### **SENTIMENT CLASSIFICATION WITH** SUPERVISED SEQUENCE EMBEDDING



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### **OVERVIEW**

- Introduction
- Method
- Experimental results

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- We focus on document-level sentiment classification (D-SC)
- Tackle SC as a supervised text classification task
- Two variants of D-SC:
  - Binary sentiment classification
    - Estimates overall sentiment of text as positive or negative
  - Multi-class sentiment classification
    - Determines overall sentiment of text using Likert scale
    - e.g., 5-star system for online reviews

#### REVIEW TEXT→

"i believe that this book is not at all helpful since it does not explain thoroughly the material."





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### PRIOR WORK

- Surveys [1,2] on latest developments in sentiment analysis
- Discriminative supervised methods are (close to) state-of-art
  - Linear SVM trained on Bag-of-Word (BoW) with TF-IDF representation
  - We consider BoW and BoN (Bag-of-Ngram) with TF-IDF as baselines

- [1] B. Pang and L. Lee. Opinion mining and sentiment analysis. *Foundations and Trends in Information Retrieval 2008*.
- [2] Bing Liu. Sentiment Analysis and Opinion Mining. *Lectures on HLT 2012*. Morgan & Claypool Publishers.

### BASELINE: BAG-OF-WORDS REPRESENTATION FOR TEXT

"Think and wonder, wonder and think."



and	2
think	2
wonder	2

- Bag-of-Words (BoW) model treats text as order-invariant collection of features ≥
  - Enumerate all unique words in text corpus and place into dictionary  $\mathcal{D}$
  - Let  $\mathbf{X} = (w_1, \cdot, w_N)$  denote a document from corpus
  - Define canonical basis vector with single non-zero entry at position  $w_{j}$ :

$$\mathbf{e}_{w_i} = (0, \dots, 1, \dots, 0)^{\top}$$

Thus, BoW representation of document  $\mathbf{X}$ :

$$\tilde{\mathbf{e}}_{\mathbf{x}} = \frac{1}{N} \sum_{i=1}^{N} \mathbf{e}_{w_i} \qquad \dim(\tilde{\mathbf{e}}_{\mathbf{x}}) = \dim(\mathbf{e}_{w_i}) = |\mathcal{D}| \times 1$$

Optionally, assign weights (e.g., TF-IDF, BM25) to every word

# WORD PHRASES (N-GRAMS) IMPORTANT FOR SC TASK

- Short phrases / n-grams better capture sentiment than single words
  - E.g. words "recommend" and "book"

"I absolutely recommend this book"

"I highly recommend this book"

"I recommend this book"

"I somewhat recommend this book"

"I don't recommend this book"

# HOW TO MODEL N-GRAMS / PHRASES IN BOW MODEL 1

### "the film is palpable evil genius"



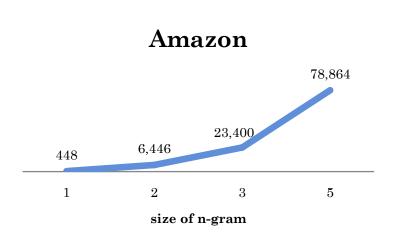
"the film"	1
"film is"	1
"is palpable"	1
"palpable evil"	1
"evil genius"	1

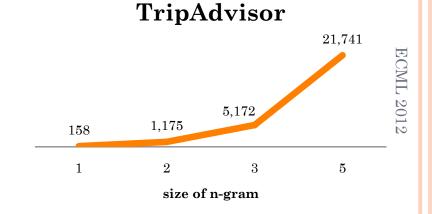
- Extend BoW to encode distributions of n-grams
  - n continuous words (i.e., n-grams) from corpus
  - Add n-grams to set  $\Gamma$  and use their distribution as features in BoW model:

$$\dim(\mathbf{e}_{w_i}) = |\Gamma| \times 1, \quad |\Gamma| = O(|\mathcal{D}|^n)$$

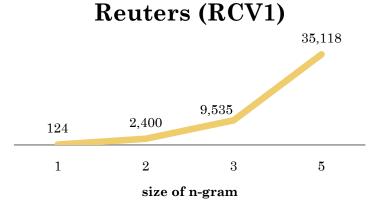
BoW with n-grams will be referred to as bag of n-grams (BoN)

## BoN: Curse of Dimensionality (Following numbers are in Thousands)





# Dimensionality of BoN grows exponentially with n, thus feature selection preprocessing is required



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### **OVERVIEW**

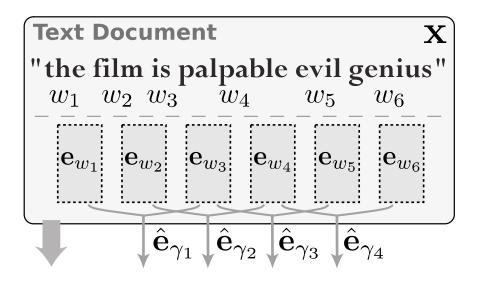
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## THE PROPOSED METHOD: SUPERVISED SEQUENCE ENCODER (SSE)

- A model efficiently encodes text phrases and document
- KEY: embed all sliding n-gram windows from text into a learned latent space based on supervised signals
- Implemented as deep Neural Network (NN) architecture
- Latent projection and supervised classifier are jointly trained with back-propagation using stochastic gradient descent

$$\mathbf{e}_{w_i} = (0, \dots, 0, \underset{\text{at index } w_i}{1}, \dots, 0)^{\top}$$

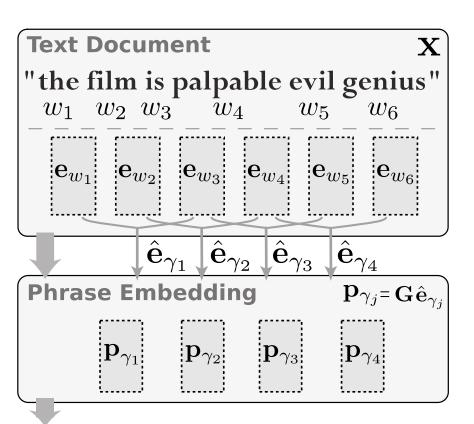
$$\hat{\mathbf{e}}_{\gamma_j} = [\mathbf{e}_{w_j}^\top, \mathbf{e}_{w_{j+1}}^\top, \dots, \mathbf{e}_{w_{j+n-1}}^\top]^\top$$



$$\mathbf{e}_{w_i} = (0, \dots, 0, \underset{\text{at index } w_i}{1}, \dots, 0)^{\top}$$

$$\hat{\mathbf{e}}_{\gamma_j} = [\mathbf{e}_{w_j}^\top, \mathbf{e}_{w_{j+1}}^\top, \dots, \mathbf{e}_{w_{j+n-1}}^\top]^\top$$

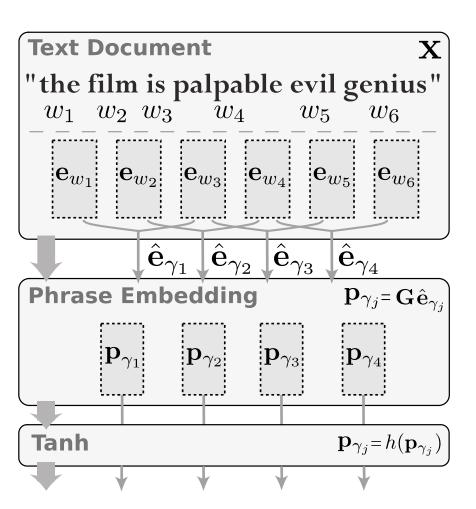
$$\mathbf{p}_{\gamma_j} = \mathbf{G} imes \hat{\mathbf{e}}_{\gamma_j}$$



$$\mathbf{e}_{w_i} = (0, \dots, 0, \underset{\text{at index } w_i}{1}, \dots, 0)^{\top}$$

$$\hat{\mathbf{e}}_{\gamma_j} = [\mathbf{e}_{w_j}^\top, \mathbf{e}_{w_{j+1}}^\top, \dots, \mathbf{e}_{w_{j+n-1}}^\top]^\top$$

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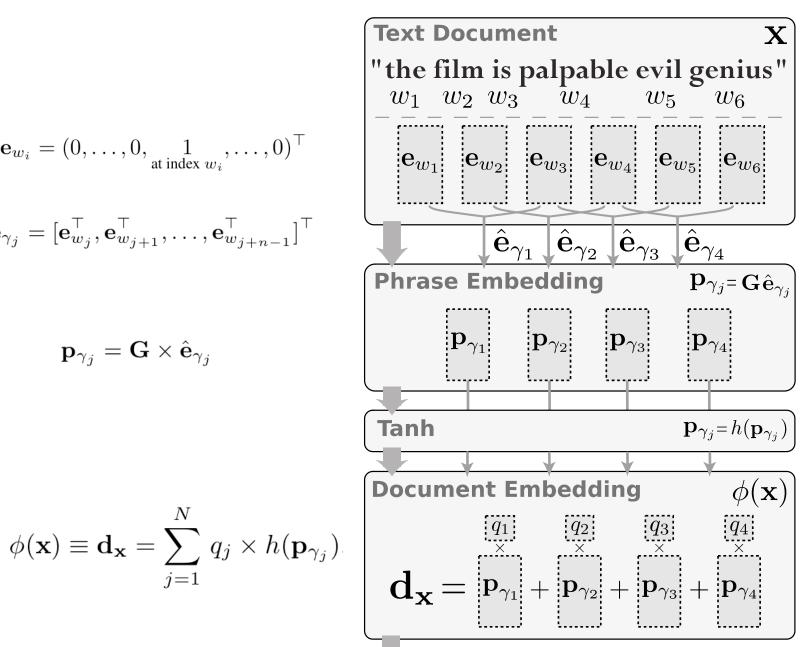


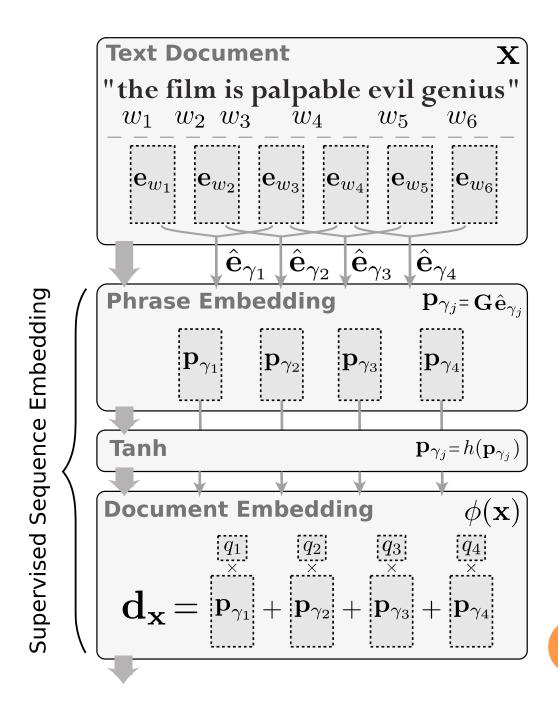
$$\mathbf{e}_{w_i} = (0, \dots, 0, \underset{\text{at index } w_i}{1}, \dots, 0)^{\top}$$

$$\hat{\mathbf{e}}_{\gamma_j} = [\mathbf{e}_{w_j}^\top, \mathbf{e}_{w_{j+1}}^\top, \dots, \mathbf{e}_{w_{j+n-1}}^\top]^\top$$

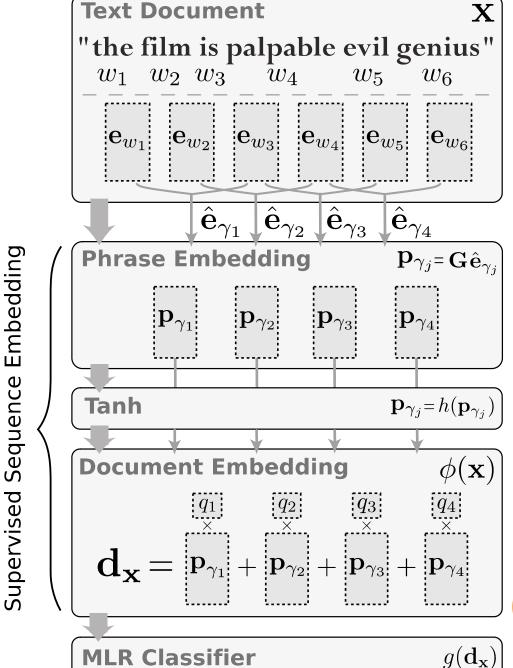
$$\mathbf{p}_{\gamma_j} = \mathbf{G} imes \hat{\mathbf{e}}_{\gamma_j}$$

$$\phi(\mathbf{x}) \equiv \mathbf{d}_{\mathbf{x}} = \sum_{j=1}^{N} q_j \times h(\mathbf{p}_{\gamma_j})$$









 $g(\mathbf{d_x})$ 

Two variants of SSE for SC task:

-I: SSE

-II: SSE-W

$$\phi(\mathbf{x}) \equiv \mathbf{d}_{\mathbf{x}} = \sum_{j=1}^{N} q_j \times h(\mathbf{p}_{\gamma_j})$$

**SSE**: uniform weights  $q_j = \frac{1}{N} = \frac{1}{4}, \forall j \in [1, N]$ 

**SSE-W**: learn weights from n-gram locations  $\binom{j}{N}$  using mixture model

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# Classification with Multinomial Logistic Regression (MLR)

- Popular loss model for classification [12]
- Known to rival hinge loss (SVM-like)
- Predicts conditional probability distribution over labels given input vector **d**
- Learns coefficient weights  $\beta_i$  for every label  $i \in [1, C]$
- Performs label inference:

$$g(\mathbf{d}) = \underset{i \in [1,C]}{\operatorname{arg max}} \frac{\exp(\boldsymbol{\beta}_i^{\top} \mathbf{d})}{1 + \sum_k \exp(\boldsymbol{\beta}_k^{\top} \mathbf{d})}$$

• Called **negative log-likelihood loss** in literature due to the form of objective (loss function)

- Backpropagation [10] is supervised learning method for neural network (NN)
  - Using backward recurrence it jointly optimizes all NN parameters
  - Requires all activation functions to be differentiable
  - Enables flexible design in deep NN architecture
  - Gradient descent is used to (locally) minimize objective:

$$\mathbf{A}^{k+1} = \mathbf{A}^k - \eta \frac{\partial \mathbf{L}}{\partial \mathbf{A}^k}$$

- Stochastic Gradient Descent (SGD) [11] is first-order iterative optimization
  - SGD is an online learning method
  - Approximates "true" gradient with a gradient at one data point
  - Attractive because of low computation requirement
  - Rivals **batch learning** (e.g., SVM) methods on large datasets

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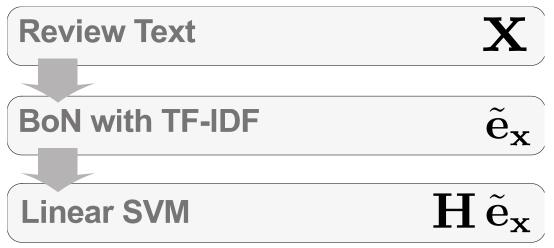
### ADVANTAGES OF SSE

- SSE utilizes only unigram features:
  - latent n-grams are defined as cumulative of unigram vectors
- Phrase structure is encoded by learning n embedding vectors for a unigram, one per every position in the n-gram
- SSE-W extension encodes positional information of each ngram in the global document structure
- Parameter space of SSE grows linear with n (i.e., size of n-gram)
- Computation of latent n-grams in SSE is extremely fast
  - requires only vector additions and multiplications with scalars
  - i.e., equivalent to n (sparse) projections of BoW representation

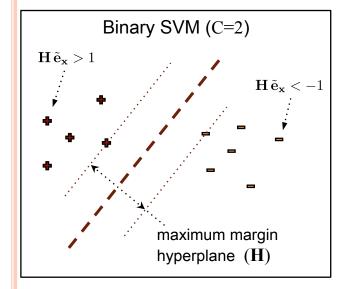
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## Baseline I: Linear SVM [13] with BoN Representation



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$$\dim\left(\tilde{\mathbf{e}}_{\mathbf{x}}\right) = |\Gamma_n| \times 1$$

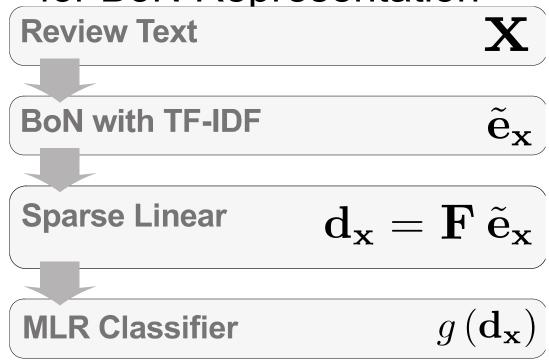
$$\dim\left(\mathbf{H}\right) = C \times |\Gamma_n|$$

Multi-class (C>2) is reduced to C binary (one-vs-all) SVM classifiers

[13] C. Cortes and V. Vapnik, Support-Vector Networks, Machine Learning, 20, 1995.

## 3CML 2012

## Baseline II: Perceptron (PRC) for BoN Representation



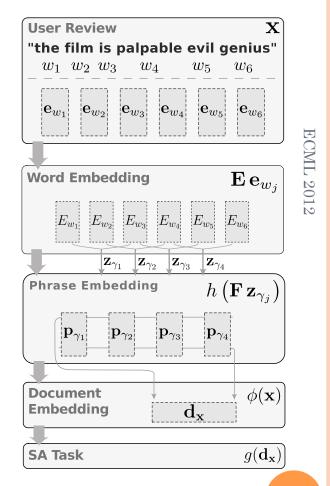
$$\dim (\tilde{\mathbf{e}}_{\mathbf{x}}) = |\Gamma_n| \times 1$$

$$|\Gamma_n| = O(|\mathcal{D}|^n)$$

$$\dim (\mathbf{d}_{\mathbf{x}}) = M \times |\Gamma_n|$$

### BASELINE III: LTC BASED SC

- SSE was motivated by Lookup Temporal Convolution (LTC)
  - originally proposed by Collobert and Weston [8]
  - adopted to sentiment classification in our prior work [9]
  - LTC is based on supervised word embedding



- [8] R. Collobert and J. Weston. A unified architecture for natural language processing: Deep neural networks with multitask learning. *ICML 2008*.
- [9] Dmitriy Bespalov and Bing Bai and Yanjun Qi and Ali Shokoufandeh. Sentiment Classification Based on Supervised Latent n-gram Analysis. *CIKM 2011*.

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### SENTIMENT DATASETS

- Use two large-scale sentiment datasets
  - Amazon & TripAdvisor
- Amazon contains product reviews from 25 categories
  - samples 257,900 training / 110,562 testing / 10,000 validation
  - e.g., apparel, automotive, baby, DVDs, electronics, magazines
- TripAdvisor contains hotel reviews from across the globe
  - Samples 55,306 training / 10,078 samples testing / 5,000 validation
  - Consider only overall ratings for reviews
- Create balanced 70/30% train-test splits
  - Validating set was sampled from the respective test sets
- For baseline BoN approaches, filtering n-grams with mutual information (MI) [14]
  - Retained top **500,000** phrases from respective training sets

[14] J. Blitzer et al. Biographies, bollywood, boomboxes and blenders: Domain adaptation for sentiment classification. *ACL 2007*.

## EXPERIMENTAL RESULTS: SENTIMENT CLASSIFICATION

- Micro-average error rate is reported
- Numbers marked with  $\uparrow$  (or  $\downarrow$ ) are statistically significantly better than **SVM BoN-3g** with p < 0.0001 (or p < 0.01)
- o  $2 \cdot \star$  denotes binary SC;  $5 \cdot \star$  and  $4 \cdot \star$  denote multi-class settings $4 \cdot \star$

• i.e., \_\_\_\_\_ ignores neutral reviews

Method	Amazon		TripAdvisor		
Method	$2 \cdot \star$	$4 \cdot \star$	2 · *	$4 \cdot \star$	5 · <b>⋆</b>
SVM BoW-1g	10.68	29.66	8.97	33.76	44.02
SVM BoW-2g	6.60	23.69	7.60	32.05	42.17
SVM BoW-3g	6.39	23.45	7.46	32.00	43.07
SVM BoW-5g	6.48	23.53	7.53	<u>31.93</u>	44.02
Prc BoW-3g	6.55	23.00	7.54	33.94	43.05
LTC	7.05	-	8.49	-	-
SSE	5.69	22.40	6.90	33.90	42.21
SSE-W	$5.63^{\dagger}$	$22.05^\dagger$	7.01	31.41	$40.76^{\ddagger}$

## EXPERIMENTAL RESULTS: SENTIMENT CLASSIFICATION (CONT'D)

- Macro-average error rate is reported
- $\circ$  5 · \* and 4 · \* denote multi-class settings
  - i.e.,  $4 \cdot \star$  ignores neutral reviews

Method	Amazon	TripAdvisor		
Method	$4 \cdot \star$	$4 \cdot \star$	5·*	
SVM BoW-1g	35.78	35.41	46.41	
SVM BoW-2g	28.26	33.68	44.68	
SVM BoW-3g	27.98	33.50	45.12	
SVM BoW-5g	28.02	33.45	46.41	
Prc BoW-3g	26.45	34.73	43.58	
SSE	25.30	34.22	42.88	
$\mathbf{SSE}\text{-}\mathbf{W}$	<b>24.61</b>	32.25	40.54	

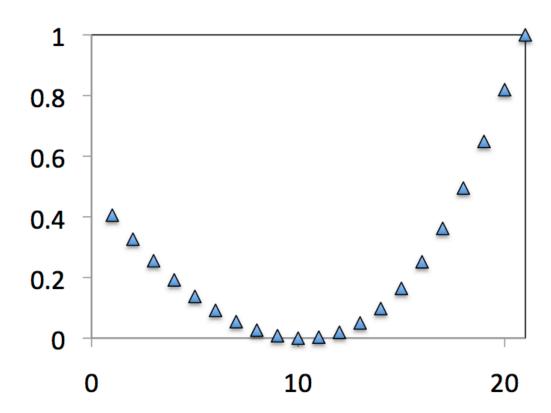


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Thanks a million to "Evangelos Papalexakis"!

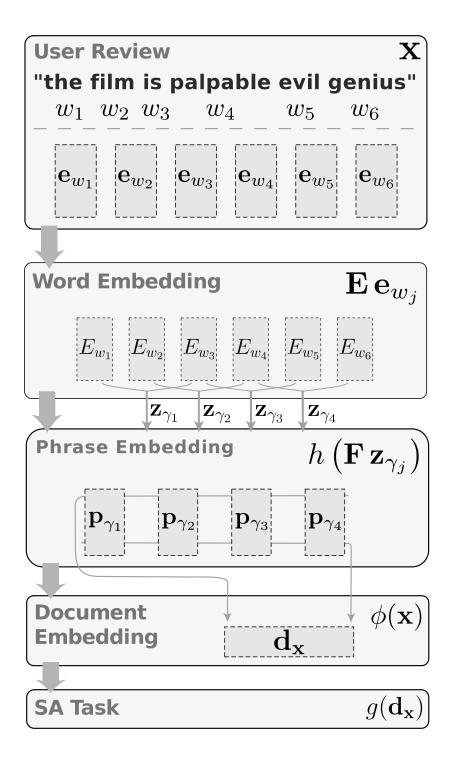
### N-GRAM WEIGHTS IN SSE-W

SSE-W model was trained on Amazon with multi-class setting



- Sentiment Analysis (SA) deals with "computational treatment of opinion, sentiment, and subjectivity in text" [1]
- Prominent directions of opinion mining research include:
  - Sentiment and subjectivity classification
    - Sentence-level identifies subjective statements, and labels their sentiment
    - Document-level predicts overall sentiment expressed in whole text
  - Feature-based and comparative SA are structured data extraction problems
    - Feature-based detects entities:
      - object of the review, opinion holder, sentiment of opinion, related aspects
    - Comparative SA deals with opinions expressed with comparative sentences:
      - e.g., product-X is better than product-Y, but not as good as product-Z
  - Opinion search and retrieval
    - deals with indexing, retrieval and querying of opinionated documents
  - Opinion spam
    - detects fake reviews with undeserving positive or malicious negative opinions

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Previous method: sentiment classification based on supervised word embedding

[9] Dmitriy Bespalov and Bing Bai and Yanjun Qi and Ali Shokoufand eh.

Sentiment Classificatio n Based on Supervised Latent ngram Analysis. CIKM 2011.

## EXPERIMENTAL RESULTS: SENTIMENT CLASSIFICATION (CONT'D)

- In our previous work [9], different test-train split was used
  - Validating set was sampled from respective training sets
  - BoN was limited to only 127,000 features
- Micro-average error rate is reported
- Numbers marked with  $\uparrow$  (or  $\uparrow$  ) are statistically significantly better than **SVM BoW-3g** with p < 0.0001 (or p < 0.01)

Method	Amazon		TripAdvisor		
Mernoa	2·*	$4\cdot\star$	2 · *	$4 \cdot \star$	5 · ★
SVM BoW-1g	11.10	30.31	8.89	33.54	43.93
SVM BoW-2g	7.45	25.28	7.47	32.27	42.34
SVM BoW-3g	7.13	25.02	7.25	32.22	42.20
SVM BoW-5g	7.34	25.67	7.43	32.55	42.31
Prc BoW-3g	7.41	27.49	7.31	31.99	41.29
LTC	7.12	27.10	8.33	33.40	42.69
SSE	7.04	23.59	6.59	27.60	37.56
SSE-W	7.00	$oldsymbol{23.11}^\dagger$	$\textbf{6.43}^{\ddagger}$	$27.68^{\dagger}$	$38.09^{\dagger}$

[9] Dmitriy Bespalov and Bing Bai and Yanjun Qi and Ali Shokoufandeh. Sentiment Classification Based on Supervised Latent n-gram Analysis. *CIKM 2011*.

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## EXPERIMENTAL RESULTS: BINARY TOPIC CATEGORIZATION

- Used **four** most frequent topics in training set of RCV1
- o 500,000 most frequent phrases were retained in BoN
- Macro-average error rate is reported

Method	RCV1				
Method	CCAT	GCAT	MCAT	C15	
SVM BoW-1g	6.45	5.66	5.70	7.95	
SVM BoW-2g	5.82	5.42	5.60	7.62	
SVM BoW-3g	<u>5.79</u>	5.53	5.59	7.46	
SVM BoW-5g	5.89	5.72	5.75	7.55	
SSE	5.74	4.79	4.41	6.21	
$\mathbf{SSE}\text{-}\mathbf{W}$	5.71	4.70	4.45	5.50	

### Feed-forward Deep Architectures

**Input Vector** 

X

**Linear Projection** 

$$\mathbf{p}_1 = \mathbf{A}\mathbf{x} + \mathbf{b}$$

**Non-linear Transfer Function** 

$$\mathbf{p}_2 = \mathbf{h}(\mathbf{p}_1)$$

$$\tanh(t) = \frac{e^{2t} - 1}{e^{2t} + 1}$$

$$sigmoid(t) = \frac{1}{1 + e^{-t}}$$

Optimization objective (i.e., NN Criterion)

$$L(\mathbf{p_k})$$