

### Good Ideas So Far...



- Flow control
  - · Stop & wait
  - · Parallel stop & wait
  - · Sliding window
- Loss recovery
  - Timeouts
  - Acknowledgement-driven recovery (selective repeat or cumulative acknowledgement)

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#### Outline



- TCP flow control
- Congestion sources and collapse
- Congestion control basics

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on Control

# Sequence Numbers (reminder)



- How large do sequence numbers need to be?
  - · Must be able to detect wrap-around
  - · Depends on sender/receiver window size
- E.g.
  - Max seq = 7, send win=recv win=7
  - · If pkts 0..6 are sent succesfully and all acks lost
    - Receiver expects 7,0..5, sender retransmits old 0..6!!!
- Max sequence must be ≥ send window + recv window

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### Sequence Numbers



- 32 Bits, Unsigned → for bytes not packets!
  - · Circular Comparison





- Why So Big?
  - For sliding window, must have

|Sequence Space| > |Sending Window| + |Receiving Window|

- No problem
- Also, want to guard against stray packets
  - · With IP, packets have maximum lifetime of 120s
  - Sequence number would wrap around in this time at 286MB/s =~ 2.3Gbit/s (hmm!)

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# **TCP Flow Control**



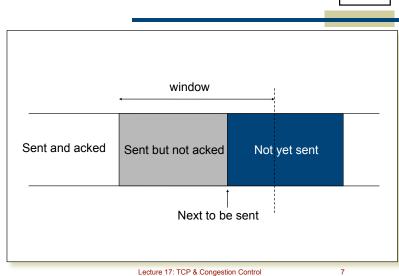
- TCP is a sliding window protocol
  - For window size *n*, can send up to *n* bytes without receiving an acknowledgement
  - When the data is acknowledged then the window slides forward
- Each packet advertises a window size
  - Indicates number of bytes the receiver has space for
- Original TCP always sent entire window
  - But receiver buffer space != available net. capacity!
    - · Congestion control now limits this
    - window = min(receiver window, congestion window)

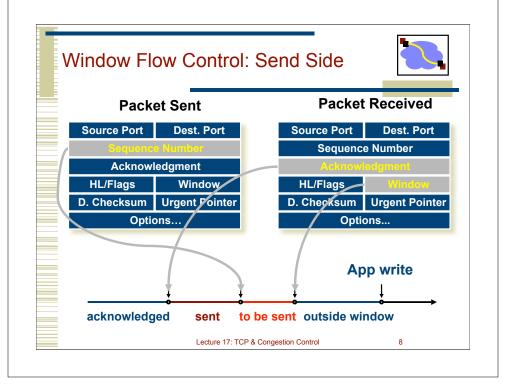
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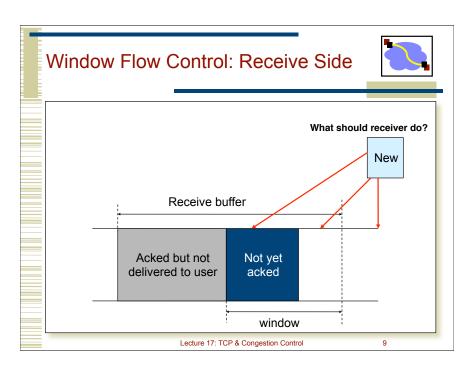
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# Window Flow Control: Send Side









#### **TCP Persist**



- What happens if window is 0?
  - Receiver updates window when application reads data
  - · What if this update is lost?
- TCP Persist state
  - · Sender periodically sends 1 byte packets
  - Receiver responds with ACK even if it can't store the packet

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#### Performance Considerations



- The window size can be controlled by receiving application
  - Can change the socket buffer size from a default (e.g. 8-64Kbytes) to some maximum value
- Modern TCPs (linux, bsd, os x) may auto-tune
  - Historical source of performance problems on fast nets
- The window size field in the TCP header limits the window that the receiver can advertise
  - 16 bits → 64 KBytes
  - 10 msec RTT → 51 Mbit/second
  - 100 msec RTT → 5 Mbit/second
  - TCP options to get around 64KB limit → increases above limit

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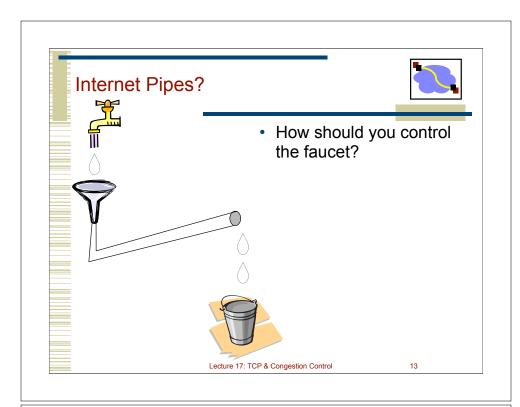
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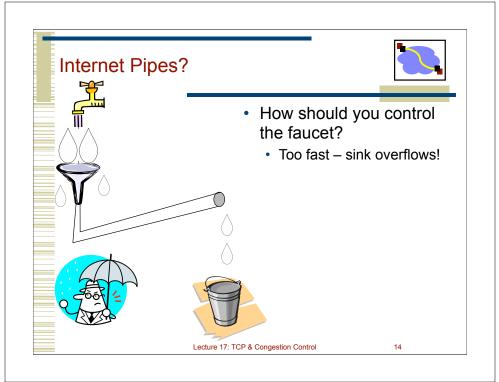
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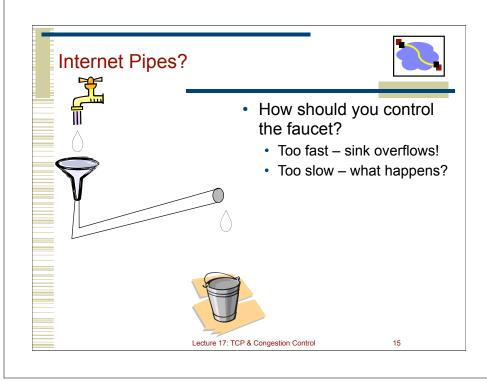


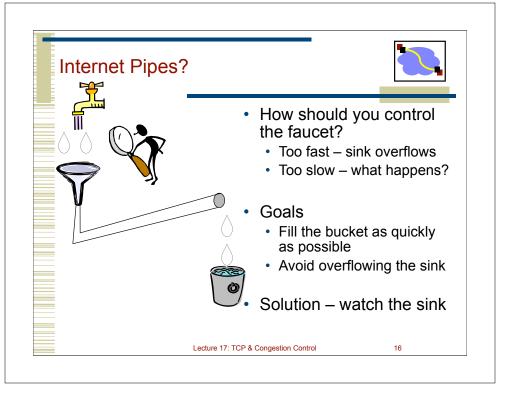
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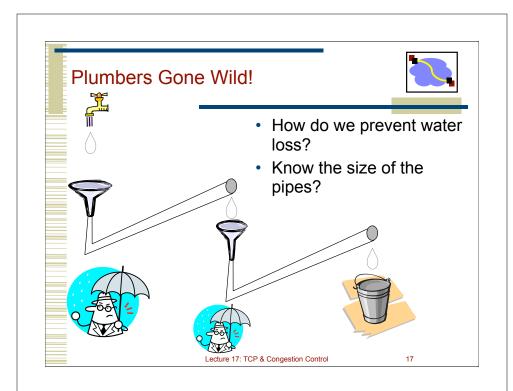
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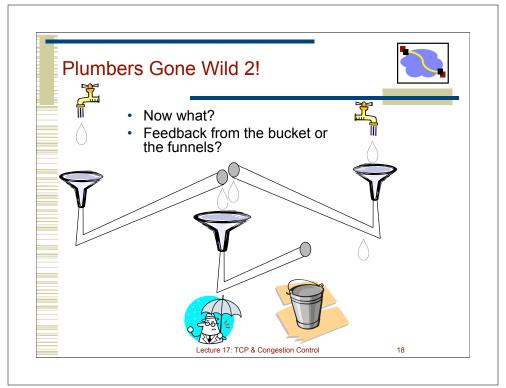


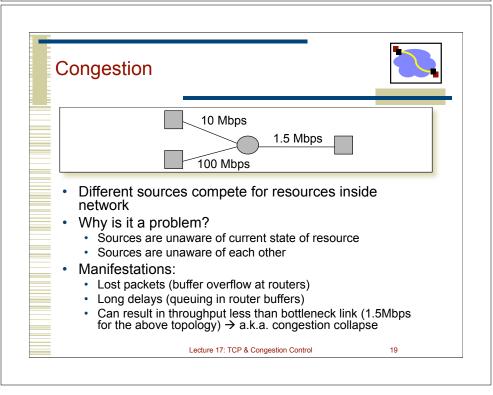


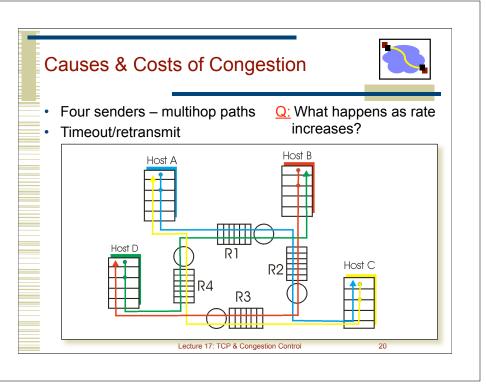












# Causes & Costs of Congestion C/2 \( \lambda'\_{\in} \) • When packet dropped, any "upstream transmission capacity used for that packet was wasted!

# **Congestion Collapse**



- Definition: Increase in network load results in decrease of useful work done
- Many possible causes
  - Spurious retransmissions of packets still in flight
    - Classical congestion collapse
    - How can this happen with packet conservation? RTT increases!
    - · Solution: better timers and TCP congestion control
  - Undelivered packets
    - Packets consume resources and are dropped elsewhere in network
    - Solution: congestion control for ALL traffic

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# Congestion Control and Avoidance



- A mechanism that:
  - · Uses network resources efficiently
  - Preserves fair network resource allocation
  - · Prevents or avoids collapse
- Congestion collapse is not just a theory
  - · Has been frequently observed in many networks

Approaches Towards Congestion Control



- Two broad approaches towards congestion control:
- End-end congestion control:
  - No explicit feedback from network
  - Congestion inferred from end-system observed loss, delay
  - Approach taken by TCP

- Network-assisted congestion control:
  - Routers provide feedback to end systems
    - Single bit indicating congestion (SNA, DECbit, TCP/IP ECN, ATM)
    - Explicit rate sender should send at
  - Problem: makes routers complicated

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# **Example: TCP Congestion Control**



- Very simple mechanisms in network
  - · FIFO scheduling with shared buffer pool
  - · Feedback through packet drops
- TCP interprets packet drops as signs of congestion and slows down
  - This is an assumption: packet drops are not a sign of congestion in all networks
    - E.g. wireless networks
- Periodically probes the network to check whether more bandwidth has become available.

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#### Outline



- TCP flow control
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# **Objectives**



- Simple router behavior
- Distributedness
- Efficiency: X = Σx<sub>i</sub>(t)
- Fairness:  $(\Sigma x_i)^2/n(\Sigma x_i^2)$ 
  - · What are the important properties of this function?
- Convergence: control system must be stable

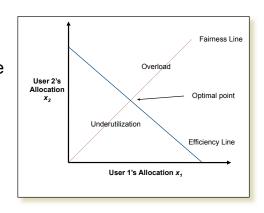
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### **Phase Plots**



- What are desirable properties?
- What if flows are not equal?



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#### **Basic Control Model**



- Reduce speed when congestion is perceived
  - How is congestion signaled?
    - · Either mark or drop packets
  - How much to reduce?
- Increase speed otherwise
  - Probe for available bandwidth how?

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#### **Linear Control**



- Many different possibilities for reaction to congestion and probing
  - · Examine simple linear controls
    - Window(t + 1) = a + b Window(t)
    - Different a<sub>i</sub>/b<sub>i</sub> for increase and a<sub>d</sub>/b<sub>d</sub> for decrease
- · Supports various reaction to signals
  - Increase/decrease additively
  - Increased/decrease multiplicatively
  - Which of the four combinations is optimal?

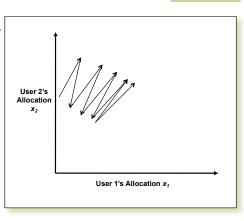
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#### **Phase Plots**



 Simple way to visualize behavior of competing connections over time



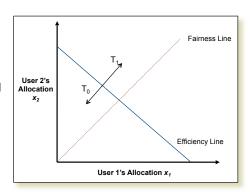
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#### Additive Increase/Decrease



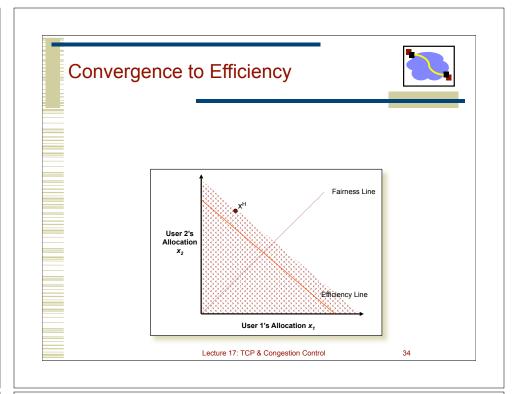
- Both X<sub>1</sub> and X<sub>2</sub> increase/ decrease by the same amount over time
  - Additive increase improves fairness and additive decrease reduces fairness

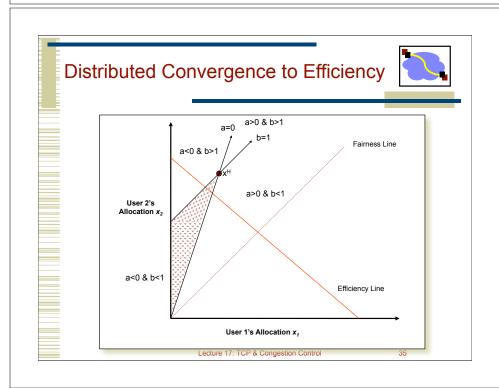


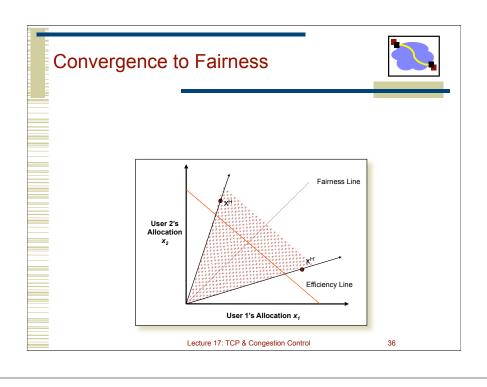
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# • Both $X_1$ and $X_2$ increase by the same factor over time • Extension from origin – constant fairness User 2's Allocation $x_2$ User 1's Allocation $x_3$ User 1's Allocation $x_4$

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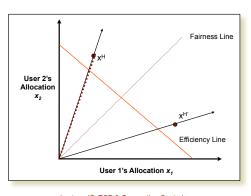




# Convergence to Efficiency & Fairness



- Intersection of valid regions
- For decrease: a=0 & b < 1</li>



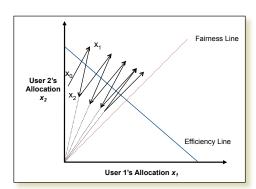
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# What is the Right Choice?



- Constraints limit us to AIMD
  - Can have multiplicative term in increase (MAIMD)
  - AIMD moves towards optimal point



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# **Important Lessons**



- Transport service
  - UDP → mostly just IP service
  - TCP → congestion controlled, reliable, byte stream
- Types of ARQ protocols
  - Stop-and-wait → slow, simple
  - Go-back-n → can keep link utilized (except w/ losses)
  - Selective repeat → efficient loss recovery
- Sliding window flow control
- TCP flow control
  - Sliding window → mapping to packet headers
  - 32bit sequence numbers (bytes)

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# Important Lessons



- · Why is congestion control needed?
- How to evaluate congestion control algorithms?
  - Why is AIMD the right choice for congestion control?
- TCP flow control
  - Sliding window → mapping to packet headers
  - 32bit sequence numbers (bytes)

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# Good Ideas So Far...



- Flow control
  - · Stop & wait
  - Parallel stop & wait
  - · Sliding window (e.g., advertised windows)
- Loss recovery
  - Timeouts
  - Acknowledgement-driven recovery (selective repeat or cumulative acknowledgement)
- Congestion control
  - AIMD → fairness and efficiency
- Next Lecture: How does TCP actually implement these?

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# Pipes...Tubes...Let's call the whole thing off



• An alternate way to look at congestion?

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