for all sufficiently large  $n$ :  $f(n) \leq c g(n)$  ]

$f = O(g)$  means that there is some constant  $c$  such that  $c g(n)$  stays above  $f(n)$  from some point on.



## More useful notation: $\Omega$

For any two monotonic functions  $f$  and  $g$  from the positive integers to the positive integers, we say

" $f = \Omega(g)$ " or " $f$  is  $\Omega(g)$ "

if:

$f$  eventually dominates some constant times  $g$

[Formally: there exists a constant  $c$  such that for all sufficiently large  $n$ :  $f(n) \geq c g(n)$  ]

## Yet more useful notation: $\Theta$

For any two monotonic functions  $f$  and  $g$  from the positive integers to the positive integers, we say

" $f = \Theta(g)$ " or " $f$  is  $\Theta(g)$ "

if:

$f = O(g)$  and  $f = \Omega(g)$

- $n = O(n^2)$  ?
  - YES

Take  $c = 1$ .

For all  $n \geq 1$ , it holds that  $n \leq cn^2$

Quickies



- $n = O(n^2)$  ?
  - YES
- $n = O(\sqrt{n})$  ?
  - NO

Suppose it were true that  $n \leq c\sqrt{n}$   
for some constant  $c$  and large enough  $n$   
Cancelling, we would get  $\sqrt{n} \leq c$ .  
Which is false for  $n > c^2$

Quickies

•  $n = O(n^2)$  ?

- YES

•  $n = O(\sqrt{n})$  ?

- NO

•  $3n^2 + 4n + \pi = O(n^2)$  ?

- YES


•  $3n^2 + 4n + \pi = \Omega(n^2)$  ?

- YES

•  $n^2 = \Omega(n \log n)$  ?

- YES

•  $n^2 \log n = \Theta(n^2)$


$$3n^2 + 4n + \pi = \Theta(n^2)$$

Quickies

# Two statements in one!

$$n^2 \log n = \Theta(n^2)$$



$$n^2 \log n = O(n^2)$$

NO

$$n^2 \log n = \Omega(n^2)$$

YES

# Names For Some Growth Rates

Linear Time:  $T(n) = O(n)$

Quadratic Time:  $T(n) = O(n^2)$

Cubic Time:  $T(n) = O(n^3)$

Polynomial Time:

for some constant  $k$ ,  $T(n) = O(n^k)$ .

Example:  $T(n) = 13n^5$

# Large Growth Rates

## Exponential Time:

for some constant  $k$ ,  $T(n) = O(k^n)$

Example:  $T(n) = n2^n = O(3^n)$

## Almost Exponential Time:

for some constant  $k$ ,  $T(n) = 2^{\text{kth root of } n}$

Example:  $T(n) = 2^{\sqrt{n}}$

# Small Growth Rates

**Logarithmic Time:**  $T(n) = O(\log n)$

Example:  $T(n) = 15\log_2(n)$

**Polylogarithmic Time:**

for some constant  $k$ ,  $T(n) = O(\log^k(n))$

**Note:** These kind of algorithms can't possibly read all of their inputs.

# Binary Search

A very common example of logarithmic time is looking up a word in a sorted dictionary.

# Some Big Ones

Doubly Exponential Time means that for some constant  $k$

$$T(n) = 2^{2^{kn}}$$

Triply Exponential

$$T(n) = 2^{2^{2^{kn}}}$$

And so forth.



# Faster and Faster: 2STACK

$$2STACK(0) = 1$$

$$2STACK(n) = 2^{2STACK(n-1)}$$

$$2STACK(1) = 2$$

$$2STACK(2) = 4$$

$$2STACK(3) = 16$$

$$2STACK(4) = 65536$$

$$2STACK(5) \geq 10^{80}$$

= atoms in universe

$$2STACK(n) = \underset{\substack{\nearrow \\ \text{"tower of } n \text{ 2's"}}}{2^{2^{2^{\dots^2}}}}$$

# And the inverse of 2STACK: $\log^*$

$$2\text{STACK}(0) = 1$$

$$2\text{STACK}(n) = 2^{2\text{STACK}(n-1)}$$

$$2\text{STACK}(1) = 2$$

$$2\text{STACK}(2) = 4$$

$$2\text{STACK}(3) = 16$$

$$2\text{STACK}(4) = 65536$$

$$2\text{STACK}(5) \geq 10^{80}$$

= atoms in universe

$\log^*(n)$  = # of times you have to apply  
the log function to  $n$  to make it  $\leq 1$

# And the inverse of 2STACK: $\log^*$

$$2STACK(0) = 1$$

$$\log^*(1) = 0$$

$$2STACK(n) = 2^{2STACK(n-1)}$$

$$2STACK(1) = 2$$

$$\log^*(2) = 1$$

$$2STACK(2) = 4$$

$$\log^*(4) = 2$$

$$2STACK(3) = 16$$

$$\log^*(16) = 3$$

$$2STACK(4) = 65536$$

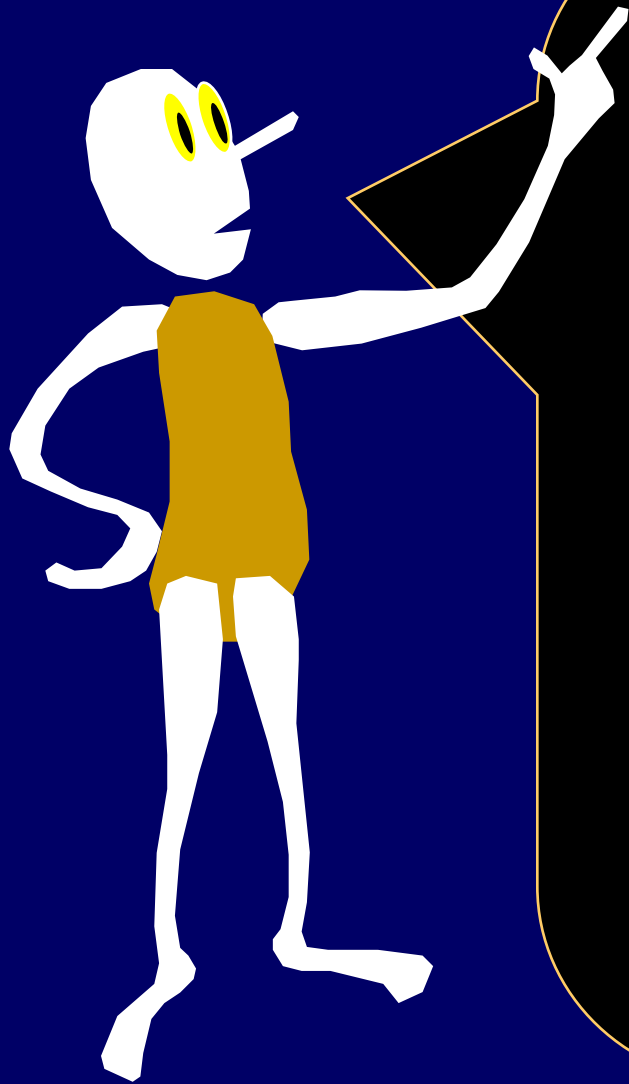
$$\log^*(65536) = 4$$

$$2STACK(5) \geq 10^{80}$$

= atoms in universe

$$\log^*(\text{atoms}) = 5$$

$\log^*(n)$  = # of times you have to apply  
the log function to  $n$  to make it  $\leq 1$



So an algorithm that  
can be shown to run in  
 $O(n \log^* n)$  Time  
is

**Linear Time for all  
practical purposes!!**

# Ackermann's Function

$$\begin{aligned}A(0, n) &= n + 1 && \text{for } n \geq 0 \\A(m, 0) &= A(m - 1, 1) && \text{for } m \geq 1 \\A(m, n) &= A(m - 1, A(m, n - 1)) && \text{for } m, n \geq 1\end{aligned}$$

$A(4, 2) > \#$  of particles in universe

$A(5, 2)$  can't be written out in this universe

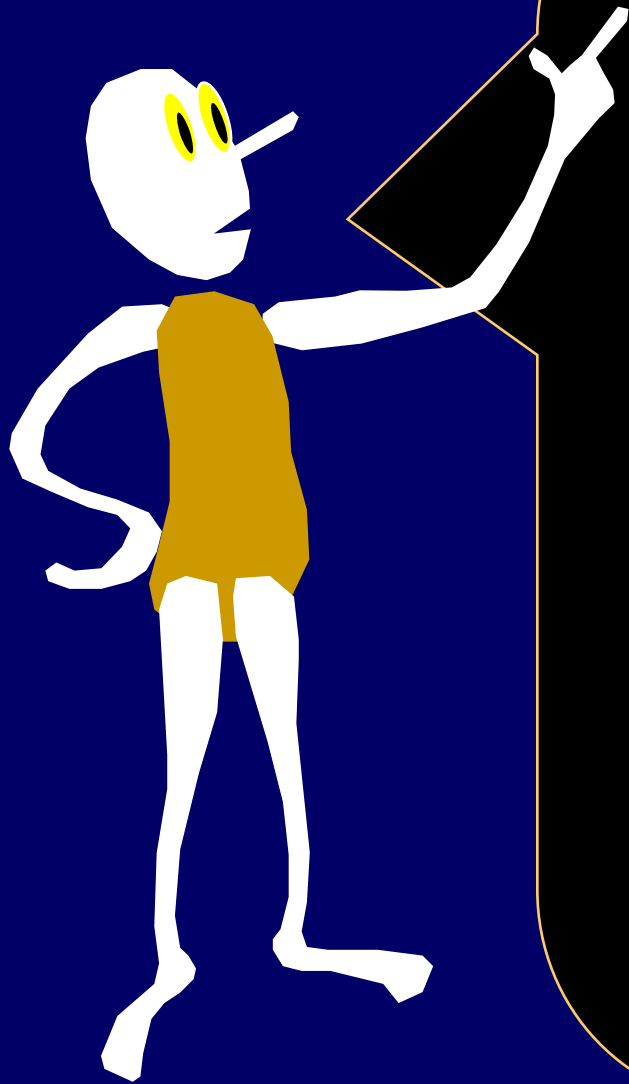
# Inverse Ackermann function

$$\begin{aligned}A(0, n) &= n + 1 && \text{for } n \geq 0 \\A(m, 0) &= A(m - 1, 1) && \text{for } m \geq 1 \\A(m, n) &= A(m - 1, A(m, n - 1)) && \text{for } m, n \geq 1\end{aligned}$$

Define:  $A'(k) = A(k, k)$

Inverse Ackerman  $a(n)$  is the inverse of  $A'$

Practically speaking:  $n \times a(n) \leq 4n$



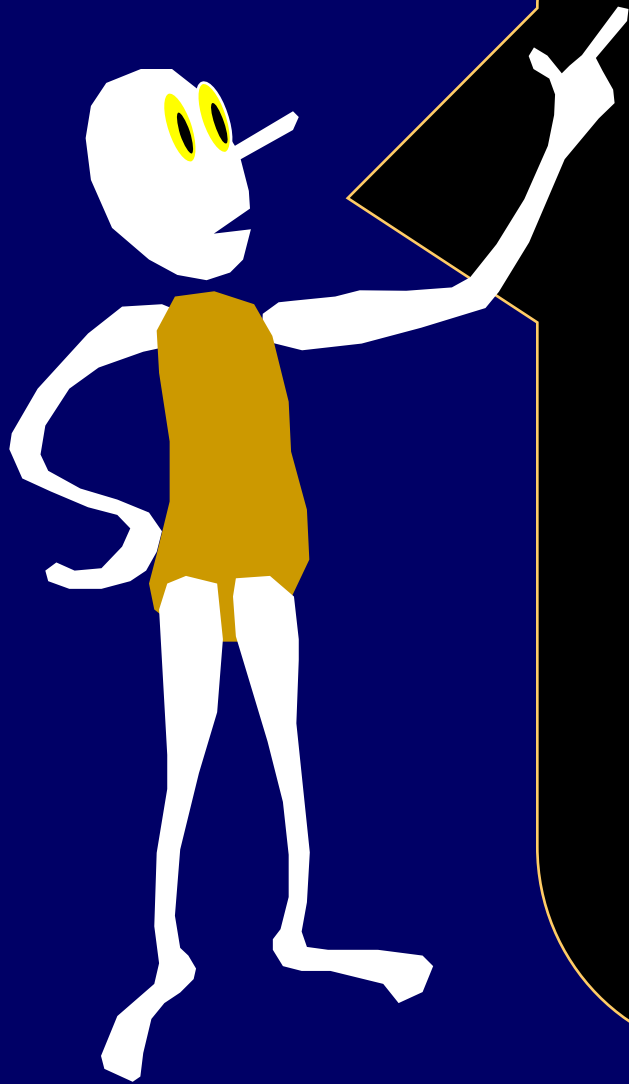
The inverse Ackermann  
function - in fact,  
 $\Theta(n \alpha(n))$   
arises in the seminal  
paper of

D. D. Sleator and R. E.  
Tarjan. *A data structure  
for dynamic trees.*  
Journal of Computer and  
System Sciences,  
26(3):362-391, 1983.

# Busy Beavers

Near the end of the course we will define the BUSYBEAVER function: it will make Ackermann look like dust.

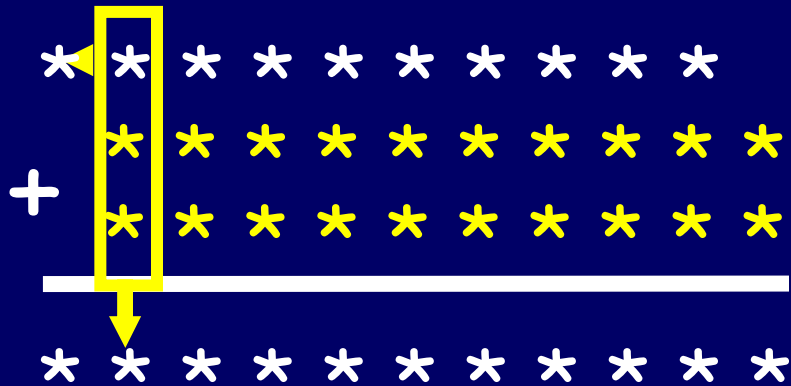




But we digress...

Let us get back  
to the discussion about  
"time" from the  
beginning of  
today's class...

# Time complexity of grade school addition




$T(n)$  = amount of time grade school addition uses to add two  $n$ -bit numbers

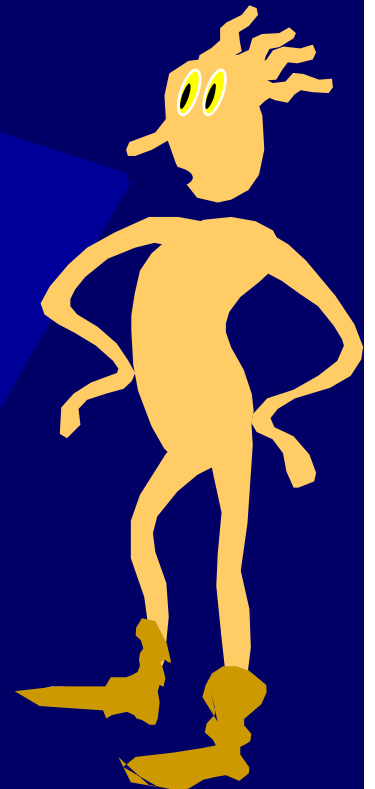


What do you mean by "time"?

On any reasonable computer, adding 3 bits and writing down the two bit answer can be done in constant time.

Pick any particular computer  $A$  and define  $c$  to be the time it takes to perform  on that computer.

Total time to add two  $n$ -bit numbers using grade school addition:  $cn$   
[ $c$  time for each of  $n$  columns]



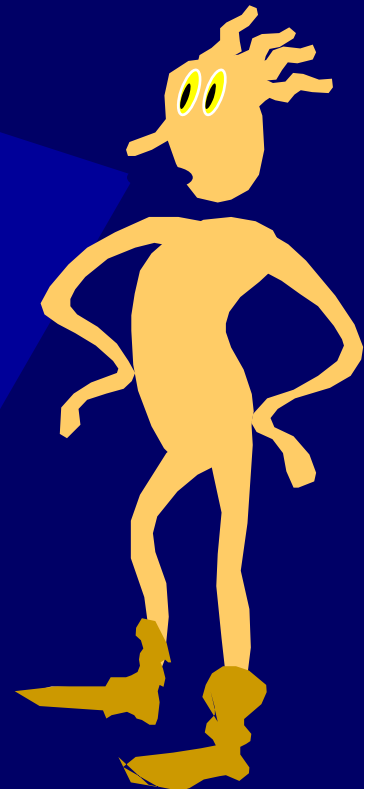
But please don't get the impression that our notion of counting "steps" is only meant for numerical algorithms that use numerical operations as fundamental steps.



Here is a general framework in which to reason about "time".

Suppose you want to evaluate the running time  $T(n)$  of your favorite algorithm **DOUG**.

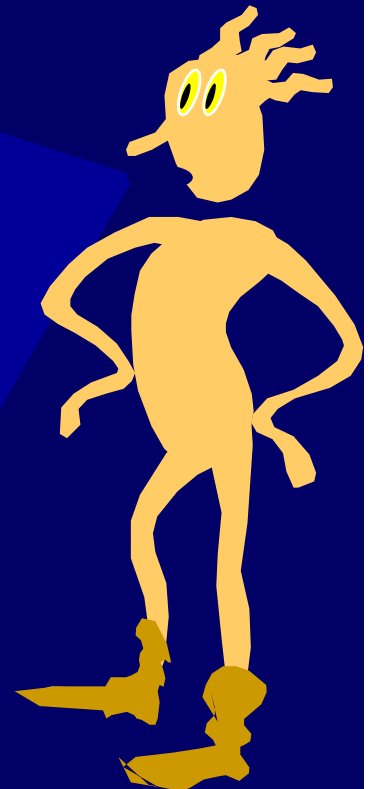
You want to ask:  
how much "time" does **DOUG** take  
when given an input **X**?



For concreteness, consider  
an implementation of the algorithm  
DOUG in machine language for  
some processor.

Now, "time" can be measured as the  
number of instructions executed  
when given input  $X$ .

And  $T(n)$  is the worst-case time on  
all permissible inputs of length  $n$ .



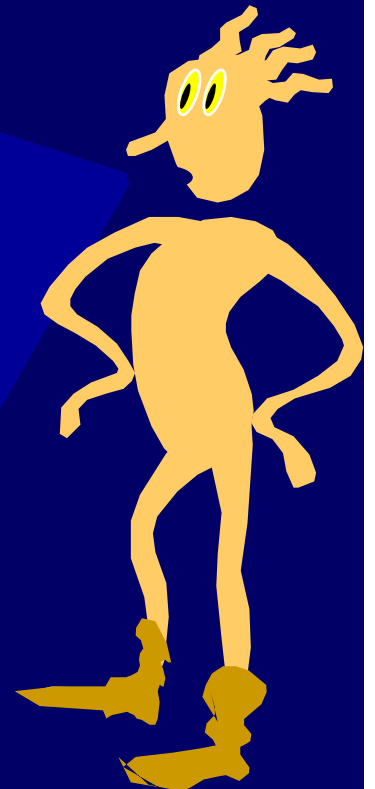
And in other contexts,  
we may want to use slightly  
different notions of "time".



Sure.

You can measure "time" as the number of elementary "steps" defined in any other way, provided each such "step" takes constant time in a reasonable implementation.

Constant: independent of the length  $n$  of the input.



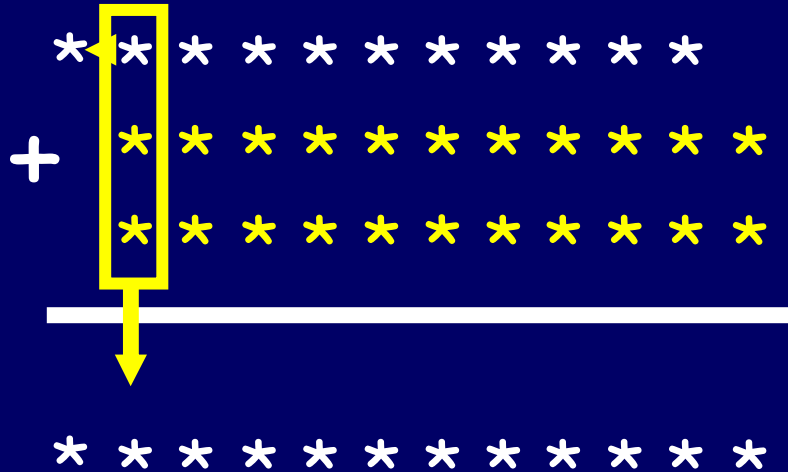


So instead, I can count the number of JAVA bytecode instructions executed when given the input X.

Or, when looking at grade school addition and multiplication, I can just count the number of additions



# Time complexity of grade school addition



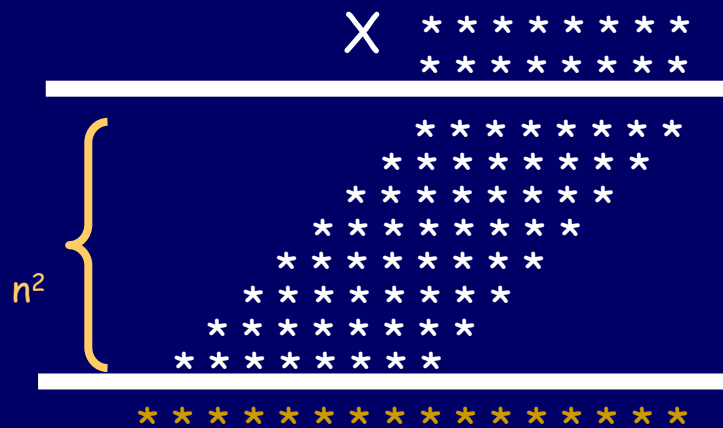
$T(n)$  = The amount of time grade school addition uses to add two  $n$ -bit numbers



We saw that  $T(n)$  was linear.

$$T(n) = \Theta(n)$$

# Time complexity of grade school multiplication



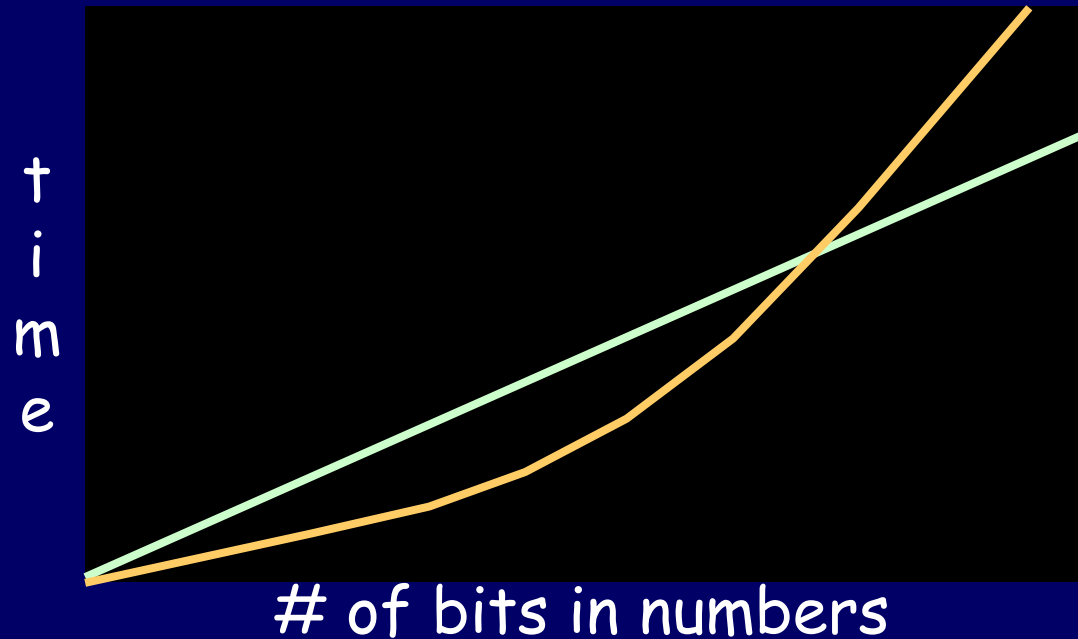
$T(n)$  = The amount of time grade school multiplication uses to add two  $n$ -bit numbers



We saw that  $T(n)$  was quadratic.

$$T(n) = \Theta(n^2)$$

Grade School Addition: Linear time  
Grade School Multiplication: Quadratic time



No matter how dramatic the difference in the constants the **quadratic curve** will eventually dominate the **linear curve**



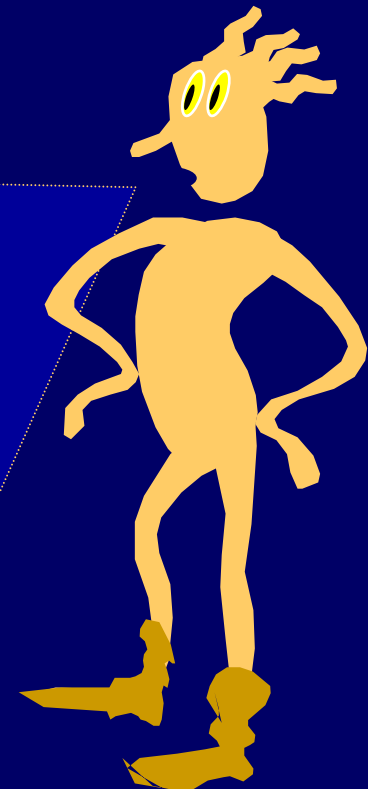
Neat! We have demonstrated that  
as the inputs get large enough,  
multiplication is a harder problem  
than addition.

Mathematical confirmation of our  
common sense.

**Is Bonzo correct?**

*Don't jump to conclusions!*  
We have argued that grade school multiplication uses more time than grade school addition. This is a comparison of the complexity of two specific algorithms.

To argue that multiplication is an **inherently harder** problem than addition we would have to show that "the best" addition algorithm is faster than "the best" multiplication algorithm.



# Next Class

Will Bonzo be able to add two numbers faster than  $\Theta(n)$ ?

Can Odette ever multiply two numbers faster than  $\Theta(n^2)$ ?

Tune in on Thursday, same time, same place...