

**15-213**

***“The course that gives CMU its Zip!”***

# **Cache Memories**

## **October 6, 2006**

### **Topics**

- **Generic cache memory organization**
- **Direct mapped caches**
- **Set associative caches**
- **Impact of caches on performance**
- **The memory mountain**

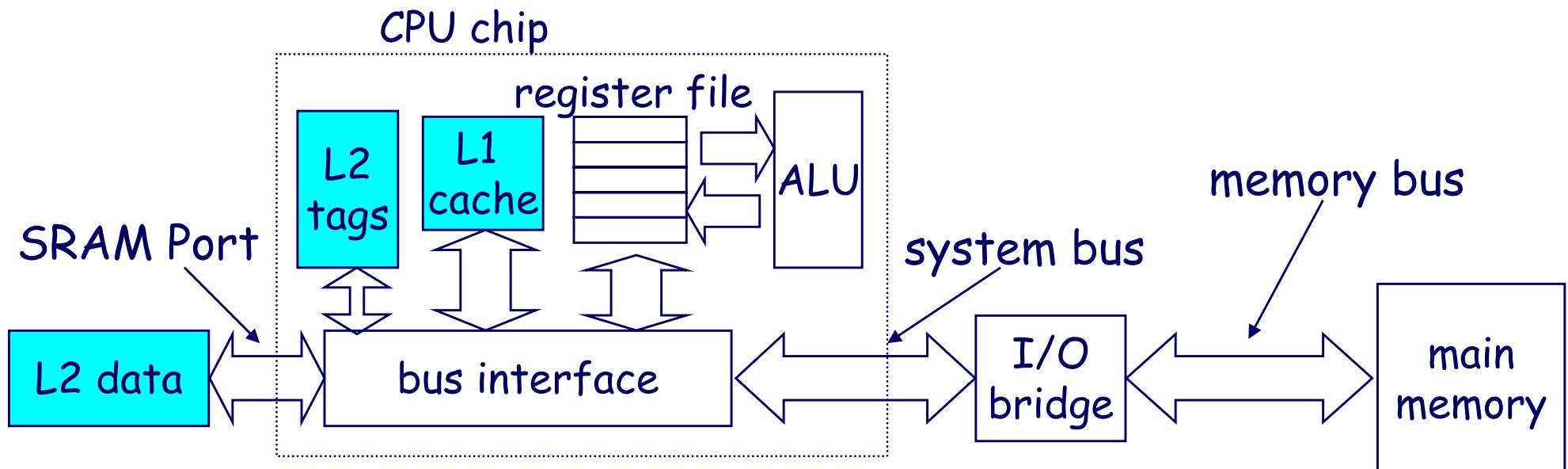
# Cache Memories

**Cache memories are small, fast SRAM-based memories managed automatically in hardware.**

- Hold frequently accessed blocks of main memory

**CPU looks first for data in L1, then in L2, then in main memory.**

**Typical system structure:**

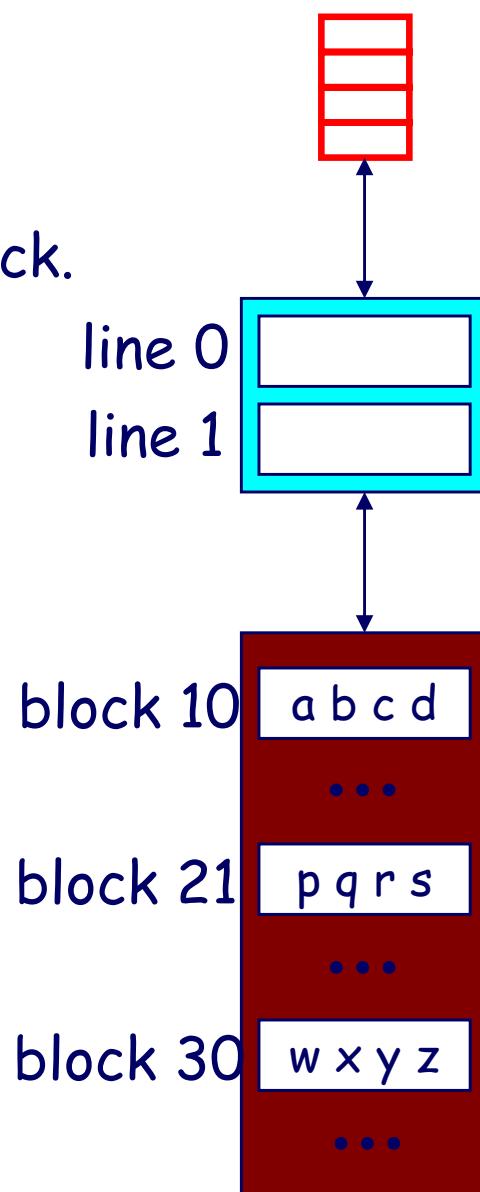


# Inserting an L1 Cache Between the CPU and Main Memory

The transfer unit between the CPU **register file** and the **cache** is a 4-byte block.

The transfer unit between the **cache** and **main memory** is a 4-word block (16 bytes).

The tiny, very fast CPU **register file** has room for four 4-byte words.



The small fast **L1 cache** has room for two 4-word blocks.

The big slow **main memory** has room for many 4-word blocks.

# General Organization of a Cache

Cache is an array of sets.

Each set contains one or more lines.

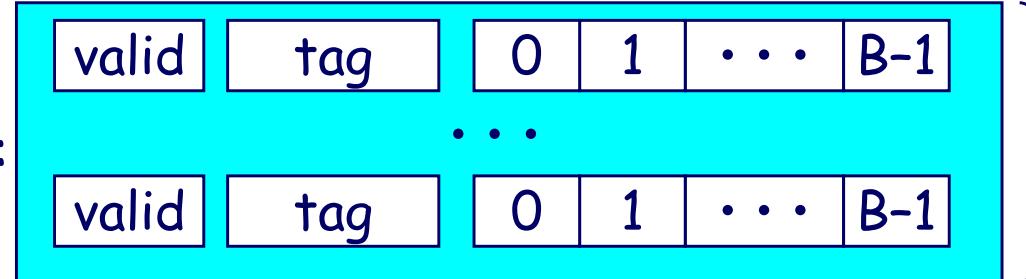
Each line holds a block of data.

$S = 2^S$  sets

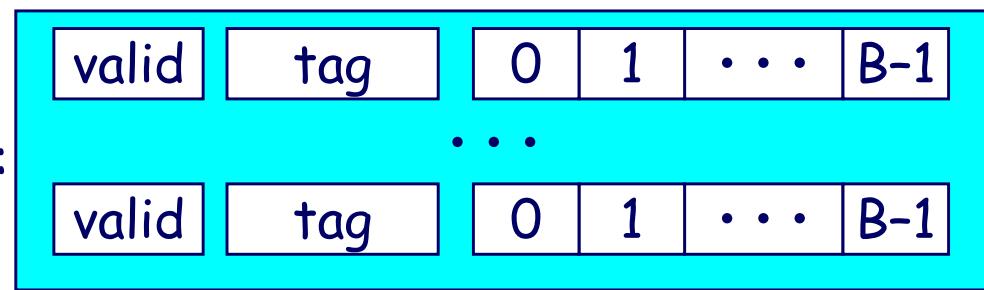
$t$  tag bits per line

$B = 2^B$  bytes per cache block

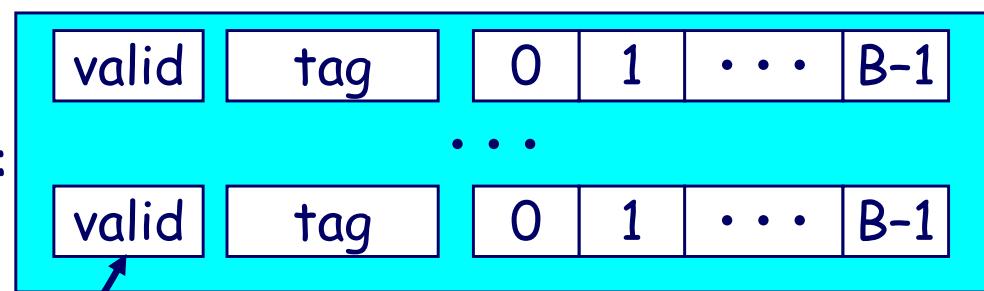
set 0:



set 1:



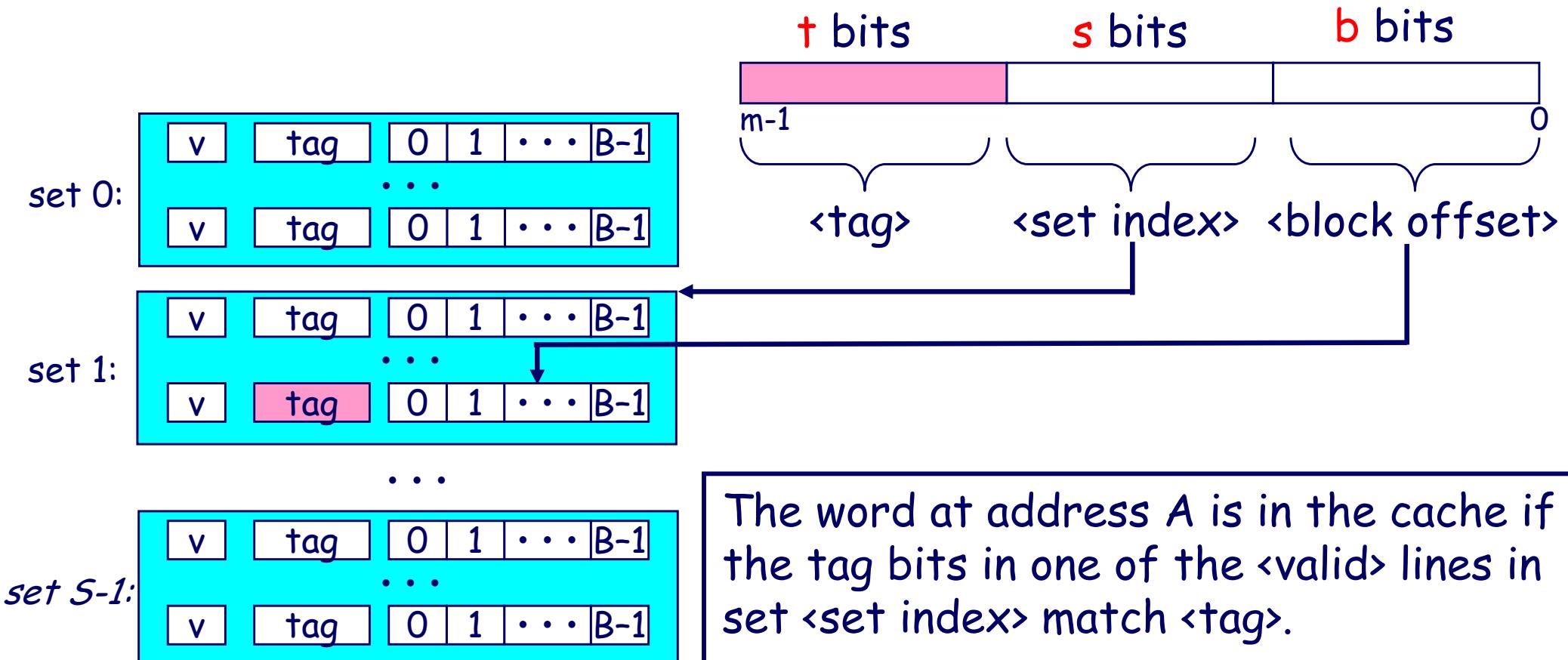
set  $S-1$ :



$E$  lines per set

# Addressing Caches

Address A:

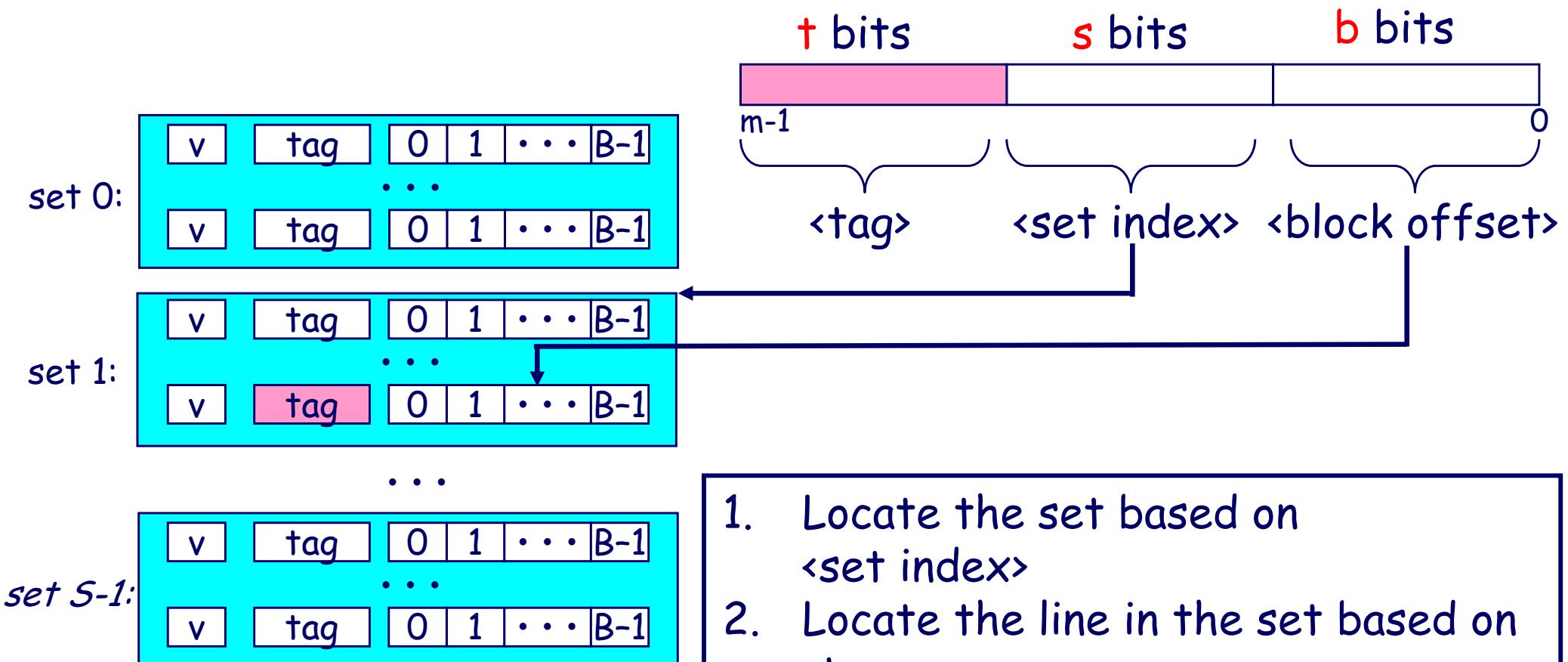


The word at address A is in the cache if the tag bits in one of the <valid> lines in set <set index> match <tag>.

The word contents begin at offset <block offset> bytes from the beginning of the block.

# Addressing Caches

Address A:

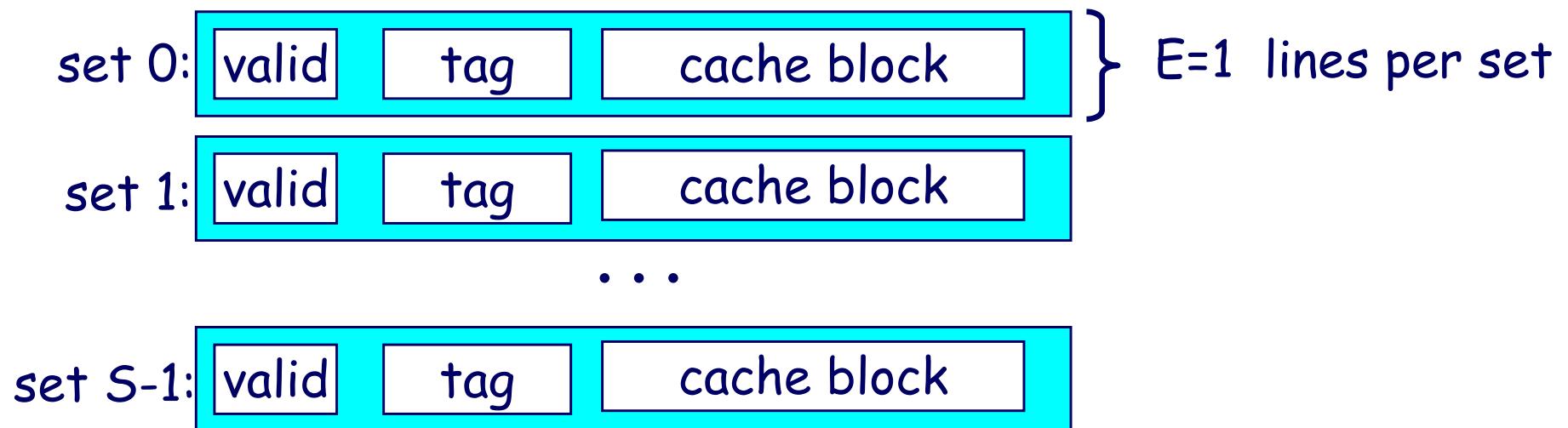


1. Locate the set based on <set index>
2. Locate the line in the set based on <tag>
3. Check that the line is valid
4. Locate the data in the line based on <block offset>

# Direct-Mapped Cache

**Simplest kind of cache, easy to build  
(only 1 tag compare required per access)**

**Characterized by exactly one line per set.**

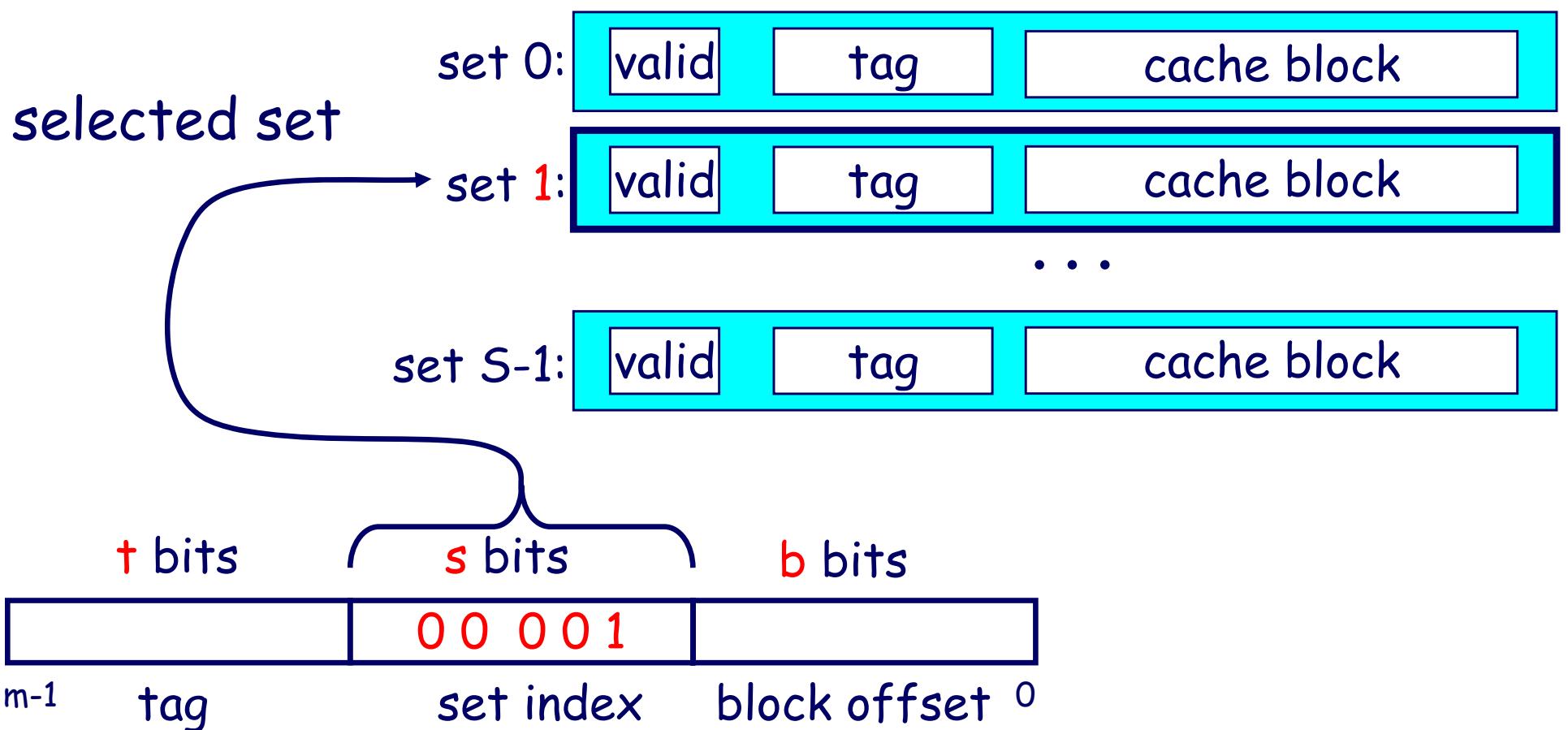


Cache size:  $C = B \times S$  data bytes

# Accessing Direct-Mapped Caches

## Set selection

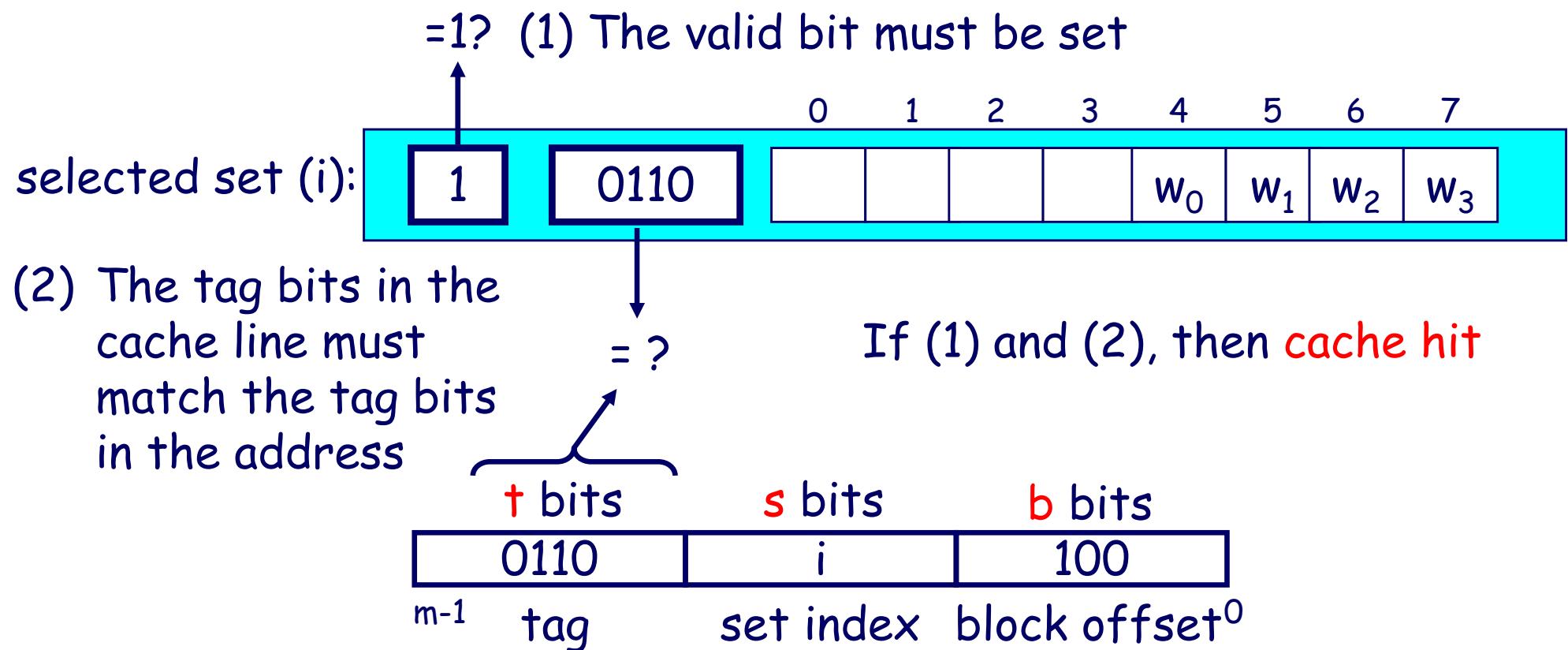
- Use the set index bits to determine the set of interest.



# Accessing Direct-Mapped Caches

## Line matching and word selection

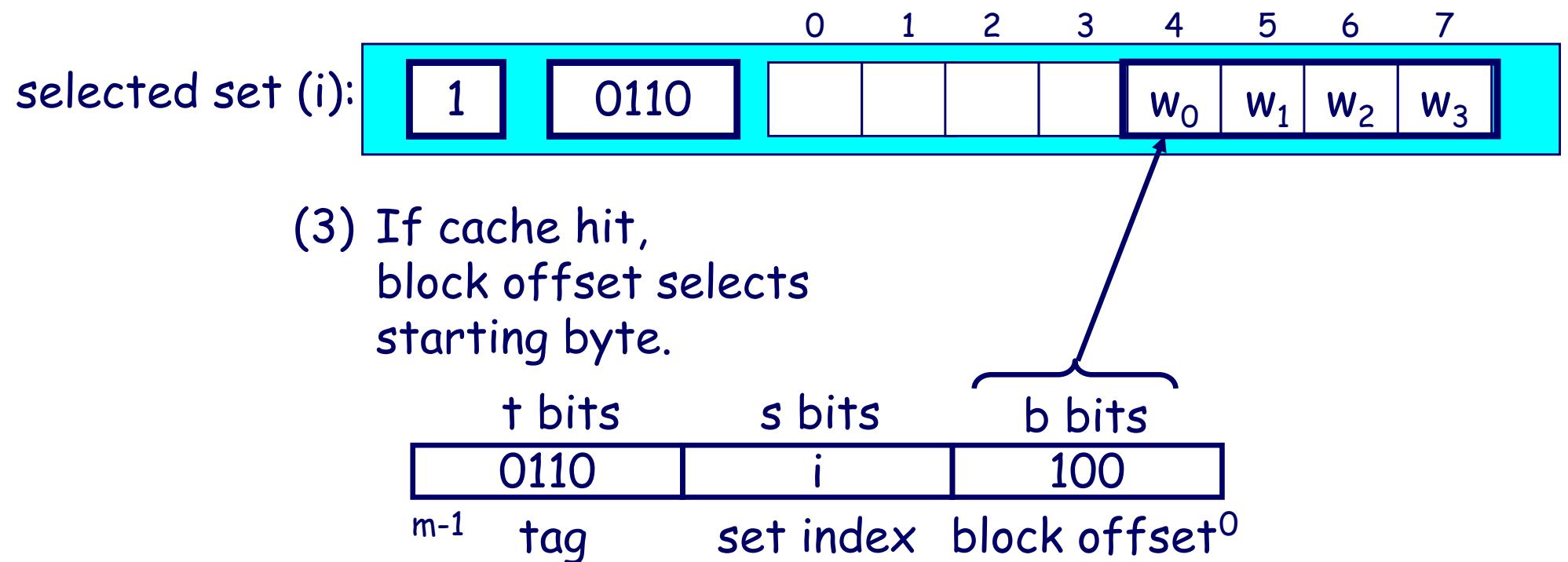
- **Line matching:** Find a valid line in the selected set with a matching tag
- **Word selection:** Then extract the word



# Accessing Direct-Mapped Caches

## Line matching and word selection

- **Line matching:** Find a valid line in the selected set with a matching tag
- **Word selection:** Then extract the word



# Direct-Mapped Cache Simulation

M=16 byte addresses, B=2 bytes/block,  
S=4 sets, E=1 entry/set

t=1 s=2 b=1

x	xx	x
---	----	---

Address trace (reads):

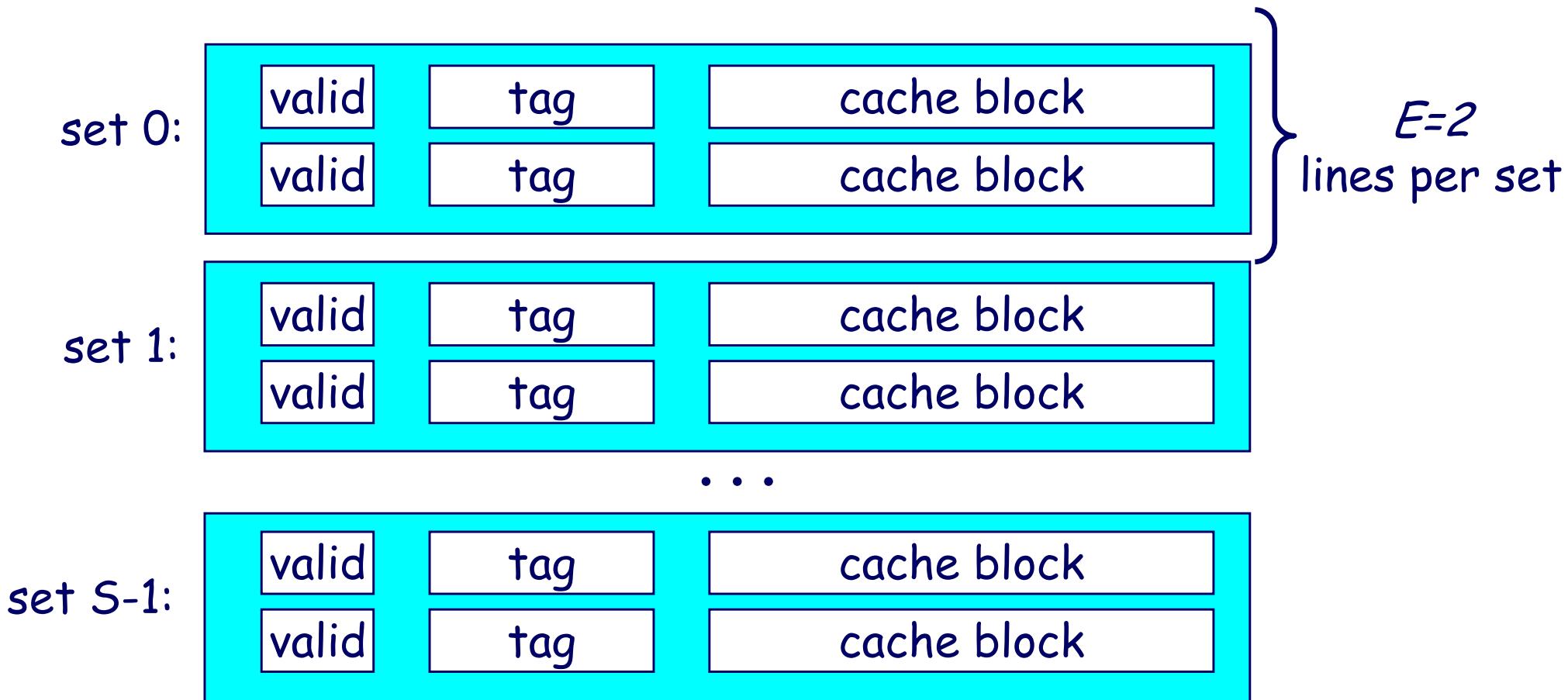
0	[0000 <sub>2</sub> ]	, miss
1	[0001 <sub>2</sub> ]	, hit
7	[0111 <sub>2</sub> ]	, miss
8	[1000 <sub>2</sub> ]	, miss
0	[0000 <sub>2</sub> ]	miss

v tag data

1	0	M[0-1]
1	0	M[6-7]

# Set Associative Caches

Characterized by more than one line per set

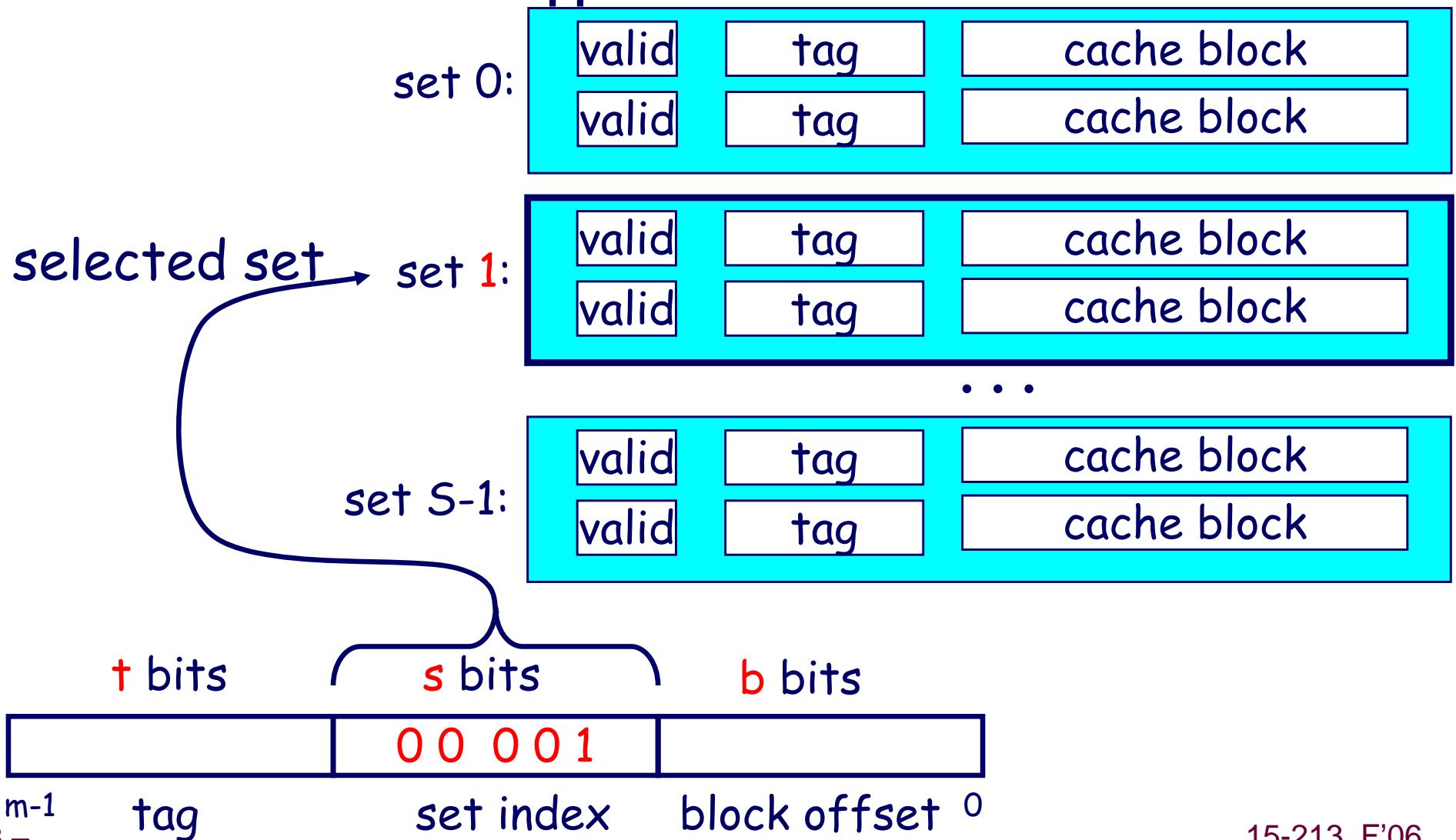


E-way associative cache

# Accessing Set Associative Caches

## Set selection

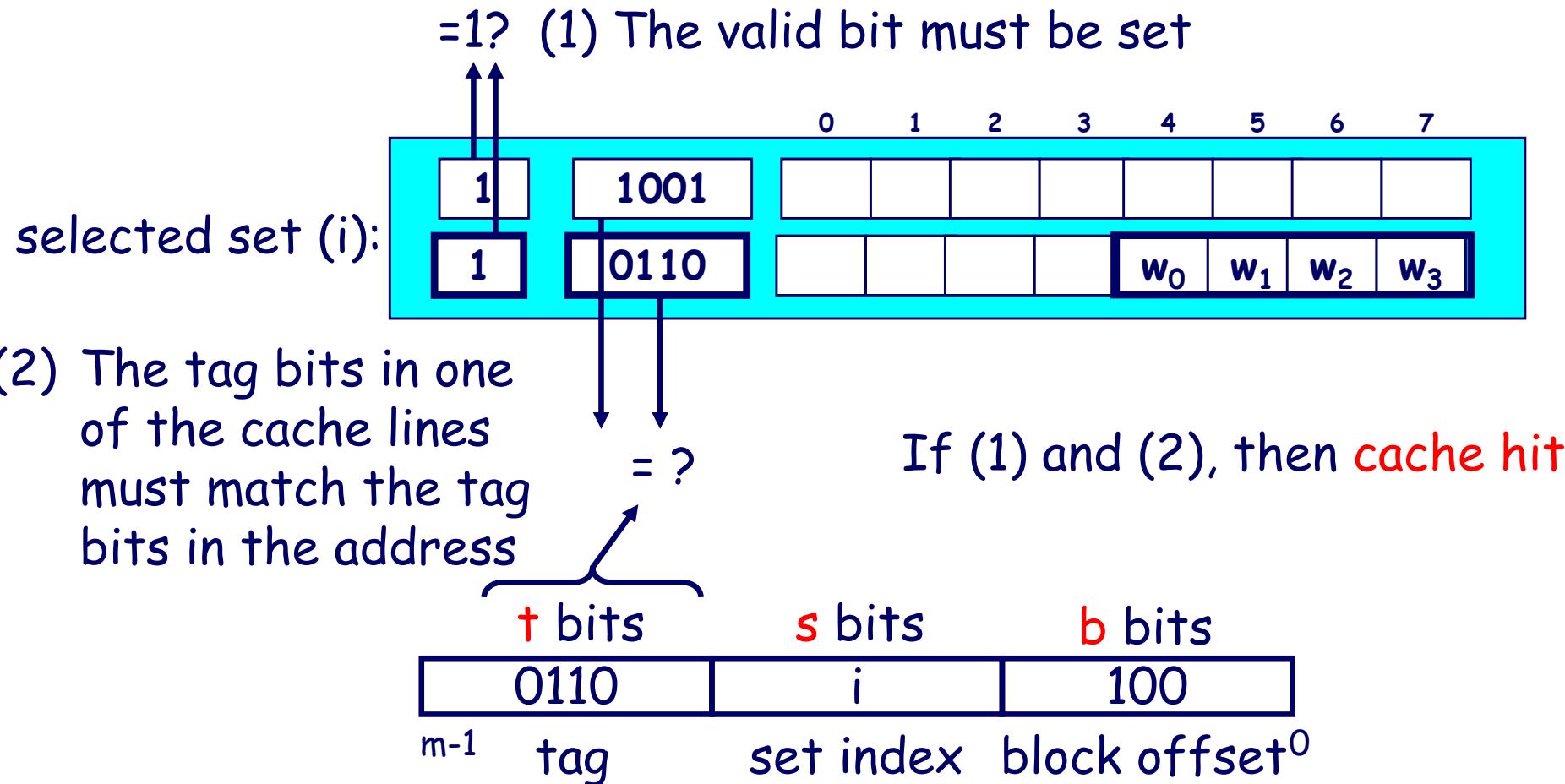
- identical to direct-mapped cache



# Accessing Set Associative Caches

## Line matching and word selection

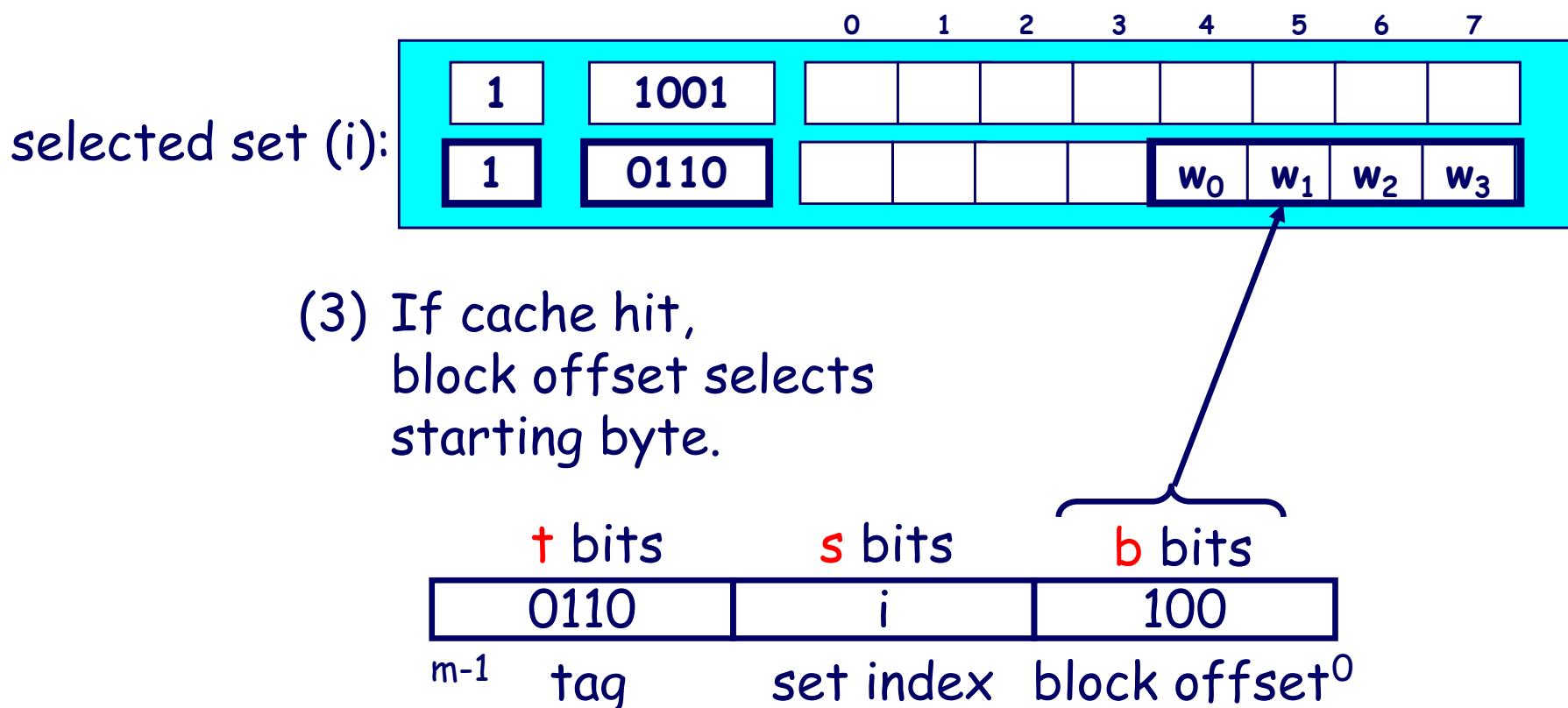
- must compare the tag in each valid line in the selected set.



# Accessing Set Associative Caches

## Line matching and word selection

- Word selection is the same as in a direct mapped cache



# 2-Way Associative Cache Simulation

t=2 s=1 b=1

M=16 byte addresses, B=2 bytes/block,  
S=2 sets, E=2 entry/set

xx	x	x
----	---	---

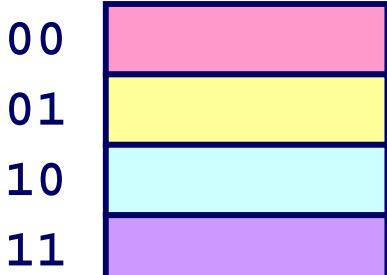
Address trace (reads):

0	[0000 <sub>2</sub> ]	, miss
1	[0001 <sub>2</sub> ]	, hit
7	[0111 <sub>2</sub> ]	, miss
8	[1000 <sub>2</sub> ]	, miss
0	[0000 <sub>2</sub> ]	hit

v	tag	data
1	00	M[0-1]
1	10	M[8-9]
1	01	M[6-7]
0		

# Why Use Middle Bits as Index?

4-line Cache



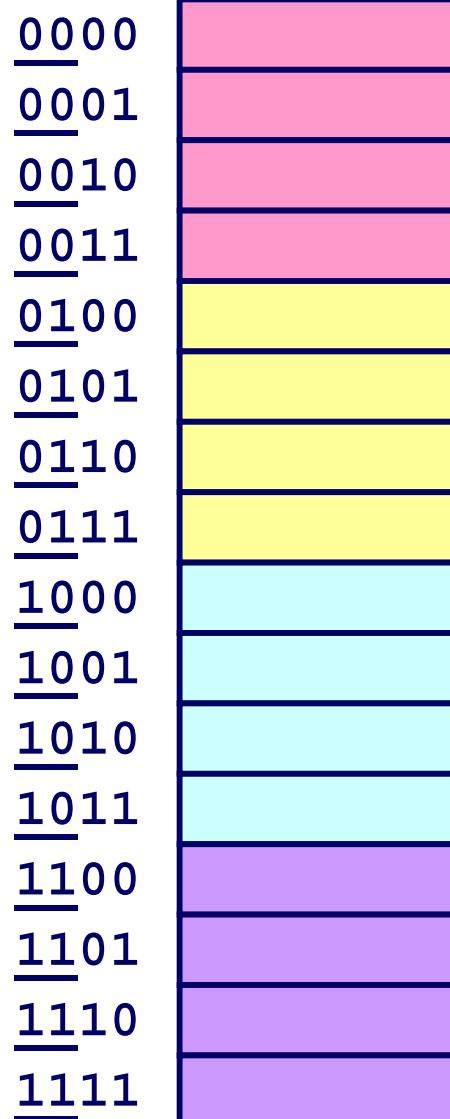
## High-Order Bit Indexing

- Adjacent memory lines would map to same cache entry
- Poor use of spatial locality

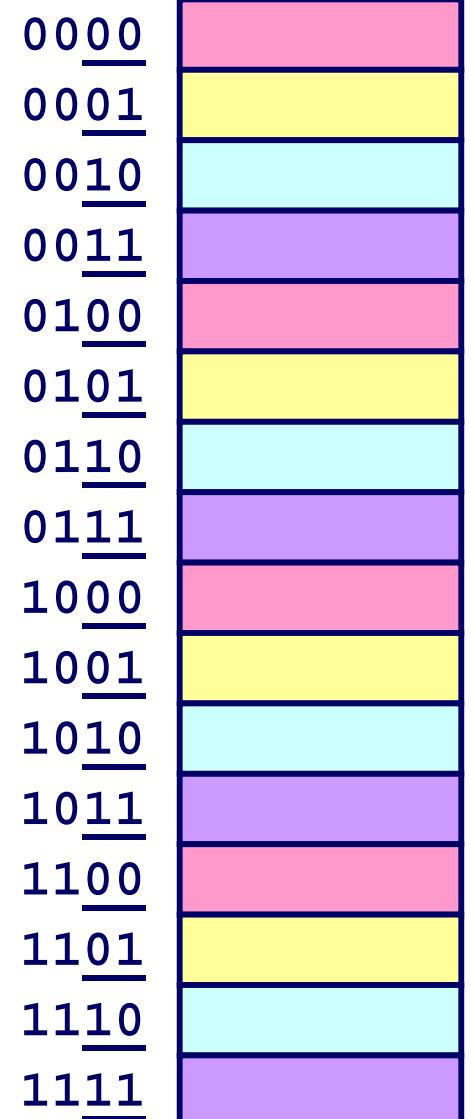
## Middle-Order Bit Indexing

- Consecutive memory lines map to different cache lines
- Can hold  $S*B*E$ -byte region of address space in cache at one time

High-Order Bit Indexing



Middle-Order Bit Indexing

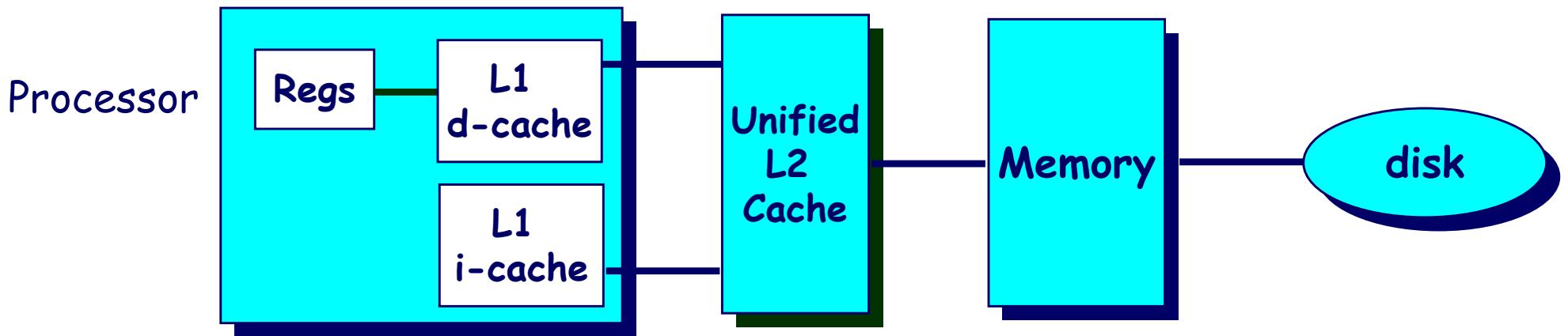


# Maintaining a Set-Associate Cache

- How to decide which cache line to use in a set?
  - Least Recently Used (LRU), Requires  $\lceil \lg_2(E!) \rceil$  extra bits
  - Not recently Used (NRU)
  - Random
- Virtual vs. Physical addresses:
  - The memory system works with physical addresses, but it takes time to translate a virtual to a physical address. So most L1 caches are virtually indexed, but physically tagged.

# Multi-Level Caches

Options: separate **data** and **instruction caches**, or a **unified cache**



size:	200 B	8-64 KB	1-4MB SRAM	128 MB DRAM	30 GB
speed:	3 ns	3 ns	6 ns	60 ns	8 ms
\$/Mbyte:			\$100/MB	\$1.50/MB	\$0.05/MB
line size:	8 B	32 B	32 B	8 KB	
larger, slower, cheaper					

# What about writes?

Multiple copies of data exist:

- L1
- L2
- Main Memory
- Disk

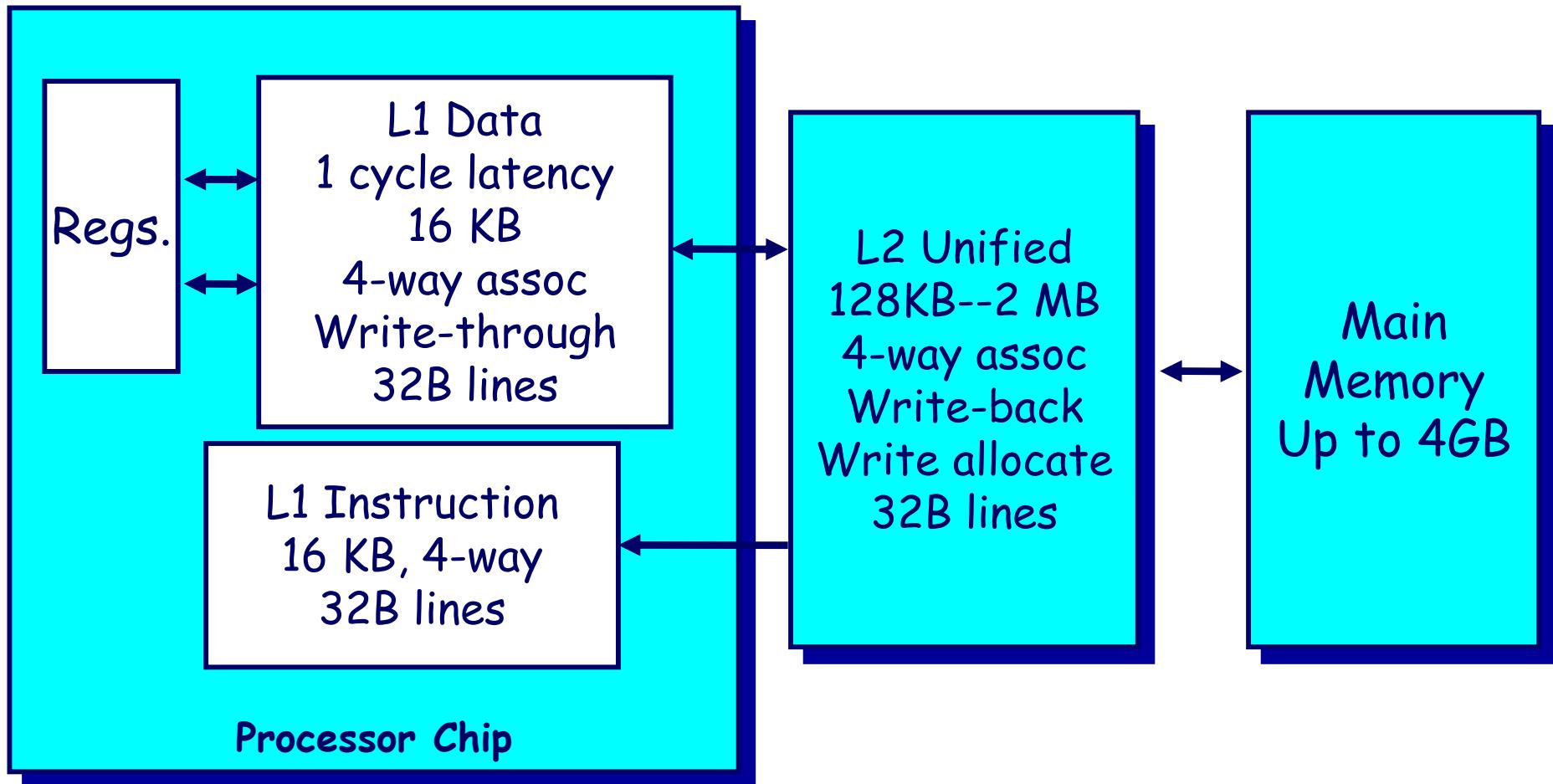
What to do when we write?

- Write-through
- Write-back
  - need a dirty bit
  - What to do on a write-miss?

What to do on a replacement?

- Depends on whether it is write through or write back

# Intel Pentium III Cache Hierarchy



# Cache Performance Metrics

## Miss Rate

- Fraction of memory references not found in cache (misses / references)
- Typical numbers:
  - 3-10% for L1
  - can be quite small (e.g., < 1%) for L2, depending on size, etc.

## Hit Time

- Time to deliver a line in the cache to the processor (includes time to determine whether the line is in the cache)
- Typical numbers:
  - 1-2 clock cycle for L1
  - 5-20 clock cycles for L2

Aside for architects:  
-Increasing cache size?  
-Increasing block size?  
-Increasing associativity?

## Miss Penalty

- Additional time required because of a miss
  - Typically 50-200 cycles for main memory (Trend: increasing!)

# Writing Cache Friendly Code

- Repeated references to variables are good (**temporal locality**)
- Stride-1 reference patterns are good (**spatial locality**)
- Examples:
  - cold cache, 4-byte words, 4-word cache blocks

```
int sum_array_rows(int a[M] [N])  
{  
    int i, j, sum = 0;  
  
    for (i = 0; i < M; i++)  
        for (j = 0; j < N; j++)  
            sum += a[i] [j];  
    return sum;  
}
```

```
int sum_array_cols(int a[M] [N])  
{  
    int i, j, sum = 0;  
  
    for (j = 0; j < N; j++)  
        for (i = 0; i < M; i++)  
            sum += a[i] [j];  
    return sum;  
}
```

Miss rate =  $1/4 = 25\%$

Miss rate =  $100\%$

# The Memory Mountain

## Read throughput (read bandwidth)

- Number of bytes read from memory per second (MB/s)

## Memory mountain

- Measured read throughput as a function of spatial and temporal locality.
- Compact way to characterize memory system performance.

# Memory Mountain Test Function

```
/* The test function */
void test(int elems, int stride) {
    int i, result = 0;
    volatile int sink;

    for (i = 0; i < elems; i += stride)
        result += data[i];
    sink = result; /* So compiler doesn't optimize away the loop */
}

/* Run test(elems, stride) and return read throughput (MB/s) */
double run(int size, int stride, double Mhz)
{
    double cycles;
    int elems = size / sizeof(int);

    test(elems, stride);                      /* warm up the cache */
    cycles = fcyc2(test, elems, stride, 0);   /* call test(elems,stride) */
    return (size / stride) / (cycles / Mhz);  /* convert cycles to MB/s */
}
```

# Memory Mountain Main Routine

```
/* mountain.c - Generate the memory mountain. */
#define MINBYTES (1 << 10) /* Working set size ranges from 1 KB */
#define MAXBYTES (1 << 23) /* ... up to 8 MB */
#define MAXSTRIDE 16        /* Strides range from 1 to 16 */
#define MAXELEMS MAXBYTES/sizeof(int)

int data[MAXELEMS];           /* The array we'll be traversing */

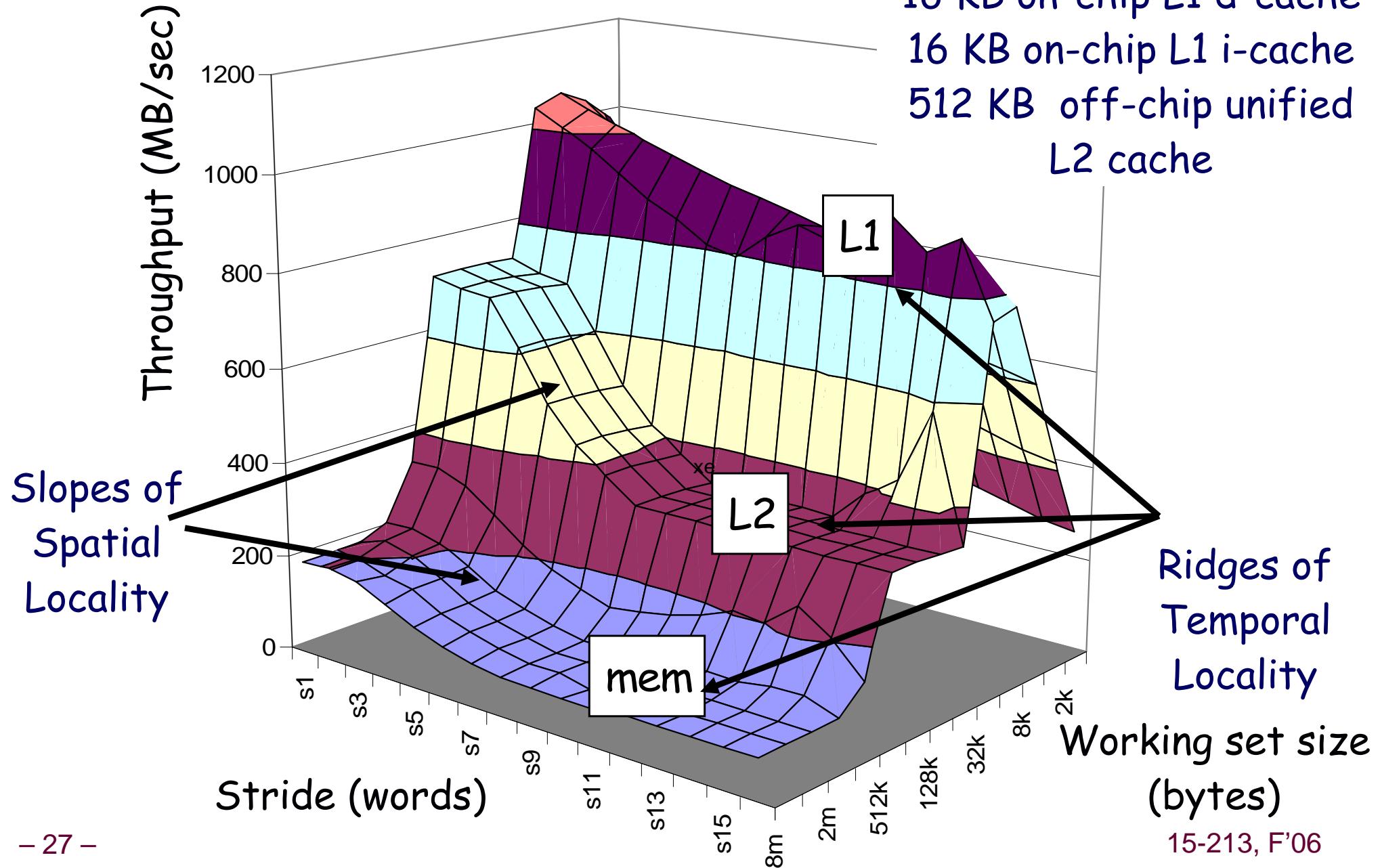
int main()
{
    int size;                 /* Working set size (in bytes) */
    int stride;               /* Stride (in array elements) */
    double Mhz;               /* Clock frequency */

    init_data(data, MAXELEMS); /* Initialize each element in data to 1 */
    Mhz = mhz(0);             /* Estimate the clock frequency */
    for (size = MAXBYTES; size >= MINBYTES; size >>= 1) {
        for (stride = 1; stride <= MAXSTRIDE; stride++)
            printf("%.1f\t", run(size, stride, Mhz));
        printf("\n");
    }
    exit(0);
}
```

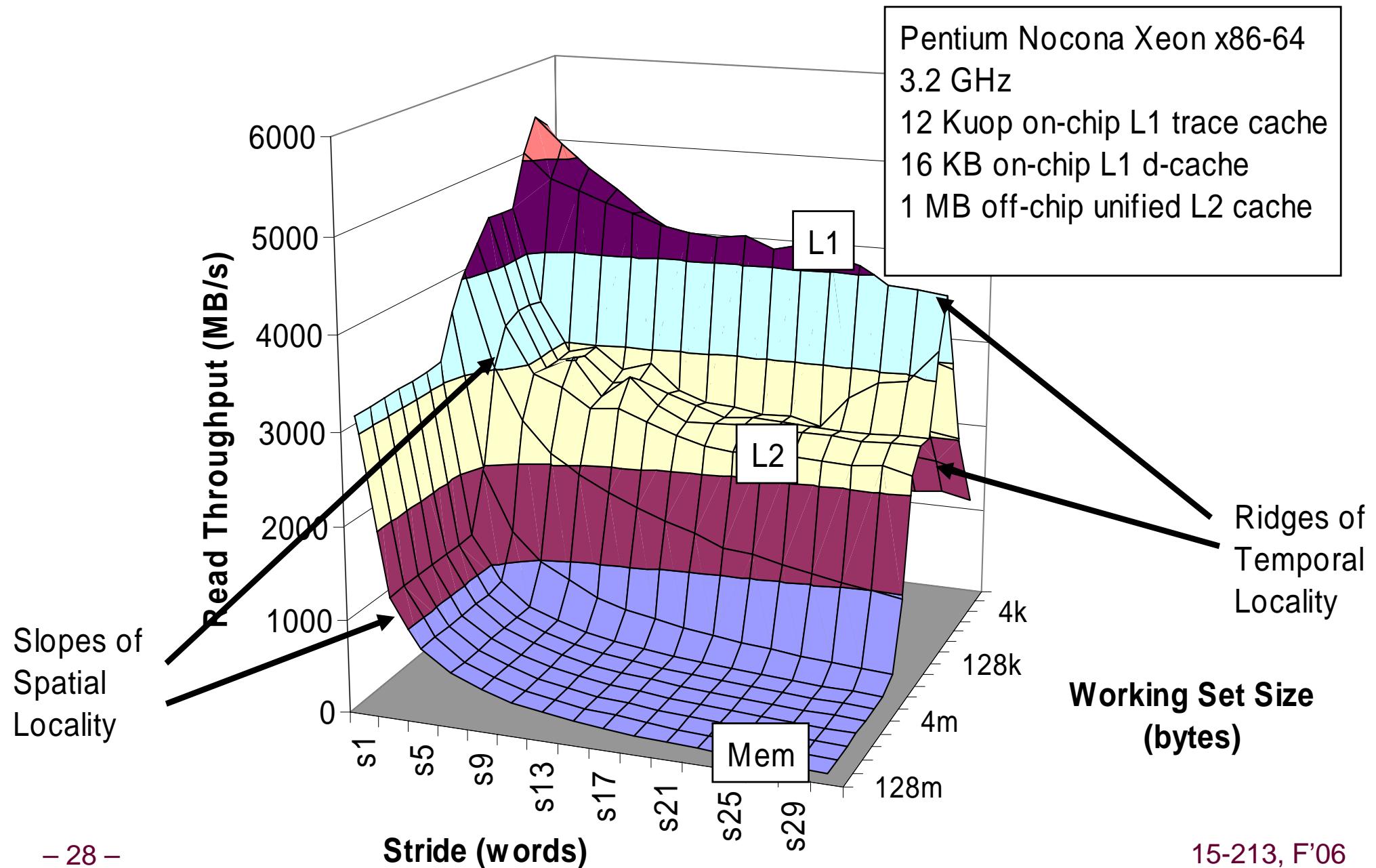
# The Memory Mountain

Pentium III  
550 MHz

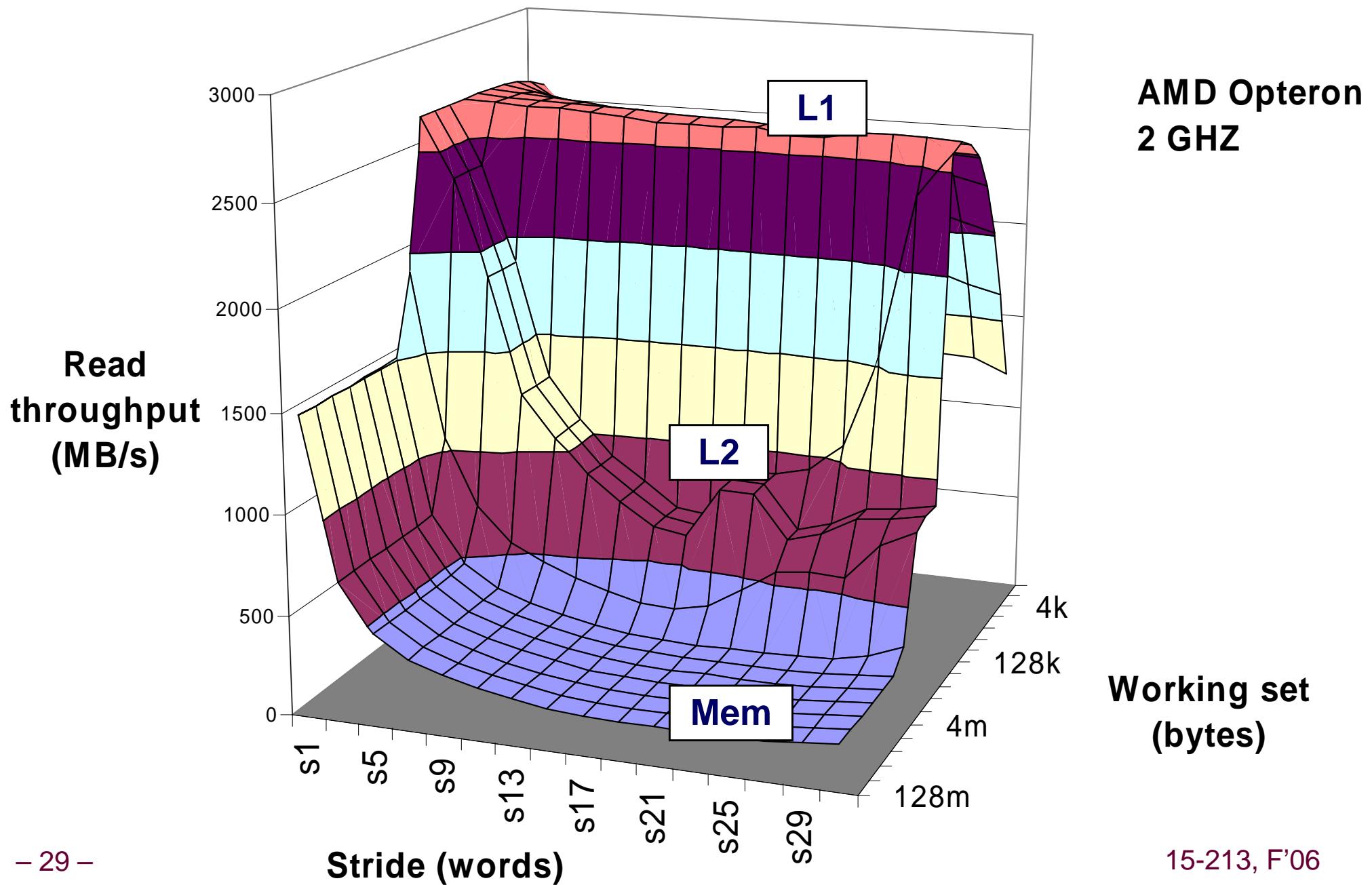
16 KB on-chip L1 d-cache  
16 KB on-chip L1 i-cache  
512 KB off-chip unified  
L2 cache



# X86-64 Memory Mountain



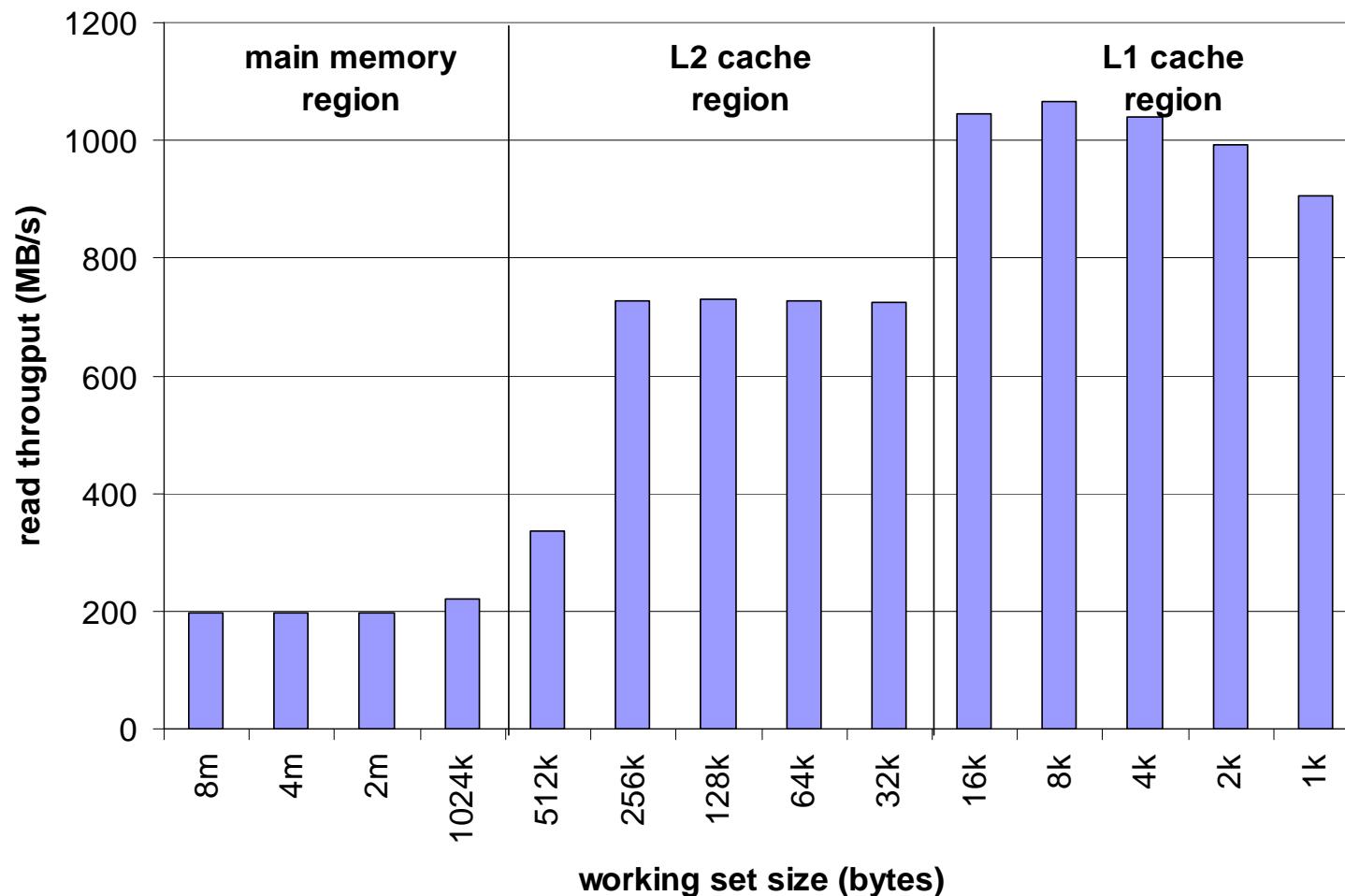
# Opteron Memory Mountain



# Ridges of Temporal Locality

Slice through the memory mountain with stride=1

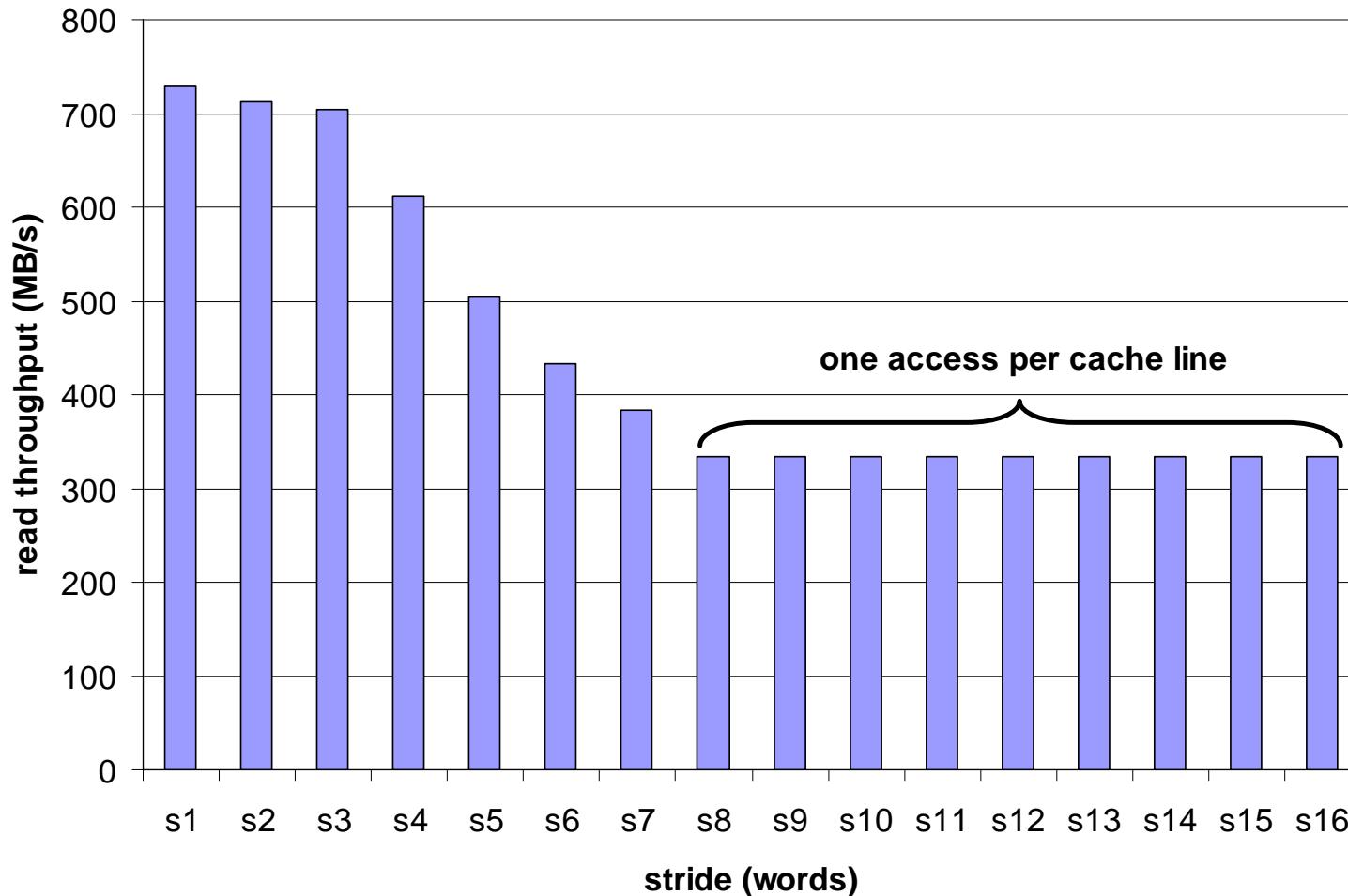
- illuminates read throughputs of different caches and memory



# A Slope of Spatial Locality

Slice through memory mountain with size=256KB

- shows cache block size.



# Matrix Multiplication Example

## Major Cache Effects to Consider

- Total cache size
  - Exploit temporal locality and keep the working set small (e.g., use blocking)
- Block size
  - Exploit spatial locality

## Description:

- Multiply  $N \times N$  matrices
- $O(N^3)$  total operations
- Accesses
  - $N$  reads per source element
  - $N$  values summed per destination
  - » but may be able to hold in register

```
/* ijk */  
for (i=0; i<n; i++) {  
    for (j=0; j<n; j++) {  
        sum = 0.0; ← Variable sum  
held in register  
        for (k=0; k<n; k++)  
            sum += a[i][k] * b[k][j];  
        c[i][j] = sum;  
    }  
}
```

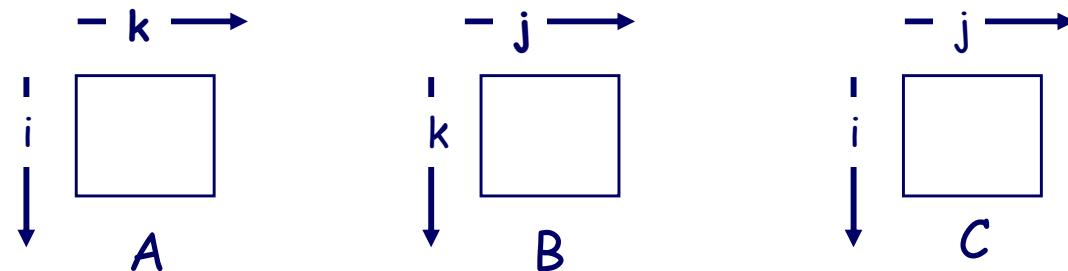
# Miss Rate Analysis for Matrix Multiply

## Assume:

- Line size =  $32B$  (big enough for four 64-bit words)
- Matrix dimension ( $N$ ) is very large
  - Approximate  $1/N$  as 0.0
- Cache is not even big enough to hold multiple rows

## Analysis Method:

- Look at access pattern of inner loop



# Layout of C Arrays in Memory (review)

## C arrays allocated in row-major order

- each row in contiguous memory locations

### Stepping through columns in one row:

- ```
for (i = 0; i < N; i++)
    sum += a[0][i];
```
- accesses successive elements
- if block size (B) > 4 bytes, exploit spatial locality
  - compulsory miss rate = 4 bytes / B

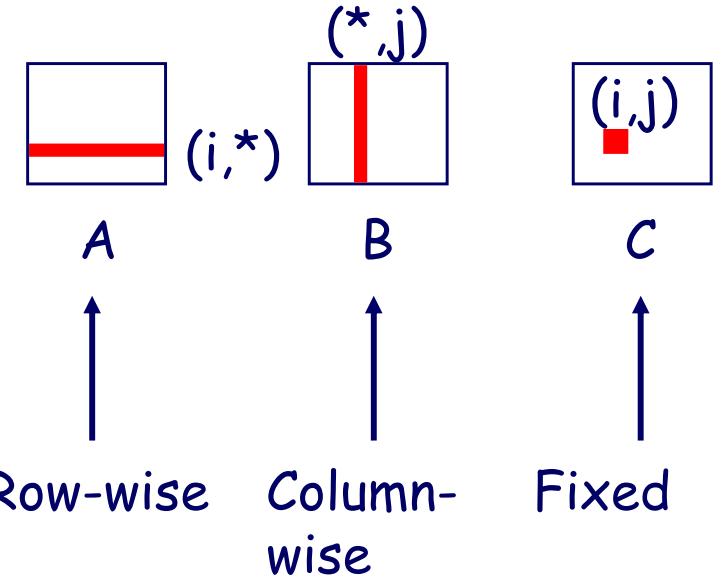
### Stepping through rows in one column:

- ```
for (i = 0; i < n; i++)
    sum += a[i][0];
```
- accesses distant elements
- no spatial locality!
  - compulsory miss rate = 1 (i.e. 100%)

# Matrix Multiplication (ijk)

```
/* ijk */
for (i=0; i<n; i++) {
    for (j=0; j<n; j++) {
        sum = 0.0;
        for (k=0; k<n; k++)
            sum += a[i] [k] * b[k] [j];
        c[i] [j] = sum;
    }
}
```

Inner loop:



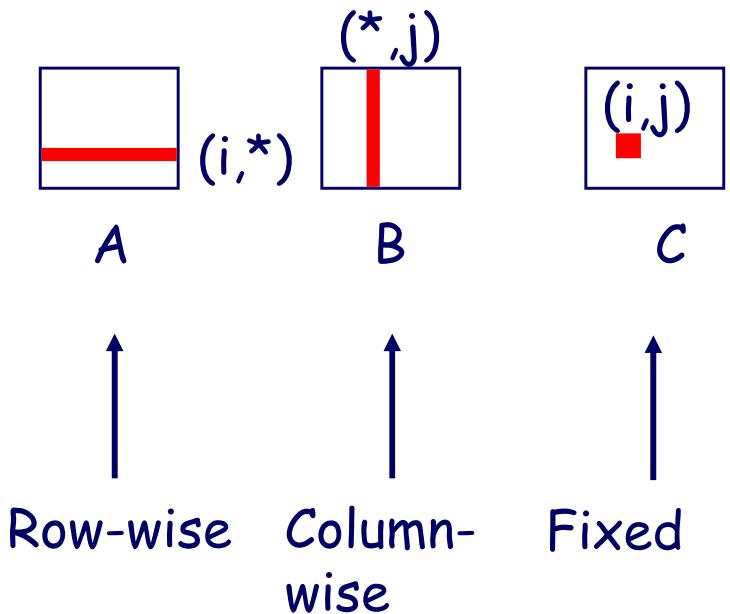
Misses per Inner Loop Iteration:

A	B	C
0.25	1.0	0.0

# Matrix Multiplication (jik)

```
/* jik */
for (j=0; j<n; j++) {
    for (i=0; i<n; i++) {
        sum = 0.0;
        for (k=0; k<n; k++)
            sum += a[i][k] * b[k][j];
        c[i][j] = sum
    }
}
```

Inner loop:



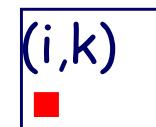
Misses per Inner Loop Iteration:

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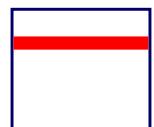
# Matrix Multiplication (kij)

```
/* kij */
for (k=0; k<n; k++) {
    for (i=0; i<n; i++) {
        r = a[i][k];
        for (j=0; j<n; j++)
            c[i][j] += r * b[k][j];
    }
}
```

Inner loop:



*A*



*B*



*C*

Fixed

Row-wise Row-wise

Misses per Inner Loop Iteration:

A  
0.0

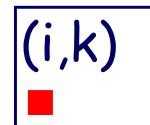
B  
0.25

C  
0.25

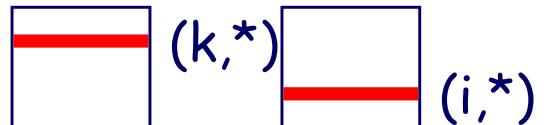
# Matrix Multiplication (ikj)

```
/* ikj */
for (i=0; i<n; i++) {
    for (k=0; k<n; k++) {
        r = a[i][k];
        for (j=0; j<n; j++)
            c[i][j] += r * b[k][j];
    }
}
```

Inner loop:



A



B

C

Fixed

Row-wise

Row-wise

Misses per Inner Loop Iteration:

A  
0.0

B  
0.25

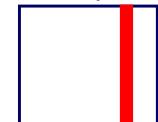
C  
0.25

# Matrix Multiplication (jki)

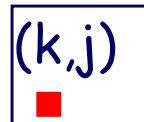
```
/* jki */
for (j=0; j<n; j++) {
    for (k=0; k<n; k++) {
        r = b[k][j];
        for (i=0; i<n; i++)
            c[i][j] += a[i][k] * r;
    }
}
```

Inner loop:

(\*,k)

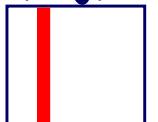


A



B

(\*,j)



C

Column -  
wise

Fixed

Column-  
wise

Misses per Inner Loop Iteration:

A  
1.0

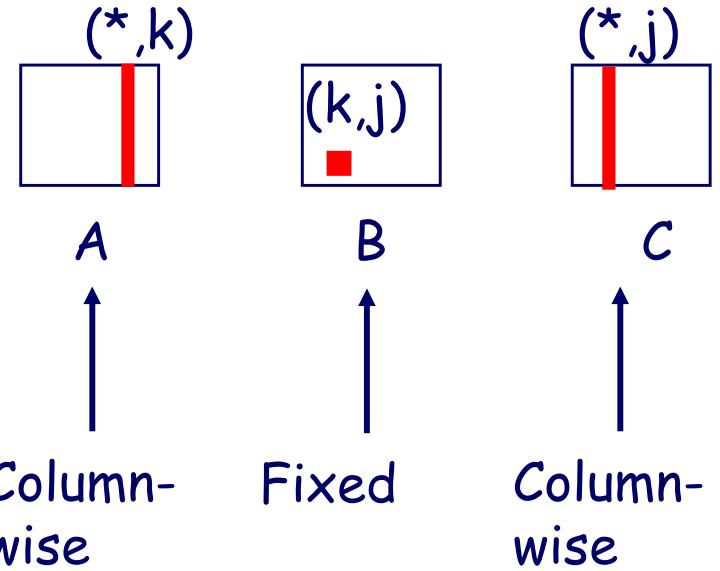
B  
0.0

C  
1.0

# Matrix Multiplication (kji)

```
/* kji */
for (k=0; k<n; k++) {
    for (j=0; j<n; j++) {
        r = b[k][j];
        for (i=0; i<n; i++)
            c[i][j] += a[i][k] * r;
    }
}
```

Inner loop:



Misses per Inner Loop Iteration:

<u>A</u>	<u>B</u>	<u>C</u>
1.0	0.0	1.0

# Summary of Matrix Multiplication

```
for (i=0; i<n; i++) {  
    for (j=0; j<n; j++) {  
        sum = 0.0;  
        for (k=0; k<n; k++)  
            sum += a[i][k] * b[k][j];  
        c[i][j] = sum;  
    }  
}
```

```
for (k=0; k<n; k++) {  
    for (i=0; i<n; i++) {  
        r = a[i][k];  
        for (j=0; j<n; j++)  
            c[i][j] += r * b[k][j];  
    }  
}
```

```
for (j=0; j<n; j++) {  
    for (k=0; k<n; k++) {  
        r = b[k][j];  
        for (i=0; i<n; i++)  
            c[i][j] += a[i][k] * r;  
    }  
}
```

## ijk (& jik):

- 2 loads, 0 stores
- misses/iter = 1.25

## kij (& ikj):

- 2 loads, 1 store
- misses/iter = 0.5

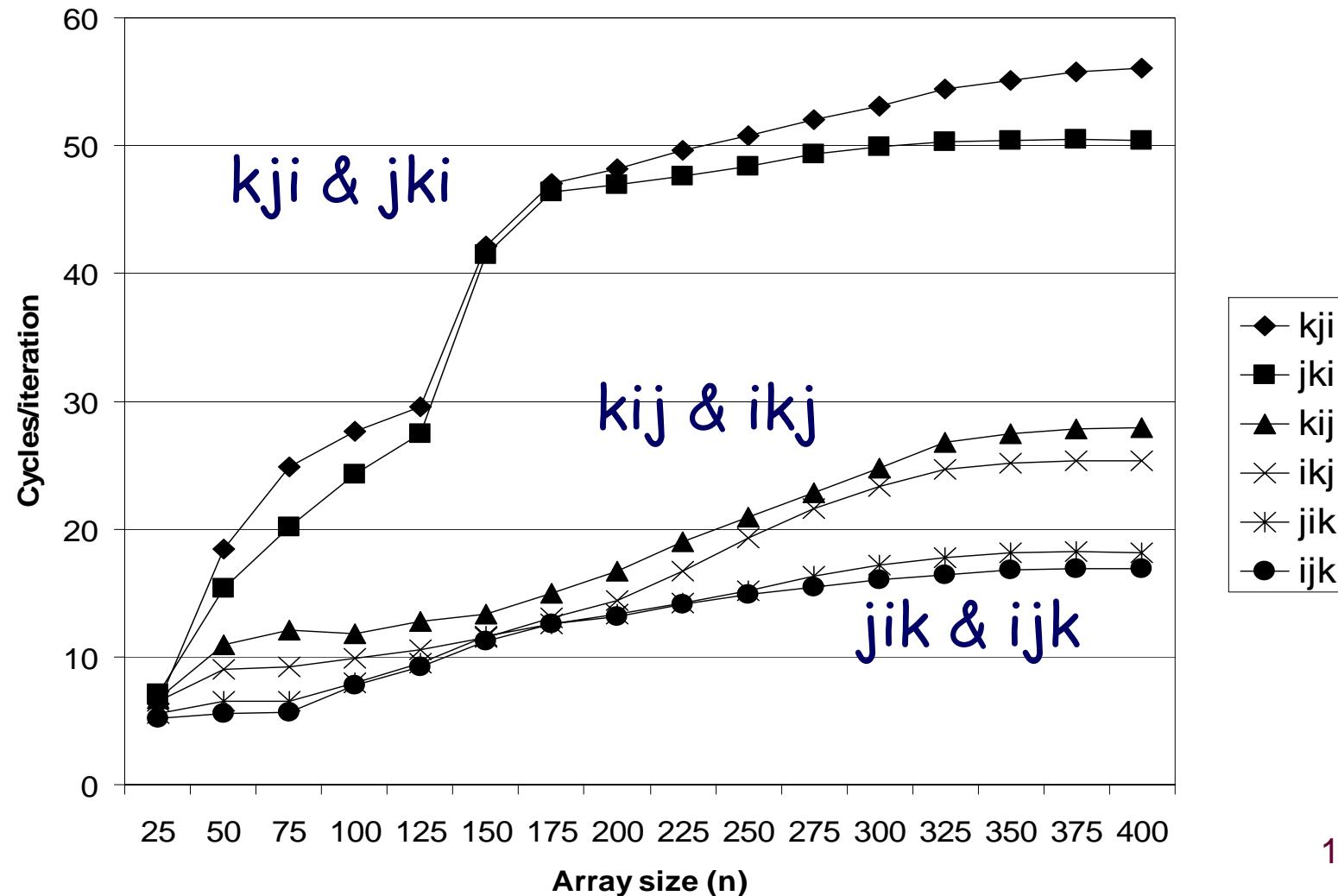
## jki (& kji):

- 2 loads, 1 store
- misses/iter = 2.0

# Pentium Matrix Multiply Performance

Miss rates are helpful but not perfect predictors.

- Code scheduling matters, too.



# Improving Temporal Locality by Blocking

## Example: Blocked matrix multiplication

- “block” (in this context) does not mean “cache block”.
- Instead, it means a sub-block within the matrix.
- Example:  $N = 8$ ; sub-block size = 4

$$\begin{bmatrix} A_{11} & A_{12} \\ A_{21} & A_{22} \end{bmatrix} \times \begin{bmatrix} B_{11} & B_{12} \\ B_{21} & B_{22} \end{bmatrix} = \begin{bmatrix} C_{11} & C_{12} \\ C_{21} & C_{22} \end{bmatrix}$$

Key idea: Sub-blocks (i.e.,  $A_{xy}$ ) can be treated just like scalars.

$$C_{11} = A_{11}B_{11} + A_{12}B_{21} \quad C_{12} = A_{11}B_{12} + A_{12}B_{22}$$

$$C_{21} = A_{21}B_{11} + A_{22}B_{21} \quad C_{22} = A_{21}B_{12} + A_{22}B_{22}$$

# Blocked Matrix Multiply (bijk)

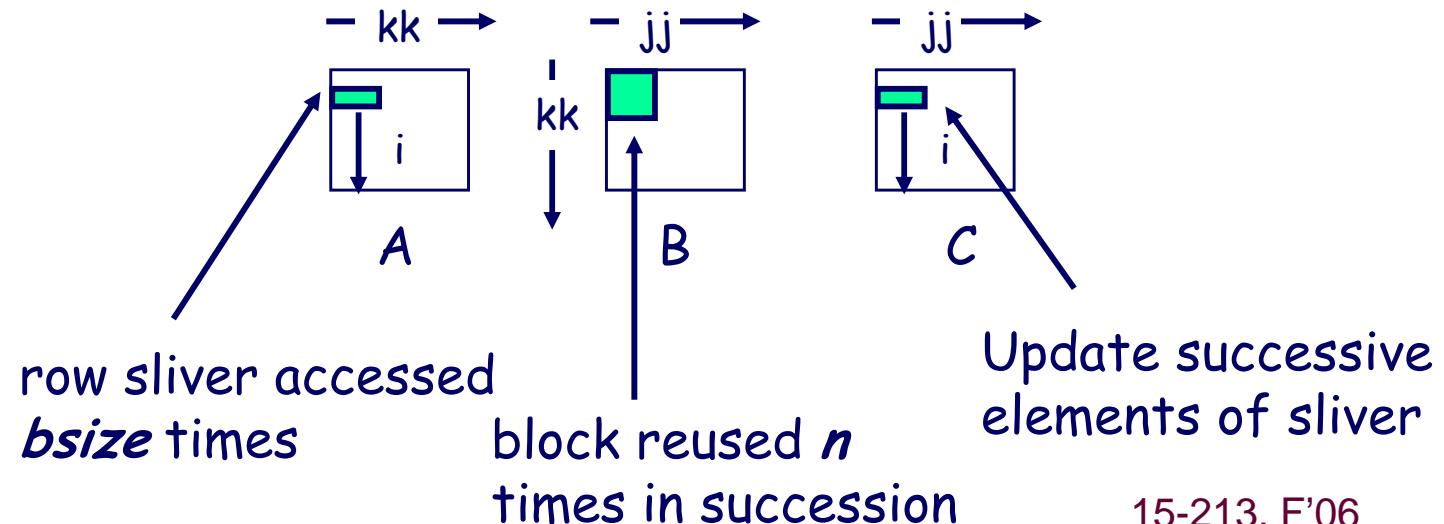
```
for (jj=0; jj<n; jj+=bsize) {  
  
    for (i=0; i<n; i++)  
        for (j=jj; j < min(jj+bsize,n); j++)  
            c[i][j] = 0.0;  
  
    for (kk=0; kk<n; kk+=bsize) {  
        for (i=0; i<n; i++) {  
            for (j=jj; j < min(jj+bsize,n); j++) {  
                sum = 0.0  
                for (k=kk; k < min(kk+bsize,n); k++) {  
                    sum += a[i][k] * b[k][j];  
                }  
                c[i][j] += sum;  
            }  
        }  
    }  
}
```

# Blocked Matrix Multiply Analysis

- Innermost loop pair multiplies a  $1 \times bsize$  sliver of  $A$  by a  $bsize \times bsize$  block of  $B$  and accumulates into  $1 \times bsize$  sliver of  $C$
- Loop over  $i$  steps through  $n$  row slivers of  $A$  &  $C$ , using same  $B$

```
for (i=0; i<n; i++) {  
    for (j=jj; j < min(jj+bsize,n); j++) {  
        sum = 0.0  
        for (k=kk; k < min(kk+bsize,n); k++) {  
            sum += a[i][k] * b[k][j];  
        }  
        c[i][j] += sum;  
    }  
}
```

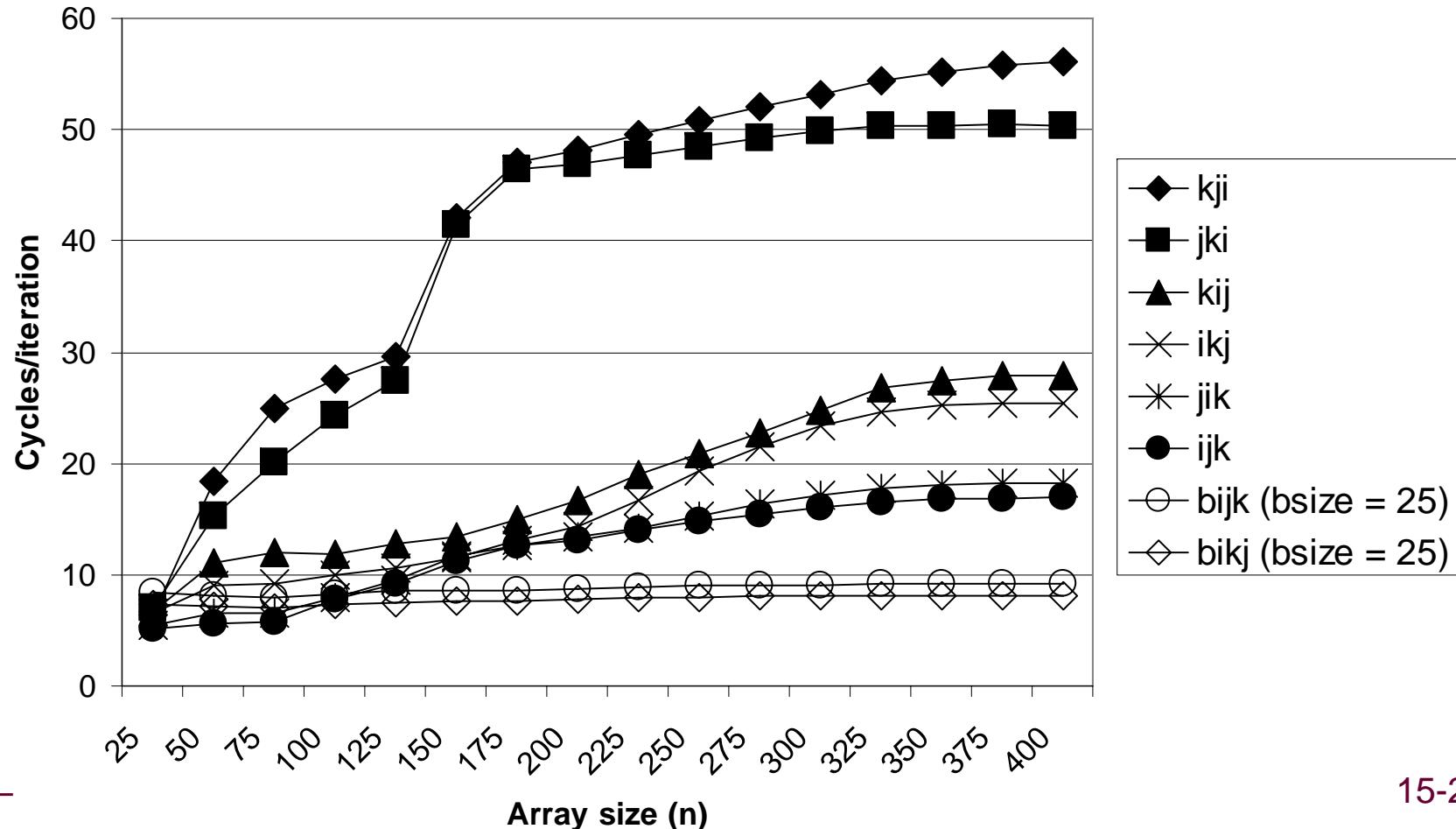
Innermost  
Loop Pair



# Pentium Blocked Matrix Multiply Performance

Blocking ( $bijk$  and  $bikj$ ) improves performance by a factor of two over unblocked versions ( $ijk$  and  $jik$ )

- relatively insensitive to array size.



# Concluding Observations

## Programmer can optimize for cache performance

- How data structures are organized
- How data are accessed
  - Nested loop structure
  - Blocking is a general technique

## All systems favor “cache friendly code”

- Getting absolute optimum performance is very platform specific
  - Cache sizes, line sizes, associativities, etc.
- Can get most of the advantage with generic code
  - Keep working set reasonably small (temporal locality)
  - Use small strides (spatial locality)