

Exceptional Control Flow: Exceptions and Processes

15-213 : Introduction to Computer Systems 14th Lecture, October 12th, 2017

Instructor:

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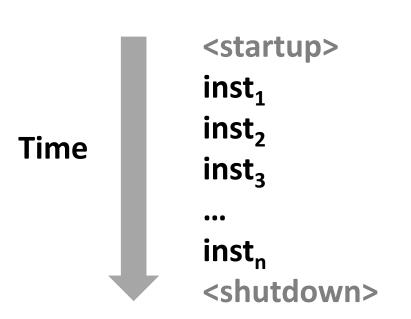
Today

- Exceptional Control Flow
- Exceptions
- Processes
- Process Control

Control Flow

Processors do only one thing:

- From startup to shutdown, a CPU simply reads and executes (interprets) a sequence of instructions, one at a time
- This sequence is the CPU's control flow (or flow of control)



Physical control flow

Altering the Control Flow

Up to now: two mechanisms for changing control flow:

- Jumps and branches
- Call and return

React to changes in *program state*

- Insufficient for a useful system:
 Difficult to react to changes in system state
 - Data arrives from a disk or a network adapter
 - Instruction divides by zero
 - User hits Ctrl-C at the keyboard
 - System timer expires

System needs mechanisms for "exceptional control flow"

Exceptional Control Flow

- Exists at all levels of a computer system
- Low level mechanisms
 - 1. Exceptions
 - Change in control flow in response to a system event (i.e., change in system state)
 - Implemented using combination of hardware and OS software

Higher level mechanisms

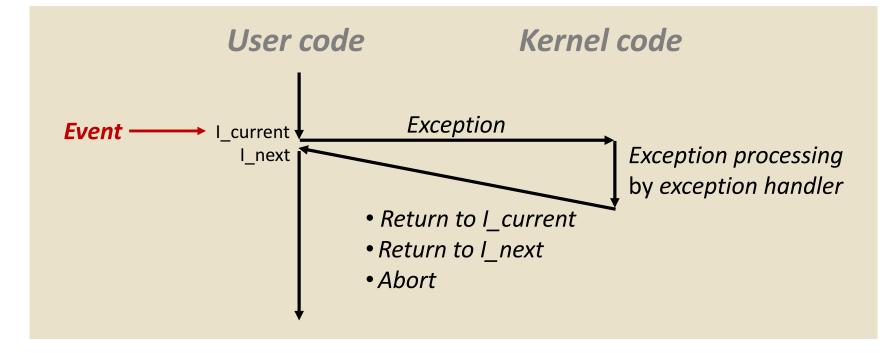
- 2. Process context switch
 - Implemented by OS software and hardware timer
- 3. Signals
 - Implemented by OS software
- 4. Nonlocal jumps: setjmp() and longjmp()
 - Implemented by C runtime library

Today

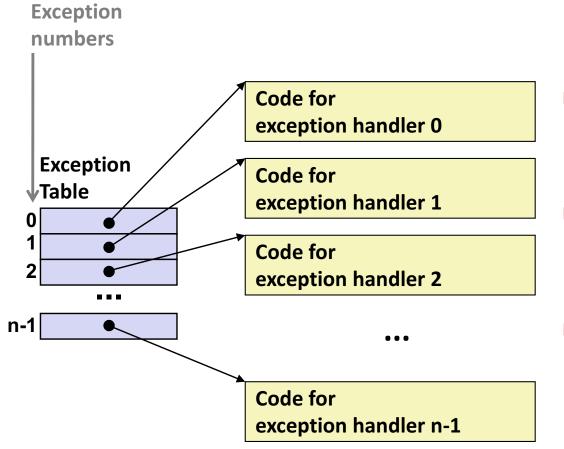
- Exceptional Control Flow
- Exceptions
- Processes
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Exceptions

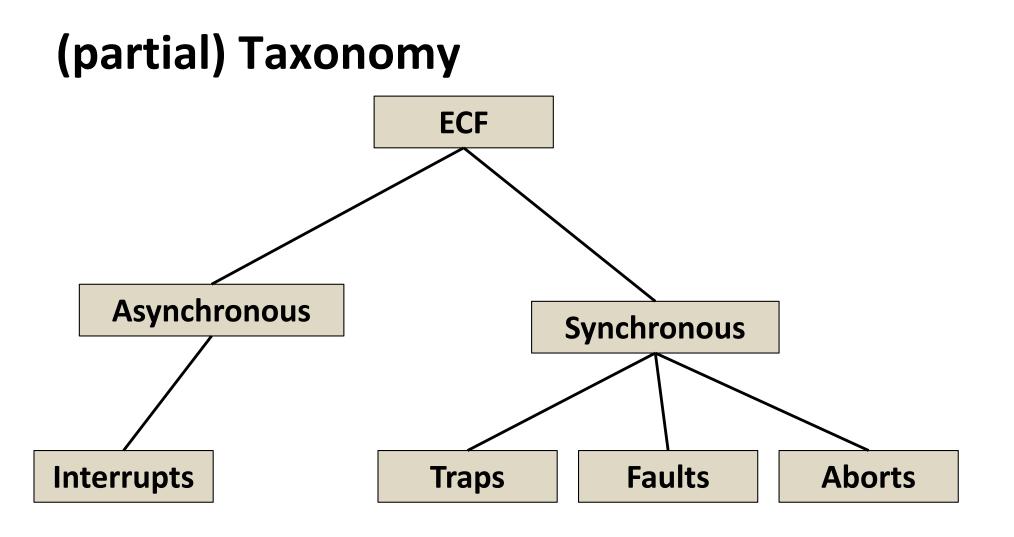
- An exception is a transfer of control to the OS kernel in response to some event (i.e., change in processor state)
 - Kernel is the memory-resident part of the OS
 - Examples of events: Divide by 0, arithmetic overflow, page fault, I/O request completes, typing Ctrl-C



Exception Tables



- Each type of event has a unique exception number k
- k = index into exception table (a.k.a. interrupt vector)
- Handler k is called each time exception k occurs



Asynchronous Exceptions (Interrupts)

Caused by events external to the processor

- Indicated by setting the processor's interrupt pin
- Handler returns to "next" instruction

Examples:

- Timer interrupt
 - Every few ms, an external timer chip triggers an interrupt
 - Used by the kernel to take back control from user programs
- I/O interrupt from external device
 - Hitting Ctrl-C at the keyboard
 - Arrival of a packet from a network
 - Arrival of data from a disk

Synchronous Exceptions

- Caused by events that occur as a result of executing an instruction:
 - Traps
 - Intentional
 - Examples: *system calls*, breakpoint traps, special instructions
 - Returns control to "next" instruction
 - Faults
 - Unintentional but possibly recoverable
 - Examples: page faults (recoverable), protection faults (unrecoverable), floating point exceptions
 - Either re-executes faulting ("current") instruction or aborts

Aborts

- Unintentional and unrecoverable
- Examples: illegal instruction, parity error, machine check
- Aborts current program

System Calls

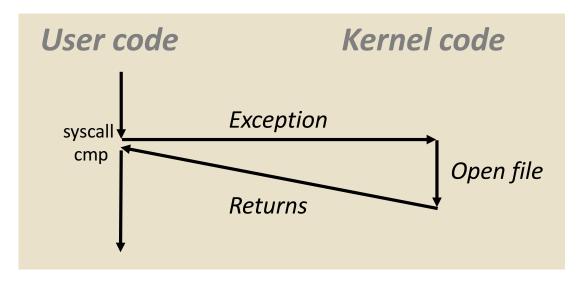
- Each x86-64 system call has a unique ID number
- **Examples:**

Number	Name	Description
0	read	Read file
1	write	Write file
2	open	Open file
3	close	Close file
4	stat	Get info about file
57	fork	Create process
59	execve	Execute a program
60	_exit	Terminate process
62	kill	Send signal to process

System Call Example: Opening File

- User calls: open (filename, options)
- Calls <u>open</u> function, which invokes system call instruction syscall

0000000	0000000000e5d70 <open>:</open>								
e5d79: e5d7e: e5d80:	b8 02 00 00 00 0f 05 48 3d 01 f0 ff ff	mov \$0x2,%eax # open is syscall #2 syscall # Return value in %rax cmp \$0xffffffffffffff001,%rax							
e5dfa:	c3	retq							



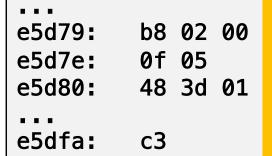
- %rax contains syscall number
- Other arguments in %rdi, %rsi, %rdx, %r10, %r8, %r9
- Return value in %rax
- Negative value is an error corresponding to negative errno

System Call Almost like a function call

- User calls: open (f Transfer of control
- Calls __open functi

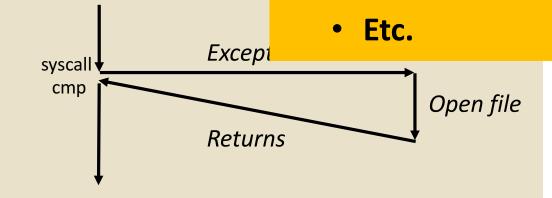
0000000000e5d70

- On return, executes next instruction
- Passes arguments using calling convention
 - **Gets result in %rax**



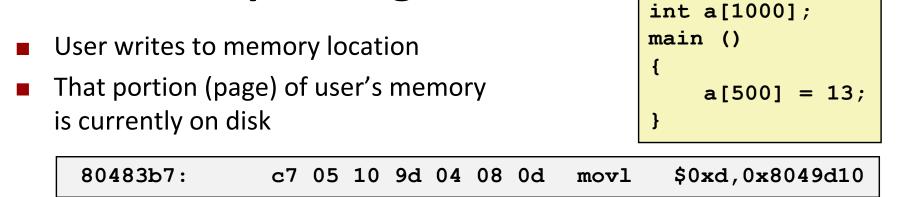
User code

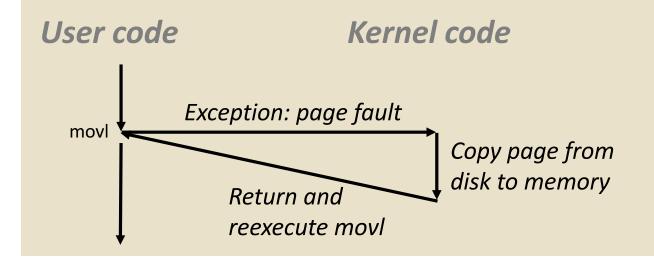
- One Important exception!
- Executed by Kernel
- Different set of privileges
- And other differences:
 - E.g., "address" of "function" is in %rax
 - Uses errno



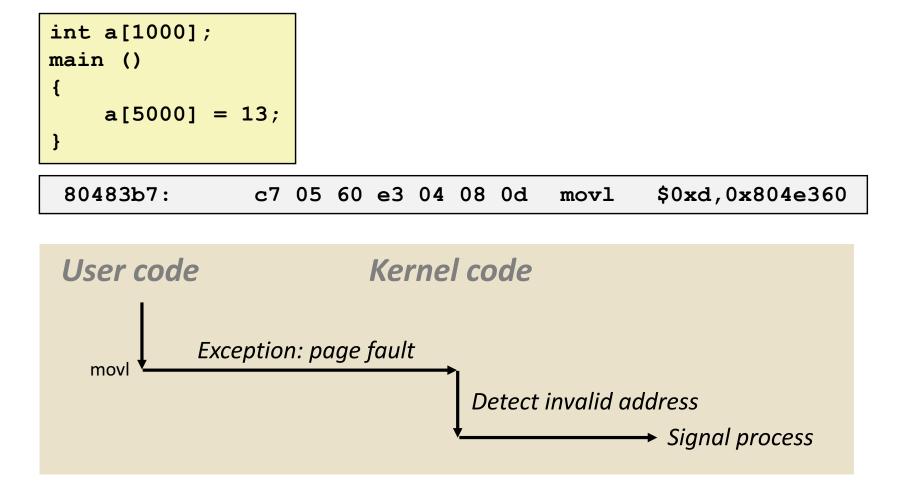
- Return value in %rax
- Negative value is an error corresponding to negative errno

Fault Example: Page Fault





Fault Example: Invalid Memory Reference



- Sends SIGSEGV signal to user process
- User process exits with "segmentation fault"

Today

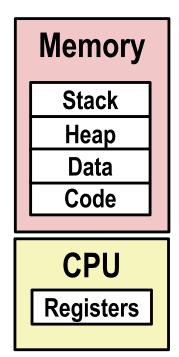
- Exceptional Control Flow
- Exceptions

Processes

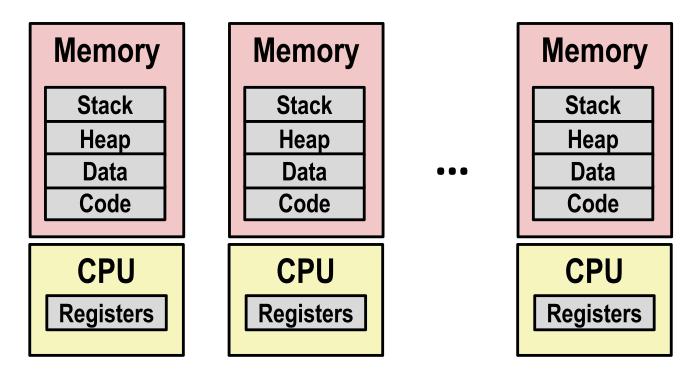
Process Control

Processes

- Definition: A *process* is an instance of a running program.
 - One of the most profound ideas in computer science
 - Not the same as "program" or "processor"
- Process provides each program with two key abstractions:
 - Logical control flow
 - Each program seems to have exclusive use of the CPU
 - Provided by kernel mechanism called *context switching*
 - Private address space
 - Each program seems to have exclusive use of main memory.
 - Provided by kernel mechanism called virtual memory



Multiprocessing: The Illusion



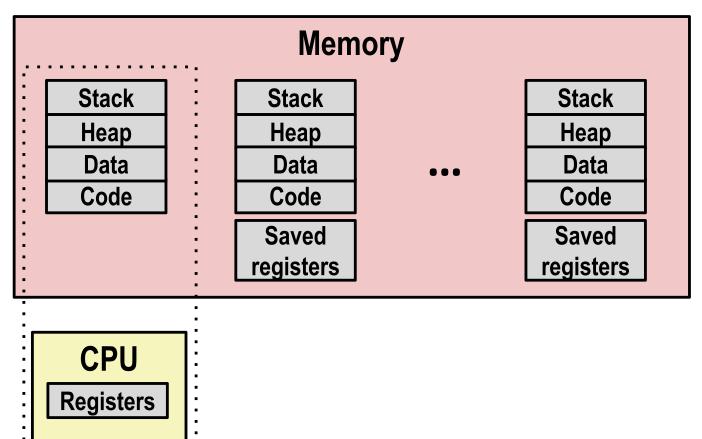
- Computer runs many processes simultaneously
 - Applications for one or more users
 - Web browsers, email clients, editors, ...
 - Background tasks
 - Monitoring network & I/O devices

Multiprocessing Example

Processes: 123 total, 5 running, 9 stuck, 109 sleeping, 611 threads Load Avg: 1.03, 1.13, 1.14 CPU usage: 3.27% user, 5.15% sys, 91.56% idle SharedLibs: 576K resident, 0B data, 0B linkedit. HemRegions: 27588 total, 1127M resident, 35M private, 494M shared. PhysMem: 1039M wired, 1974M active, 1062M inactive, 4076M used, 18M free. W1: 280G vsize, 1091M framework vsize, 23075213(1) pageins, 5843367(0) pageouts. Vetworks: packets: 41046228/11G in, 66083096/77G out. Disks: 17874391/349G read, 12847373/594G written. PID COMMAND %CPU TIME #TH #WQ #PORT #MREG RPRVT RSHRD RSIZE VPRVT VSIZE 939217- Microsoft Of 0.0 02:28.34 4 1 202 418 21M 24M 21M 66M 763M 939051 usbmuxd 0.0 00:04.10 3 1 47 66 436K 216K 480K 60M 2422M 93006 iTunesHelper 0.0 00:01.23 2 1 55 78 728K 3124K 1124K 43M 2429M 934286 bash 0.0 00:00.11 1 0 20 24 224K 732K 484K 17M 2378M 934285 xterm 0.0 00:00.83 1 0 32 73 656K 872K 692K 9728K 2382M 94285 xterm 0.0 00:00.01 0 17 20 92K 212K 360K 9632K 2370M 54731 sleep 0.0 00:00.02 1 33 50 488K 220K 1736K 48M 2409M 54731 sleep 0.0 00:00.02 7 1 53 64 860K 216K 2184K 53M 2409M 54719 automountd 0.0 00:00.27 1 53 64 860K 216K 2184K 53M 2409M 54731 ocspd 0.0 00:00.02 7 1 53 64 860K 216K 2184K 53M 2409M 54731 ocspd 0.0 00:00.02 7 1 53 64 860K 216K 2184K 53M 2409M 54731 ocspd 0.0 00:00.02 7 1 53 64 860K 216K 2184K 53M 2409M 54731 ocspd 0.0 00:00.02 7 1 53 64 860K 216K 2184K 53M 2409M 54731 ocspd 0.0 00:00.05 4 1 61 54 1268K 2644K 3132K 50M 2426M 54661 Grab 0.6 00:02.75 6 3 222+ 389+ 15M+ 26M+ 40M+ 75M+ 2556M+ 54659 cookied 0.0 00:00.05 4 1 61 54 1268K 2644K 3132K 50M 2426M 54791 mathematical 0.0 00:00.05 4 1 61 54 1268K 2644K 3132K 50M 2426M 54661 Grab 0.6 00:02.75 6 3 222+ 389+ 15M+ 26M+ 40M+ 75M+ 2556M+ 54659 cookied 0.0 00:00.05 4 1 61 3316K 224K 4088K 42M 2411M 53818 mdworker 0.0 00:00.15 2 1 40 61 3316K 224K 4088K 42M 2434M 53818 mdworker 0.0 00:00.15 2 1 40 61 3316K 224K 4088K 42M 2434M 53818 mdworker 0.0 00:00.15 7 1 0 20 35 52K 215K 88K 18M 2332M	00						xter						44.17.47
SharedLibs: 576K resident, 0B data, 0B linkedit. MemRegions: 27958 total, 1127M resident, 35M private, 494M shared. PhysMem: 1039M wired, 1974M active, 1062M inactive, 4076M used, 18M free. WM: 280G vsize, 1091M framework vsize, 23075213(1) pageins, 5843367(0) pageouts. Vetworks: packets: 41046228/116 in, 66083096/77G out. Disks: 17874391/349G read, 12847373/594G written. PID COMMAND %CPU TIME #TH #WQ #PORT #MREG RPRVT RSHRD RSIZE VPRVT VSIZE 39217- Microsoft Of 0.0 02;28,34 4 1 202 418 21M 24M 21M 66M 763M 39051 usbmuxd 0.0 00:04.10 3 1 47 66 436K 216K 480K 60M 2422M 39006 iTunesHelper 0.0 00:01.23 2 1 55 78 728K 3124K 1124K 43M 2429M 34286 bash 0.0 00:00.11 1 0 20 24 224K 732K 484K 17M 2378M 34285 xterm 0.0 00:00.83 1 0 32 73 656K 872K 692K 9728K 2382M 55939- Microsoft Ex 0.3 21:58,97 10 3 360 954 16M 65M 46M 114M 1057M 54751 sleep 0.0 00:00.00 1 0 17 20 92K 212K 360K 9632K 2370M 54739 launchdadd 0.0 00:00.00 1 0 17 20 92K 212K 360K 9632K 2370M 54739 launchdadd 0.0 00:00.02 7 1 53 64 860K 216K 2124K 17M 2378M 54771 top 6,5 00:02,53 1/1 0 30 29 1416K 216K 2124K 17M 2378M 54771 automountd 0.0 00:00.02 7 1 53 64 860K 216K 2124K 17M 2378M 54771 automountd 0.0 00:00.27 5 6 3 222+ 389+ 15M+ 26M+ 40M+ 75M+ 2556M+ 54659 cookied 0.0 00:00.55 1 41 61 54 1268K 2644K 3132K 50M 2426M 54661 Grab 0.6 00:02,75 6 3 222+ 389+ 15M+ 26M+ 40H+ 75M+ 2556M+ 54659 cookied 0.0 00:00.57 1 52 1 40 61 3316K 224K 4088K 42M 241M 53818 mdworker 0.0 00:01.67 4 1 52 91 7628K 7412K 16M 48M 2438M CURNING PROGRAM (CDP" ON MAC 73 280K 872K 532K 970K 2382M											dle		11:47:07
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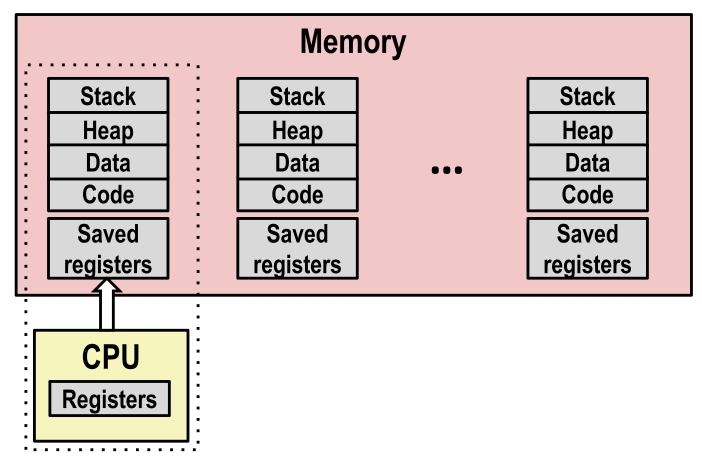
System has 123 processes, 5 of which are active

Identified by Process ID (PID)

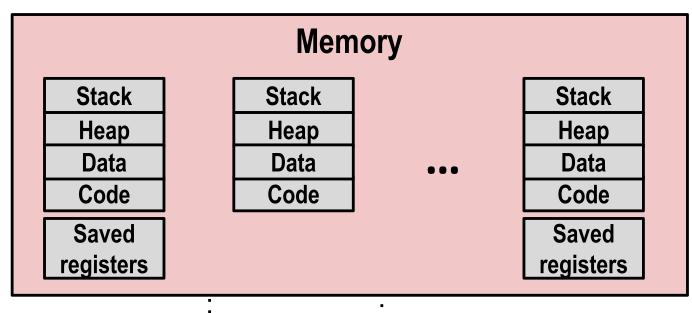


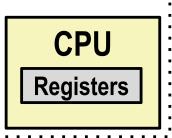
Single processor executes multiple processes concurrently

- Process executions interleaved (multitasking)
- Address spaces managed by virtual memory system (later in course)
- Register values for nonexecuting processes saved in memory

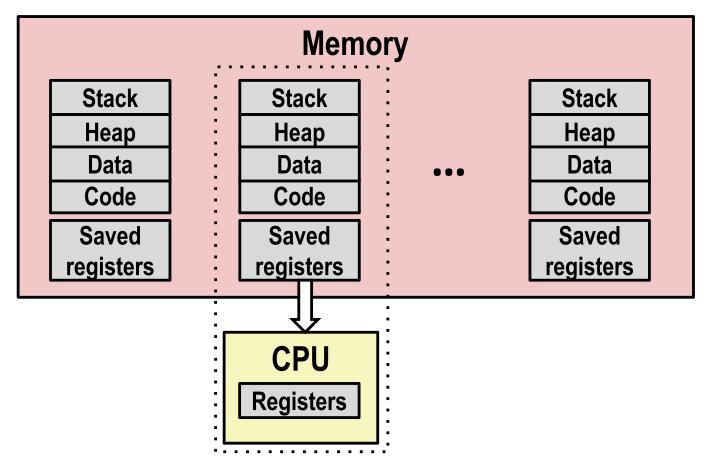


Save current registers in memory



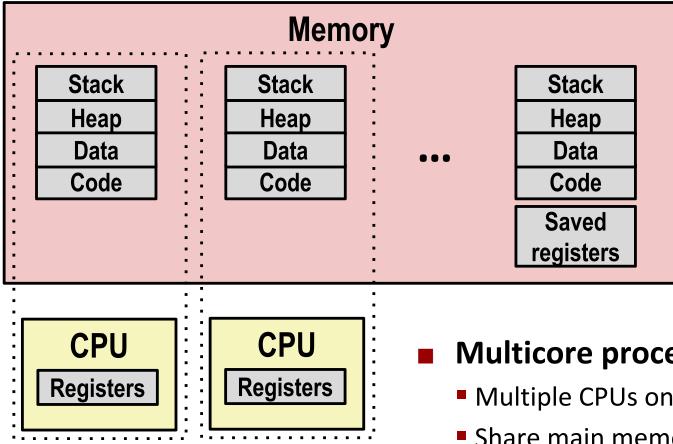


Schedule next process for execution



Load saved registers and switch address space (context switch)

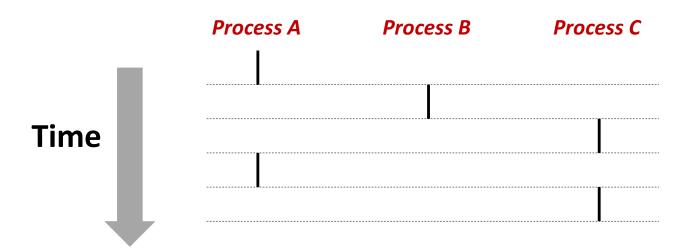
Multiprocessing: The (Modern) Reality



- **Multicore processors**
 - Multiple CPUs on single chip
 - Share main memory (and some caches)
 - Each can execute a separate process
 - Scheduling of processors onto cores done by kernel

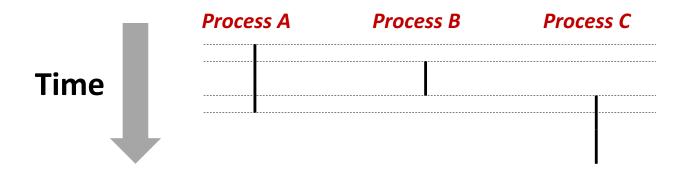
Concurrent Processes

- Each process is a logical control flow.
- Two processes run concurrently (are concurrent) if their flows overlap in time
- Otherwise, they are sequential
- Examples (running on single core):
 - Concurrent: A & B, A & C
 - Sequential: B & C



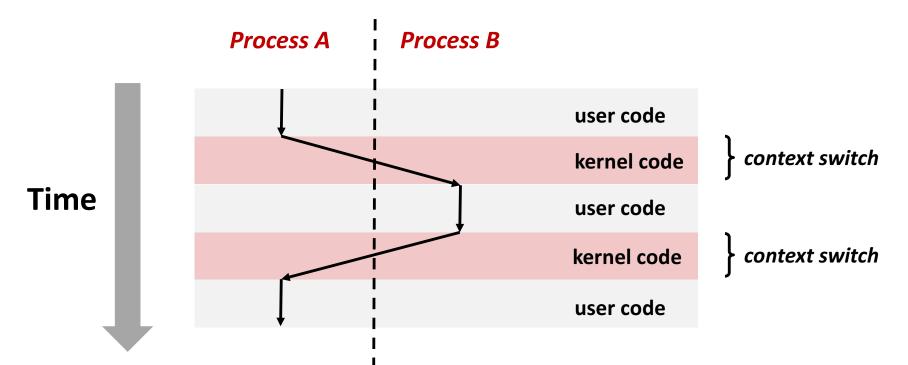
User View of Concurrent Processes

- Control flows for concurrent processes are physically disjoint in time
- However, we can think of concurrent processes as running in parallel with each other



Context Switching

- Processes are managed by a shared chunk of memoryresident OS code called the *kernel*
 - Important: the kernel is not a separate process, but rather runs as part of some existing process.
- Control flow passes from one process to another via a context switch



Today

- Exceptional Control Flow
- Exceptions

Processes

Process Control

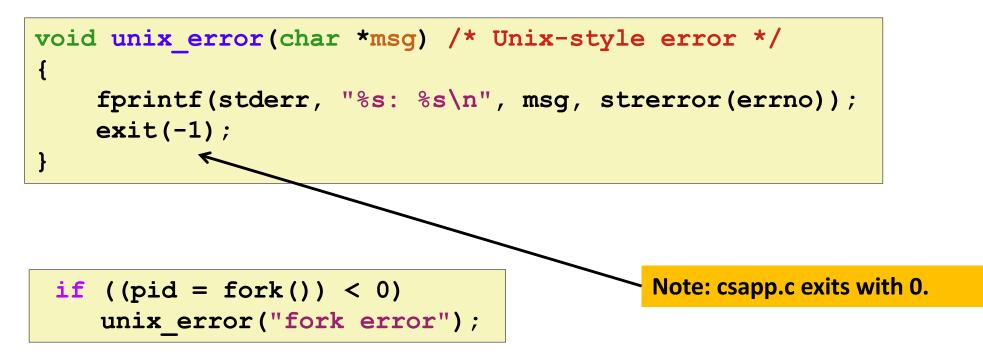
System Call Error Handling

- On error, Linux system-level functions typically return -1 and set global variable errno to indicate cause.
- Hard and fast rule:
 - You must check the return status of every system-level function
 - Only exception is the handful of functions that return void
- **Example:**

```
if ((pid = fork()) < 0) {
    fprintf(stderr, "fork error: %s\n", strerror(errno));
    exit(-1);
}</pre>
```

Error-reporting functions

Can simplify somewhat using an *error-reporting function*:



 But, must think about application. Not alway appropriate to exit when something goes wrong.

Error-handling Wrappers

We simplify the code we present to you even further by using Stevens-style error-handling wrappers:

```
pid_t Fork(void)
{
    pid_t pid;
    if ((pid = fork()) < 0)
        unix_error("Fork error");
        return pid;
}</pre>
```

pid = Fork();

NOT what you generally want to do in a real application

Obtaining Process IDs

pid_t getpid(void)

Returns PID of current process

pid_t getppid(void)

Returns PID of parent process

Creating and Terminating Processes

From a programmer's perspective, we can think of a process as being in one of three states

Running

Process is either executing, or waiting to be executed and will eventually be *scheduled* (i.e., chosen to execute) by the kernel

Stopped

 Process execution is *suspended* and will not be scheduled until further notice (next lecture when we study signals)

Terminated

Process is stopped permanently

Terminating Processes

Process becomes terminated for one of three reasons:

- Receiving a signal whose default action is to terminate (next lecture)
- Returning from the main routine
- Calling the exit function

void exit(int status)

- Terminates with an *exit status* of **status**
- Convention: normal return status is 0, nonzero on error
- Another way to explicitly set the exit status is to return an integer value from the main routine

exit is called once but never returns.

Creating Processes

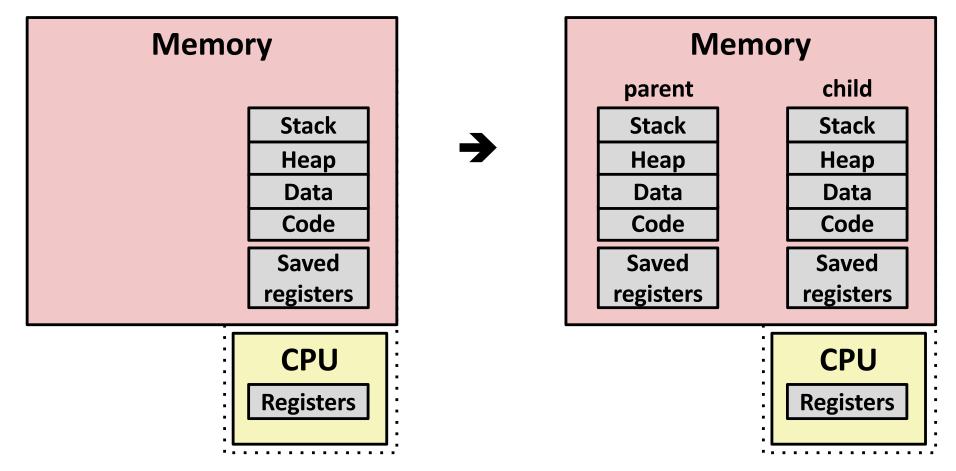
Parent process creates a new running child process by calling fork

int fork(void)

- Returns 0 to the child process, child's PID to parent process
- Child is *almost* identical to parent:
 - Child get an identical (but separate) copy of the parent's virtual address space.
 - Child gets identical copies of the parent's open file descriptors
 - Child has a different PID than the parent

fork is interesting (and often confusing) because it is called *once* but returns *twice*

Conceptual View of fork



Make complete copy of execution state

- Designate one as parent and one as child
- Resume execution of parent or child

fork Example

```
int main(int argc, char** argv)
{
   pid t pid;
   int x = 1;
   pid = Fork();
    if (pid == 0) { /* Child */
       printf("child : x=%d\n", ++x);
       return 0;
    }
    /* Parent */
   printf("parent: x=%d\n", --x);
    return 0;
}
                                 fork.c
```

- Call once, return twice
- Concurrent execution
 - Can't predict execution order of parent and child

linux> ./fork	linux> ./fork	linux> ./fork	linux> ./fork
<pre>parent: x=0</pre>	child : x=2	parent: x=0	parent: x=0
child : x=2	parent: x=0	child : x=2	child : x=2

Making fork More Nondeterministic

Problem

- Linux scheduler does not create much run-to-run variance
- Hides potential race conditions in nondeterministic programs
 - E.g., does fork return to child first, or to parent?
- Solution
 - Create custom version of library routine that inserts random delays along different branches
 - E.g., for parent and child in fork
 - Use runtime interpositioning to have program use special version of library code

Variable delay fork

```
/* fork wrapper function */
pid t fork(void) {
    initialize();
    int parent delay = choose delay();
    int child delay = choose delay();
   pid t parent pid = getpid();
   pid t child pid or zero = real fork();
    if (child pid or zero > 0) {
        /* Parent */
        if (verbose) {
            printf(
"Fork. Child pid=%d, delay = %dms. Parent pid=%d, delay = %dms\n",
                   child pid or zero, child delay,
                   parent pid, parent delay);
            fflush(stdout);
        }
        ms sleep(parent delay);
    } else {
        /* Child */
        ms sleep(child delay);
    return child pid or zero;
}
                                                             myfork.c
```

forkx2 Example

```
int main(int argc, char** argv)
{
   pid t pid;
    int x = 1:
   pid = Fork();
    if (pid == 0) { /* Child */
        printf("child : x=%d\n", ++x);
        printf("child : x=%d\n", ++x);
        return 0;
    }
    /* Parent */
   printf("parent: x=%d\n", --x);
   printf("parent: x=%d\n", --x);
    return 0;
```

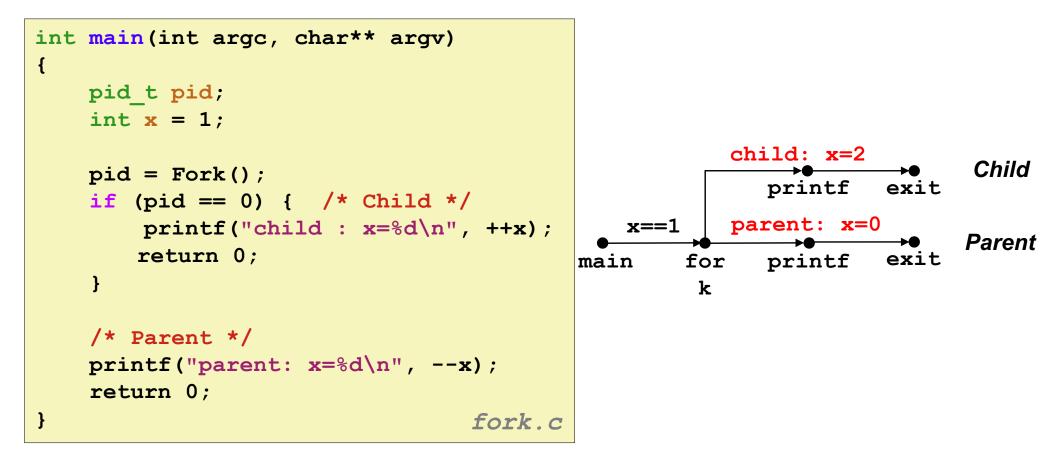
linux> ./fork2
parent: x=0
parent: x=-1
child : x=2
child : x=3

- Call once, return twice
- Concurrent execution
 - Can't predict execution order of parent and child
- Duplicate but separate address space
 - x has a value of 1 when fork returns in parent and child
 - Subsequent changes to x are independent
 - Shared open files
 - stdout is the same in both parent and child

Modeling fork with Process Graphs

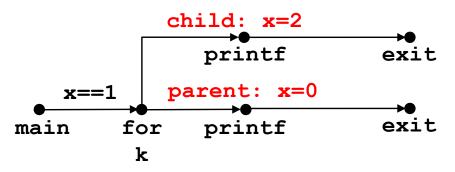
- A process graph is a useful tool for capturing the partial ordering of statements in a concurrent program:
 - Each vertex is the execution of a statement
 - a -> b means a happens before b
 - Edges can be labeled with current value of variables
 - printf vertices can be labeled with output
 - Each graph begins with a vertex with no inedges
- Any topological sort of the graph corresponds to a feasible total ordering.
 - Total ordering of vertices where all edges point from left to right

Process Graph Example

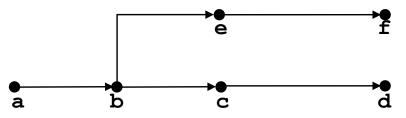


Interpreting Process Graphs

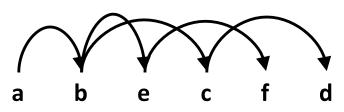
Original graph:



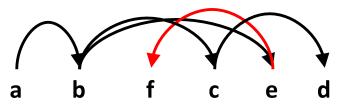
Relabled graph:



Feasible total ordering:

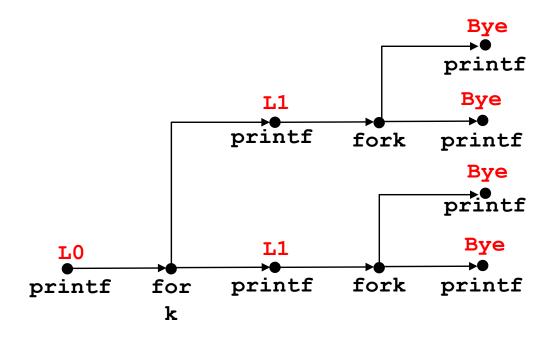


Infeasible total ordering:



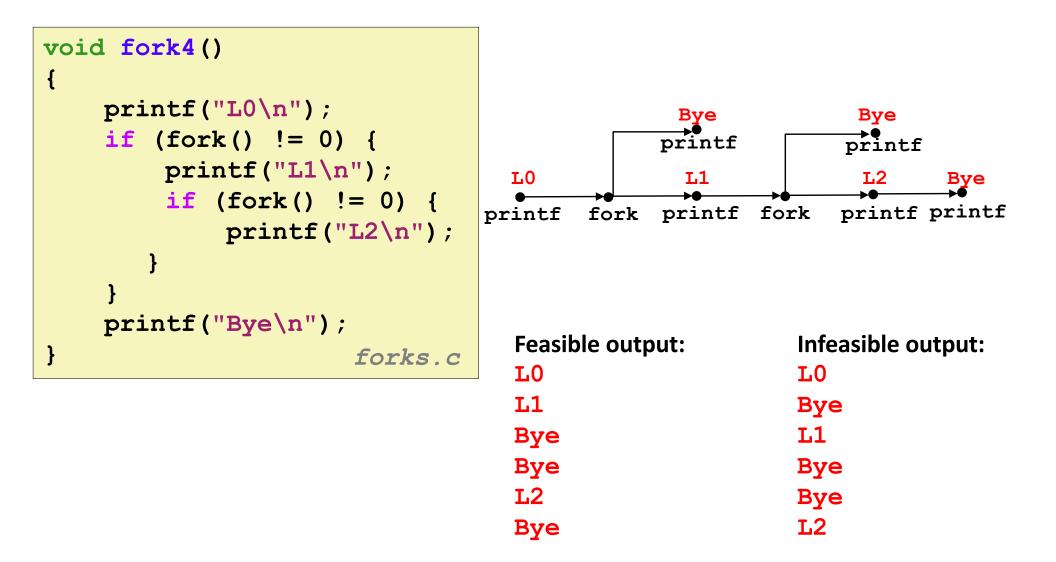
fork Example: Two consecutive forks

void fork2()
{
 printf("L0\n");
 fork();
 printf("L1\n");
 fork();
 printf("Bye\n");
}

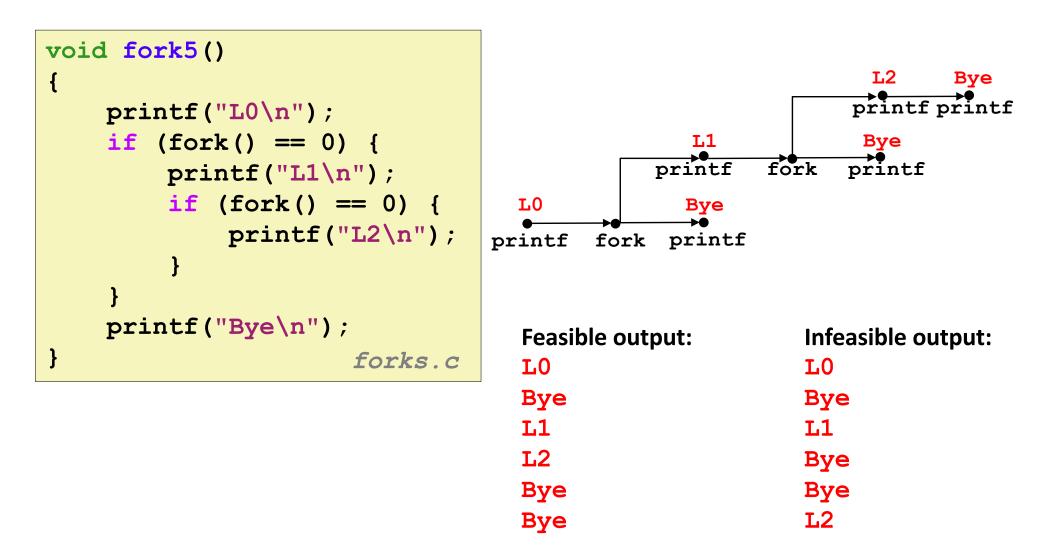


Feasible output:	Infeasible output:
LO	L0
L1	Вуе
Bye	L1
Bye	Вуе
L1	L1
Bye	Вуе
Bye	Вуе

fork Example: Nested forks in parent



fork Example: Nested forks in children



Quiz Time!

Check out:

https://canvas.cmu.edu/courses/1221

Bryant and O'Hallaron, Computer Systems: A Programmer's Perspective, Third Edition

Reaping Child Processes

Idea

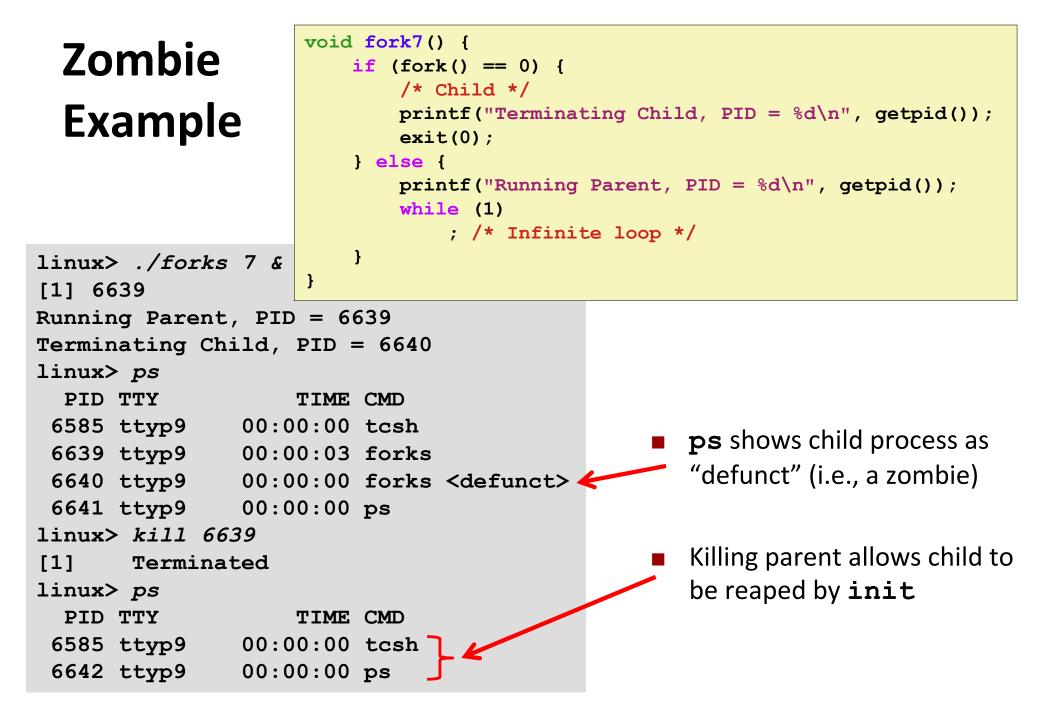
- When process terminates, it still consumes system resources
 - Examples: Exit status, various OS tables
- Called a "zombie"
 - Living corpse, half alive and half dead

Reaping

- Performed by parent on terminated child (using wait or waitpid)
- Parent is given exit status information
- Kernel then deletes zombie child process

What if parent doesn't reap?

- If any parent terminates without reaping a child, then the orphaned child will be reaped by init process (pid == 1)
- So, only need explicit reaping in long-running processes
 - e.g., shells and servers



Nonterminating Child Example

```
if (fork() == 0) {
    /* Child */
    printf("Running Child, PID = %d\n",
        getpid());
    while (1)
        ; /* Infinite loop */
} else {
    printf("Terminating Parent, PID = %d\n",
        getpid());
    exit(0);
}
```

void fork8()

{

} linux> ./forks 8 Terminating Parent, PID = 6675 Running Child, PID = 6676linux> ps PID TTY TIME CMD 6585 ttyp9 00:00:00 tcsh 6676 ttyp9 00:00:06 forks 6677 ttyp9 00:00:00 linux> kill 6676 👉 linux> ps PID TTY TIME CMD 6585 ttyp9 00:00:00 tcsh 00:00:00 ps 6678 ttyp9

Child process still active even though parent has terminated

Must kill child explicitly, or else will keep running indefinitely

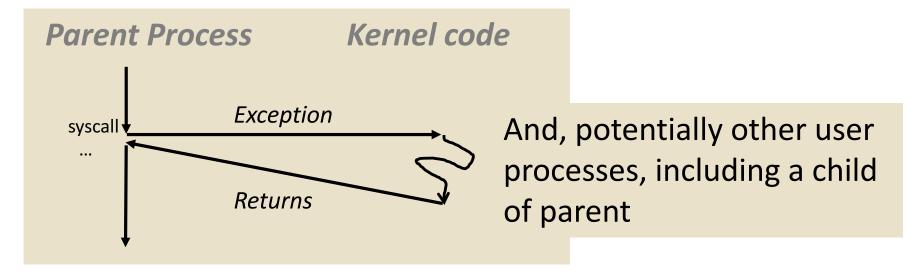
Bryant and O'Hallaron, Computer Systems: A Programmer's Perspective, Third Edition

wait: Synchronizing with Children

Parent reaps a child by calling the wait function

int wait(int *child_status)

Suspends current process until one of its children terminates



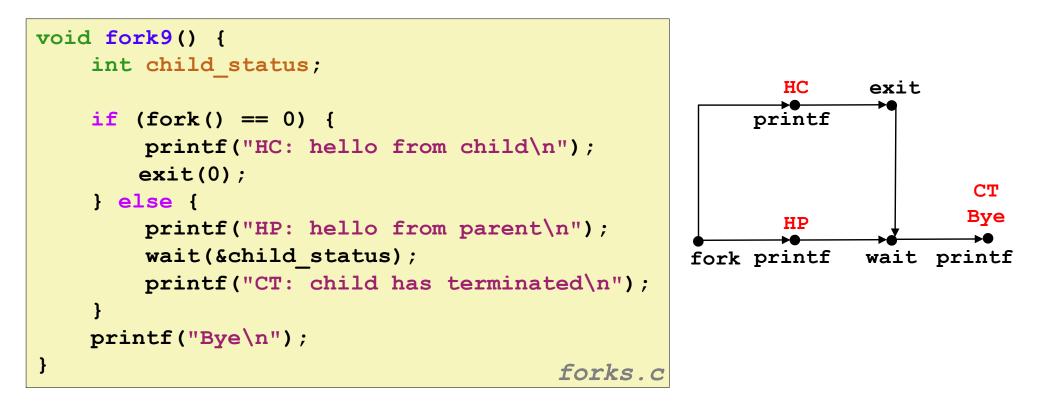
wait: Synchronizing with Children

Parent reaps a child by calling the wait function

int wait(int *child_status)

- Suspends current process until one of its children terminates
- Return value is the pid of the child process that terminated
- If child_status != NULL, then the integer it points to will be set to a value that indicates reason the child terminated and the exit status:
 - Checked using macros defined in wait.h
 - WIFEXITED, WEXITSTATUS, WIFSIGNALED, WTERMSIG, WIFSTOPPED, WSTOPSIG, WIFCONTINUED
 - See textbook for details

wait: Synchronizing with Children



Feasible output(s):		
HC	HP	
HP	HC	
СТ	СТ	
Bye	Bye	

Infeasible output: HP CT Bye HC

Another wait Example

- If multiple children completed, will take in arbitrary order
- Can use macros WIFEXITED and WEXITSTATUS to get information about exit status

```
void fork10() {
   pid t pid[N];
    int i, child status;
    for (i = 0; i < N; i++)
        if ((pid[i] = fork()) == 0) {
            exit(100+i); /* Child */
        }
    for (i = 0; i < N; i++) { /* Parent */</pre>
        pid t wpid = wait(&child status);
        if (WIFEXITED(child status))
            printf("Child %d terminated with exit status %d\n",
                   wpid, WEXITSTATUS(child status));
        else
            printf("Child %d terminate abnormally\n", wpid);
    }
}
                                                          forks.c
```

waitpid: Waiting for a Specific Process

pid_t waitpid(pid_t pid, int *status, int options)

- Suspends current process until specific process terminates
- Various options (see textbook)

```
void fork11() {
   pid t pid[N];
    int i;
    int child status;
    for (i = 0; i < N; i++)
        if ((pid[i] = fork()) == 0)
            exit(100+i); /* Child */
    for (i = N-1; i \ge 0; i--) {
        pid t wpid = waitpid(pid[i], &child status, 0);
        if (WIFEXITED(child status))
            printf("Child %d terminated with exit status %d\n",
                   wpid, WEXITSTATUS(child status));
        else
            printf("Child %d terminate abnormally\n", wpid);
    }
                                                         forks.c
```

execve: Loading and Running Programs

- int execve(char *filename, char *argv[], char *envp[])
- Loads and runs in the current process:
 - Executable file filename
 - Can be object file or script file beginning with #!interpreter (e.g., #!/bin/bash)
 - ...with argument list **argv**
 - By convention argv[0]==filename
 - ...and environment variable list envp
 - "name=value" strings (e.g., USER=droh)
 - getenv, putenv, printenv

Overwrites code, data, and stack

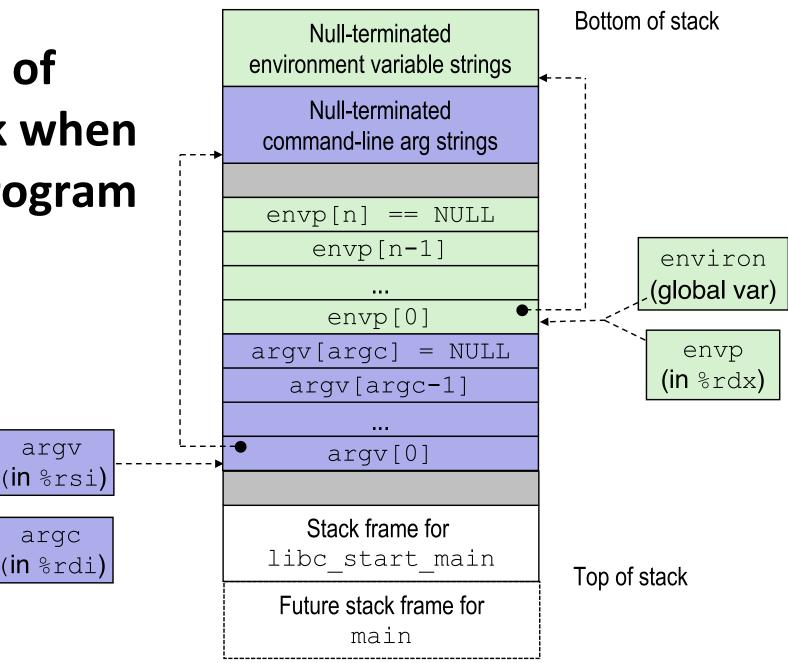
Retains PID, open files and signal context

Called once and never returns

...except if there is an error

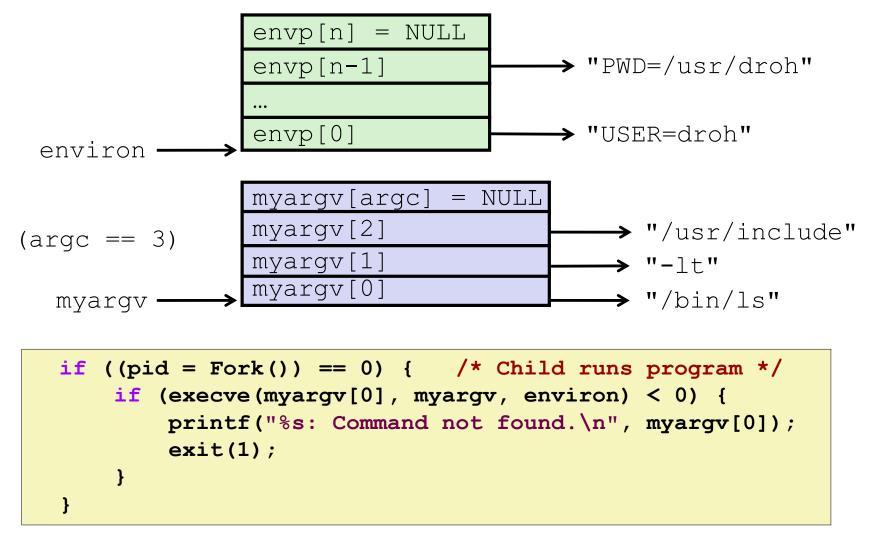
Bryant and O'Hallaron, Computer Systems: A Programmer's Perspective, Third Edition

Structure of the stack when a new program starts



execve Example

Execute "/bin/ls -lt /usr/include" in child process using current environment:



Summary

Exceptions

- Events that require nonstandard control flow
- Generated externally (interrupts) or internally (traps and faults)

Processes

- At any given time, system has multiple active processes
- Only one can execute at a time on any single core
- Each process appears to have total control of processor + private memory space

Summary (cont.)

Spawning processes

- Call fork
- One call, two returns

Process completion

- Call exit
- One call, no return

Reaping and waiting for processes

Call wait or waitpid

Loading and running programs

- Call execve (or variant)
- One call, (normally) no return